

Management Board meeting
16 December 2021

EFSA Performance Report

P3 2021 (Sep-Oct)

Trusted science for safe food

1

EFSA Performance in P3 2021 Overview

2

SO1 - Strategic Objective 1

3

SO2 - Strategic Objective 2

4

SO3 - Strategic Objective 3

5

SO4 - Strategic Objective 4

6

SO5 - Strategic Objective 5

7

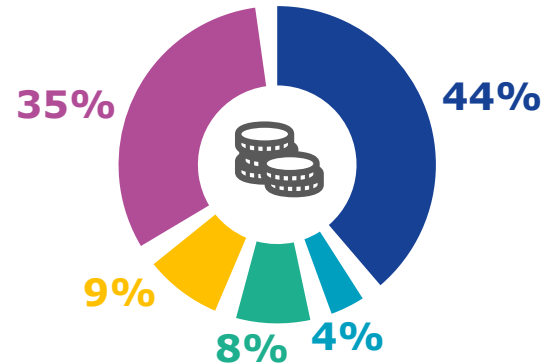
Annex

EFSA Performance in P3 2021 – Overview

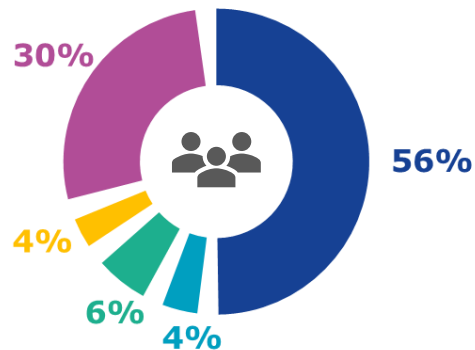
5 Strategic Objectives

-  **SO1.** Prioritise public and stakeholder engagement in the process of scientific assessment
-  **SO2.** Widen EFSA's evidence base and optimise access to its data
-  **SO3.** Build the EU's scientific assessment capacity and knowledge community
-  **SO4.** Prepare for future risk assessment challenges
-  **SO5.** Create an environment and culture that reflect EFSA's values

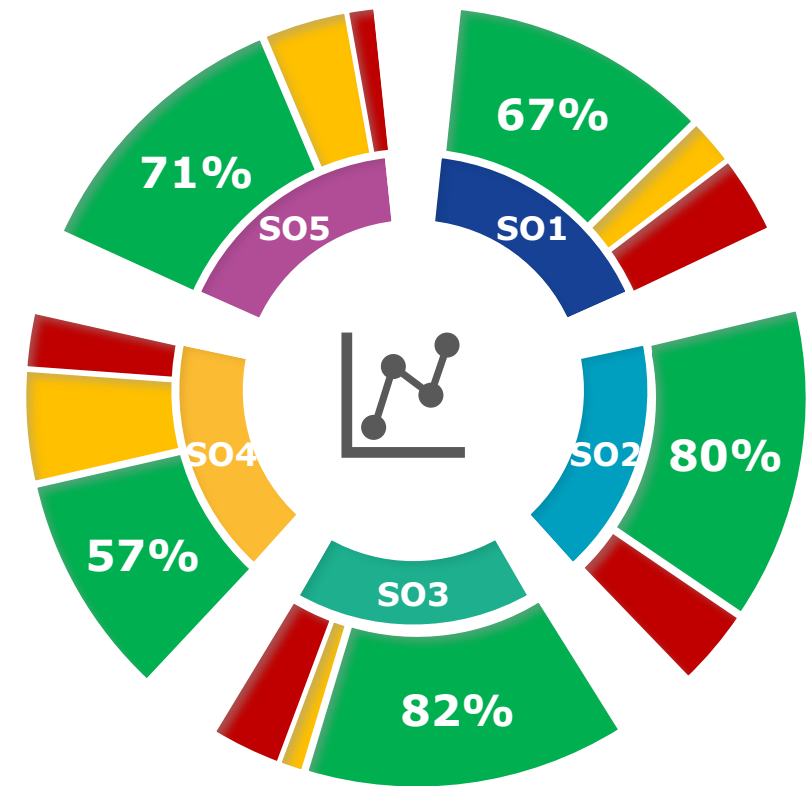
EUR 110.7 M budget*



464.8 FTEs



Overall Performance**



EFSA's **overall performance registers an improvement year-on-year**, mainly due to the **positive results** achieved by KPIs in the **Strategic Objectives 2 and 3**, which were among the SOs that registered the biggest impact of the SARS-CoV-2 pandemic last year. In terms of **management of inputs**, EFSA committed **EUR 110.7 M in P3 2021**, which accounts for 86% of EFSA's 2021 budget, **close to the plan of EUR 114.5 M**. In terms of **FTEs**, **468.9 FTEs were planned until the 31st of October 2021** (97% of the yearly total).

* **Budget:** 2021 actual figures; **FTEs:** 2021 planned figures (for informative purpose only)

** **Actuals of KPIs per SO:** see Annex

Legend: **On track/above target**
Moderate deviation
Relevant deviation

Highlights of achievements in P3

S01

- **Joint ECDC-EFSA Multi-country outbreak** of multiple *Salmonella enterica* serotypes
- **EFSA support to regulated products applicants:** new LinkedIn group live

S02

- **WGS One Health project** progressing

S03

- **Parma Summer School 2021** | Food Safety Aspects of Integrated Food Systems
- **81st Advisory Forum meeting** (27–28 October 2021)

S04

- **Food enzymes scientific guidance updated**

S05

- Auditors reconfirm **certification on occupational health and safety mgmt.**
- Measuring progress of **continuous improvement** initiatives

EFSA Business as Usual activities in P3 2021 – Overview

SO1

SATISF.	QUALITY	VOLUME	TIME	COST
●	●	●	●	●

SO2

SATISF.	QUALITY	VOLUME	TIME	COST
●	●	●	●	●


SO5

SATISF.	QUALITY	VOLUME	TIME	COST
●	●	●	●	●


SO3

SATISF.	QUALITY	VOLUME	TIME	COST
●	●	●	●	●


Satisfaction
93.5%


Cost
83.5%


Quality
92.0%


Time
89.2%


Volume
81.3%

SO4

SATISF.	QUALITY	VOLUME	TIME	COST
●	●	●	●	●

Good performance of EFSA’s Business as Usual activities in terms of process performance indicators compared to the previous Performance Report (P2), with **most indicators related to Satisfaction (+3.1%), Quality (-1.8%) and Time (+4.2%) being fully on track.** **Volume** metric reported a slight increase in performance compared to P2 (+1%); whereas the **Cost** metric is the only area reporting a relevant decrease in value (-6.7%) caused by a small subset of processes reporting shortage of FTEs and deviations in budget execution.

