

# **ANNUAL DECLARATION OF INTERESTS (ADoI)**

itle (Prof, Dr, Mr, Ms, Mrs)	Prof. Dr.
Name	CARLOS GONÇALO
Family name	DAS NEVES
Profession	CHIEF SCIENTIST
EFSA involvement(s)	Executive Director Office – Chief Scientist

□ Any modification made to the structure and content of the present template will make the document invalid.

- **Please fill in all fields, as appropriate.**
- Please declare any interest overlapping with EFSA's complete set of responsibility that you or your close family members<sup>1</sup> currently have or have had in the past five years.

#### **I. FINANCIAL INVESTMENTS**

I. Financial investments	Period <sup>1</sup> From/To (Month/Year)	Organisation <sup>2</sup>	Subject matter <sup>3</sup>

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name and location of the organization on which the investment has been made.
- 3. Please indicate any investment such as economic stake or share in an entity with an interest directly or indirectly falling within EFSA's remit, including its stocks, equities or bonds, or of one of its subsidiaries or of a company in which it has a holding. Please provide a description of the investment, including whether you have influence over it (e.g. "no control as it is a fund managed by a professional company with no possibility of

<sup>&</sup>lt;sup>1</sup> "Close Family Member" means: i. a spouse, meant as the person engaged in the marital relationship with the concerned individual; ii. a partner with whom a Concerned individual has contracted a registered partnership, on the basis of the legislation of the relevant legal system; iii. the direct descendants and ascendants who are financially dependent on the Concerned individual.

deciding the fund's strategy"; or "complete control as this corresponds to ordinary shares I can sell or buy at will") and the field activity of the organisation on which the investment is made.

# **II. MANAGERIAL ROLE**

II. Managerial role	Period <sup>1</sup> From/To (Month/Year)	Organisation <sup>2</sup>	Subject matter <sup>3</sup>	Impact on annual earnings <sup>4</sup>
	AUG 2018 - JULY 2023	European College of Zoological Medicine	I am a certified diplomate of the European College of Zoological Medicine since 2013. This a scientific organization focused on the professional certification of veterinary specialists in Europe. I serve since 2018 and until July 2023 as the Chair of the Wildlife Population Health speciality and therefore part of the College Board for that period. At the time of joining EFSA I was authorised to continue with this activity until reaching the end of my term in July 2023.	<ul> <li>○ 0%</li> <li>□&gt;0% but &lt;5%</li> <li>□&gt;5% but &lt;25%</li> <li>□&gt;25%</li> </ul>

	Wildlife Disease	I am a member of the Wildlife	⊠ 0%
AUG 2017-	Association	Disease Association since 2005. I	□>0% but <5%
JULY 2023	ASSUCIACIUM	have served as Vice President of the	$\Box > 5\%$ but < 25%
			$\Box > 25\%$ but $< 25\%$
		Association between 2017 and 2019,	□>23%
		and as its President from 2019 to	
		2021. I am currently its Past	
		President until July 2023. The mission	
		of the Wildlife Disease Association is	
		to promote healthy wildlife and	
		ecosystems, biodiversity	
		conservation, and environmentally	
		sustainable solutions to One Health	
		challenges.	
		The Past President sits on the Council	
		of the Association for a period of two	
		years after leaving the office of	
		President. After leaving the	
		Presidency of the WDA in 2021 I	
		became automatically Past-President	
		until July 2023. Once leaving that role	
		I revert to being a normal member.	
		At the time of joining EFSA I was	
		authorised to continue with this	
		activity until reaching the end of my	
		term as Past-President in July 2023.	
		term as rast-riesident in July 2023.	

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name, legal nature and location of the organization.
- 3. Please indicate any participation, paid or unpaid, in the internal decision-making process (such as board membership, directorship, etc.) of an entity with an interest falling within EFSA's remit. Please describe your tasks and responsibilities, the remit of the organisation and how its activities relate to the remit of EFSA. Please indicate also whether the organisation carries out risk management activities, whether you are personally empowered

to validate or take management actions/decisions and if you serve as a member of a regulatory committee advising on risk management matters.

4. Please indicate the impact of the activity on your annual earnings, by selecting one of the values provided. This indication needs to be given also for past interests older than one year prior to the submission of the ADoI.

