

# Note to the Management Board

## EFSA Performance Report P1 2021 (Jan-April)

### Introduction

This document is the first Performance Report of EFSA for 2021 and includes information in all aspects of the EFSA performance measured during the respective period of reporting against the multiannual work programme as presented in the Programming Document 2021-2023 adopted in December 2020. With respect to the previous years this is a lighter and consolidated version containing data and analyses per strategic objective related to:

- The performance of EFSA towards the results expected per strategic objective. This is measured through the intermediate impact indicators, outcome indicators and output indicators that were included in the results-based approach model implemented in EFSA as of 2017;
- The overview of the progress of the work programme execution at outputs level and any variance observed representing a deviation to the initial plan;
- The overview of the current status of the projects reported by Programme, based on relevant data such as time, costs, quality and risks parameters including how they do contribute to the achievement of the expected results;
- The comparison, whenever possible, with the performance of the same period in the previous year in order to provide elements for reflection on trends and inform discussion on future activities.
- Highlights of achievements in the reporting period January – April 2021 per strategic objective.

The detailed report is included in **Appendix 1** of this Note. Especially for the Resources management section, updated information as of end of May is provided in:

- **Appendix 2** - Information on the Budget Transfers of the European Safety Authority, and
- **Appendix 3** - Information on the Draft Budget 2022.



# Appendix 1



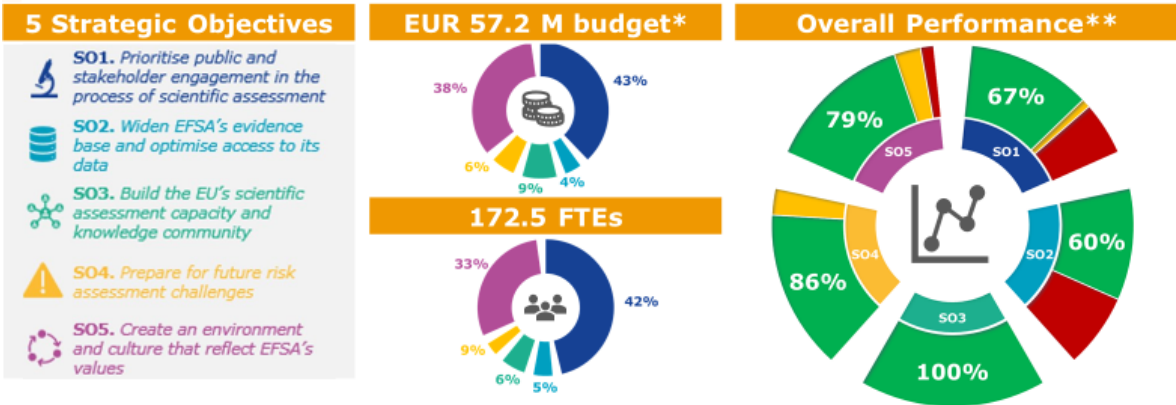
MB, 24/06/2021

## EFSA Performance Report P1 2021 (Jan-April)

Trusted science for safe food



## EFSA Performance in P1 2021 - Overview



EFSA's overall performance registers an improvement year-on-year, mainly due to the positive results achieved by KPIs in the Strategic Objective 3 and Strategic Objective 4, which were the SOs that registered the biggest impact of the SARS-CoV-2 pandemic last year. In terms of management of inputs, EFSA committed EUR 57.2 M in P1 2021, which accounts for 45% of EFSA's 2021 budget, close to the initial plan of EUR 59 M. In terms of FTEs, 172.5 FTEs were planned until the 31<sup>st</sup> of April 2021, a figure in line with the 2020 plan (176 FTEs)

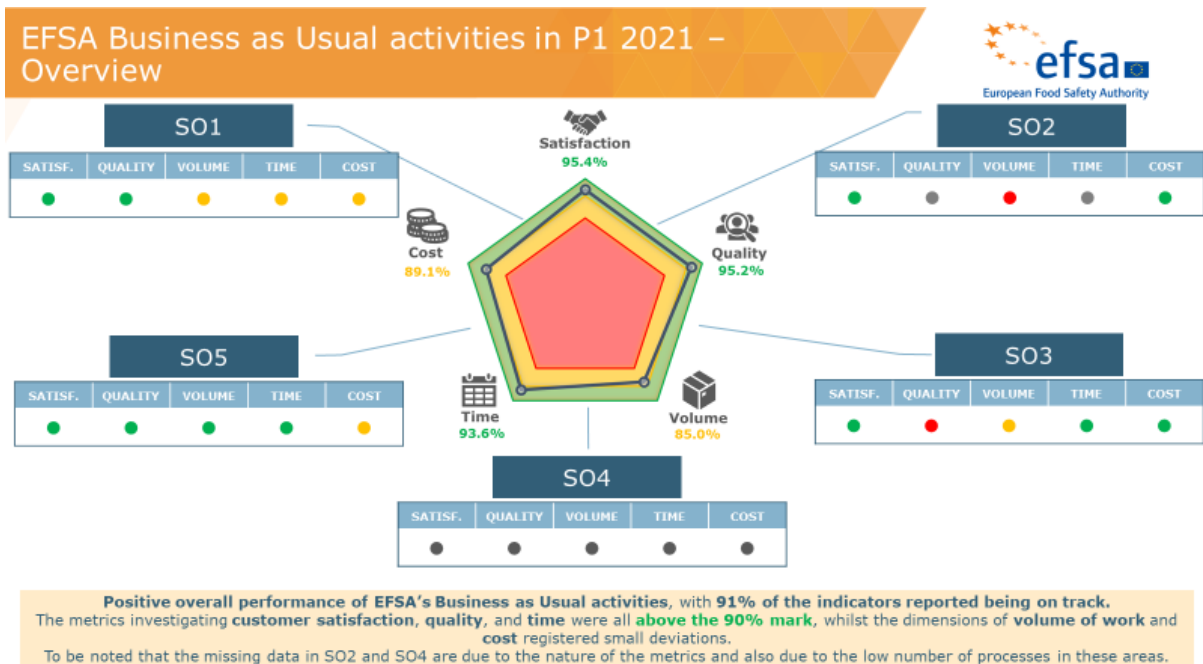
\* Budget: 2021 actual figures; FTEs: 2021 planned figures (for informative purpose only)  
 \*\* Actuals of KPIs per SO: see Annex

Overall performance in P1 2021 registered **an improvement year-on-year**, returning to performance levels registered before the SARS-CoV-2 pandemic

In particular:

- SO1:** results similar to the ones registered last year, with some deviations mainly in the area of scientific production (production in General Risk Assessment and timeliness of adoption in Regulated Products), whilst positive performance was registered in the area of communication
- SO2:** index registered a decrease year-on-year but this is also due to the low number of indicators present in the area and the decision of discontinuing some metrics in 2021
- SO3:** significant improvement of the performance year-on-year, with 2020's performance affected by the SARS-CoV-2 last year. P1 2021's results are more in line with the performance registered two years ago (94%).
- SO4:** significant improvement of the performance year-on-year also here, again due to the fact that P1 2020 was heavily affected by the pandemic.
- SO5:** registered an increase year-on-year but it remained below the P1 2019's levels, also because some metrics that registered positive results in the past years were not part of the 2021's Performance Framework

**In terms of management of inputs (budget and FTEs), EFSA committed EUR 57.2M** in P1 2021 (45% of EFSA's 2021 budget of EUR 129 M), **close to the plan of EUR 59M** and slightly higher than the P1 2020's commitments (42% of EFSA's 2020 budget). Similarly, also the number of FTEs planned for P1 2021 is in line with last year's figures (176 FTEs planned for P1 2020).



The performance of EFSA’s BAU activities, measured through the results achieved by the performance indicators set at process level, was positive, with **91% of the indicators measured in P1 2021 registering a positive result** (in line with plan or better).

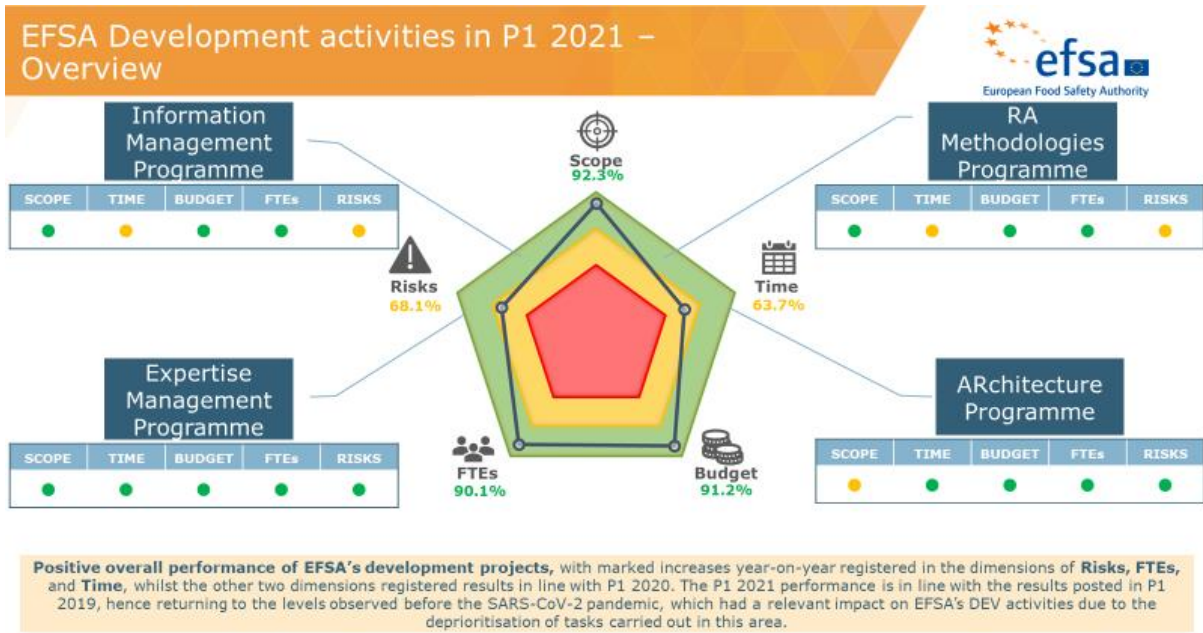
EFSA is monitoring 5 different aspects of Process Performance: a) **Satisfaction**, b) **Quality** (such as compliance with procedures in place), c) **Volume** (amount of products/services produced), d) **Time** (such as compliance with timeliness, or time needed for delivery), and e) **Cost** (adequacy of budget and FTEs).

**More than 90% of the metrics on Satisfaction, Quality, and Time** registered positive results, whilst **Volume and Cost** were below the 90% mark.

The detail per Strategic Objective shows some deviations in SO1, especially for what concerns metrics related to **Volume of products delivered** and to **Timely delivery**.