EFSA Development activities in P3 2021 – Overview

Information Management Programme

SCOPE	TIME	BUDGET	FTEs	RISKS
●	●	●	●	●

RA Methodologies Programme

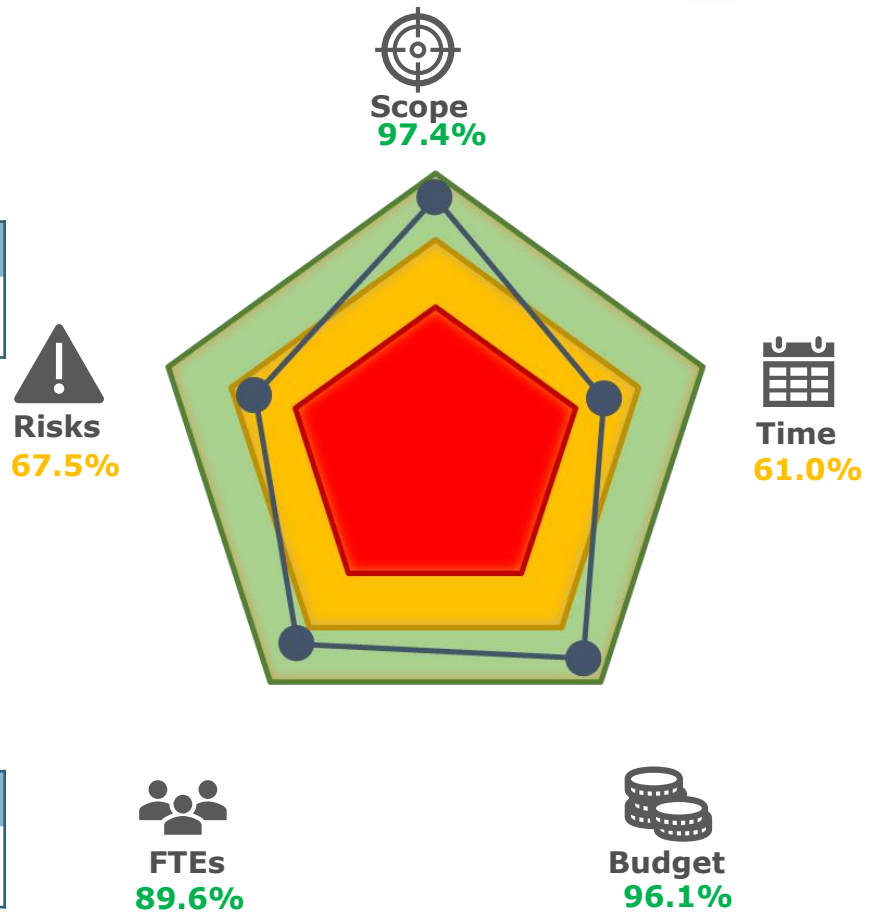
SCOPE	TIME	BUDGET	FTEs	RISKS
●	●	●	●	●

Expertise Management Programme*

SCOPE	TIME	BUDGET	FTEs	RISKS
●	●	●	●	●

Architecture Programme

SCOPE	TIME	BUDGET	FTEs	RISKS
●	●	●	●	●



Positive overall performance of EFSA’s development projects, with a notable improvement compared to P2 results, registered in **Scope** (+1.9%), **FTEs** (+2.0%) and **Budget** (+1.7%). On the other side, dimensions linked to **Risks** (-3.3%) and **Time** (-5.3%) both reported a remarkable shrink in value. These decreases are explained by postponements/delays in the finalisation of outputs.

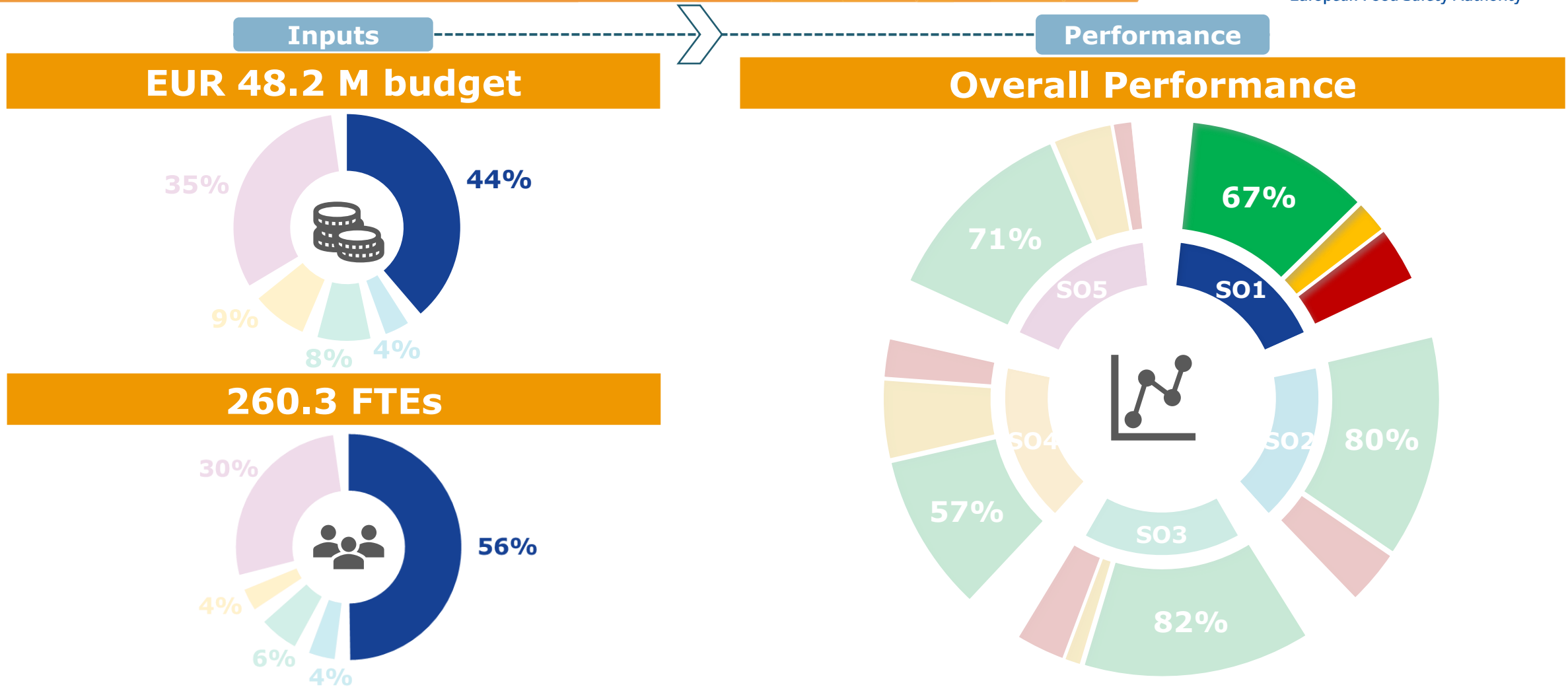
* Results affected by issues encountered in DOI project

