# **Institutional Declaration of Interests**

**Declaration of Interest for participants to EFSA’s public procurement/grant awarding procedures, related to Scientific Evaluation of Regulated Products and Risk Assessment and Scientific Assistance**

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| --- | --- |
| Name of entity |  |
| Reference of the call for tenders/proposals  *Ex. OC/GP/EFSA/nn/20nn/nn* |  |
| Position in the offer | Leader  Partner  Subcontractor |

* **Any modification made to the structure and content of the present template will make the document invalid**
* **Please fill in all fields.**
* **Please note that this form has to be completed separately for the leader, for each possible partner in a joint offer, and for all possible subcontractors, as indicated in the offer.**

Legal Basis:

* Article 141 and 144 of Council Regulation (EU, EURATOM) No 2018/1046 of 18 July 2018 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 966/2012;
* Articles 15 and 16 of the EFSA Decision on Competing Interest Management implementing EFSA’s Policy on Independence;
* Tender/grant specification stipulating that the contracts may not be awarded to tenderers/applicants who are subject of a conflict of interest.

PLEASE SPECIFY ANY **INTEREST** THAT THE ENTITY HAS[[1]](#footnote-2) **AND WHICH IS CURRENTLY ONGOING AS WELL AS** FALLING **WITHIN THE SPECIFIC SUBJECT MATTER OF THE CALL**

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| --- | --- |
| 1. **DO YOU HAVE ANY CURRENT FINANCIAL INVESTIMENT?** | YES NO |
| Please indicate any investment such as economic stake or share in an entity with an interest directly or indirectly falling within EFSA’s remit, including its stocks, equities or bonds, or of one of its subsidiaries or of a company in which it has a holding. Also, any substantial interests of the tenderers/applicants in grant awarding procedures, proposed subcontractor(s) and partner(s) should be indicated. Financial instruments on which the concerned individual has no influence are not to be considered relevant. |

**IF YES**, please specify as follows

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| --- | --- | --- |
| Description of the investment | Organisation on which the investment has been made  (name and location of the organization) | 1) Subject Matter (e.g. GMO, pesticides, feed, substances, products, guidance documents, policies, etc)  2) Whether you have influence / control over the investment (e.g. “no control as it is a fund managed by a professional company with no possibility of deciding the fund’s strategy”; OR “complete control as this corresponds to ordinary shares I can sell or buy at will”)  3) Field of activity of the organisation on which the investment has been made  4) How the activities relate to the subject matter of the call |
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| 1. **DO YOU HAVE ANY CURRENT MANAGERIAL ROLE?** | YES NO |
| Not applicable to legal persons such as companies. Please indicate any participation in the internal decision-making (such as board membership, directorship) of an entity with an interest in the subject matter of the call. |

**IF YES**, please specify as follows

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Organisation  (name, legal nature and location of the organization) | 1) Subject Matter (e.g. GMO, pesticides, feed, substances, products, guidance documents, policies, etc)  2) Field of activity of the organisation (subject matter e.g. GMO, pesticides, feed, substances, products, guidance documents, policies, etc)  3) How the activities relate to the subject matter of the call | Does the organisation carry out Risk Management activities? | Are you empowered to validate or take management actions/decisions? | Impact on annual earnings |
|  |  | YES NO | YES NO | 0%  0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  |  |  |  |
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| 1. **IS THE ENTITY CURRENTLY MANAGING THE PARTICIPATION IN A SCIENTIFIC ADVISORY ENTITY?** | YES NO |
| Please indicate any participation in the works of a scientific advisory entity managed by an entity with an interest in the subject matter of the call and other than those organised by EFSA (such as membership of Scientific Panels, Working Groups and Networks). |

**IF YES**, please specify as follows

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Entity  (name, legal nature and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, substances, products, guidance documents, policies, etc.)  2) Your role, tasks and responsibilities  3)Activities of the entity  4) How the activities relate to the subject matter of the call | Does the entity carry out Risk Management activities? | Are you empowered to validate or take management actions/decisions? | Do you serve as a member of a regulatory committee advising on risk management matters on the same subject matter of the call? | Impact on annual earnings |
|  |  | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  |  |  | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DO YOU HAVE ANY CURRENT EMPLOYMENT?** | YES NO |
| Not applicable to legal persons such as companies. Please indicate any form of regular occupation or business, part-time or full-time, paid or unpaid, including self-employment (such as consultancy). This also includes employment by EFSA. |

**IF YES**, please specify as follows

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| --- | --- | --- | --- | --- |
| Organisation  (name, legal nature and location of the organization) | 1) Subject Matter (e.g. GMO, pesticides, feed, etc)  2) Your role, tasks and responsibilities  3) Activities of the entity  4) How the activities relate to the subject matter of the call | Does the entity carry out Risk Management activities? | Are you empowered to validate or take management actions/decisions on the same subject matter of the call? | Impact on annual earnings |
|  |  | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DO YOU HAVE ANY CURRENT OCCASIONAL CONSULTANCY?** | YES NO |
| Please indicate any consultancy activity occurring rarely so that it does not become a regular occupation, and in which the concerned individual provides advice or services, paid or unpaid, to companies, trade associations or other bodies with an interest in the subject matter of the call. Regular consultancy shall be declared under “Employment”. |

