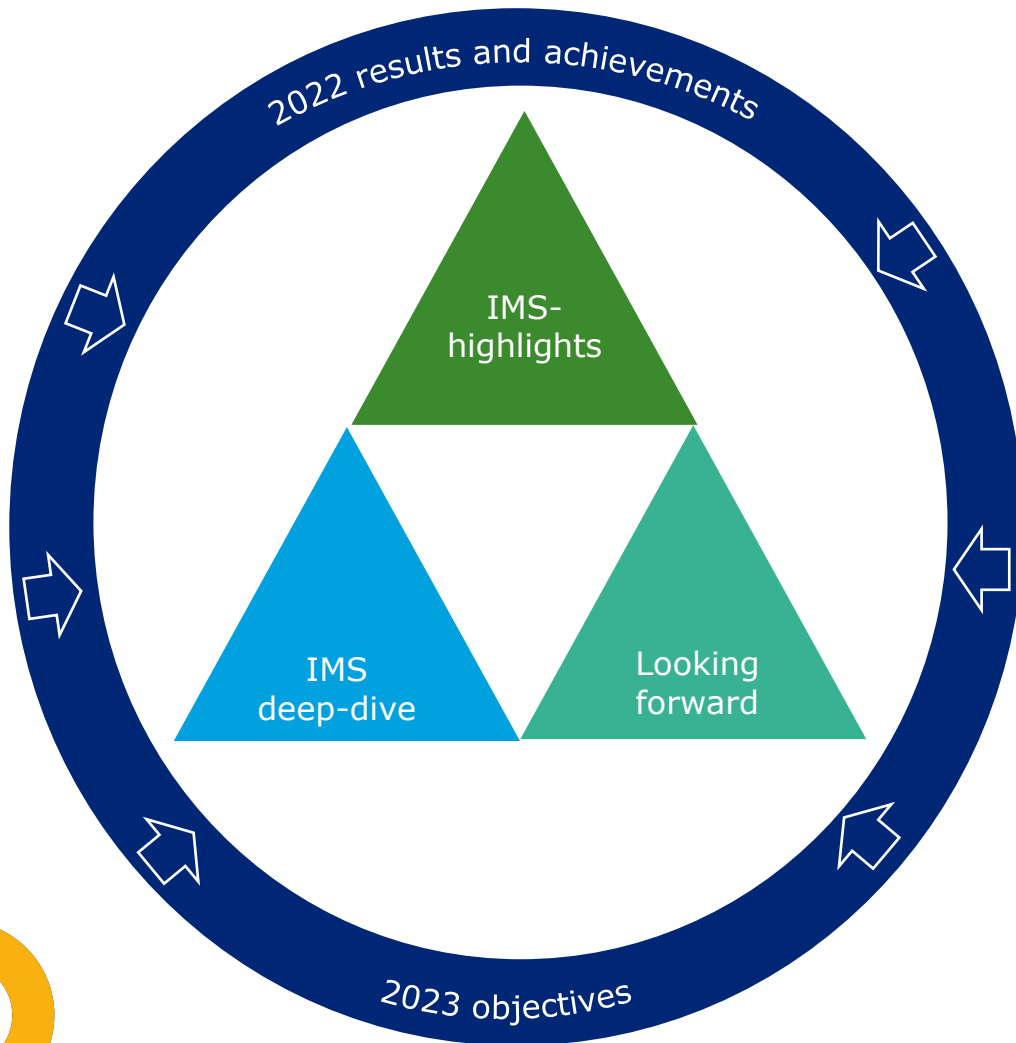


INTEGRATED MANAGEMENT SYSTEM REVIEW 2022



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Integrated Management System (IMS) highlights