### **III. MEMBER OF A SCIENTIFIC ADVISORY ENTITY**

III. Member of a scientific advisory entity	From/To	Organisation <sup>2</sup>	Subject matter <sup>3</sup>	Impact on annual earnings <sup>4</sup>
	SEP 2014 - SEP 2018	Norwegian Scientific Committee for Food and Environment	National Expert on the Panel on Animal Health and Animal Welfare, Norwegian Scientific Committee for Food and Environment that in Norway conducts risk assessments similar to EFSA. VKM has no mandate related to risk management or policy decisions.	<ul> <li>☑ 0%</li> <li>□&gt;0% but &lt;5%</li> <li>□&gt;5% but &lt;25%</li> <li>□&gt;25%</li> </ul>
	JUN 2020-OCT 2022	Research Council of Norway	I sat on the Research Council of Norway national scientific advisory reference group for Horizon Europe, responsible for	<ul> <li>☑ 0%</li> <li>□&gt;0% but &lt;5%</li> <li>□&gt;5% but &lt;25%</li> <li>□&gt;25%</li> </ul>

		advising the Norwegian authorities on scientific priorities for the EU funding schemes.	
AUG 2019 – on going	IUCN Species Survival Commission	Since 2019. Member of the IUCN Species Survival Commission, Wildlife Health Specialist Group. IUCN is the global authority on the status of the natural world and the measures needed to safeguard it. The focus of the group I am part of is on health and conservation of wildlife species. All members of this group are chosen on the basis of their scientific backgrounds through an international open call every 4 years. The only activity carried is occasional scientific advise on issues related to wildlife health and conservation. At the time of joining EFSA I was authorised to continue with this activity until reaching the end of my term.	<pre>⊠ 0%</pre> □>0% but <5% □>5% but <25% □>25%
AUG 2022-on going	Intergovernmental Science Policy Platform on Biodiversity and	Since 2022. Appointed to take part of the Intergovernmental Science Policy Platform on Biodiversity and Ecosystem Services IPBES Nexus	<ul> <li>☑ 0%</li> <li>□&gt;0% but &lt;5%</li> <li>□&gt;5% but &lt;25%</li> <li>□&gt;25%</li> </ul>

Ecosystem Services (IPBES)	assessment thematic assessment of the interlinkages among biodiversity, water, food and health. The report is due in 2024. IPBES strengthens the science-policy interface for biodiversity and ecosystem services for the conservation and sustainable use of biodiversity. Experts were chosen via an international open call and all experts work on their individual capacity.	
	At the time of joining EFSA I was authorised to continue with this activity until reaching the report publication date.	

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name, legal nature and location of the entity.
- 3. Please indicate any participation in the works of a scientific advisory entity with an interest falling directly or indirectly within EFSA's remit and other than those organised by EFSA (such as membership of Scientific Panels, Working Groups. Peer review meetings, Networks, etc.). Please provide a description of the remit of the advisory entity, the subject matter (e.g. substances, products, guidance documents, policies, etc.), your precise role (e.g. Chair, member, etc.), the nature of the advice delivered (e.g. opinion, statement, advice, etc.), the recipient of the advice, and how the subject matter of the advisory entity relates to the remit of EFSA. Please indicate whether the entity carries out risk management activities, whether you are personally empowered to validate or take management actions/decisions or not, or whether you serve as member of a regulatory committee advising on risk management matters.
- 4. Please indicate the impact of the activity on your annual earnings, by selecting one of the values provided. This indication needs to be given also for past interests older than one year prior to the submission of the ADoI.

### **IV. EMPLOYMENT**

IV. Employment	Period <sup>1</sup> From/To (Month/Year)	Organisation <sup>2</sup>	Subject matter <sup>3</sup>	Impact on annual earnings <sup>4</sup>
	1/11/2022- now	European Food Safety Authority	Chief Scientist	□ 0% □>0% but <5% □>5% but <25% ⊠>25%
	MAR 2013 OCT 2022	Norwegian Veterinary Institute	Permanent employed at the Norwegian Veterinary Institute, Norway, as Director for Research and Internationalisation.	□ 0% □>0% but <5% □>5% but <25% ⊠>25%
	JAN 2012 JAN 2025	University of Tromso, Faculty of Health Sciences	Tromso, Norway. This is a secondary position as Professor in Wildlife and One Health that constitutes a 10 per cent position and is linked exclusively to teaching duties.	□ 0% ⊠>0% but <5% □>5% but <25% □>25%
			At the time of joining EFSA I was authorised to continue with this activity.	