The relevant deviations in SO2 and SO3 are mainly due to the limited numbers of process in the areas (as few deviations can have a big impact, percentagewise). Similarly, the missing figures in SO2 and in SO4 are due to the low numbers of processes in the areas (as not all the processes have indicators for all the 5 dimensions), as well as due to the nature of the indicators in SO4 (reported on a bi-annual basis).

Finally, SO5 registered positive performances in all the dimensions.



4

Overall positive performance also from EFSA’s development activities.

Calculated on 95 active projects, the index on project health registered an increased compared to 1 year ago (81.1% vs 75.9%) , especially in the dimensions of **foreseen risks** (68.1%, +13 p.p.), **availability of FTEs** (90.1%, +9 p.p.) , and **timely delivery** (63.7%, +8 p.p.), whilst the remaining dimensions of Scope (92.3%) and Budget (91.2%) registered results in line with P1 2020. However, some deviations in the area of risks and time are still present.

These increases were to be expected since last year development activities were affected by the re-prioritisation carried out to tackle the impact of the SARS-CoV-2 on EFSA’s operations, and a better comparison can be made with P1 2019, when results similar to P1 2021 were posted (the only exception being the dimension of time, which was on track on the 72% of the cases vs 63.7% registered now).

Looking at the performance of EFSA’s 4 Programmes, the Information Management Programme (IMP) and the Risk Assessment Methodologies Programme (RAMPRO) registered small deviations in terms of time and risks, while the ARchitecture Transformation Programme (ART) reported deviations in the scope of some of the projects under its umbrella.

## EFSA Management of Resources - Budget execution & Transfers in April 2021



\* Amending budget of € 0.05 million approved by MB in March (integration of 2020 bank interest)  
 \*\* detailed overview in a separate note submitted to MB

5

**Title I:** Commitment execution in line monthly plan. Minor negative deviations in contract agents balanced by the higher than planned commitments in interim services and trainings. Majority of € 23.5M, committed for staff salaries and SNE allowances (€ 18.4M). € 1.9M for interim services and €1.7M for European School contribution and creches. Remaining amount of € 1.5M for external and medical services (€ 0.9 million), trainings (€ 0.4 million) and home office contribution to staff (€ 0.2 million). Payments of € 17.2M globally in line with plan, with minor positive deviations in the basic salaries and payment of home office support (not planned in initial budget), partially compensated by savings in interim services, trainees and administrative translations.

**Title II:** Commitment execution of € 7.5M slightly above the monthly plan due to higher than forecasted commitments for IT expenditures. Main cost categories committed: € 4.2M for IT expenditures, € 2.9M for building and related expenditures. Payment execution of € 1.2M was slightly above the forecast due to anticipated payments of invoices relative to IT expenditure.

**Title III:** commitment execution of € 26.6 was € 2.2M (8%) below the plan: mainly due to delays in G&P and to lower degree in communications. Main cost categories committed: operational IT systems € 8.0M, scientific G&P € 6.6M, scientific meetings € 6.6M, communications € 3.3M, operational development, control& quality (consultancy) €0.9M. Payments of € 12.0 million 13% below the plan (€ 1.9 million), caused mainly by the postponements of payments in scientific grants and procurement (pre-financing of delayed grants, delays in deliverables, invoicing issues and contract amendments), communications (to be partially recovered in May), scientific meetings

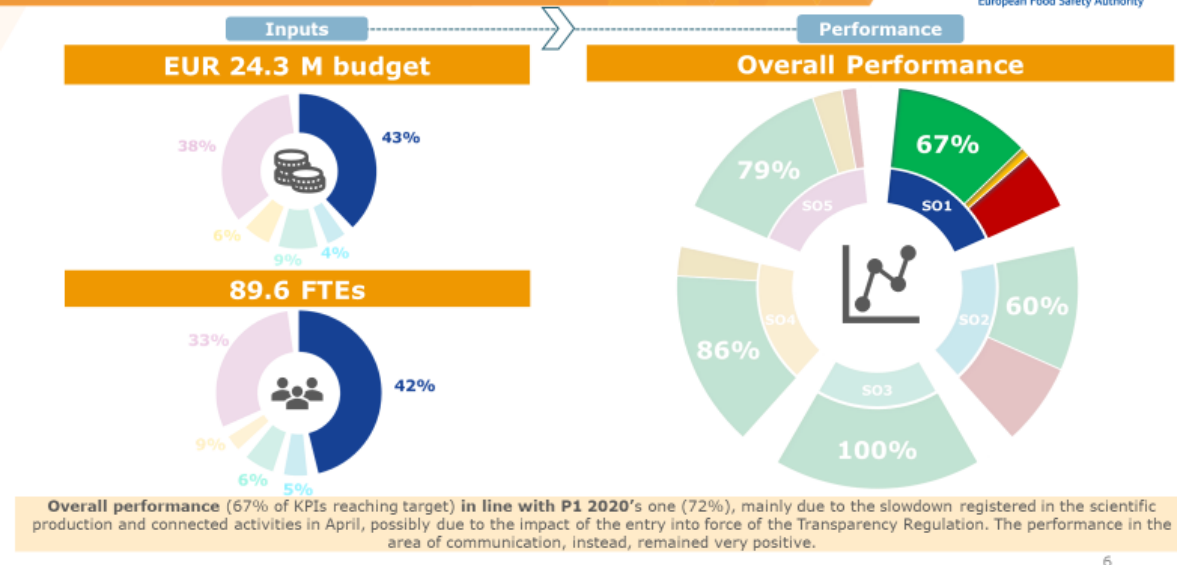
**Transfers:** 2 budget transfers (January + May)

**January:** 150k from basic salaries to special assistance grants for staff home office support

May **transfer:** see Appendix 2.



## SO1. Prioritise public and stakeholder engagement in the process of scientific assessment – Overview



Strategic Objective 1 registered results similar to the ones registered last year (67% in P1 2021, vs 72% in P1 2020), with some deviations mainly in the area of scientific production, whilst positive performance was registered in the area of communication. In particular:

### REGULATED PRODUCTS

- The scientific production was in line with the plan but a deviation in terms of timeliness of adoption was registered (80%, similar to last year's performance). A deviation was also registered in the reduction of MRL Art. 12's bulk evaluation, with 7 questions closed in the period (more details are presented in the next slide)

### GENERAL RISK ASSESSMENT

- EFSA closed less questions than planned (52, vs a target of 71) but the timeliness of adoption was at 98%, in line with target (more details are presented in the next slide)

### ENGAGEMENT

- The number of registered stakeholders increased to 129, in line with the plan, and positive feedback was received from the engagement activities carried out by EFSA with them.
- A lower-than-expected performance was instead registered for the number of service catalogue activities carried out with applicants, due to the impact of Transparency Regulation's implementation on services organised by EFSA and requested by applicants and the SARS-CoV-2 on business operators.

### COMMUNICATION

- Positive results were posted by the timeliness of publication in the EFSA Journal (92% of the questions were published within 28 wd from their adoption, vs a target of 82.5%). Positive results were also registered by the metrics monitoring the usage of EFSA's outputs published in the EJ from the stakeholders, with higher-than-expected number of views, download, and citations.
- The media impact index, which measures EFSA's favourability in traditional media, registered a positive performance, remaining in the neutral area
- Due to technical issues, figures related to EFSA's social media presence are not available

## Strategic Objective 1 – detailed P1 performance

Area	Indicator	Target 2021	Target P1 2021	Executed P1 2021	Status P1 2021
Regulated products evaluation	Number of questions delivered for scientific outputs and technical reports	398	114	118	●
	Proportion of scientific/technical questions adopted within deadline	90%	90%	80%	●
	Number of questions delivered for 'other publications'	5	1	2	●
General risk assessment	Number of questions in bulk evaluation in the Pesticide Residue Unit (Art. 12 only)	28	11	7	●
	Number of questions delivered for scientific outputs and technical reports	225	60	44	●
	Proportion of scientific/technical questions adopted within deadline	100%	100%	98%	●
Engagement	Number of questions delivered for 'other publications'	15	11	8	●
	Total number of registered stakeholders	135	130	129	●
	Feedback from engagement activities with registered stakeholders	90%	90%	93%	●
	Number of stakeholder enquiries addressed within agreed deadlines	97%	97%	99%	●
	Number of public consultations on EFSA outputs	450	50	13	●
	Number of public consultations' comments received*	14,000	1,600	N/A	●
	Number of service catalogue activities with applicants	135	45	30	●
Communication	Impact of media coverage	5-25	5-25	16	●
	Satisfaction of EFSA Journal	80%	80%	98%	●
	Number of media enquiries addressed within agreed deadlines	95%	95%	100%	●
	Proportion of scientific outputs delivered within 28 working days of adoption	82.5%	82.5%	92%	●
	Impact, visibility and discoverability of EFSA's scientific outputs: # of access	3,800,317	1,266,772	1,470,000	●
	Impact, visibility and discoverability of EFSA's scientific outputs: # of downloads	3,400,000	1,133,333	1,600,000	●
	Impact, visibility and discoverability of EFSA's scientific outputs: # of citations	58,000**	53,159	54,545	●
	Increase followers from social media platforms*	57,745	173,235	N/A	●
	Social interactions*	66,400	22,133	N/A	●
	Traffic to EFSA web content from social media*	N/A	N/A	N/A	●
Traffic to EFSA's web content (web metrics): number of sessions	3,875,045	1,291,682	296,559	●	
Number of subscribers to online subscription products (newsletter and alerts)	19,677	19,547	19,981	●	

\* Figures not available due to issues in one of the tracking systems

\*\* Annual target reported in the SPD increased to reflect positive performance achieved in 2020

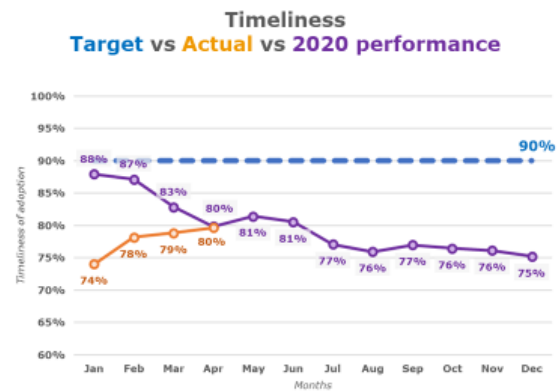
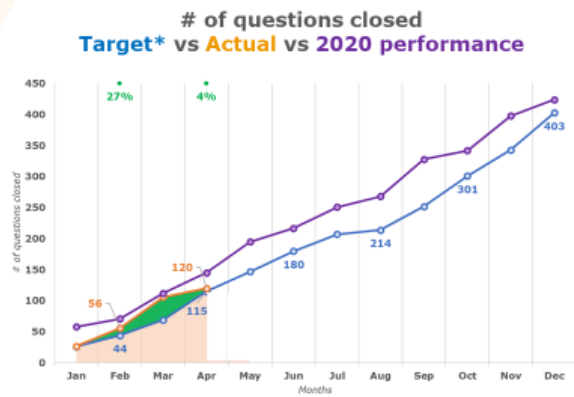
Highlights on the relevant deviations (actual/target = 75% or less) registered:

- **Regulated products Number of questions in bulk evaluation in the Pesticide Residue Unit (Art. 12 only):** a statement merging 5 questions will be finalised later in the year; in addition, 3 questions were withdrawn
- **General RA # of questions for "other publications":** less questions closed for external reports because of procedural changes (external reports closed in Appian as tasks and not as questions, so not counted here)
- **General RA # of questions for "scientific outputs and technical reports":** few outputs in relation to plant health and animal health and welfare have been slightly postponed and will be closed later in the year
- **Engagement "Number of public consultations on EFSA outputs":** the figure reported affected by the change of monitoring tool that took place in the middle of the reporting period, while the figures on comments received through public consultations are not available in P1 due to technical reasons
- **Engagement "Number of service catalogue activities with applicants":** less activities than expected due to the impact of Transparency Regulation's implementation on services organised by EFSA and requested by applicants and the SARS-CoV-2 on business operators
- **Communication Traffic to EFSA's web content (web metrics): number of sessions:** underperformance due to the fact that only users who explicitly agreed with the Data Protection disclaimer are counted. The forecast is to close the year 2021 with around 700,000 sessions





## S01 Scientific production in Regulated Products evaluation



2021 started registering **results** higher than the **plan** and in line with **2020 performance** in March. A slowdown was then registered in April, likely due to the effect of the adaptation to the new tools and the new requirements brought in by the amendment of the General Food Law. P1 2021 results are still above the initial plan but below last year's actuals.

**Timeliness in Regulated Products at 80%**, registering an improvement since the beginning of the year (74% in January). This figure is in line with the **2020 performance (80%)** but still below the **target of 90%**. The P1 2021's actual is also 11 p.p. below the result registered two years ago (91%)

\* Target based on adjusted historical trends

8

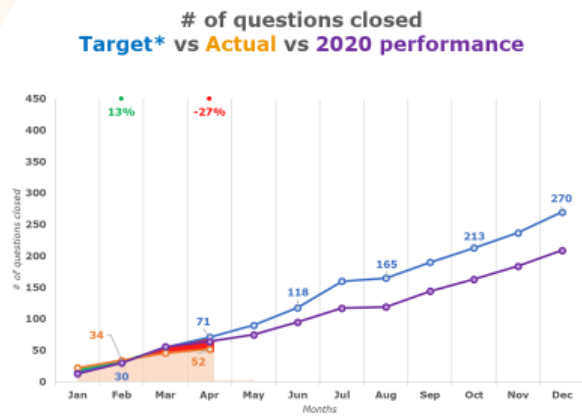
In the area of Regulated Products, the number of questions closed was in line with the plan (120 questions closed as of 30/04/2021, vs a plan of 115).

Until March the production levels were similar to the one registered last year and above the 2021 plan but a slowdown was registered in the month of April, possibly linked to the adaptation to the new tools and the new requirements connected to the Transparency Regulation.

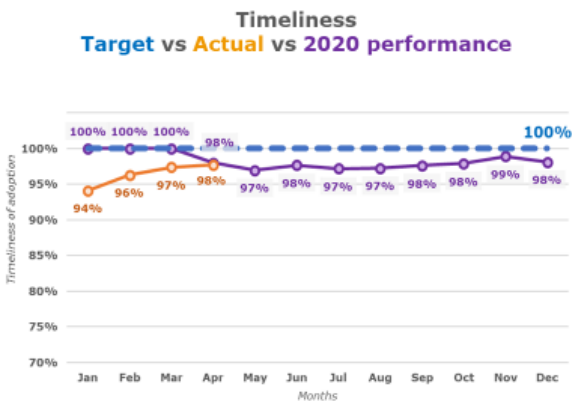
In terms of timeliness of adoption, P1 2021 closed on the same level as P1 2020 (80%) but still below the target of 90% and below the P1 2019 performance (91%), due to the longstanding issues linked to the increased workload and the increased complexity in this area. The delays were registered in the area of Pesticides (65% of the questions were closed on time) and Feed (73%).



## S01 Scientific production in General risk assessment



2021 started registering positive results, higher than the plan\* and the 2020 performance (+13% in February), but then registering a slowdown in April.



Timeliness in General Risk Assessment at 98%, in line with P1 2020's result (98%) and close to the target of 100% set for the year.

\* Target based on adjusted historical trends


In the area of General Risk Assessment the number of questions closed registered a deviation against the original plan (52 questions closed against a plan of 71), due to a slowdown registered in March and April. The P1 2021 performance is also below the results registered last year in the same period (when 64 questions were closed).


In terms of timeliness of adoption, P1 2021 closed on the same level as P1 2020 (98%) and in line with the target of 100%, due to 1 single questions closed a week later than its agreed deadline in January.




## S01 Highlights of achievements in P1

 **SARS-CoV-2 in minks:** new report by EFSA and ECDC

EFSA released new guidance to help food suppliers decide what information to give consumers about **storing food and time limits for consumption** 

 **Pesticides residue monitoring report 2019**  
published: 96.1% of more of 96,000 samples fell within legally permitted residue levels

Updated safety assessment of the food additive **titanium dioxide** (E 171): no longer considered safe when used as a food additive 

 EFSA published 4 new reports providing technical information and best practice advices to help shape a future EU-wide food safety '**General Plan for Risk Communication**'

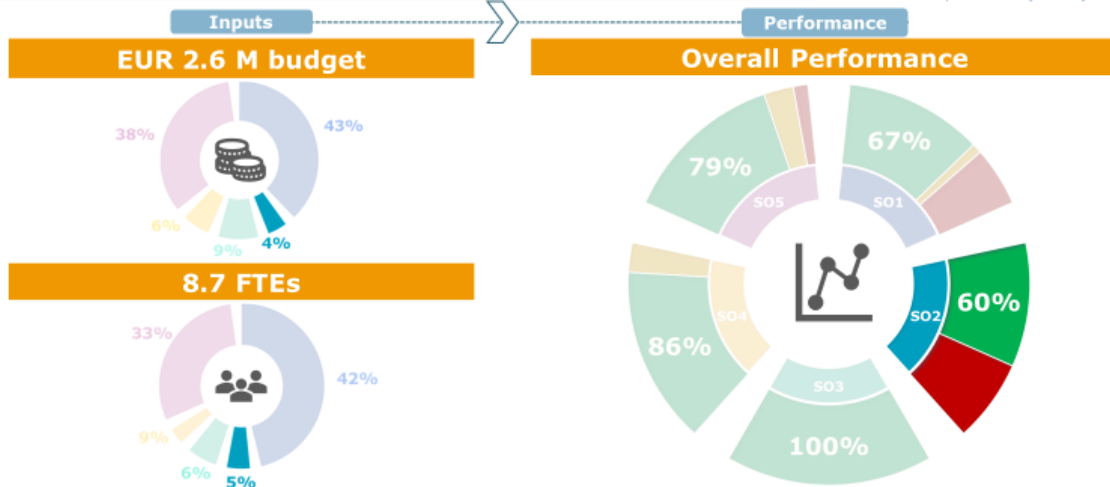
- [Scientific report of EFSA on Technical assistance in the field of risk communication](#)
- [Mapping the coordination and cooperation mechanisms of risk communication on feed/food safety in the EU](#)
- [Catalogue of Communication Tools and Dissemination Guidelines: Benchmarking current practice in EU and Members State bodies](#)
- [Engagement Toolkit: Methods, tips and best practices to design effective participatory processes](#)

10

- **SARS-CoV-2 in mink:** the report concludes that all mink farms should be considered at risk from SARS-CoV-2 and that monitoring should include active measures such as testing of animals and staff in addition to passive surveillance by farmers and veterinarians
- **New guidance on storing food and time limits for consumption:** an infographic on “defrosting food safely” was published together with the news piece.
- **Pesticides residue report 2019:** EFSA also carried out a dietary risk assessment as part of its analysis of the results, which suggested that the food commodities analysed are unlikely to pose a concern for consumer health. However, recommendations are proposed to increase the efficiency of European control systems, thereby continuing to ensure a high level of consumer protection.
- **TiO2:** genotoxicity concerns after consumption of TiO2 particles could not be excluded. The 2018 EFSA Scientific Committee Guidance on Nanotechnology was applied for the first time to the safety assessment of food additives in this opinion. EFSA also published FAQs on the opinion together with the news story.
- **Risk communication reports:** the EC is leading the implementation of the General Plan introduced by TR and [asked EFSA](#) to support its development by providing insights from social research and mapping existing risk communication structures and best practice by food safety authorities across the EU. The resulting package of four reports were developed jointly by EFSA, academic experts and partner organisations in the Member States:
  - [Scientific report of EFSA on Technical assistance in the field of risk communication](#)
  - [Mapping the coordination and cooperation mechanisms of risk communication on feed/food safety in the EU](#)
  - [Catalogue of Communication Tools and Dissemination Guidelines: Benchmarking current practice in EU and Members State bodies](#)
  - [Engagement Toolkit: Methods, tips and best practices to design effective participatory processes](#)



## SO2. Widen EFSA’s evidence base and optimise access to its data – Overview



In terms of performance, the percentage of KPIs reaching or surpassing target (60%) was below the one registered in the same period of 2020 (75%). To be noted the presence of a reduced number of indicators in this area (five in 2021, down from eight in 2020), which could partially explain the variability year-on-year

11

Budget: 2021 actual figures; FTEs: 2021 planned figures (for informative purpose only)

**SO2:** index registered a decrease year-on-year (60% vs 75%). However, no significant comparison can be made, because of having discontinued some metrics in 2021, such as the number of users in the scientific data warehouse, and of the low number of indicators present in the area (5 measured in P1 2021, vs 8 in P1 2020).

The deviations registered in this area are related to the **number of questions closed**, 3 in P1 vs a target of 7 (and an annual target of 26). However, the **index on timeliness of adoption** is on track, the questions closed were finalized by the agreed deadline.

Positive performance of the number of publicly accessible data collections made available, with data collections on Antimicrobial Resistance (AMR) and veterinary medicinal product residues (VMPPR).

## Strategic Objective 2 – detailed P1 performance

Area	Indicator	Target 2021	Target P1 2021	Executed P1 2021	Status P1 2021
Data collection & reporting	Number of questions delivered for scientific outputs and technical reports	8	3	2	●
	Proportion of scientific/technical questions adopted within deadline	100%	100%	100%	●
	Number of questions delivered for 'other publications'	18	4	1	●
	Number of new tools	2	0	0	●
Data access	Number of publicly accessible data collections with no data aggregated from EFSA	9	2	2	●

**Data collection & reporting # of questions for "other publications":** deliverables of the SIGMA (Data collection and analyses on animal disease outbreaks) project will be finalised later in the year.