EFSA Management of Resources - Budget execution & Transfers in October 2021

	COMMITMENTS EXECUTION RATE (deviations vs MONTHLY PLAN)	PAYMENTS EXECUTION RATE (vs MONTHLY PLAN)
BUDGET EXECUTION	81% (-3%)	67% (-5%)
PERSONNEL	91% (0%)	80% (-1%)
INFRASTRUCTURE	86% (-1%)	46% (-9%)
OPERATIONS	81% (-7%)	60% (-9%)
SCIENTIFIC COOPERATION	70% (-12%)	84% (-4%)

BUDGET TRANSFERS (Nov 2021)	
T1	€52.9M € 0.3 M internal transfers between the chapters
T2	€14.6M € 0.4M internal transfers between the chapters
T3	€61.6M € 2.2M internal transfers between the chapters

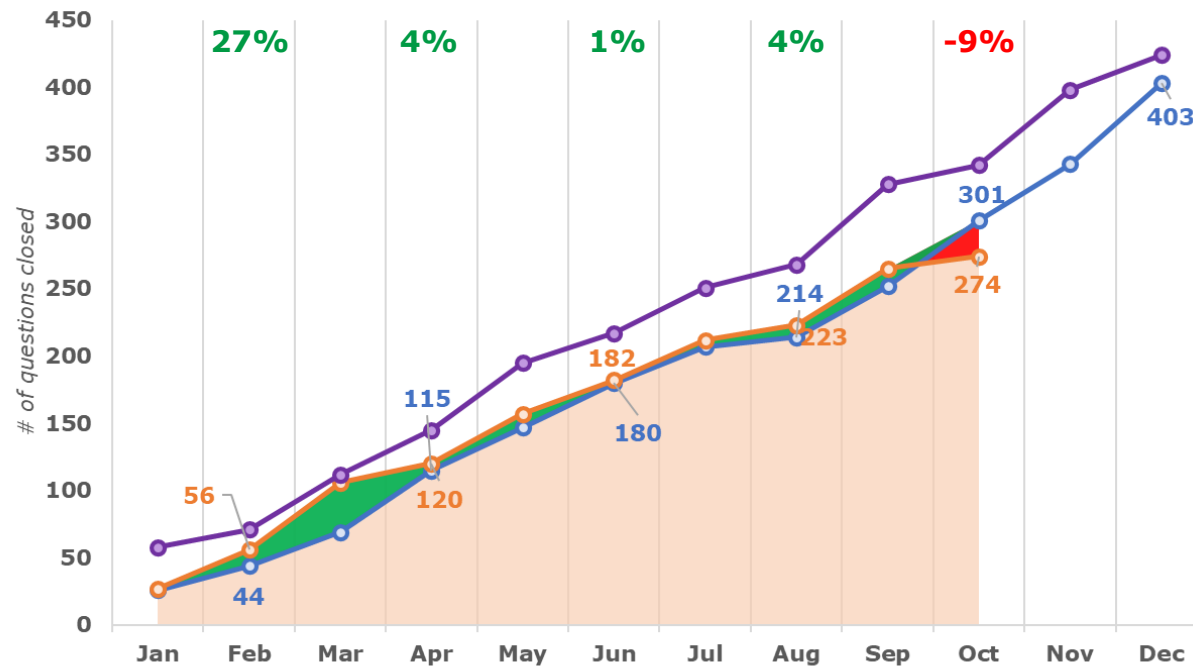
SO1. Prioritise public and stakeholder engagement in the process of scientific assessment – Overview



Overall performance registered a **slight decrease** in the compared to P2 (71%) and to reporting period 3 2020 (72%). The main deviations are in the areas of scientific production, whereas positive performance was registered in the area of communication, in line with P2.

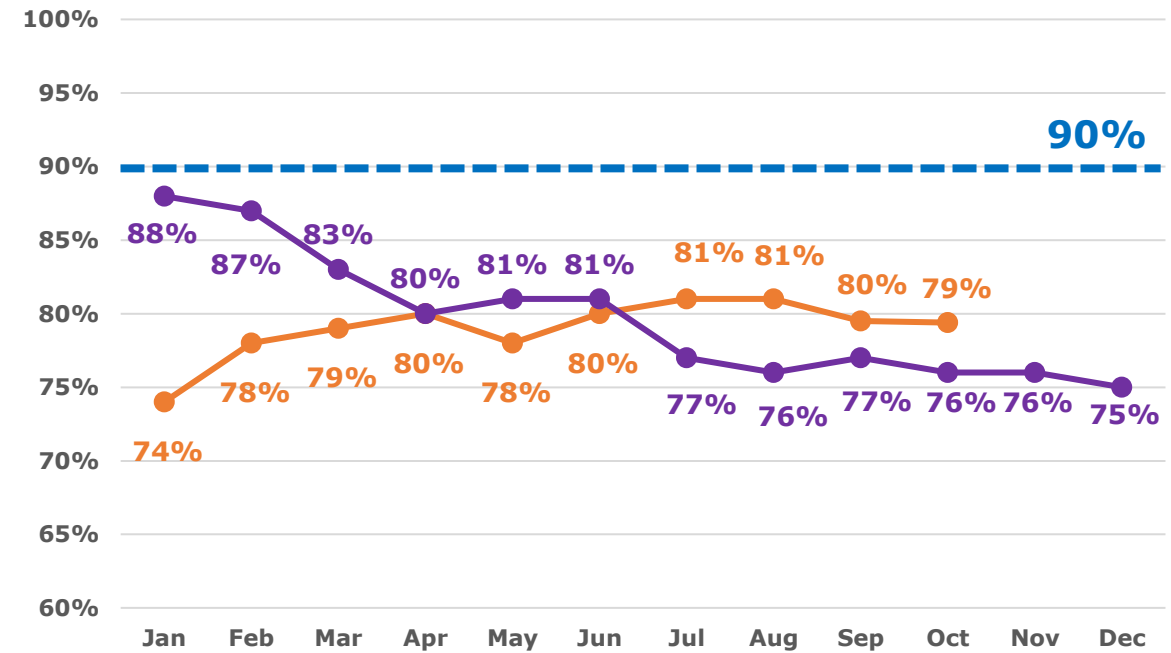
S01 Scientific production in Regulated Products evaluation

of questions closed
Target* vs **Actual** vs **2020 performance ****



After registering positive **results** since the beginning of the year, the # of questions closed in regulated product fell slightly behind the **plan** in October, because of less questions than planned closed in some areas.