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name, legal nature and location of the organization.
- 3. Please indicate any form of regular occupation or business, part-time or full-time, paid or unpaid, including self-employment, and any consultancy activities performed in the context of employment and provided to individual business operators or other private parties and indicate their identities. Please describe the remit of the employing entity or organisation, your precise role, tasks, responsibilities and the nature or area of your work, what the activity is about (e.g. types of substances, products, guidance documents, processes or policies) and how it relates to the remit of EFSA. Please indicate whether the employing entity or organisation carries out risk management activities, whether you are personally empowered to validate or take risk management actions/decisions or not, or whether you serve as member of a regulatory committee advising on risk management matters.
- 4. Please indicate the impact of the activity on your annual earnings, by selecting one of the values provided. This indication has to be given also for past interests older than one year prior to the submission of the ADoI.

# V. OCCASIONAL CONSULTANCY

V. Occasional consultancy	Period <sup>1</sup> From/To (Month/Year)	Organisation <sup>2</sup>	Subject matter <sup>3</sup>	Impact on annual earnings <sup>4</sup>
				□ 0% □>0% but <5% □>5% but <25% □>25%
				□ 0% □>0% but <5% □>5% but <25% □>25%

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name, legal nature and location of the organization.
- 3. Please indicate any consultancy activity occurring rarely so that it does not become a regular occupation, and in which you provide advice or services, paid or unpaid, to companies, trade associations or other bodies with an interest falling directly or indirectly within EFSA's remit. Please provide a description of what the consultancy/advice is about, the topic of the delivered consultancy and the nature of the associated deliverable (e.g. study, report, assessment report, etc.). Please indicate whether the occasional consultancy implies risk management activities, whether you are personally empowered to validate or take risk management actions/decisions or whether you serve as member of a regulatory committee advising on risk management matters.
- 4. Please indicate the impact of the activity on your annual earnings, by selecting one of the values provided. This indication has to be given also for past interests older than one year prior to the submission of the ADoI.

### **VI. RESEARCH FUNDING**

VI. Research funding	Period <sup>1</sup> From/To (Month/Year)	Organisation <sup>2</sup>	Subject matter <sup>3</sup>	the priv years p of the total	he funding received from vate sector during the two preceding the submission ADoI exceeds 25% of the research budget that is aged by you for the area under concern <sup>4</sup> ?
	JAN 2017 - OCT 2022	Ministry of the Environment of Norway	National Wildlife Health Surveillance programme, yearly funding of approximately 400,000 EUR provided from the Ministry of the Environment of	□YES	⊠NO

		Norway to perform state wide surveillance for health and diseases of wildlife. My link to the project terminated upon taking duties in EFSA.		
SEP 2018 - OCT 2022	European Union	European Joint Programme ONE HEALTH, funding from the European Union to Norwegian Veterinary Institute totals 3,5M EUR over the total period. The work is related to food-borne zoonoses, antimicrobial resistance and emerging threats. My link to the project terminated upon taking duties in EFSA.	□YES	⊠NO
JUN 2021 - OCT 2022	Research Council of Norway	Rabies One Health Malawi, development scientific project in Malawi funded by the Research Council of Norway 2021 to 2024, 1,2M EUR. Project focus on capacity building for containing and eradicating Rabies from Malawi. My link to the project terminated upon taking duties in EFSA.	□YES	⊠NO
JAN 2021 - OCT 2022	Norwegian Development Agency	Sustainable food systems in Africa in a ONE HEALTH perspective, funded by the Norwegian Development Agency. 2021 to 2022, 120,000 EUR.	□YES	⊠NO

My link to the pr	roject terminated
upon taking duti	ies in EFSA.

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name, legal nature and location of the organization providing the research funding.
- 3. Please indicate any funding for research or development work received from any public or private entity either in a personal or professional capacity and falling within EFSA's entire set of responsibilities. It includes grants, rents, reimbursement of expenses, sponsorship and fellowship. Please indicate the topic of the research activity and the nature of the associated output (e.g. study, report, publication, etc.).
- 4. Please include also research funding received by your employing organisation.