## S02 Highlights of achievements in P1

**“One Health” whole genome sequencing EFSA-ECDC project: a system for the collection and joint analysis of WGS data from foodborne isolates** from food, feed, animal, environmental and human samples.



Work is progressing as planned; SARS-CoV-2 might affect the pace but mitigating measures will be implemented.

Dossiers of **applications for regulated products dealing with microorganisms:** EFSA project to develop pipelines to analyse **whole genome sequence (WGS) data** provided in technical dossiers.

Work has started on as-is process, list of microorganism and design of the pipelines.

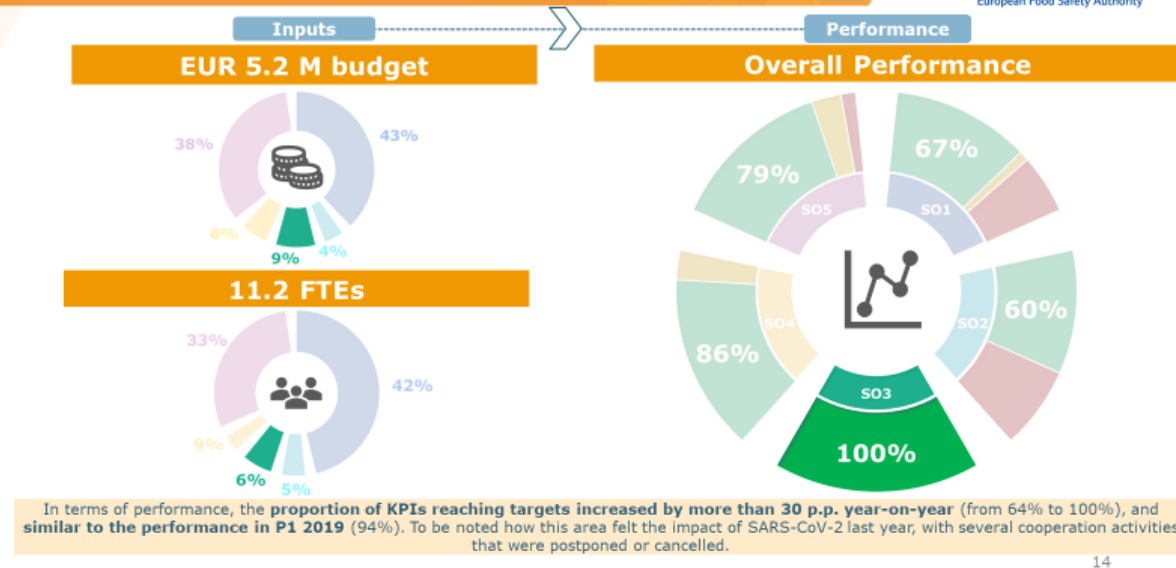


13

- **“One Health” whole genome sequencing project:** The final goal is to implement a system in EFSA which is interoperable with the one implemented in ECDC.
  - The aim is to deploy a system enabling the two databases, one in EFSA and one in ECDC, to interact programmatically exchanging in real-time typing (i.e. alleles of cg/wgMLST schema) and descriptive data, allowing joint signal detection.
  - **Achievements:** project on track. Work on-going on the revision of the collaboration agreement with ECDC, overall structure of the data collection and of the content of the data model completed and test plan defined, description of analytical workflow of WGS data finalized.
  - **Risk:** ECDC is pausing all non-COVID19 relevant activities; ECDC late start of activities might affect the time.
  - **Mitigate** through re-planning and going ahead with EFSA's implementation.
- **Dossiers for applications on regulated products:** EFSA develops pipelines to analyse **whole genome sequence (WGS) data** in the area of regulated products, where the current WGS-based assessment is limited to the appraisal of the sequencing done by applicants and evaluation of the results. Currently there are no standard pipelines to analyse WGS data in house. Analysis performed ad hoc would result in delays in the assessment.
  - **Expected project results:**
    - **Direct outcomes:** to acquire knowledge and capability to analyse WGS data in house using a test environment. To better assess all aspects related to the use of WGS data for microbial food risk assessment.
    - **Downstream impacts:** integration of new approaches in the risk assessment procedure. Transparency of procedures. Harmonisation in the assessments by using the same analytical pipelines in different risk assessment domains.



## SO3. Build the EU's scientific assessment capacity and knowledge community – Overview



Significant improvement of the performance year-on-year (100%, from 64%) in Strategic Objective 3, with 2020's performance affected by the SARS-CoV-2 last year. P1 2021's results are more in line with the performance registered two years ago (94%).

In particular:

### SCIENTIFIC COOPERATION

- 14 MS cooperation activities carried out in P1 2021, higher than the plan of 8, as EFSA is taking advantage of last year's experience to organise more virtual meetings
- 27 cooperation activities were instead organised with international partners (8 ENP meetings, 14 IPA meetings, and 5 international meetings)
- The RA agenda take-up index registered a result in line with targets, with 7 Member States and 12 partners participating in joint projects. 8 joint activities were carried out with international partners, in line with target

### SCIENTIFIC CAPACITY BUILDING

- The number of access, downloads, and citations of EFSA's supporting publications through grants & procurements registered positive results

### EFFICIENCY AT EU & INTERNATIONAL LEVEL

- 24 Member States shared their Risk Assessment plans with EFSA, in line with last year's performance (when it was 25 due to the presence of the United Kingdom)
- 2 questions were closed in P1 2021, in line with the plan. Also timeliness is on track at 100%

## S03 Highlights of achievements in P1



### ONE – Health, Environment, Society – Conference 2022 web announcement and dedicated website launched

#### Key themes and objectives:

- Examining food and feed safety from a broader perspective of sustainability
- Exploring developments in risk assessment science
- Reflecting on future strategic goals and directions for regulatory science
- Contributing to new policy targets such as the EU Green Deal and its implementing strategies



15

**How will *risk assessment science* evolve over the next years to respond to today's challenges** (fighting climate change, halting biodiversity loss, reducing waste)?

Human, animal, and environmental health are inextricably linked, which means that **risk assessment science cannot limit itself to food/feed safety. It needs to take a broader perspective towards a "one health-one environment" approach.**

That is why the scientific programme of the **ONE – Health, Environment, Society – Conference 2022**, taking place in Brussels and online from 21-24 June 2022, will be shaped **in partnership with**

- the European Centre for Disease Prevention and Control (ECDC),
- the European Chemicals Agency (ECHA),
- the European Environment Agency (EEA),
- the European Medicines Agency (EMA), and
- the European Commission's Joint Research Centre.
- EU Member States, EFSA's international partners and scientists will also be invited to co-develop the scientific programme.

In line with the guiding principles of sustainability, the conference will be held in a hybrid format which will allow remote participation, thus ensuring broad outreach while reducing travel emissions. The call for abstracts was also launched at the end of May.



## Strategic Objective 3 – detailed P1 performance

Area	Indicator	Target 2021	Target P1 2021	Executed P1 2021	Status P1 2021
Scientific cooperation	Number of MS cooperation activities	50	8	14	●
	Number of cooperation agreements with international/non-EU country organisations	2	0	0	●
	Number of international cooperation activities (meetings, events, missions)	43	8	27	●
	Number of joint projects awarded in the reporting period	6	3	3	●
	Number of Member States that have participated in joint projects	8	7	7	●
	Number of partners that have participated in joint projects	8	8	12	●
Scientific capacity building	Number of joint activities with international partners under coop. agreements	34	8	8	●
	Outreach of supporting publications on grants and procurement (article access)	285,000	95,000	97,000	●
	Outreach of supporting publications on grants and procurement (downloads)	267,500	89,167	93,000	●
Efficiency	Number of Member States active in sharing RA plan*	25	25	24	●
	Potential duplication identified before an activity is started	100%	100%	100%	●
	Potential divergence identified before the adoption of an opinion	100%	100%	100%	●
	Follow-up actions within 10 days of identification of potential divergence	100%	100%	100%	●
	Number of questions delivered for scientific outputs and technical reports	4	1	1	●
	Proportion of scientific/technical questions adopted within deadline	100%	100%	100%	●
Number of questions delivered for 'other publications'	4	1	1	●	

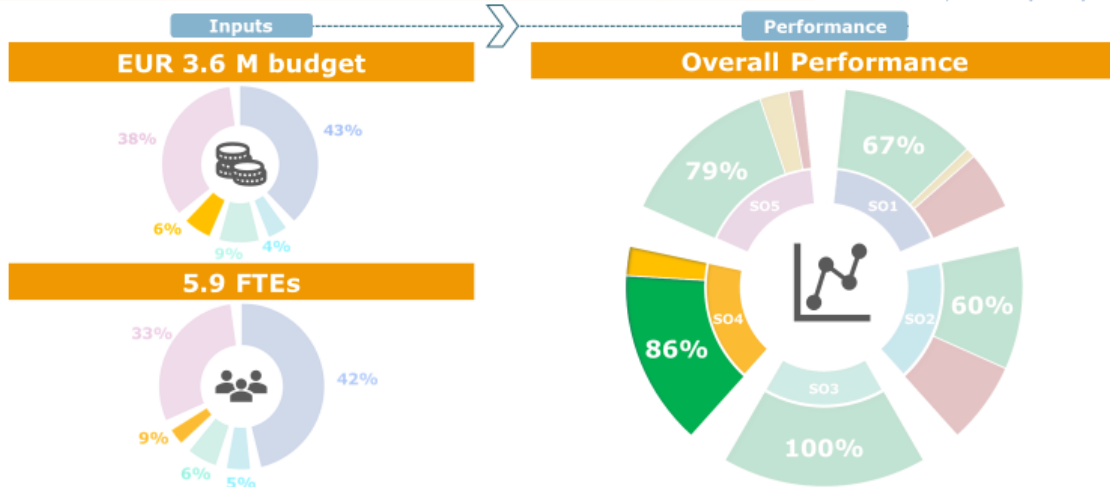
\* Annual target reported in the SPD (28) amended to reflect UK's withdrawal from EU and an erroneous counting of 3 pre-accession countries

16





## SO4. Prepare for future risk assessment challenges – Overview



In terms of performance, **SO4 registered the biggest increase y-on-y (from 33% to 86%) in terms of percentage of KPIs reaching targets.** This is mainly due to the **big impact that the pandemic-led reprioritisation of tasks had in this area last year.** P1 2021's result is more in line with P1 2019's performance (100%). To be noted that also in this area there is a limited number of indicators.