Timeliness
Target vs **Actual** vs **2020 performance**



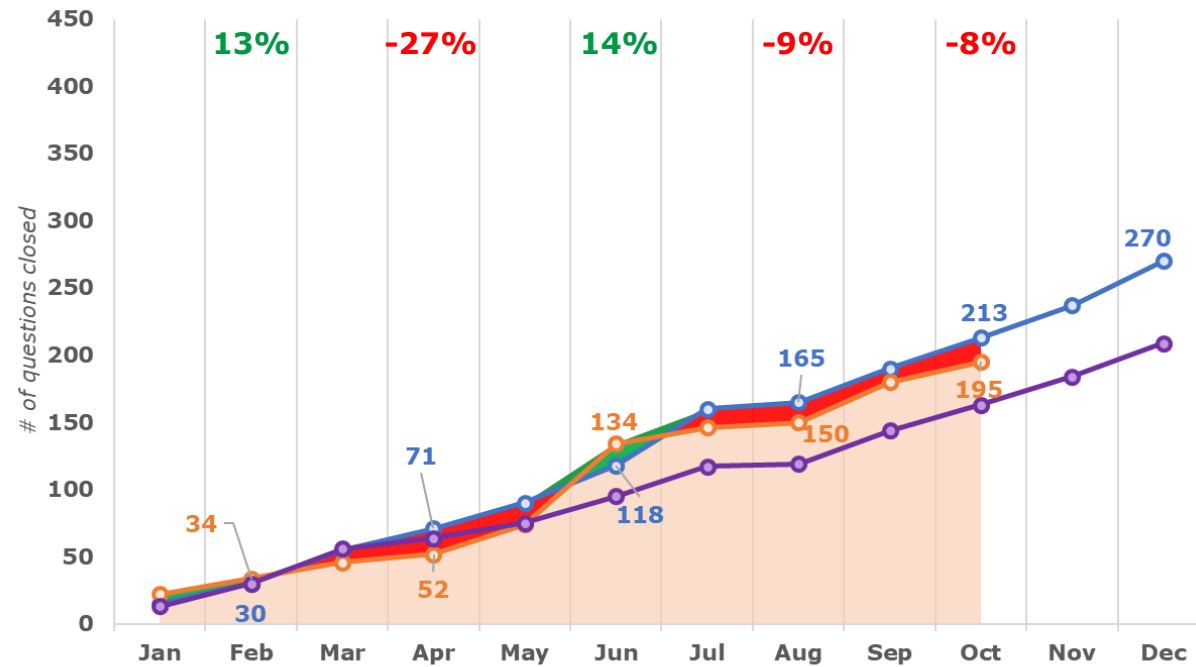
Timeliness in Regulated Products at 79%, registering an improvement since the beginning of the year (74% in January). This figure is above the **2020 performance (76%)** but still below the **target of 90%**.

* Period targets based on adjusted historical trends

** Yearly 2021 target slightly below 2020 target because of changes in the mix of questions to be closed (increased complexity in some areas).

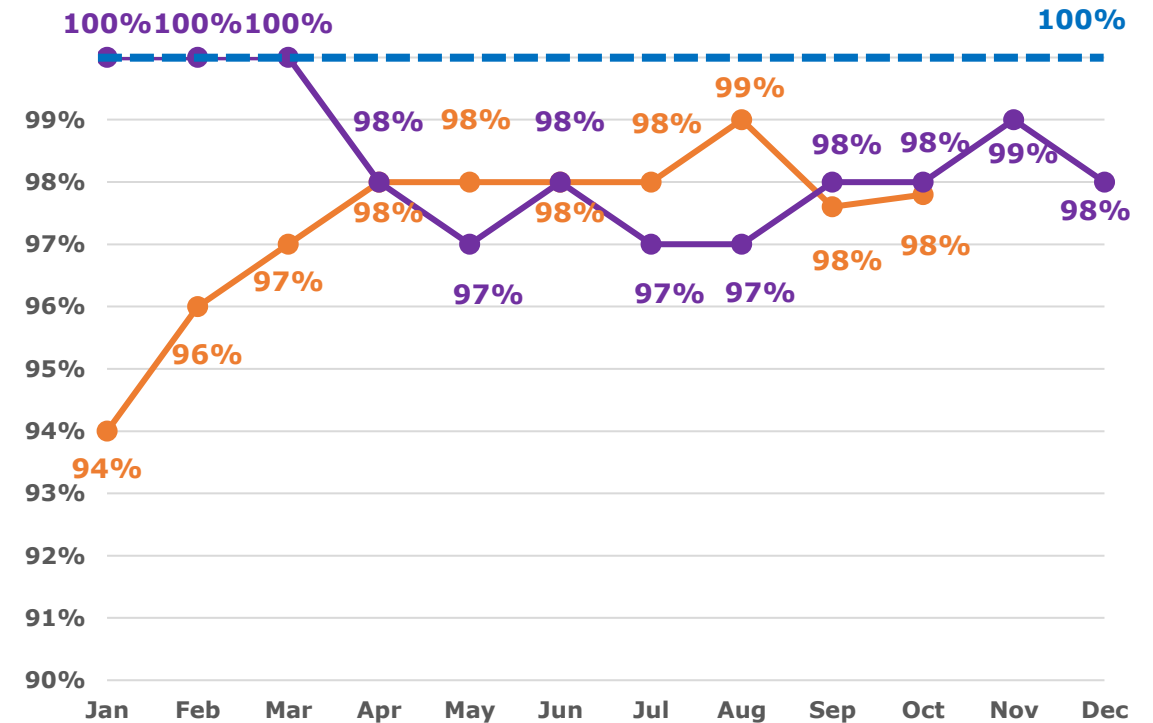
SO1 Scientific production in General Risk Assessment

of questions closed
Target* vs **Actual** vs **2020 performance**



P3 **results** continue to follow the trend of P2, with a result below the estimated **plan***. Overall the P3 2021 performance highlighted an increase compared to **2020 performance**.

Timeliness
Target vs **Actual** vs **2020 performance**



Timeliness in General Risk Assessment at 98%, in line with **2020's result** and very close to the **target of 100%** set for the year.