#### **VII. INTELLECTUAL PROPERTY RIGHTS**

VII. Intellectual property rights	Period <sup>1</sup> From/To (Month/Year)	Organisation <sup>2</sup>	Subject matter <sup>3</sup>	Impact on annual earnings <sup>4</sup>
				□ 0% □>0% but <5% □>5% but <25% □>25%
				□ 25% □ 0% □>0% but <5% □>5% but <25% □>25%

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name, legal nature and location of the organization.
- 3. Please indicate all works resulting from human intellectual creativity (e.g. patents, trademarks, inventorship, etc.) for which you have been granted rights, irrespective of whether they grant a financial gain, and that fall, directly or indirectly, within EFSA's remit. Please indicate if the intellectual property is still valid or if it expired and provide a description of the topic covered by the granted right (e.g. GMO, pesticides, feed, etc.), your role (e.g. patent holder, contributor etc.) and how the intellectual property right relates to the remit of EFSA.
- 4. Please indicate the impact of the activity on your annual earnings, by selecting one of the values provided. This indication has to be given also for past interests older than one year prior to the submission of the ADoI.

# **VIII. OTHER MEMBERSHIP OR AFFILIATION**

VIII. Other membership or affiliation	Period <sup>1</sup> From/To (Month/Year)	Organisation <sup>2</sup>	Subject matter <sup>3</sup>	Impact on annual earnings <sup>4</sup>
	Since SEP 2005	Wildlife Disease Association	Since 2005 member of the Wildlife Disease Association, presented under point 2. This is a regular scientific professional organisation membership.	<ul> <li>☑ 0%</li> <li>□ &gt;0% but &lt;5%</li> <li>□ &gt;5% but &lt;25%</li> <li>□ &gt;25%</li> </ul>

MAR 2021 -JUL 2023	Canadian Institutes of Health Research	At the time of joining EFSA I was authorised to continue with this membership. Since 2021 member of the Global 1 Health Network, an interdisciplinary research to action network from the Canadian Institutes of Health Research. Network Main objectives: Develop and sustain a Network of local, national and international state and non-state actors to facilitate collaborative interactions among different stakeholders: Eacilitate	<ul> <li>☑ 0%</li> <li>□&gt;0% but &lt;5%</li> <li>□&gt;5% but &lt;25%</li> <li>□&gt;25%</li> </ul>
		among different stakeholders; Facilitate the implementation of a transdisciplinary One Health approach to global governance of IDs and AMR. I have been invited in 2021 to join as an international expert. The invitation is on a personal capacity and my participation is without remuneration and ceased in July 2023 when the network activities reached its end.	
		At the time of joining EFSA I was authorised to continue with this membership.	
Since DEC 2022	International Scientific Board of the Chinese Food Safety Authority	The Chief Scientist of EFSA has previously sat on the international scientific board of CFSA. An invitation to renew this was extended to me upon taking the position as Chief Scientist of EFSA, and accepted in the interest of the service and in agreement with the Executive Director.	<ul> <li>☑ 0%</li> <li>□&gt;0% but &lt;5%</li> <li>□&gt;5% but &lt;25%</li> <li>□&gt;25%</li> </ul>

		The ISC is constituted by international experts in the field of food safety, risk assessment and regulatory sciences and provides scientific advise to CFSA.	
Since Jan 2023	Research Directions: One Health - Journal	As Chief Scientist of EFSA I have been invited to be part of the editorial board of the Cambridge Press Journal – Research Directions: One Health, which publishes research articles in the field of One Health, a key approach to the EFSA strategy. The invitation was therefore relevant to the mission of the Chief Scientist Office and accepted in the interest of the service. My contributions is limited to editorial advise and manuscript reviewing.	<ul> <li>☑ 0%</li> <li>□&gt;0% but &lt;5%</li> <li>□&gt;5% but &lt;25%</li> <li>□&gt;25%</li> </ul>

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name, legal nature and location of the organization.
- 3. Please indicate any membership or affiliation with entities with an interest falling within EFSA's remit not falling under the categories defined above and relevant for the purposes of the EFSA decision on Declarations of Interest. This shall include roles and membership in professional associations, learned society, Non-Governmental-Organisations and comparable entities. Please provide a description of your precise role, tasks, responsibilities, the activities of the entity or person with whom the activity is engaged, of its remit, and when possible of its funding, and how the activity relates to the remit of EFSA.
- 4. Please indicate the impact of the activity on your annual earnings, by selecting one of the values provided. This indication has to be given also for past interests older than one year prior to the submission of the ADoI.

