



Performance Report January - April 2017

Management Board Meeting
21 June 2017

- **Highlights**
- **Work programme achievements by SO**
- **Management of resources (& budget transfers)**

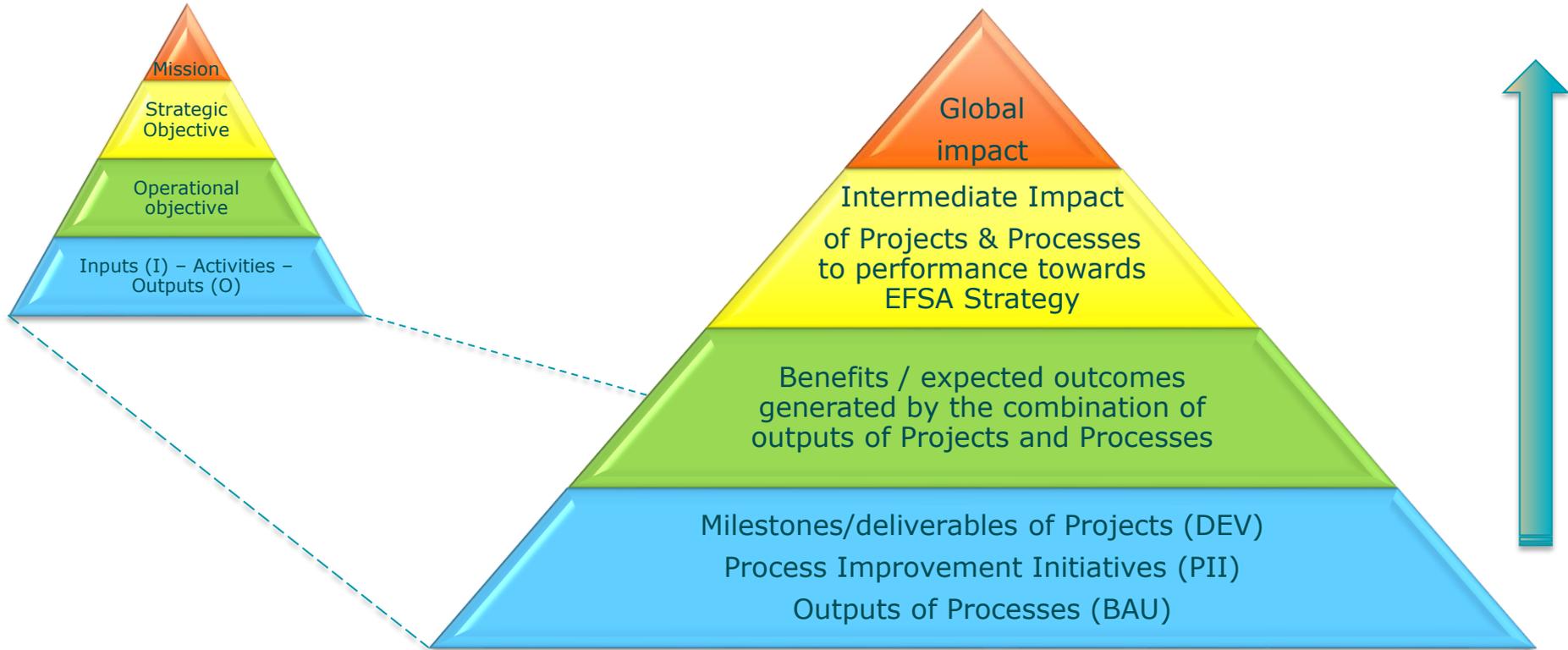
HIGHLIGHTS JAN – APRIL 2017



African swine fever spreading slowly in the Baltic countries and Poland	S01
Bluetongue, EFSA reviews control measures	S01
Food safety: simpler rules proposed for small retailers	S01
Antimicrobial resistance	S01
Update on multi-country Salmonella outbreak: steep fall in reported cases	S01
Stakeholder engagements approach initiative	S01
EFSA grants and the award of 1 MIL € to innovative research projects	S03
Endocrine disruptors: EFSA asks stakeholders to join Consultation Group	S04
A new path for pesticide assessment	S04
Public consultation: effects of pesticides on amphibians and reptiles	S04
EFSA chairs network of EU agencies – ‘the visible face of the EU in the Member States’	S05

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- **Work programme achievements by SO**
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PERFORMANCE-BASED MANAGEMENT AND WORK PLAN



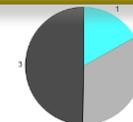
Performance indicators linked to EFSA's Annual and Multiannual Work Programme in the Single Programming Document 2017-2019

Status	Symbol	Formula
Over-Achieved	●	Actual/Target more than 105%
Achieved	●	Actual/Target between 95% and 105%
Partially Achieved	●	Actual/Target between 75% and 95%
Not Achieved	●	Actual/Target less than 75%
N/A	⊗	Data not available for this period

WORK PROGRAMME ACHIEVEMENTS - SO1

Impact: Stakeholders' satisfaction regarding scientific outputs, scientific assessment process, communication tools & material

- ✓ Over achievement for Impact Media coverage

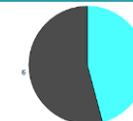


Outcome 1: engagement of stakeholders in scientific activities

Outcome 2: full availability of documentation relevant to EFSA scientific outputs

Outcome 3: Enhanced outreach of communication

- ✓ **Over achievement** in indicators for **enhanced outreach of communication**: social media effectiveness, traffic to EFSA's web content, and number of subscribers to online subscription products (underestimation?)