**IF YES**, please specify as follows

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| --- | --- | --- | --- | --- | --- |
| Organisation  (name, legal nature and location of the organization) | 1) Subject Matter Subject Matter of what the consultancy is about (e.g. GMO, pesticides, feed, etc)  2) the nature of the deliverable (e.g. study report, assessment report, etc.) | Does the consultancy imply Risk Management activities? | Are you empowered to validate or take management actions/decisions? | Do you serve as a member of a regulatory committee advising on risk management matters on the same subject matter of the call? | Impact on annual earnings |
|  |  | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

|  |  |
| --- | --- |
| 1. **DO YOU HAVE ANY CURRENT RESEARCH FUNDING?** | YES NO |
| Please indicate any funding for research or developmental work received from any public or private entity either in a personal or professional capacity, regarding the subject matter of the call. Grants, rents and reimbursement of expenses, sponsorships and fellowships are to be declared. |

**IF YES**, please specify as follows

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| --- | --- | --- |
| Type of research funding | Organisation providing the research funding  (name, legal nature and location of the organization) | 1) Topic of the research activity  2) Nature of the associated output (e.g. study report, publication, etc.) |
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| **DOES THE RESEARCH (CO-)FUNDING RECEIVED FROM THE PRIVATE SECTOR EXCEED 25% OF THE TOTAL RESEARCH BUDGET THAT IS MANAGED BY YOU?**  (for the area under concern or that is otherwise benefiting you, including research funding by your organisation) | YES NO |

|  |  |
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| 1. **DO YOU HAVE ANY CURRENT INTELLECTUAL PROPERTY RIGHTS?** | YES NO |
| Please indicate any right on the subject matter of the call granted to tenderer/participant in grant awarding procedures and their proposed subcontractor(s) and consortium partner(s) that are the result of human intellectual creativity, such as trademarks or patents. Plain authorship and publications are not to be declared. |

**IF YES**, please specify as follows

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| --- | --- | --- |
| Organisation  (name, legal nature and location of the organization) | 1) Subject Matter of the IPR (e.g. GMO, pesticides, feed, etc)  2) Your role and if appropriate, tasks and responsibilities (e.g. patent holder, contributor, copyright holder etc.)  3) How the activities relate to the subject matter of the call | Impact on annual earnings |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |

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| --- | --- |
| 1. **DO YOU CURRENTLY HOLD ANY OTHER MEMBERSHIP OR AFFILIATION?** | YES NO |
| Please indicate any residual membership or affiliation of the tenderer/applicant/participant in grant awarding procedure and their proposed subcontractor(s) and consortium partner(s) to any entity with an interest in the subject matter of the call, including professional organisations. |

**IF YES**, please specify as follows

|  |  |  |
| --- | --- | --- |
| Organisation  (name, legal nature and location of the organization) | 1) Subject Matter (e.g. GMO, pesticides, feed, etc)  2) Your role, tasks and responsibilities  3) Activities of the entity  4) How the activities relate to the subject matter of the call | Impact on annual earnings |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |

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| --- | --- |
| 1. **DO YOU CURRENTLY HOLD ANY OTHER RELEVANT INTERESTS?** | YES NO |
| Please indicate any interest not falling under the other categories above (such as prizes, gifts, awards and hospitality), relevant for the purposes of the [EFSA decision on Competing Interest Management](https://www.efsa.europa.eu/sites/default/files/corporate_publications/files/competing_interest_management_17.pdf) and falling within the subject matter of the call. |

**IF YES**, please specify as follows

|  |  |  |
| --- | --- | --- |
| Organisation  (name, legal nature and location of the organization) | 1) Subject Matter (e.g. GMO, pesticides, feed, etc)  2) Your role, tasks and responsibilities  3) Activities of the entity  4) How the activities relate to the subject matter of the call | Impact on annual earnings |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |

I confirm that:

I think that **my organisation does not have a conflict of interest** with respect to this call that would inevitably occur in case of contract/grant award.

OR

I thinkthat **my organisation has a conflict of interest** with respect to this call that would inevitably occur in case of contract/grant award for the following reasons:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The legal representative of the declaring entity declares that has read the [EFSA Decision on Competing Interest Management](https://www.efsa.europa.eu/sites/default/files/corporate_publications/files/competing_interest_management_17.pdf) implementing EFSA’s Policy on Independence and that the above declaration is truthful and complete.

Name of the Organisation: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of the legal representative: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Seal of the organisation: Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Tenderers/applicants in grant awarding procedures are aware of the fact that a declared interest does not necessarily mean to have a conflict of interest. EFSA will apply the principle of proportionality laid down in Article 160 of Council Regulation (EU, EURATOM) No 2018/1046 above.) [↑](#footnote-ref-2)