**Budget:** 2021 actual figures; **FTEs:** 2021 planned figures (for informative purpose only)

17

A significant improvement of the performance in Strategic Objective 4 was registered in P1 2021 (86%, vs 33% in P1 2020), due to the fact that P1 2020 was heavily affected by the effects of the pandemic, with EFSA deprioritising activities in SO4 in order to safeguard the core business.

The **number of questions closed** in P1 2021 was at 27, higher than the plan of 21 set for the period and higher than the P1 2010's result of 14. The **timeliness of adoption**, instead, was at 92%, below the target of 100% but still higher than 1 year ago (when it was 77%).

Positive performance in terms of **number of access, downloads, and citations of EFSA's guidance documents**, all above the targets set for the period, and a similar performance emerged from the **registered users in the R4EU platform**, with 2,453 users (already higher than the target set for 2021).

## S04 Highlights of achievements in P1



A systems-based approach to the environmental risk assessment of **multiple stressors in honeybees**: EFSA opinion finalised

Scientific guidance for the submission of dossiers on **food enzymes**: **public consultation** launched on the draft document, and two **webinars** held to enhance the clarity for interested parties



**EuroCigua project** co-funded by EFSA and AESAN: final reports published

18

- **MUST-B**: The opinion, requested by the ENVI committee, sets out **an integrated, holistic framework for assessing the combined effects of multiple stressors on honeybees**. It proposes a **systems-based approach that combines modelling and monitoring systems** for the ERA of multiple stressors such as pesticides and other environmental chemicals, parasites and diseases, as well as factors such as availability of food, climate and beekeeping management practices.

It is a forward-thinking and innovative proposal that will advance both the theory and the practice of environmental risk assessment.

- **Scientific guidance for the submission of dossiers on food enzymes**: an online workshop is also planned in June, with the aim to discuss the comments raised during the public consultation and to exchange views
- **Ciguatera fish poisoning (CFP)** is the most common type of **marine biotoxin food poisoning worldwide**. It is typically caused by the consumption of fish that have accumulated ciguatoxins (CTX) in their flesh. CTX are produced by benthic microalgae from the *genus* Gambierdiscus spp. Typical of tropical and subtropical areas, CFP was first recorded in the Spanish and Portuguese Macaronesia islands of the Atlantic Ocean in 2004. **To better understand the risks of CFP in Europe, EFSA and the Spanish Food Safety Authority (AESAN) jointly funded [the EuroCigua project](#) between June 2016 and January 2021.**

The project aimed to

- ✓ determine the spread and main characteristics of ciguatera in Europe;
- ✓ measure biotoxin levels in microalgae and in fish; and
- ✓ develop analytical methods to characterise these toxins.



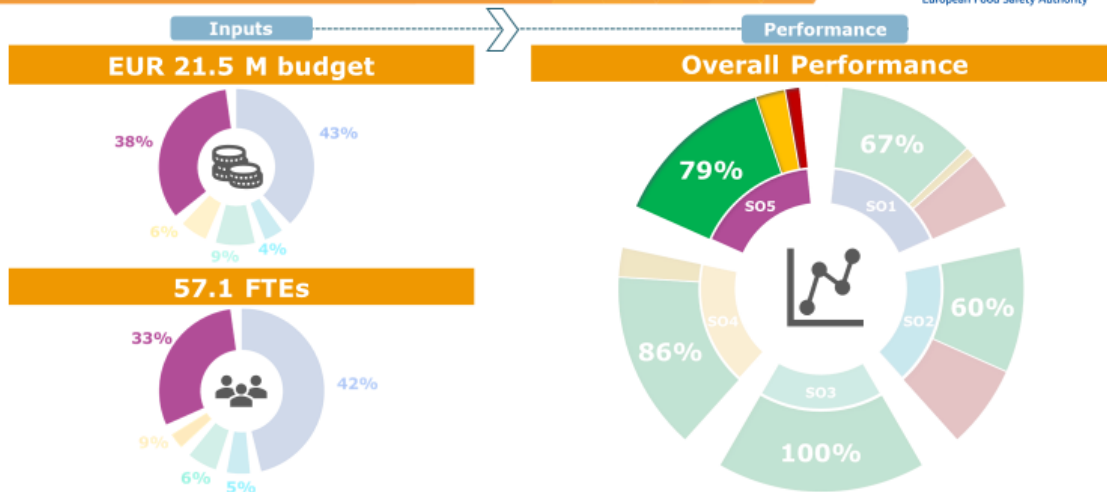
## Strategic Objective 4 – detailed P1 performance

Area	Indicator	Target 2021	Target P1 2021	Executed P1 2021	Status P1 2021
Preparedness & Response	<i>Number of questions delivered for scientific outputs and technical reports</i>	40	12	15	●
	<i>Proportion of scientific/technical questions adopted within deadline</i>	100%	100%	92%	●
	<i>Number of questions delivered for 'other publications'</i>	38	9	12	●
Harmonisation & Accessibility of methods/tools	<i>Use of EFSA's guidances (article access)</i>	435,000	145,000	167,000	●
	<i>Use of EFSA's guidances (downloads)</i>	410,000	136,667	149,000	●
	<i>Use of EFSA's guidances (citations)</i>	3.7	3.7	3.7	●
	<i>Use of EFSA's tools</i>	2,407	2,063	2,453	●

19



## S05. Create an environment and culture that reflects EFSA's values – Overview



In terms of performance, S05 registered an **increase year-on-year in the percentage of KPIs reaching targets** (77% vs 63%) but below the P1 2019's results (87%), also due to the fact that some indicators that registered positive results over the past years were discontinued in 2021 (such as the **% of telemeetings**, which was considered outdated given the current working situation).

**Budget:** 2021 actual figures; **FTEs:** 2021 planned figures (for informative purpose only)

In Strategic Objective 5, an increase year-on-year was registered in terms of percentage of KPIs reaching or surpassing their targets (79% vs 63%).

The overall performance remained below the P1 2019's levels (87%), also because some metrics that registered positive results in the past years were not part of the 2021's Performance Framework, such as the **% of telemeetings with scientific experts** (a metric that was considered not necessary to measure given the current way of working).

### SOUND OPERATIONAL PERFORMANCE & COMPLIANCE

- The **composite indexes on performance** (weighted number of the three tiers of KPIs – Intermediary Impact, Outcome, Output – reaching target) registered positive results for the long term-indicators (92% for the Intermediate Impact index and 89% for the Outcome one). A deviation was instead registered in the Output indicators' index (70%, vs a target of 95%).
- **Commitments** (45%) and **non-differentiated credits' payments** (22%) close to the targets for the period (46% and 23%, respectively), whilst **a deviation emerged for the payments of differentiated credits** (61% vs a target of 69%)
- **Clean discharge for year 2019 achieved** on the 29<sup>th</sup> of April 2021, with a majority of 568 in favour, 100 against and 30 abstentions.
- **No "critical", "significant", or "very important findings"** in P1 2021

### EFFICIENCY

- **Projects' efficiency index** at 81.1%, below the target of 91% but better than P1 2020's performance of 75.9% in line with P3 2020 performance, due to the decision of prioritizing BAU activities over DEV activities, with deviation registered in the areas of Time and Risks.



## ENABLING WORKING ENVIRONMENT

- **Index on the satisfaction of end-user** on IT support received was in line with target (77.1%), in line with the target of 80%. The actual reported is as of end of February, with data from March and April were not available. As of 01/03/2021 the service is being handled by a new contractor
- 161 **social collaboration platforms** with experts active as of the 30<sup>th</sup> of April (up from 148 reported in P4 2020), in line with the target for the period (160).

## PEOPLE & CULTURE

Overall **occupancy rate** was at 91.4%, slightly better than the target of 91%. More in detail, the Occupancy rate without the TR enveloped was at 97.7% (vs a target of 97%), whilst the 178 TR envelope was at 53.7%, versus a target of 55% for the period.

### Strategic Objective 5 – detailed P1 performance

Area	Indicator	Target 2021	Target P1 2021	Executed P1 2021	Status P1 2021
Sound operational performance & compliance	Proportion of Impact KPIs reaching target	80%	80%	92%	●
	Proportion of Outcome KPIs reaching target	90%	90%	89%	●
	Proportion of Output KPIs reaching target	95%	95%	70%	●
	Commitment execution	100%	46%	45%	●
	Payment execution differentiated credits	100%	69%	61%	●
	Payment execution non differentiated credits	90%	23%	22%	●
	Discharge is granted	Yes	Yes	Yes	●
	Accounts are closed	Yes	Yes	Yes	●
	Number of 'critical', 'significant' or 'very important' findings	<5	<5	0	●
Efficiency	Projects: improved index (delivery on budget, on time, in scope or better)	90%	90%	81.1%	●
Enabling environment	Service Delivery Index*	80%	80%	77.1%	●
	Social collaboration platforms (staff, experts, networks)	170	160	161	●
People & culture	Occupancy rate (%) Statutory staff (EFSA baseline-no TR) year average	97%	97%	97.7%	●
	Occupancy rate (%) TR new hires year average	73%	55%	53.7%	●

\* Figure reported refers to February 2021, data for March and April are not available

22

**Sound operational performance & compliance - Proportion of Output KPIs reaching target:** deviation due to lower-than-expected performance in some of the output indicators in SO1 (timeliness in Regulated Products, # of questions closed in General Risk Assessment and SO2 (# of questions closed), as seen in previous slides.



S05  
Highlights of achievements in P1



21

- **Transparency Regulation:** New tools and a dedicated web portal have been implemented to help stakeholders adapt to the new arrangements and went live on 30 March; a series of training and webinars was also organized, starting already in 2020. Work is also under way to make assessment and management of risks in the food chain more accessible to EU citizens by improving communication and engagement tools and practices. EFSA also directly engaged with stakeholders via a dedicated engagement framework:
  - ✓ Sounding board and technical groups with EC, MSs, industry, NGOs, academia, practitioners
  - ✓ Training programme (mentioned above)
  - ✓ Publication of practical arrangements
  - ✓ Publication of written input from stakeholders, then addressed in the sounding board and technical groups
  - ✓ Update of regulated products scientific and administrative guidance documents
  - ✓ Work on risk communication (detailed in SO1)
- Following its endorsement at the MB meeting in March, the draft **EFSA 2027 strategy** was released for public consultation from 6 April to 9 May. EFSA received 20 individual responses, representing 7 countries: Spain (ES), Germany (DE), Italy (IT), France (FR), Belgium (BE), Norway (NO), Netherlands (NL) and different stakeholder groups (industry, NGOs, Advisory forum and art. 36 organisations, sister agencies). The 140 comments covered proportionally all the 6 sections of the strategy document [Strategic Foundation (29), EFSA’s Environment (37), Strategic Objective 1 (33), Strategic Objective 2 (26), Strategic Objective 3 (7), Other (8)].
 

**Next steps:** the updated draft EFSA Strategy was discussed in a MB workshop and it is presented today (24/6) to the MB for adoption. Further development of the performance framework will be presented to the MB in October, and together with the strategy implementation plan will be integrated in the Programming document 2022-2025 that will be submitted to the MB in December for adoption.