Processes

- ✓ **Target exceeded** for number of questions closed, number of service catalogue activities and registered stakeholders **and reached** on timeliness (with future risk in the area of FEED)
- ✓ **Attention needed** on the PRAS backlog, while forecast is to catch up by year end



Projects

TERA Project

Customer oriented approach for applications for regulated products

MATRIX Project

Stakeholder Engagement Approach (SEA)

Reputation Management (including Barometer)



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WORK PROGRAMME ACHIEVEMENTS - SO2

Impact: Stakeholders' satisfaction regarding EFSA's evidence management services and fostered innovative re-use of data.

⚠ The details for the definition of the methodology of the measurement and the target for these performance indicators are under development for this reporting period.

Outcome 1: Improved access to data

Outcome 2: Wider data coverage

Outcome 3: Increased standardisation and interoperability of data

Outcome 4: improved quality of data

- ✓ Indicators for **improved access to data** are expected to reach the target by the end of the year, both on the number of data collections and dashboards published



Processes

- ✓ Target reached for number of questions closed for scientific outputs and timeliness of outputs and data collections
- ✓ Number of questions closed for other publications below target but expected to be reached by year end



Projects

System for assigning Digital Object identifiers

Molecular typing



- Information Access Management
- EU Menu
- FOODX2
- IPCHEM
- SSD2 Pilot

Data collection services



OpenScaie project

Scientific Data Warehouse (DWH)



Information Management programme

Information Governance



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WORK PROGRAMME ACHIEVEMENTS - SO3

Impact 1: Efficiency at European and international level

Impact 2: Satisfaction of MS, EU and international partners with regard to the building and sharing of RA capacity and a knowledge community at organisational and individual level

⚠ The details for the definition of the methodology of the measurement and the target for these performance indicators are under development for this reporting period.

Outcome 1: Building and sharing capacity within the RA community at organisational level

Outcome 2: Building and sharing capacity within the RA community at individual level

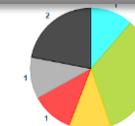
Outcome 3: Strengthened capacity using innovative ways

- ✓ Risk assessment agenda index and joint activities with international partners under cooperation agreements **exceeded the target.**



Processes

- ✓ Member States cooperation activities **exceeded the target**
- ✓ **Target was reached** for International and third country organisations cooperation agreements...
- ✓ ...but the number of International cooperation activities **were below the target**



Projects

Expertise Management Programme

EU-FORA Fellowship programme

EFSA Pre-accession Programme

Innovative approach for Art. 36 networking and management of the list



Crowdsourcing



Panel renewal:

<http://www.efsa.europa.eu/en/careers/experts>

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WORK PROGRAMME ACHIEVEMENTS - SO4

Impact 1: Increased effectiveness of preparedness and response

Impact 2: Increased satisfaction of stakeholders with regard to EFSA's preparedness, methodologies and response

⚠ The details for the definition of the methodology of the measurement and the target for these performance indicators are under development for this reporting period.

Outcome 1: Fostered use of new approaches and enhanced ability to anticipate and respond to risks

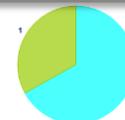
Outcome 2: Harmonisation of RA methodologies

Outcome 3: Accessibility of EFSA methods and tools

⚠ The details for the definition of the methodology of the measurement and the target for these performance indicators are under development for this reporting period.

Processes

- ✓ Number of questions closed exceeded the target for all output types
- ✓ Target was reached on timeliness of adoption



Projects

Risk Assessment Methodologies Programme

- Wildlife project
- Vectornet project
- Preparatory work for future advice / Integrating new approaches in chemical risk assessment

Testing strategies for evaluation of developmental neurotoxicity

Tracing methodology



- Scientific Committee Guidance Document Review framework (Guidance review)
- Evidence use in risk assessment: Prometheus

Expert Knowledge Elicitation



- Risk Assessment tools for the safety of global food and feed supply chains (FPA with BfR)
- R4EU
- Knowledge junction (through Open SCAIE)

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WORK PROGRAMME ACHIEVEMENTS – SO5

Impact 1: Sound operational performance

Impact 2: Efficiency

- ✓ Proportion of KPIs reaching target was met and clean discharge was achieved
- ✓ Ratio operations vs support is within the target at 74:26



Outcome 1: People and Culture

Outcome 2: Compliance

Outcome 3: Enabling work environment

Outcome 4: Capabilities

- ✓ Highest ever occupancy rate and compliance index on target
- ✓ % tele-meetings is below target, but on good track as improved over the baseline.