* Target based on adjusted historical trends

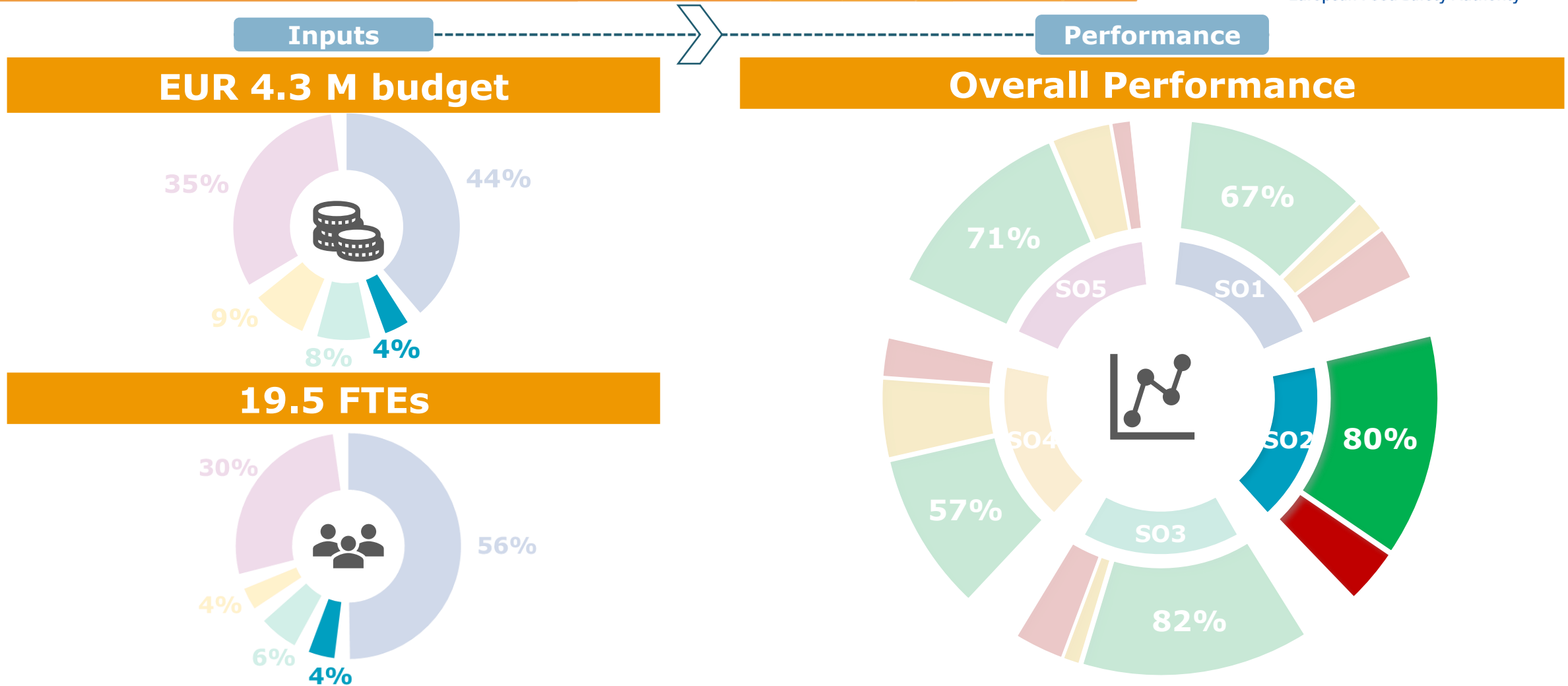
Strategic Objective 1 – detailed P3 performance

Area	Indicator	Target 2021	Target P3 2021	Executed P3 2021	Status P3 2021
Regulated products evaluation	Number of questions delivered for scientific outputs and technical reports	398	299	274	●
	Proportion of scientific/technical questions adopted within deadline	90%	90%	79.4%	●
	Number of questions delivered for 'other publications'	5	2	0	●
	Number of questions in bulk evaluation in the Pesticide Residue Unit (Art. 12 only)	28	23	17	●
General risk assessment	Number of questions delivered for scientific outputs and technical reports	225	201	181	●
	Proportion of scientific/technical questions adopted within deadline	100%	100%	97.8%	●
	Number of questions delivered for 'other publications'	15	12	14	●
Engagement	Total number of registered stakeholders	135	134	134	●
	Feedback from engagement activities with registered stakeholders	90%	90%	96%	●
	Number of stakeholder enquiries addressed within agreed deadlines	97%	97%	99%	●
	Number of public consultations on EFSA outputs	450	300	78	●
	Number of public consultations' comments received	14,400	9,600	1,257	●
	Number of service catalogue activities with applicants	135	112	117	●
Communication	Impact of media coverage	16	16	16	●
	Satisfaction of EFSA Journal	80%	80%	98%	●
	Number of media enquiries addressed within agreed deadlines	95%	95%	99%	●
	Proportion of scientific outputs delivered within 28 working days of adoption	83%	83%	95%	●
	Impact, visibility and discoverability of EFSA's scientific outputs: # of access	3,800,317	3,166,931	3,253,487	●
	Impact, visibility and discoverability of EFSA's scientific outputs: # of downloads	3,400,000	2,833,333	3,370,000	●
	Impact, visibility and discoverability of EFSA's scientific outputs: # of citations	58,000**	56,790	62,165	●
	Increase followers from social media platforms	173,235	144,363	189,697	●
	Social interactions	66,400	55,333	88,404	●
	Traffic to EFSA web content from social media*	N/A	N/A	N/A	●
	Traffic to EFSA's web content (web metrics): number of sessions	3,875,045	3,229,204	630,863	●
	Number of subscribers to online subscription products (newsletter and alerts)	19,677	19,645	24,050	●