- **SPOC (single point of contact):** In the context of the [Enabling Services Project \(ESP\)](#) (developing and implementing a model that effectively and efficiently aligns the processes, organisation, tools and information management for the delivery of all enabling services), EFSA has implemented a single point of contact for a number of enabling services, via a dedicated tool and workflow; the aim is to improve standardisation, tracking and monitoring of performance.



## EFSA Performance report P1 2021 - Annex

Trusted science for safe food

### Scientific questions closed in P1 by SO (1/2)

Questions per strategic objective and type of output	REPRO							RASA					TOTAL
	A P D E S K	F E E D	F I P	G N O	N U T R I	P R E S	P R E V	A L P H A	A M U	B I T O A C O M N	D A T A	S C E R	
<b>SO1 – Prioritise public and stakeholder engagement in the process of scientific assessment</b>													
<b>SO1 – EFSA scientific outputs – general risk assessment</b>			1	1	2	1	1	12		6	1		25
Of which:													
- conclusion on pesticides peer review					1			11		3			16
- opinion of the scientific committee / scientific panel			1			1							2
- guidance of the scientific committee / scientific panel				1	1								1
- statement of the scientific committee / scientific panel						1							5
- reasoned opinion							1						1
- scientific report of EFSA								1		3	1		5
- guidance of EFSA													1
- statement of EFSA							1						1
<b>SO1 – Technical reports – general risk assessment</b>				1				10		2	6		19
<b>SO1 – Other publications (external scientific reports/event reports) – general risk assessment</b>				0				3		5			8
- Other publications – external scientific report								3		5			8
- Other publications – event report													0
<b>SO1 – Sub total – general risk assessment</b>			1	2	2	1	1	25		13	7		52
<b>SO1 – EFSA scientific outputs – evaluation of regulated products</b>		48	10	1	13	25	5			1			103
Of which:													
- conclusion on pesticides peer review							3						8
- opinion of the scientific committee / scientific panel		48	10	1		5				1			65
- guidance of the scientific committee / scientific panel						8							8
- statement of the scientific committee / scientific panel													8
- reasoned opinion							22						22
- scientific report of EFSA													22
- guidance of EFSA													22
- statement of EFSA													22
<b>SO1 – Technical reports – evaluation of regulated products</b>	12				1		2						15
<b>SO1 – Other publications (external scientific reports/event reports) – evaluation of regulated products</b>				2									2
- Other publications – external scientific report				2									2
- Other publications – event report													2
<b>SO1 – Sub total – evaluation of regulated products</b>	12	48	10	3	14	25	7			1			120
<b>SO1 – Total</b>	12	48	11	5	16	26	8	25		14	7		172





## Scientific questions closed in P1 by SO (2/2)

Questions per strategic objective and type of output	REPRO							RASA					TOTAL
	APDESK	FEED	FIP	GMO	NUTRI	PRES	PREV	ALPHA	AMU	BIOCONTAM	DATA	SCER	
<b>SO2 – Widen EFSA's evidence base and optimise access to its data</b>													
<b>SO2 – EFSA scientific outputs</b>													
SO2 – Technical reports											2		2
SO2 – Other publications (external scientific reports/event reports)												1	1
- Other publications - external scientific report												1	1
- Other publications - event report													
SO2 – Total											2	1	3
<b>SO3 – Build the EU's scientific assessment capacity and knowledge community</b>													
<b>SO3 – EFSA scientific outputs</b>													
SO3 – Technical reports												1	1
SO3 – Other publications (external scientific reports/event reports)								1					1
- Other publications - external scientific report								1					1
- Other publications - event report													
SO3 – Total								1				1	2
<b>SO4 – Prepare for future risk assessment challenges</b>													
<b>SO4 – EFSA scientific outputs</b>													
Of which:		1	1				2			1		3	8
- opinion of the scientific committee / scientific panel							1			1		2	4
- scientific report of EFSA													
- statement of the scientific committee / scientific panel							1					1	2
- guidance of the scientific committee / scientific panel			1										1
- guidance of EFSA (regulated products)													
- statement of EFSA		1											1
SO4 – Technical reports			1	1			3					2	7
SO4 – Other publications (external scientific reports/event reports)							1	1	1	1	2	6	12
- Other publications - external scientific report							1	1	1	1	2	6	12
- Other publications - event report													
SO4 – Total		1	2	1			6	1	1	2	2	11	27
<b>Total questions</b>	<b>12</b>	<b>49</b>	<b>13</b>	<b>6</b>	<b>16</b>	<b>26</b>	<b>14</b>	<b>26</b>	<b>2</b>	<b>16</b>	<b>11</b>	<b>13</b>	<b>204</b>

## Scientific Questions closed in P1 by food domain in SO1

General risk assessment			
EFSA Unit	Total by Unit	Food domain	Questions closed
ALPHA	25	Animal Welfare	9
		Plant Health	16
BIOCONTAM	13	Biological Hazards	2
		Biological Hazards - EUSR zoonoses and AMR	8
		Contaminants	3
DATA	7	Data Collection and Analysis	7
FIP	1	Food Improvement Agents	1
GMO	2	GMO	2
NUTRI	2	Nutrition	2
PRES	1	Pesticides MRL	1
PREV	1	Pesticides Peer Review (AIR)	1
<b>Total</b>			<b>52</b>

Regulated products			
EFSA Unit	Total by Unit	Food domain	Questions closed
APDESK	12	Administrative and Technical Support	12
BIOCONTAM	1	Biological Hazards - Animal by-products	1
FEED	48	Feed Additives	48
FIP	10	Food Contact Material	1
		Food Improvement Agents	9
GMO	3	GMO	3
NUTRI	14	Novel Foods	6
		Nutrition	8
PRES	25	Pesticides MRL	25
PREV	7	Pesticides Peer Review (AIR)	4
		Pesticides Peer Review (NAS)	3
<b>Total</b>			<b>120</b>



## Backlog and bulk evaluations – SO1 regulated products

Details on the actual backlog and its reduction per food sector area during the reporting period and the current status of bulk evaluations are presented in the tables:

Regulated products - Backlog reduction		
Regulated products area	# at year start	# P1
FEED	51	37
Feed additives - applications	51	37
GMO	2	2
GMO - miscellaneous	2	2
PRES	27	19
Pesticides MRL Application (Reg. 396/2005 - Art. 10)	27	19
PREV	5	5
Pesticides peer review - miscellaneous	5	5

Regulated products - Bulk evaluation reduction			
Status	Regulated products area	# at year start	# P1
Deadlines agreed with Risk Manager	FIP	348	347
	Flavourings	35	35
	Enzymes	206	205
	Food additives – re-evaluation	107	107
	PRES	80	73
Pending Risk Manager decision	Pesticides MRL Review (Reg. 396/2005 - Art. 12) - ex backlog	80	73
	NUTRI	1548	1548
	Health Claims (Art.13.2) - botanicals	1548	1548

In some areas of the regulated products evaluation the delays registered with respect to the initial deadline led to the accumulation of **backlogs**. These questions are part of the ongoing work programme and efforts are made to reduce their number during the actual year.

Questions received in bulks and pending evaluation, are not part of this backlog. For these cases, called "**bulk evaluations**", a work plan is agreed or pending agreement with the risk managers.

27



## Appendix 2

### Information on the Budget Transfers of the European Safety Authority

Financial Year 2021

Following Article 26 of EFSA's Financial Regulation, the Authorising Officer shall inform the Management Board as soon as possible on all budget transfers made.

Transfers signed as of 31<sup>st</sup> of May 2021

As of the end of May 2021, two budget transfers have been signed. The first one was linked to the implementation of the decision on home office support for staff, requiring a transfer of € 0.15 million from *Salaries and allowances of staff provided for in the establishment plan* to *Special assistance grants, other interventions, and complementary aid for the disabled* line in Title I. The Management Board has been informed of this transfer via written procedure in March.

The second transfer of the year - signed at the end of May - was linked to the regular review of the EFSA budget, which takes place in April-May.

To cover an increased demand in Title I, a transfer from Title II of € 0.5 million was necessary on top of the coverage available from within the Title. The shortfall originated mainly from the additional demand for interim services to cope with the additional workload related to the implementation of the Transparency Regulation and related IT tools and the extension of current interim contracts. Further requests were linked to EFSA's Attractiveness project aiming to increase interest in EFSA as an employer and other expenditures linked to recruitments (entitlements on entering and leaving the service, miscellaneous expenditures on recruitments, cost of assessment centres for managerial roles).

Within Title II, the budget transfers were related mainly to the reallocation within ESP (Enabling Services project) redirecting funds from studies and technical assistance and refurbishment of premises to IT support and furniture. Additional budget was requested also for outsourced IT services, to ensure the business continuity, to cover increased needs for IT support, and improve the support for cloud services. A surplus of funds stemming from the lower presence of staff in the EFSA building was released from clerical services, cleaning and maintenance, security, energy supplies, and stationery. Minor savings were released from telecommunications, publications, bank charges, and miscellaneous insurance.

In Title III, funds were requested for the REPRO scientific meetings to cover the higher demand from the Food Ingredients and Packaging unit (need for additional working groups



and plenaries) and for new unplanned mandates. Funds were transferred also to risk communication (reallocation of funds within EFSA 2022 Conference project) and translations. Budget was also transferred to scientific grants and procurement to mitigate the risk of a possible shortfall in payment credits. These shortfalls were covered by the excess budget in RASA and COMCO meetings, prepaid travels for scientific experts and events, linked to the cancellation of physical meetings and missions until the end of August. The excess budget was released also from the line covering operational development support and quality.

The overview of the budget transfers can be found in Annex I.

#### Future outlook

According to current forecast simulations, additional demand for budgetary resources is expected in Title I, mainly to cover the salary cost of temporary agents/officials and contract agents (stemming mainly from higher than expected occupancy rate and to a lower extent from the promotion/reclassification exercise and a higher than expected average cost). The reserves for the coverage of this shortfall have been created both within Title I and Title II. However, the transfer from Title II may go beyond the 10% limit per budget line, for which the approval of the Management Board is necessary (Art. 26 of EFSA Financial Regulation). The current estimate of the global amount that might be transferred above the limit is between EUR 100k -120k. Should this be the case, the approval of the Management Board will be sought for at one of the next Board meetings, or in written procedure.