- ❑ IMS objectives 2022- status
- ❑ IMS Roadmap update

Integrated Management System (IMS) deep-dive

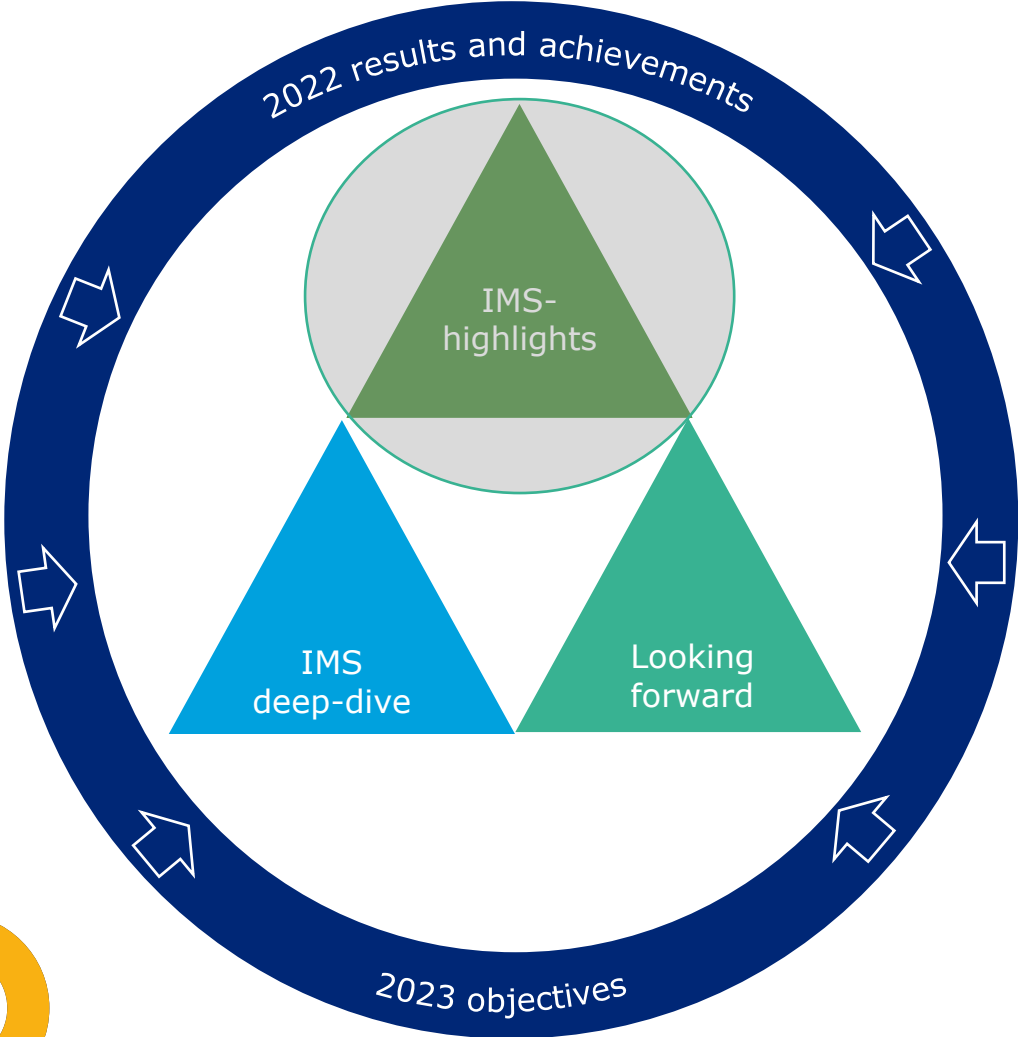
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- ❑ Health, Safety, Security & Environment (HSSE)

Looking forward

- ❑ General context – Major developments
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OBJECTIVES & ASSURANCE PILLARS REPORTING

