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2011 ANNUAL WORK PROGRAMME FOR GRANTS

Executive Summary

The annual work programme for grants has to be adopted by the Management Board and published on EFSA's website as per Article 110 of the Financial Regulation (Regulation (EC Euratom) No. 1605/2002 as amended by Regulation (EC Euratom) No. 1995/06) which states that: "*Grants shall be subject to an annual programme to be published at the start of the year*" (before the 31st March). A similar programme was adopted by the Management Board and published on the EFSA website for 2007, 2008, 2009 and 2010. It has been developed in consultation with the Advisory Forum and the Scientific Committee.

This programme is an important tool for implementing the "Strategy on Co-operation and Networking" adopted by the Management Board in December 2006.

The main areas of scientific activities are scientific opinions on authorisations, scientific opinions in other areas, data collection and analysis activities as well as other cooperation activities with Member States.

With a view to the increasing workload as well as to address the complexity of the work while ensuring its high quality, the main objective of this grant programme remains that competent organisations in Member States support EFSA's Scientific Committee and the Scientific Panels in their risk assessment tasks and the other scientific activities of EFSA. Grants have proven to be a very good tool for cooperation projects in the area of general risk assessment and data collection and analysis, but are less suitable to support the examination of authorisation dossiers (ownership issues, meeting strict deadlines etc.). This is reflected in the implemented work programmes 2007 – 2010 and the trend continues in the 2011 work programme.

In addition to the programme of grants under Article 36, scientific cooperation with Member State organisations also takes place via public procurement procedures. Procurement has been proven particularly suitable in supporting the examination of authorisation dossiers and some data collection and analysis activities. The total amount of funds spent or planned for cooperation projects with institutions and organisations in Member States to support EFSA in its scientific tasks (grants and procurement) has considerably increased (nearly threefold) between 2007 and 2011. Grants are limited to the organisations on the Article 36 list, but also most (70%) of the contracts under procurement have also been signed with organisations on the Article 36 list which have submitted a proposal in a procurement procedure. Most of the funds (grants and procurement) continue to be allocated to supporting directly risk assessment activities (i.e. for the examination of authorisation dossiers and general risk assessment), followed by data collection and analysis.

Cooperation projects with organisations on the list of competent organisations according to Article 36 of EFSA's Founding Regulation have not only supported the risk assessment work of EFSA, but also contributed to improving the networking amongst these organisations. To continue to foster this networking

– which goes beyond the carrying out of grant agreements - EFSA has developed two IT tools to facilitate networking among organisations on the list and the management of the list itself and is currently developing guidance for Member States on the designation of competent organisations and the subsequent management of the Article 36 list (e.g. how best to designate organisations and/or their parts, how to maintain the list updated including withdrawals if necessary, on the composition of the list and the nature of the organisations designated, etc).

As in 2010, in order to anticipate the implementation of the 2011 work programme for Article 36 grants, the programme is presented already in the October Management Board Meeting. This will allow EFSA to anticipate the preparation of the calls as well as their launch in 2010, which has proven very useful in optimising the use of this procedure, in line with the recommendations by the Court of Auditors. The programme may need adjustment in December 2010 to match the final decision of the budget by the budgetary authority.

The Management Board is requested to adopt the 2011 annual work programme for grants subject to the final approval of the 2011 budget by the budgetary authority in December 2010.



2011 ANNUAL WORK PROGRAMME FOR GRANTS

1. Introduction

Article 5 (2) of Commission Regulation (EC) No. 2230/2004 foresees that financial support for tasks entrusted to organisations on the Article 36 list shall take the form of grants awarded in accordance with EFSA's financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which, as a rule, will be implemented through the publication of calls for proposals.

2. Basic act and financing source

- **Basic Act:** Regulation (EC) No 178/2002 of the European Parliament and of the Council Article 36 and Commission Regulation (EC) No. 2230/2004.
- **Budget lines:** 3001, 3011, 3021, 3031, 3041, 3051, 3061, 3071, 3081, 3091, 3101, 3111, 3121, 3131, 3141, 3144, 3151, 3211.

3. Tasks to be entrusted, the objectives to be achieved, and priority areas

- Support for the examination of authorisation dossiers, in the areas of plant protection products, genetically modified organisms, and feed additives.
- Preparatory work for risk assessment in the areas of biological hazards, contaminants, and plant health.
- Data collection and analysis supporting risk assessment and risk monitoring, in the areas of emerging risks, food composition, food consumption, dietary exposure, probability risk assessment, and zoonoses.

4. Eligibility of the applicants

- The applicants must be on the list adopted by the Management Board of EFSA on the 20th of December 2006 as updated ever since (implying fulfillment of the criteria set in the Commission Regulation (EC) No. 2230/2004);
- The applicants shall not be, at the time of a grant award procedure, in one of the situations referred to in Articles 93 and 94 of the Financial Regulation (Council Regulation (EC) No. 1605/2002) as amended.

5. Selection and award criteria

The eligible proposals will be evaluated against the selection criteria indicated in each specific call. In general there are two sets of selection criteria to be assessed:

- Financial capacity
- Technical capacity

The proposals which meet the selection criteria will be evaluated against the award criteria indicated in each specific call. In general there are three sets of award criteria to be assessed:

- Quality of the proposal
- Quality of the management
- Cost effectiveness

6. Maximum rate of co-financing

Up to 80% of the eligible costs

7. Schedule of calls for proposals for 2011

Launch of calls for proposals: October 2010 – October 2011¹

8. Indicative amounts available for calls for proposals for 2011

Activity	Amount (€)
1. Support for the examination of authorisation dossiers	355 000
2. Preparatory work for risk assessment	630 000
3. Data collection and analysis supporting risk assessment and risk monitoring	1 270 000
Total	2 255 000

Done in Brussels, 21st October 2010

¹ In case a call for proposals is launched before the official approval of the budget, a respective clause will be added to indicate that the project is subject to the approval of EFSA 2011 budget by the European Parliament and no grants will be awarded before such approval.