



Concept paper on the review of EFSA's Policy on independence

Management Board Meeting
15 June 2016

EFSA AND INDEPENDENCE



Independence

1

The **legal** dimension

2

The **scientific** dimension

3

The **perception** dimension

2011 POLICY ON INDEPENDENCE

Implementation Focus on individual independence



Features

Three layered DoI
screening system

Compliance and
veracity checks

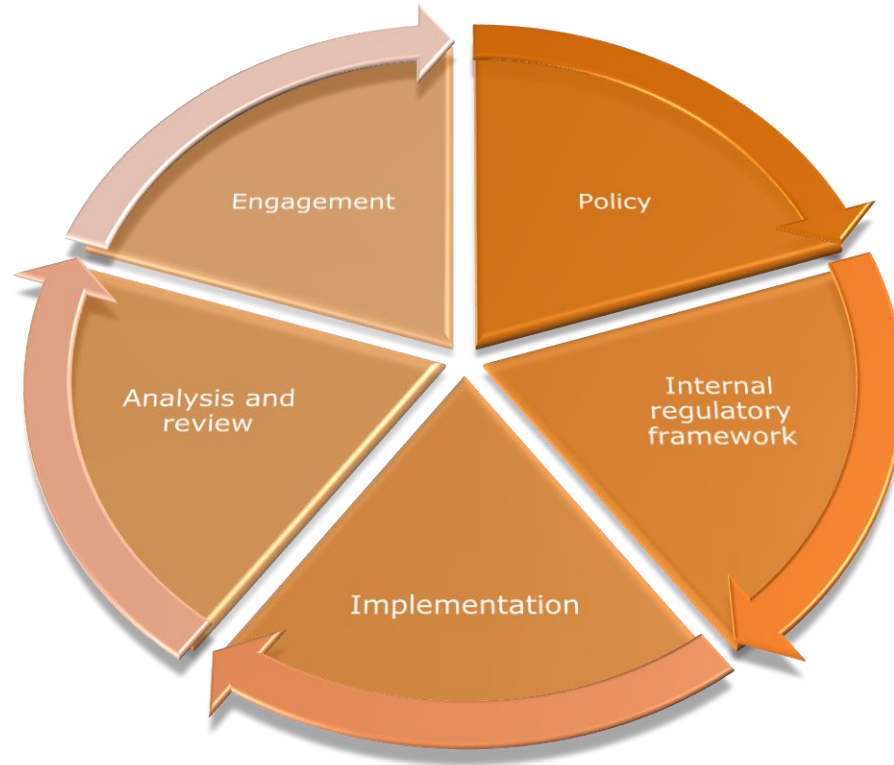
Screening criteria

Regular audits and
analysis

Breach of trust
procedure

E-filing and
processing

EFSA'S INDEPENDENCE POLICY CYCLE



2011 POLICY IMPLEMENTATION

DoI Rules effective and costly

750.000 EURO and 7.200 DoI screened each year

Year	DoIs Screened	Potential CoI prevented	Ratio	Meeting agenda items	Breach of trust cases	Staff members leaving EFSA
2011	8526	356	4.2%	39,500	2	Total : 25 Private sector : 3 Restrictions : 1
2012	6869	272	4%	36,609	1	Total : 28 Private sector : 4 Restrictions : 0
2013	6191	247	4%	36,501	0	Total : 29 Private sector : 4 Restrictions : 3
2014	6962	145	2.1%	34,456	0	Total : 20 Private sector : 2 Restrictions : 2
2015	7607	96	1.3%	32,200	0	Total: 28 Private sector: 5 Restrictions: 2

2011 POLICY IMPLEMENTATION

Mixed political feedback



Faring good vis-à-vis institutional partners

Budget discharge procedures with punctual demands



Stakeholders confirming their concerns

2011 POLICY REVIEW

Review drivers

Experience gained in
12 years
implementation

Effectiveness

Feedback from political
institutions and
interested parties

Specific societal demands

Alignment with EFSA
2020 Strategy

Reproducible, Transparency

New
Policy



2011 POLICY REVIEW

Concrete Proposals for discussion

Further
Operational
Thinking

1

Risk based approach to individual independence **focused on financial interests**

2

Publication of EFSA' validation process of Declarations of Interest

3

"Cooling off" periods for Scientific Committee, Scientific Panels and Working Groups members

4

Enhanced reputation management for Management Board

5

Public repositories of outside and post employment activities for EFSA staff

OBJECTIVE

Proposals

Discussion today

Necessary
refinements

Public
consultation

New policy

2011 Independence Policy Review