# **IX. OTHER RELEVANT INTEREST**

IX. Other relevant interest	Period <sup>1</sup> From/To (Month/Year)	Organisation <sup>2</sup>	Subject matter <sup>3</sup>	Impact on annual earnings <sup>4</sup>
				□ 0% □>0% but <5% □>5% but <25% □>25%
				□ 0% □>0% but <5% □>5% but <25% □>25%

- 1. Please specify the relevant period of time each activity took place in month/year.
- 2. Please indicate name, legal nature and location of the organization.
- 3. Please indicate any interest not falling under the other eight categories above (such as prizes, gifts, awards and hospitality) and relevant for the purposes of the EFSA Decision on Declarations of Interest. Please provide a description of the interest, such as the subject matter of the activity (e.g. GMO, pesticides, feed, etc.), your precise role, tasks, responsibilities, deliverables and, if any, the context in which the activity takes place, the field of activities of the organisation and how these relates to the remit of EFSA.
- 4. Please indicate the impact of the activity on your annual earnings, by selecting one of the values provided. This indication has to be given also for past interests older than one year prior to the submission of the ADoI.

I hereby declare that what provided in the present Declaration of Interest is correct and complete. Should my personal situation change which could affect my employment at EFSA, I will submit an updated Declaration of Interest according to the relevant provisions of the EU Staff Regulations<sup>2</sup> (Articles 11 and 11a) and the provisions of the Decision of the Executive Director on Declarations of Interest of 31 July 2014, Article 20<sup>3</sup>.

Date: 30/06/2023 Signature:

#### Note regarding the processing of personal data:

EFSA processes all Declarations of Interests (DoIs) in accordance with Regulation (EU) 2018/1725 ('the EUDPR'). DoI processing is necessary in order to safeguard the independence of EFSA and enable the Authority to carry out its mission and comply with its obligations under Regulation (EC) No 178/2002.

The Executive Director of EFSA is the data controller with respect to the handling of DoIs and tasks are entrusted to the Legal Affairs Services Unit which can be contacted writing to <u>interestmanagement@efsa.europa.eu</u>

The recipients of the staff ADoIs are the EFSA designated staff members involved in the assessment and validation process, namely Heads of Departments, Heads of Unit and the Executive Director as the Appointing Authority.

Staff members are obliged to submit a ADoI in accordance with the Decision of the Executive Director on Declarations of Interests of 31 July 2014, Article 20, as to verify the absence of the conflict of interests and thus to protect the independence of EFSA. Staff members will be contacted if EFSA becomes aware of information that is not consistent with the declared interest such as on the occasion of compliance monitoring activities outlined in the relevant <u>Standard Operating Procedure</u>

Concerned individuals have the right pursuant to the EUDPR, to access, rectify, erase and object to the processing of their ADoI at any time by contacting the Legal Affairs Services Unit writing to the email address indicated above.

The conservation period for ADoIs per category of data subjects is 10 years from its date of submission.

<sup>&</sup>lt;sup>2</sup> Regulation No 31 (EEC), 11 (EAEC), laying down the Staff Regulations of Officials and the Conditions of Employment of Other Servants of the European Economic Community and the European Atomic Energy Community, OJ P 045 14.6.1962, p. 1385.

<sup>&</sup>lt;sup>3</sup> Decision of the Executive Director on Declarations of Interest of 31 July 2014, EFSA/LRA/DEC/02/2014 -

https://www.efsa.europa.eu/sites/default/files/corporate\_publications/files/independencerules2014.pdf

Concerned individuals may direct any queries regarding personal data processing by EFSA to the data protection officer <u>DataProtectionOfficer@efsa.europa.eu</u> They are entitled to submit a complaint at any time to the European Data Protection Supervisor: <u>http://www.edps.europa.eu</u>

The legal basis for ADoI processing is provided for in Articles 11 and 11a of the Staff Regulations and Article 81 of the Conditions of Employment of Other Servants, as well as the Decision of the Executive Director on Declarations of Interests of 31 July 2014, Article 20 (see footnote 3).