* The KPI could not be measured for technical reasons

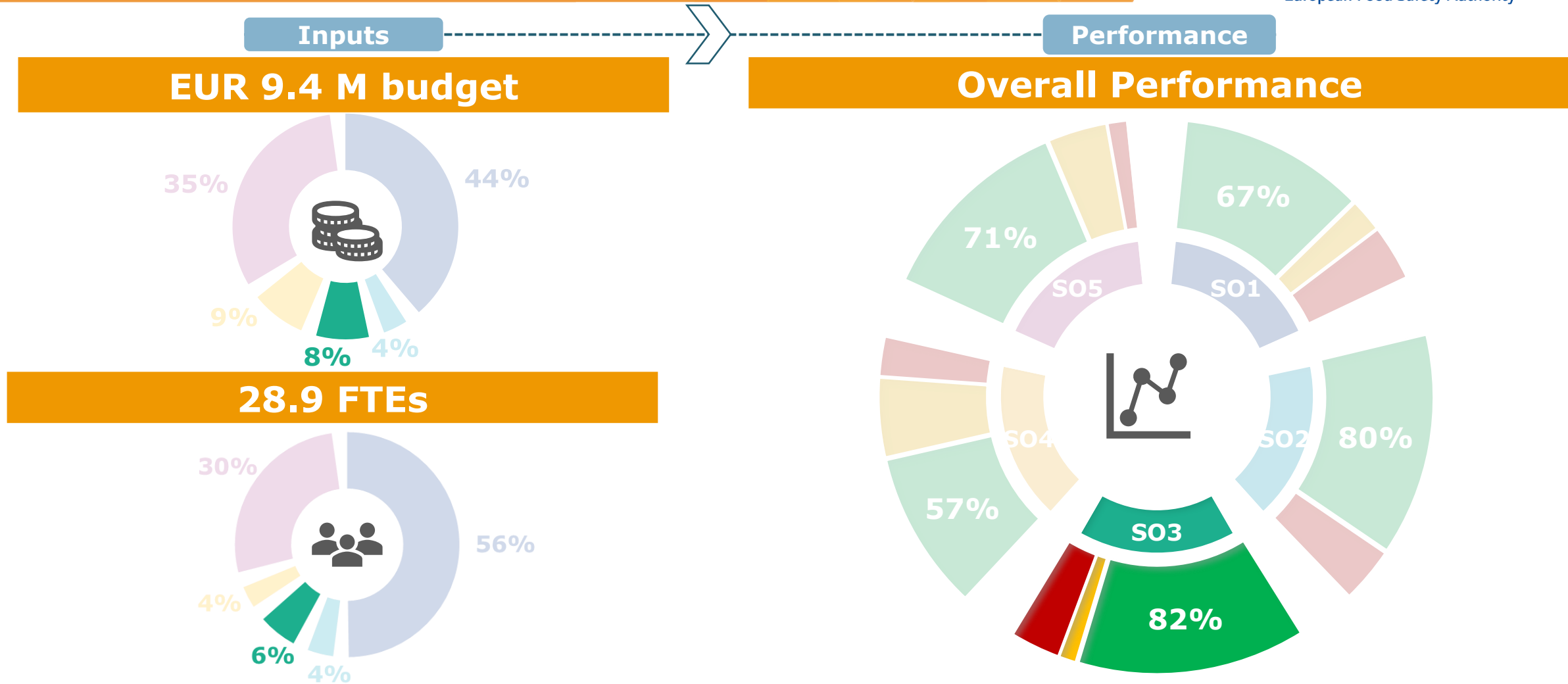
** Annual target reported in the SPD increased to reflect positive performance achieved in 2020

SO2. Widen EFSA's evidence base and optimise access to its data – Overview



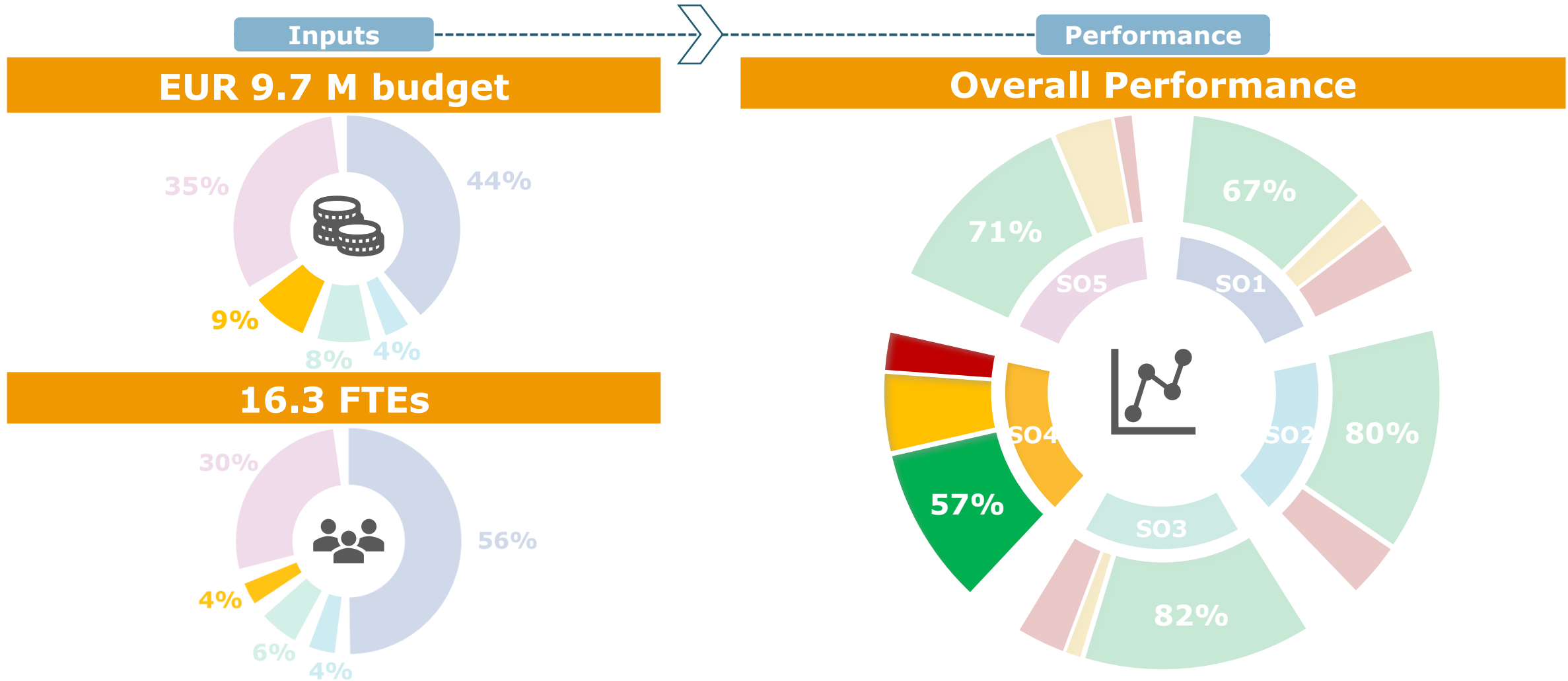
In terms of performance, the **percentage of KPIs reaching or surpassing target (80%)** was **above the one registered in the same period of 2020 (75%)**. To be noted the presence of a reduced number of indicators in this area (six in 2021, down from eight in 2021), which could affect the variability year-on-year.