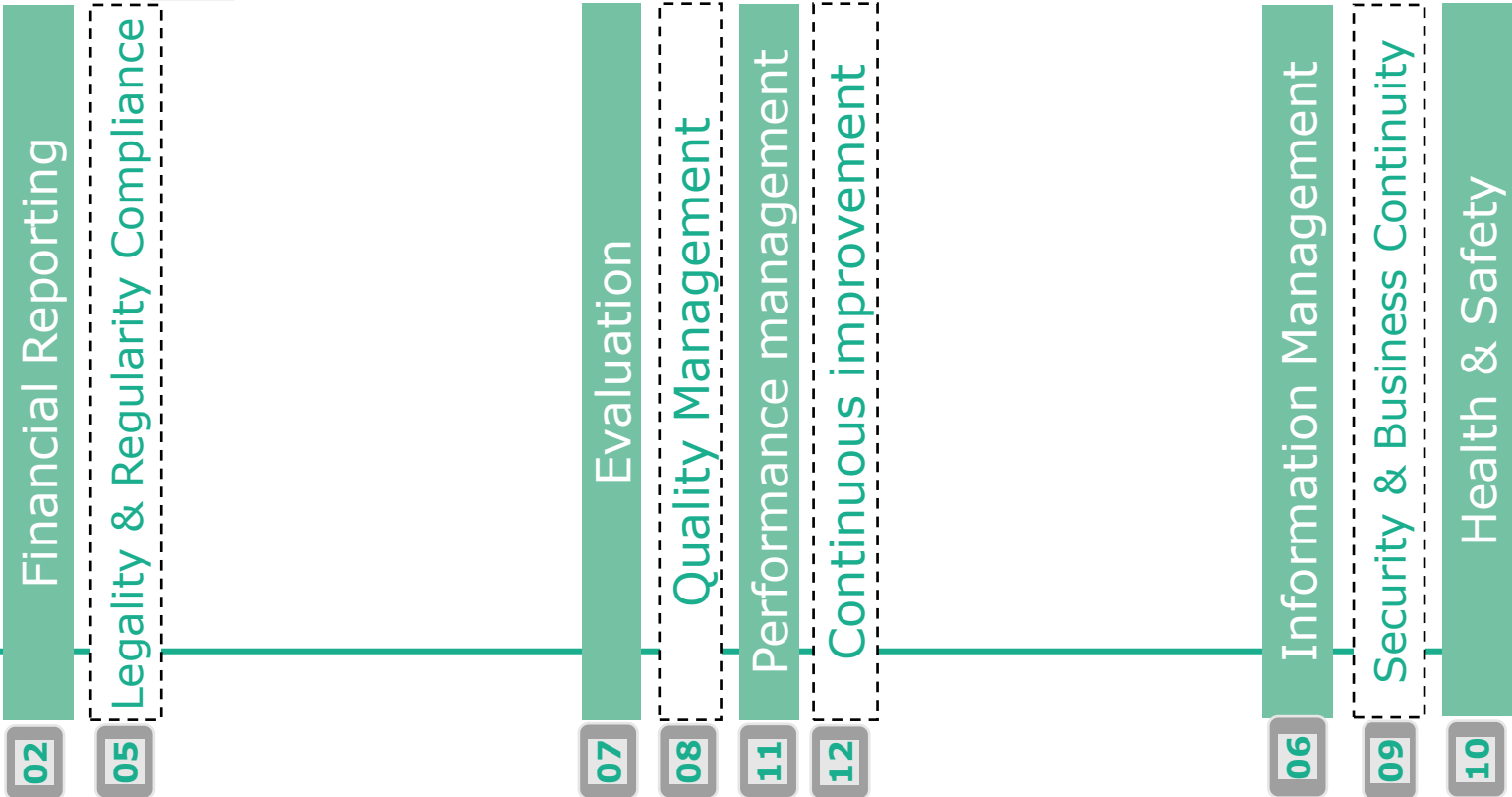
Objectives

LEGALITY AND REGULARITY
(Internal Control Framework n. 4 and 5)

QUALITY AND PERFORMANCE
(Internal Control Framework n. 1 and 2 - ISO 9001)

SAFETY AND SECURITY
(Internal Control Framework n. 3; ISO 45001, 14001, 22301, 27001, EMAS)