## Annex I

### Overview of budget transfers by budget line

#### TITLE I

Title Chapter Article Item	Budget Headings	Commitment and payment appropriations 2021			
		Initial	Amended budget	Transfers	Current
<b>1</b>	<b>STAFF</b>				
11	STAFF EXPENDITURE				
<b>110</b>	<b>Salaries and allowances</b>				
1100	Salaries and allowances of staff provided for in establishment plan	35,727,000.00	35,727,000.00	-150,000.00	35,577,000.00
1104	Entitlements on Entering and Leaving the Service	200,000.00	200,000.00	100,000.00	300,000.00
	<b>tot. of 110</b>	<b>35,927,000.00</b>	<b>35,927,000.00</b>	<b>-50,000.00</b>	<b>35,877,000.00</b>
<b>111</b>	<b>Other staff</b>				
1113	Stagiaires	1,018,000.00	1,018,000.00	0.00	1,018,000.00
1115	Contract staff	7,851,256.00	7,851,256.00	0.00	7,851,256.00
1116	Visiting experts, National Experts on Detachment	780,000.00	780,000.00	-50,000.00	730,000.00
1117	Authority officials temporarily assigned to national civil services, to international organisations, or to public or private institutions	0.00	0.00	0.00	0.00
	<b>tot. of 111</b>	<b>9,649,256.00</b>	<b>9,649,256.00</b>	<b>-50,000.00</b>	<b>9,599,256.00</b>
<b>112</b>	<b>Interim staff</b>				
1120	Interim services	3,500,000.00	3,500,000.00	500,000.00	4,000,000.00
	<b>tot. of 112</b>	<b>3,500,000.00</b>	<b>3,500,000.00</b>	<b>500,000.00</b>	<b>4,000,000.00</b>
<b>113</b>	<b>Establishment or maintenance of pension rights for temporary staff</b>				
1133	Establishment or maintenance of pension rights for temporary staff	0.00	0.00	0.00	0.00
	<b>tot. of 113</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>tot. of 11</b>	<b>49,076,256.00</b>	<b>49,076,256.00</b>	<b>400,000.00</b>	<b>49,476,256.00</b>
12	EXPENDITURE RELATING TO STAFF RECRUITMENT				
<b>120</b>	<b>Expenditure relating to Staff recruitment</b>				
1200	Miscellaneous expenditure on recruitment	175,000.00	175,000.00	39,520.00	214,520.00
	<b>tot. of 120</b>	<b>175,000.00</b>	<b>175,000.00</b>	<b>39,520.00</b>	<b>214,520.00</b>
	<b>tot. of 12</b>	<b>175,000.00</b>	<b>175,000.00</b>	<b>39,520.00</b>	<b>214,520.00</b>
13	MISSIONS AND DUTY TRAVEL				
<b>130</b>	<b>Missions and travel expenses</b>				
1300	Missions and travel expenses	125,000.00	125,000.00	-100,000.00	25,000.00
1301	Shuttles for missions and duty	30,000.00	30,000.00	-25,000.00	5,000.00
	<b>tot. of 130</b>	<b>155,000.00</b>	<b>155,000.00</b>	<b>-125,000.00</b>	<b>30,000.00</b>
	<b>tot. of 13</b>	<b>155,000.00</b>	<b>155,000.00</b>	<b>-125,000.00</b>	<b>30,000.00</b>
14	SOCIOMEDICAL INFRASTRUCTURE				
<b>141</b>	<b>Medical service</b>				
1410	Medical service	238,000.00	238,000.00	0.00	238,000.00
	<b>tot. of 141</b>	<b>238,000.00</b>	<b>238,000.00</b>	<b>0.00</b>	<b>238,000.00</b>
	<b>tot. of 14</b>	<b>238,000.00</b>	<b>238,000.00</b>	<b>0.00</b>	<b>238,000.00</b>
15	TRAINING				
<b>150</b>	<b>Training, language courses and retraining for staff</b>				



Title Chapter Article Item	Budget Headings	Commitment and payment appropriations 2021			
		Initial	Amended budget	Transfers	Current
1500	Further training, language courses and retraining for staff	500,000.00	500,000.00	0.00	500,000.00
	<b>tot. of 150</b>	<b>500,000.00</b>	<b>500,000.00</b>	<b>0.00</b>	<b>500,000.00</b>
	<b>tot. of 15</b>	<b>500,000.00</b>	<b>500,000.00</b>	<b>0.00</b>	<b>500,000.00</b>
16	SOCIAL WELFARE				
<b>160</b>	<b>Special assistance grants, other interventions and complementary aid for disabled</b>				
1600	Special assistance grants, other interventions and complementary aid for disabled	10,000.00	10,000.00	150,000.00	160,000.00
	<b>tot. of 160</b>	<b>10,000.00</b>	<b>10,000.00</b>	<b>150,000.00</b>	<b>160,000.00</b>
<b>161</b>	<b>Social contacts between staff</b>				
1610	Social contacts between staff	10,000.00	10,000.00	0.00	10,000.00
	<b>tot. of 161</b>	<b>10,000.00</b>	<b>10,000.00</b>	<b>0.00</b>	<b>10,000.00</b>
<b>163</b>	<b>Early childhood centres and other creches</b>				
1630	Early childhood centres, creches and EU school contribution	1,780,000.00	1,780,000.00	0.00	1,780,000.00
	<b>tot. of 163</b>	<b>1,780,000.00</b>	<b>1,780,000.00</b>	<b>0.00</b>	<b>1,780,000.00</b>
	<b>tot. of 16</b>	<b>1,800,000.00</b>	<b>1,800,000.00</b>	<b>150,000.00</b>	<b>1,950,000.00</b>
18	EXTERNAL SERVICES				
<b>180</b>	<b>External services</b>				
1800	Translation and interpretation	100,000.00	100,000.00	-30,000.00	70,000.00
1801	Payment for administrative assistance from the Community institutions	290,000.00	290,000.00	0.00	290,000.00
1802	Consultancy and HR services	250,000.00	250,000.00	121,530.00	371,530.00
1803	Other services	274,000.00	274,000.00	-68,554.17	205,445.83
	<b>tot. of 180</b>	<b>914,000.00</b>	<b>914,000.00</b>	<b>22,975.83</b>	<b>936,975.83</b>
	<b>tot. of 18</b>	<b>914,000.00</b>	<b>914,000.00</b>	<b>22,975.83</b>	<b>936,975.83</b>
	<b>tot. of 1</b>	<b>52,858,256.00</b>	<b>52,858,256.00</b>	<b>487,495.83</b>	<b>53,345,751.83</b>

## TITLE 2

Title Chapter Article Item	Budget Headings	Commitment and payment appropriations 2021			
		Initial	Amended budget	Transfers	Current
<b>2</b>	<b>BUILDINGS, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE LINKED TO THE AUTHORITY</b>				
20	INVESTMENTS IN IMMOVABLE PROPERTY, RENTAL OF BUILDING AND ASSOCIATED COSTS				
<b>200</b>	<b>Building</b>				
2000	Rent	42,855.00	42,855.00	0.00	42,855.00
2001	Acquisition	1,650,000.00	1,694,943.95	-82,228.84	1,612,715.11
2002	Studies and technical assistance in connection with building projects	734,960.00	734,960.00	-234,930.00	500,030.00
2003	Refurbishment of premises/fitting out	1,713,900.00	1,713,900.00	-77,272.26	1,636,627.74
	<b>tot. of 200</b>	<b>4,141,715.00</b>	<b>4,186,658.95</b>	<b>-394,431.10</b>	<b>3,792,227.85</b>
<b>202</b>	<b>Expenditure on buildings</b>				
2020	Water, gas, electricity and heating	535,000.00	535,000.00	-90,000.00	445,000.00
2021	Cleaning and maintenance	673,000.00	673,000.00	-25,000.00	648,000.00
2023	Security and surveillance of buildings	736,000.00	736,000.00	-30,000.00	706,000.00



Title Chapter Article Item	Budget Headings	Commitment and payment appropriations 2021			
		Initial	Amended budget	Transfers	Current
2024	Insurance	38,000.00	38,000.00	0.00	38,000.00
2025	Other expenditure on buildings	840,000.00	840,000.00	-206,911.45	633,088.55
	<b>tot. of 202</b>	<b>2,822,000.00</b>	<b>2,822,000.00</b>	<b>-351,911.45</b>	<b>2,470,088.55</b>
	<b>tot. of 20</b>	<b>6,963,715.00</b>	<b>7,008,658.95</b>	<b>-746,342.55</b>	<b>6,262,316.40</b>
21	INFORMATION TECHNOLOGY AND EXPENDITURE ON DATA PROCESSING				
210	Purchase and maintenance of IT for administration and non operational				
2100	Purchase and maintenance of IT equipment and standard software	2,730,805.00	2,730,805.00	-9,097.54	2,721,707.46
2103	External services for the operation, implementation and maintenance of software and user support	2,796,195.00	2,796,195.00	260,216.00	3,056,411.00
	<b>tot. of 210</b>	<b>5,527,000.00</b>	<b>5,527,000.00</b>	<b>251,118.46</b>	<b>5,778,118.46</b>
	<b>tot. of 21</b>	<b>5,527,000.00</b>	<b>5,527,000.00</b>	<b>251,118.46</b>	<b>5,778,118.46</b>
22	MOVABLE PROPERTY AND ASSOCIATED COSTS				
220	Technical equipment and installations				
2200	Technical equipment and installations	1,065,000.00	1,065,000.00	-20,451.19	1,044,548.81
	<b>tot. of 220</b>	<b>1,065,000.00</b>	<b>1,065,000.00</b>	<b>-20,451.19</b>	<b>1,044,548.81</b>
221	Furniture				
2210	Furniture	429,000.00	429,000.00	98,467.53	527,467.53
	<b>tot. of 221</b>	<b>429,000.00</b>	<b>429,000.00</b>	<b>98,467.53</b>	<b>527,467.53</b>
	<b>tot. of 22</b>	<b>1,494,000.00</b>	<b>1,494,000.00</b>	<b>78,016.34</b>	<b>1,572,016.34</b>
23	CURRENT ADMINISTRATIVE EXPENDITURE				
230	Stationery and office supplies				
2300	Stationery and office supplies	56,500.00	56,500.00	-35,000.00	21,500.00
	<b>tot. of 230</b>	<b>56,500.00</b>	<b>56,500.00</b>	<b>-35,000.00</b>	<b>21,500.00</b>
232	Financial charges				
2320	Bank and other financial charges	1,000.00	1,000.00	-600.00	400.00
	<b>tot. of 232</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>-600.00</b>	<b>400.00</b>
233	Legal expenses and damages				
2330	Legal expenses and damages	238,250.00	238,250.00	0.00	238,250.00
	<b>tot. of 233</b>	<b>238,250.00</b>	<b>238,250.00</b>	<b>0.00</b>	<b>238,250.00</b>
235	Other operating expenditure				
2350	Miscellaneous insurance	5,000.00	5,000.00	-1,150.00	3,850.00
	<b>tot. of 235</b>	<b>5,000.00</b>	<b>5,000.00</b>	<b>-1,150.00</b>	<b>3,850.00</b>
239	Publications				
2390	Publications	17,000.00	17,000.00	-7,500.00	9,500.00
	<b>tot. of 239</b>	<b>17,000.00</b>	<b>17,000.00</b>	<b>-7,500.00</b>	<b>9,500.00</b>
	<b>tot. of 23</b>	<b>317,750.00</b>	<b>317,750.00</b>	<b>-44,250.00</b>	<b>273,500.00</b>
24	POSTAL CHARGES AND TELECOMMUNICATIONS				
240	Postal charges and telecommunications				
2400	Postal charges and telecommunications	245,000.00	245,000.00	-26,038.08	218,961.92