SO3. Build the EU's scientific assessment capacity and knowledge community – Overview



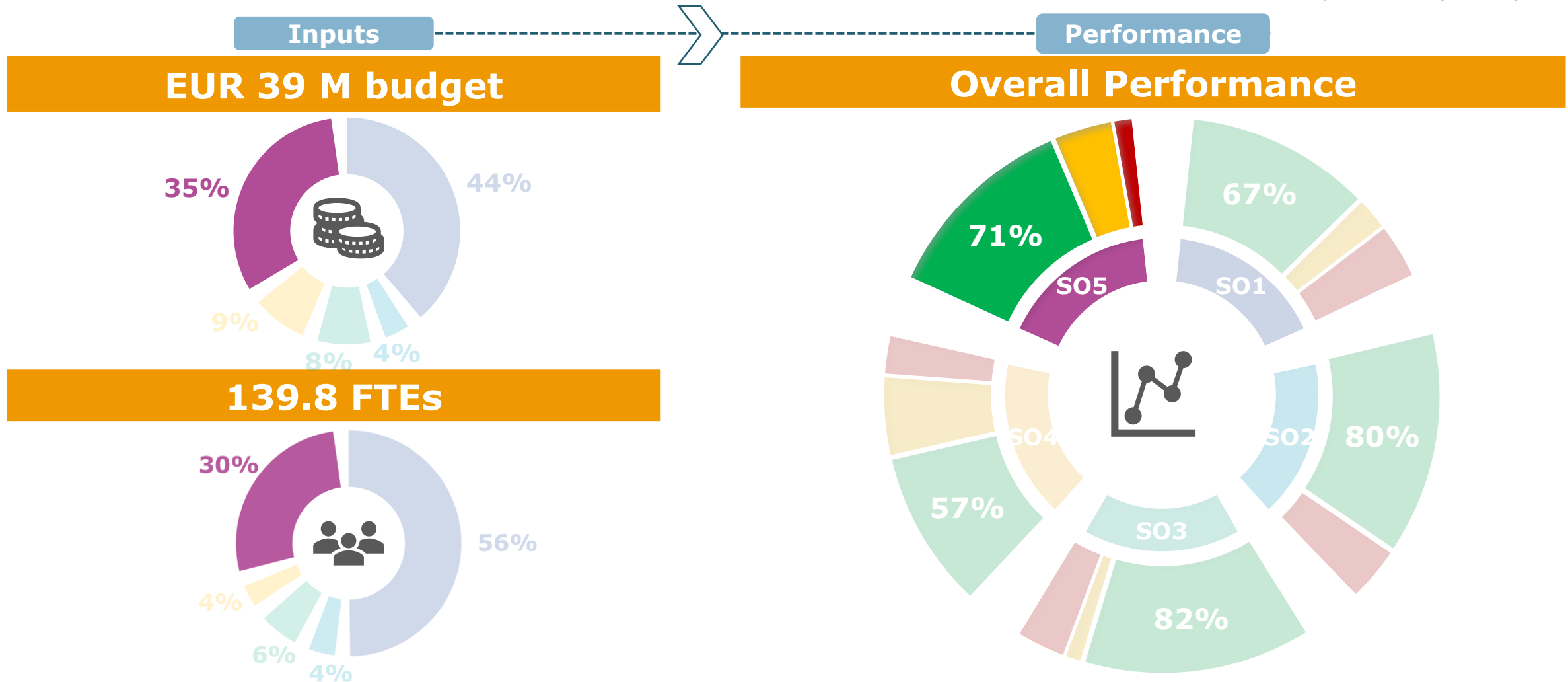
In terms of performance, the **proportion of KPIs reaching targets is still well above that of 2020** (from 55% to 82%) - to be noted how this area felt the impact of SARS-CoV-2 last year, with several cooperation activities that were postponed or cancelled.

SO4. Prepare for future risk assessment challenges – Overview



In terms of performance, **SO4 registered a decrease compared to P2**, and the same result y-on-y (57%) **in terms of percentage of KPIs reaching targets**. To be noted that also in this area there is a limited number of indicators.

SO5. Create an environment and culture that reflects EFSA's values – Overview



In terms of performance, SO5 registered a **slight decrease year-on-year in the percentage of KPIs reaching targets** (71% vs 73%) but in line with P2 2021.



EFSA Performance report P3 2021 - Annex

Trusted science for safe food

Strategic Objective 2 – detailed P3 performance

Area	Indicator	Target 2021	Target P3 2021	Executed P3 2021	Status P3 2021
Data collection & reporting	<i>Number of questions delivered for scientific outputs and technical reports</i>	8	5	13	●
	<i>Proportion of scientific/technical questions adopted within deadline</i>	100%	100%	100%	●
	<i>Number of questions delivered for 'other publications'</i>	18	10	0	●
	<i>Number of new tools</i>	2	1	1	●
Data access	<i>Number of publicly accessible data collections with no data aggregated from EFSA</i>	9	3	3	●

Strategic Objective 3 – detailed P3 performance

Area	Indicator	Target 2021	Target P3 2021	Executed P3 2021	Status P3 2021
Scientific cooperation	Number of MS cooperation activities	50	35	66	●
	Number of cooperation agreements with international/non-EU country organisations	2	1	0	●
	Number of international cooperation activities (meetings, events, missions)	43	33	58	●
	Number of joint projects awarded in the reporting period	6	3	4	●
	Number of Member States that have participated in joint projects	8	7	11	●
	Number of partners that have participated in joint projects	8	8	14	●
	Number of joint activities with international partners under coop. agreements	34	26	24	●
Scientific capacity building	Outreach of supporting publications on grants and procurement (article access)	285,000	237,500	248,500	●
	Outreach of supporting publications on grants and procurement (citations)	4	4	4	●
	Outreach of supporting publications on grants and procurement (downloads)	267,500	222,917	239,713	●
Efficiency	Potential duplication identified before an activity is started	100%	100%	100%	●
	Potential divergence identified before the adoption of an opinion	100%	100%	100%	●
	Follow-up actions within 10 days of identification of potential divergence	100%	100%	100%	●
	Number of questions delivered for scientific outputs and technical reports	4	3	0	●
	Proportion of scientific/technical questions adopted within deadline	100%	100%	N/A	●
	Number of questions delivered for 'other publications'	4	1	1	●

Strategic Objective 4 – detailed P3 performance

Area	Indicator	Target 2021	Target P3 2021	Executed P3 2021	Status P3 2021
Preparedness & Response	Number of questions delivered for scientific outputs and technical reports	40	30	25	●
	Proportion of scientific/technical questions adopted within deadline	100%	100%	92%	●
	Number of questions delivered for 'other publications'	38	34	21	●
Harmonisation & Accessibility of methods/tools	Use of EFSA's guidances (article access)	435,000	362,500	377,100	●
	Use of EFSA's guidances (downloads)	410,000	341,667	352,109	●
	Use of EFSA's guidances (citations)	3.7	4	4	●
	Use of EFSA's tools	2,407	2,321	4,100	●