Processes

- ✓ Proportion of experts with approved aDOI on target with small deviation on sDOIs
- ✓ Financial performance is below monthly target but higher than last year's execution, with positive forecast
- ✓ Service delivery index on target, while transformation performance index with some delays



Projects

External evaluation project

EU Agencies Network (EUAN) project

Talent management project

- Centralisation of competing interest management
- Independence policy
- Business continuity
- OHSAS 18000 certification
- Correspondence & records management

Organisational development: mission centralisation and travel logistics outsourcing

- Performance and results management approach
- EMAS certification registration.
- ISO 14001 certification

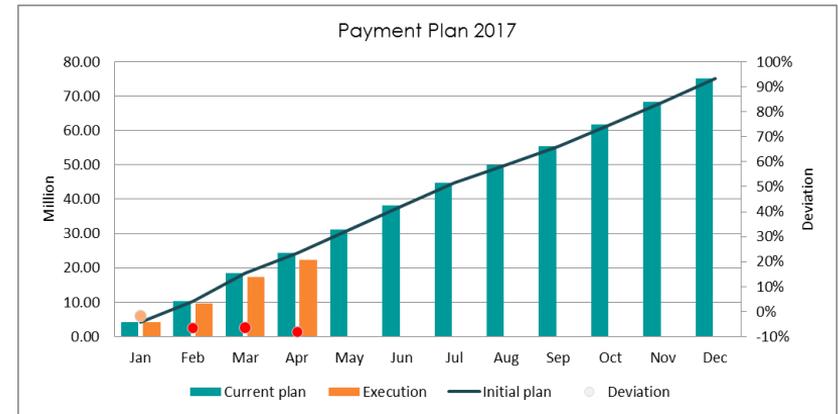
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BUDGET EXECUTION APRIL 2017

- ✓ Financial performance (overall and scientific cooperation) is below monthly target but higher than last year's execution



Commitment execution:
3% below the monthly plan



Payment execution:
8% below the monthly plan

BUDGET TRANSFERS January – May 2017

TITLE I PERSONNEL

**No transfers
(between the
chapters)**

42.1 M€

TITLE II INFRASTRUCTURE

**0.07 M€
Transferred
within
the title**

8.6 M€

TITLE III OPERATIONS

**0.06 M€
Transferred
within
the title**

28.6 M€

FUTURE BUDGET TRANSFER

The issue: Potential shortfall up to €1 million under Title I – staff costs

- Higher occupancy rate
- Upwards fluctuations of average salary
- Financial constraints MFF → Elimination of staff reserves at initial plan phase (SPD)

The possible solutions:

➤ Traditional approach:

Title I and II to cover the shortfall, safeguarding Title III

→ impacting human resource availability with distributed repercussions

➤ Results-based approach (selected):

Partial transfer from Title III to I, up to € 0.5M via reprioritisation

→ release key Title I expenditure currently on hold, minimising impact in targeted manner

To be implemented in a subsequent RFC, via prior decision of the Board shall the 10% threshold be surpassed.

FUTURE BUDGET TRANSFER

Forecasted impacts of the adoption of the Results-based approach (vs traditional approach):

- **Title I and Title II** would contribute to **50% of the shortfall** (instead of 100%) mainly by reducing 2017 training, interims and trainees budget
- **Title III** would contribute to the **remaining 50%** of the shortfall*:
 - ✓ 260K from savings already identified in the May budget review (EFSA Journal and Events & Outreach)
 - ✓ Additional 240K would have to be identified through the following options:

<p>Option 1: Reduce SO4 and SO3 related G&P budget by partially postponing planned commitments from Dec 2017 to Jan 2018</p>	<p>PLUS- No significant impacts on project execution</p> <p>MINUS - Scientific G&P budget lower compared to the last 5 years and delays on future but unidentified SO3/SO4 projects (e.g. new guidance/methods/preparedness development)</p>
<p>Option 2: Reduce SO5 and SO1 related IT budget by partially postponing planned commitment from 2017 to Jan 2018</p>	<p>MINUS: Delays in planned capabilities development and peak of IT demand in 2018 exceeding agreed IT per year thresholds</p>

MB FEEDBACK ON THE PERFORMANCE REPORT P1

- ❑ Pilot reporting following the new processes designed by the STEP 2018 project
- ❑ Report structure in line with the performance-based approach, and prepares for the Annual Activity Report
- ❑ Welcome and seek MB feedback on the new approach, and particularly on the value of the different reporting sections, content, size, level of detail