Title Chapter Article Item	Budget Headings	Commitment and payment appropriations 2021			
		Initial	Amended budget	Transfers	Current
	tot. of 240	245,000.00	245,000.00	-26,038.08	218,961.92
	tot. of 24	245,000.00	245,000.00	-26,038.08	218,961.92
25	GOVERNANCE EXPENDITURE				
250	Governance expenditure				
2500	Management Board meetings	80,000.00	80,000.00	0.00	80,000.00
	tot. of 250	80,000.00	80,000.00	0.00	80,000.00
	tot. of 25	80,000.00	80,000.00	0.00	80,000.00
	tot. of 2	14,627,465.00	14,672,408.95	-487,495.83	14,184,913.12





TITLE III

Title Chapter Article Item	Budget Headings	Commitment appropriations 2021				Payment appropriations 2021			
		Initial	Amended budget	Transfers	Current	Initial	Amended budget	Transfers	Current
<b>3</b>	<b>OPERATING EXPENDITURE LINKED TO THE AUTHORITY</b>								
30	SCIENTIFIC EVALUATION OF REGULATED PRODUCTS								
<b>302</b>	<b>REPRO Experts meetings</b>								
3020	REPRO Experts Meetings	5,764,100.00	5,764,100.00	399,408.00	6,163,508.00	5,764,100.00	5,764,100.00	399,408.00	6,163,508.00
	<b>tot. of 302</b>	<b>5,764,100.00</b>	<b>5,764,100.00</b>	<b>399,408.00</b>	<b>6,163,508.00</b>	<b>5,764,100.00</b>	<b>5,764,100.00</b>	<b>399,408.00</b>	<b>6,163,508.00</b>
	<b>tot. of 30</b>	<b>5,764,100.00</b>	<b>5,764,100.00</b>	<b>399,408.00</b>	<b>6,163,508.00</b>	<b>5,764,100.00</b>	<b>5,764,100.00</b>	<b>399,408.00</b>	<b>6,163,508.00</b>
31	RISK ASSESSMENT & SCIENTIFIC ASSISTANCE								
<b>312</b>	<b>RASA Experts meetings</b>								
3120	RASA Experts Meetings	6,072,399.00	6,072,399.00	-131,317.00	5,941,082.00	6,072,399.00	6,072,399.00	-131,317.00	5,941,082.00
	<b>tot. of 312</b>	<b>6,072,399.00</b>	<b>6,072,399.00</b>	<b>-131,317.00</b>	<b>5,941,082.00</b>	<b>6,072,399.00</b>	<b>6,072,399.00</b>	<b>-131,317.00</b>	<b>5,941,082.00</b>
<b>313</b>	<b>Crisis support</b>								
3130	Crisis support	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	<b>tot. of 313</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>tot. of 31</b>	<b>6,072,399.00</b>	<b>6,072,399.00</b>	<b>-131,317.00</b>	<b>5,941,082.00</b>	<b>6,072,399.00</b>	<b>6,072,399.00</b>	<b>-131,317.00</b>	<b>5,941,082.00</b>
32	EFSA SCIENTIFIC COOPERATION								
<b>321</b>	<b>EFSA Grants &amp; Procurement</b>								
3210	EFSA Grants & Procurement	22,288,794.00	22,288,794.00	1,118,689.45	23,407,483.45	11,736,430.00	11,736,430.00	1,118,689.45	12,855,119.45
	<b>tot. of 321</b>	<b>22,288,794.00</b>	<b>22,288,794.00</b>	<b>1,118,689.45</b>	<b>23,407,483.45</b>	<b>11,736,430.00</b>	<b>11,736,430.00</b>	<b>1,118,689.45</b>	<b>12,855,119.45</b>
<b>322</b>	<b>Scientific Cooperation meetings</b>								
3220	Scientific Cooperation meetings	351,459.00	351,459.00	-279,985.00	71,474.00	351,459.00	351,459.00	-279,985.00	71,474.00
	<b>tot. of 343</b>	<b>351,459.00</b>	<b>351,459.00</b>	<b>-279,985.00</b>	<b>71,474.00</b>	<b>351,459.00</b>	<b>351,459.00</b>	<b>-279,985.00</b>	<b>71,474.00</b>
<b>323</b>	<b>Pre-accession and ENP Programmes</b>								
3230	Pre-accession programme	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3231	ENPI (European Neighbourhood programme)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00



Title Chapter Article Item	Budget Headings	Commitment appropriations 2021				Payment appropriations 2021				
		Initial	Amended budget	Transfers	Current	Initial	Amended budget	Transfers	Current	
		tot. of 323	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
		tot. of 32	22,640,253.00	22,640,253.00	838,704.45	23,478,957.45	12,087,889.00	12,087,889.00	838,704.45	12,926,593.45
34	COMMUNICATIONS									
<b>341</b>	<b>Risk Communication</b>									
3410	Risk Communication, Web management, communication activities and materials	4,849,200.00	4,849,200.00	122,001.00	4,971,201.00	4,849,200.00	4,849,200.00	122,001.00	4,971,201.00	
		tot. of 341	4,849,200.00	4,849,200.00	122,001.00	4,971,201.00	4,849,200.00	122,001.00	4,971,201.00	
<b>342</b>	<b>External Relations</b>									
3420	External Relations	605,000.00	605,000.00	-6,080.00	598,920.00	605,000.00	605,000.00	-6,080.00	598,920.00	
		tot. of 342	605,000.00	605,000.00	-6,080.00	598,920.00	605,000.00	-6,080.00	598,920.00	
		tot. of 34	5,454,200.00	5,454,200.00	115,921.00	5,570,121.00	5,454,200.00	115,921.00	5,570,121.00	
35	HORIZONTAL OPERATIONS									
<b>350</b>	<b>Operational IT Systems</b>									
3500	Operational IT Systems	16,008,000.00	16,008,000.00	-9,833.17	15,998,166.83	16,008,000.00	16,008,000.00	-9,833.17	15,998,166.83	
3501	Multiannual operational IT projects	0.00	0.00	0.00	0.00	38,116.00	38,116.00	0.00	38,116.00	
		tot. of 350	16,008,000.00	16,008,000.00	-9,833.17	15,998,166.83	16,046,116.00	-9,833.17	16,036,282.83	
<b>351</b>	<b>Operational support</b>									
3511	Translation, Interpretation, Linguistic Proofreading and Editing	200,000.00	200,000.00	20,000.00	220,000.00	200,000.00	200,000.00	20,000.00	220,000.00	
3512	Library	750,000.00	750,000.00	0.00	750,000.00	750,000.00	750,000.00	0.00	750,000.00	
3513	Mission of staff related to operational duties	355,000.00	355,000.00	-265,500.00	89,500.00	355,000.00	355,000.00	-265,500.00	89,500.00	
3514	Shuttles and prepaid travels for experts and shuttle for staff related to operational duties	792,000.00	792,000.00	-590,000.00	202,000.00	792,000.00	792,000.00	-590,000.00	202,000.00	
3515	Catering	15,685.00	15,685.00	-12,817.00	2,868.00	15,685.00	15,685.00	-12,817.00	2,868.00	
		tot. of 351	2,112,685.00	2,112,685.00	-848,317.00	1,264,368.00	2,112,685.00	-848,317.00	1,264,368.00	
<b>352</b>	<b>Conferences &amp; Outreach</b>									
3520	Conferences, outreach and representation cost	1,292,500.00	1,292,500.00	-247,162.28	1,045,337.72	1,292,500.00	1,292,500.00	-247,162.28	1,045,337.72	
		tot. of 352	1,292,500.00	1,292,500.00	-247,162.28	1,045,337.72	1,292,500.00	-247,162.28	1,045,337.72	



Title Chapter Article Item	Budget Headings	Commitment appropriations 2021				Payment appropriations 2021			
		Initial	Amended budget	Transfers	Current	Initial	Amended budget	Transfers	Current
<b>353</b>	<b>Operational development, control and quality</b>								
3530	Operational Development, Control and Quality	2,305,000.00	2,305,000.00	-117,404.00	2,187,596.00	2,305,000.00	2,305,000.00	-117,404.00	2,187,596.00
	tot. of 353	2,305,000.00	2,305,000.00	-117,404.00	2,187,596.00	2,305,000.00	2,305,000.00	-117,404.00	2,187,596.00
	tot. of 35	21,718,185.00	21,718,185.00	-1,222,716.45	20,495,468.55	21,756,301.00	21,756,301.00	-1,222,716.45	20,533,584.55
	tot. of 3	61,649,137.00	61,649,137.00	0.00	61,649,137.00	51,134,889.00	51,134,889.00	0.00	51,134,889.00



# Appendix 3

## Information on the Draft Budget 2022

### **Background**

In December 2020, a draft Single Programming Document 2022 – 2024 (SPD) has been endorsed by the Management Board. The SPD included a demand for additional 30 Contract Agents (CAs) for a period of 5 years to cover a temporary capacity shortage mainly in the area of the safety assessment of regulated products.

This extra capacity of 30 CAs would have allowed to address the de-prioritised activities, to limit the generation of a further backlog or underperformance (e.g. timeliness) in critical areas and would have enabled to create a buffer as of 2025 that could cover possible new requests that may arise in the meantime. While it is clear that partnerships with Art. 36 organisations are a major building block for EFSA's long term operational sustainability, it is also evident that EFSA has been and is currently facing a relevant gap in statutory staff compared to the volumes of work it has to process and to the incoming demand.

The requested flexibility in the use of CAs would have supported the transition to a new operating model ensuring higher involvement of Member States partner organisations. In this scenario, EFSA would have been able to completely recover from the demand-capacity gaps within 5 years.

### **Information on latest development**

Following the adoption of the SPD in January 2021, EFSA prepared the Agency Working Statements 2022 reflecting this request for additional 30 Contract Agents (CAs) for a period of 5 years, supported by a business case submitted to the European Commission.

In May 2022, EFSA has been informed that the request for additional CAs cannot be fully met and that only 15 CAs can be granted for a period of 3 years. EFSA, in cooperation with DG SANTE, is currently preparing a proposal for allocating the 15 CAs to the highest priorities. The changes in the amount of additional CAs as well as the updated estimation on salary costs will be reflected in the updated EFSA budget 2022 that will be submitted to MB approval in December.