Strategic Objective 5 – detailed P3 performance

Area	Indicator	Target 2021	Target P3 2021	Executed P3 2021	Status P3 2021
Sound operational performance & compliance	Proportion of Impact KPIs reaching target	80%	80%	89%	●
	Proportion of Outcome KPIs reaching target	90%	90%	78%	●
	Proportion of Output KPIs reaching target	95%	95%	54%	●
	Commitment execution	100%	90%	86%	●
	Payment execution differentiated credits	100%	100%	84%	●
	Payment execution non differentiated credits	90%	67%	65%	●
	Discharge is granted	Yes	Yes	Yes	●
	Accounts are closed	Yes	Yes	Yes	●
	Number of 'critical', 'significant' or 'very important' findings	4	4	0	●
Efficiency	Projects: improved index (delivery on budget, on time, in scope or better)	90%	90%	82.3%	●
Enabling environment	Service Delivery Index	80%	80%	74.4%	●
	Social collaboration platforms (staff, experts, networks)	170	170	194	●
People & culture	Occupancy rate (%) Statutory staff (EFSA baseline-no TR) year average	97%	97%	97.8%	●
	Occupancy rate (%) TR new hires year average	73%	67%	64%	●

Scientific questions closed in P3 by SO (1/2)

Questions by strategic objective and type of output	REPRO							RASA					T o t a l
	A P D E S	F E E D	F I P	G M O	N U T R I	P R E S	P R E V	A L P H A	A M U	B I O C O M	D A T A	S C E R	
SO1 - Prioritise public and stakeholder engagement in the process of scientific assessment													
General risk assessment													
Conclusion on Pesticides Peer Review							2						2
Reasoned Opinion						4							4
Scientific Report of EFSA						49		3		6			58
Statement of EFSA				2		1	1						4
Guidance of the Scientific Committee and Panels					8								8
Opinion of the Scientific Committee and Panels			3	1	1			42		17			64
Statement of the Scientific Committee and Panels				1	1					1			3
Supporting publication - Technical Report		1		2	1			29		5			38
Supporting publication - External Scientific Report				2			1	6	1	5			14
SO1 General risk assessment sub-total		1	3	8	11	54	4	79	1	34			195
Regulated products													
Conclusion on Pesticides Peer Review						1	15						16
Reasoned Opinion						53							53
Opinion of the Scientific Committee and Panels		101	45	5	27					2			180
Statement of the Scientific Committee and Panels		1											1
Technical Report	12				4		8						24
SO1 Regulated products sub-total	12	102	45	5	31	54	23			2			274
SO1 Total	12	103	48	13	42	108	27	79	1	36			469

Scientific questions closed in P3 by SO (2/2)

Questions by strategic objective and type of output	REPRO							RASA					Total
	APDES	FEED	FIP	GMO	NUTRI	PRES	PREV	ALPHA	AMU	BIOTOC	DATA	SCER	
SO2 - Widen EFSA's evidence base and optimise access to its data													
Scientific Report of EFSA											1		1
Technical Report								1			11		12
SO2 Total								1			12		13
SO3 - Build the EU's scientific assessment capacity and knowledge community													
Supporting Publication - External Scientific Report									1				1
SO3 Total									1				1
SO4 - Prepare for future risk assessment challenges													
Guidance of EFSA												1	1
Statement of EFSA		1											1
Guidance of the Scientific Committee and Panels			2									2	4
Opinion of the Scientific Committee and Panels							1			1		3	5
Statement of the Scientific Committee and Panels							1					1	2
Technical Report			2	1			3					6	12
Event Report												1	1
External Scientific Report							1	5		1	3	10	20
SO 4 Total		1	4	1			6	5		2	3	24	46
Total	12	104	52	14	42	108	33	85	2	38	15	24	529

Scientific Questions closed in P3 by food domain in SO1

General risk assessment			
EFSA Unit	Total by Unit	Food domain	Questions closed
ALPHA	79	Animal Welfare	22
		Plant Health	57
AMU	1	Assessment and Methodological Support	1
BIOCONTAM	34	Biological Hazards	8
		Biological Hazards - EUSR TSE	2
		Biological Hazards - EUSR zoonoses and AMR	16
		Biological Hazards - Multinational foodborne outbreaks	3
		Contaminants	5
		Feed Additives	1
FEED	1	Feed Additives	1
FIP	3	Food Improvement Agents	3
GMO	8	GMO	8
NUTRI	11	Novel Foods	2
		Nutrition	9
PRES	54	Pesticides MRL	54
PREV	4	Pesticides Peer Review (AIR)	2
		Pesticides Peer Review - Other Areas	2
Total			195

Regulated products			
EFSA Unit	Total by Unit	Food domain	Questions closed
APDESK	12	Administrative and Technical Support	12
BIOCONTAM	2	Biological Hazards - Animal by-products	2
FEED	102	Feed Additives	102
FIP	45	Food Contact Material	22
		Food Improvement Agents	23
GMO	5	GMO	5
NUTRI	31	Novel Foods	22
		Nutrition	9
PRES	54	Pesticides MRL	54
PREV	23	Pesticides Peer Review (AIR)	12
		Pesticides Peer Review (NAS)	11
Total			274

Backlog and bulk evaluations – SO1 regulated products

Details on the actual backlog and its reduction per food sector area during the reporting period and the current status of bulk evaluations are presented in the tables:

Regulated products - Backlog reduction		
Regulated products area	# at year start	# P3
FEED	51	31
Feed additives - applications	51	31
GMO	2	1
GMO - miscellaneous	2	1
PRES	27	27
Pesticides MRL Application (Reg. 396/2005 - Art. 10)	27	27
PREV	5	3
Pesticides peer review - miscellaneous	5	3

Regulated products - Bulk evaluation reduction			
Status	Regulated products area	# at year start	# P3
Deadlines agreed with Risk Manager	FIP	348	319
	Flavourings	35	35
	Enzymes	206	185
	Food additives – re-evaluation	107	99
	PRES	80	64
	Pesticides MRL Review (Reg. 396/2005 - Art. 12) - ex backlog	80	64
Pending Risk Manager decision	NUTRI	1548	1548
	Health Claims (Art.13.2) - botanicals	1548	1548

In some areas of the regulated products evaluation the delays registered with respect to the initial deadline led to the accumulation of **backlogs**. These questions are part of the ongoing work programme and efforts are made to reduce their number during the actual year.

Questions received in bulks and pending evaluation, are not part of this backlog. For these cases, called “**bulk evaluations**”, a work plan is agreed or pends agreement with the risk managers.