- 01 Audit Management
- 03 Risk Management
- 04 Internal Control



Assurance pillars



IMS 2022| ISO AUDITS OVERVIEW

Internal Audit Service Audit on Information Security and Disaster Recovery |
European Court of Auditors Financial, Legality & Regularity Audit

2 very important observations
& 3 important recommendations

All EFSA's ISO certifications were confirmed in 2022

**ISO 9001:2015
Quality Management**

0 non-conformities
2 opportunities for improvement

**ISO 45001: 2018
Occupational Health
& Safety
Management**

0 non-conformities
12 opportunities for improvement

**ISO 22301: 2019
Business Continuity
Management**

0 non-conformities
2 opportunities for improvement.

**ISO 14001: 2015 &
EMAS Environmental
Management**

0 non-conformities
9 opportunities for improvement.



IMS 2022 & 2023 | UPDATED IMS ROADMAP

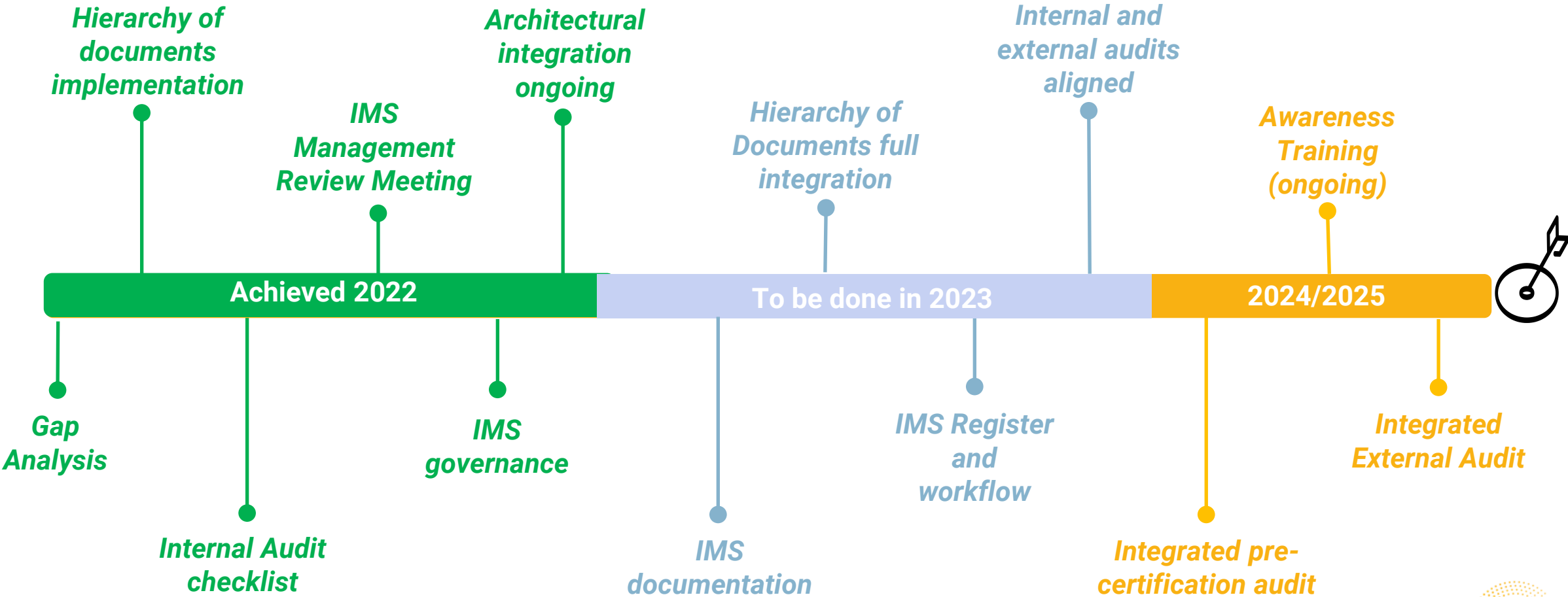
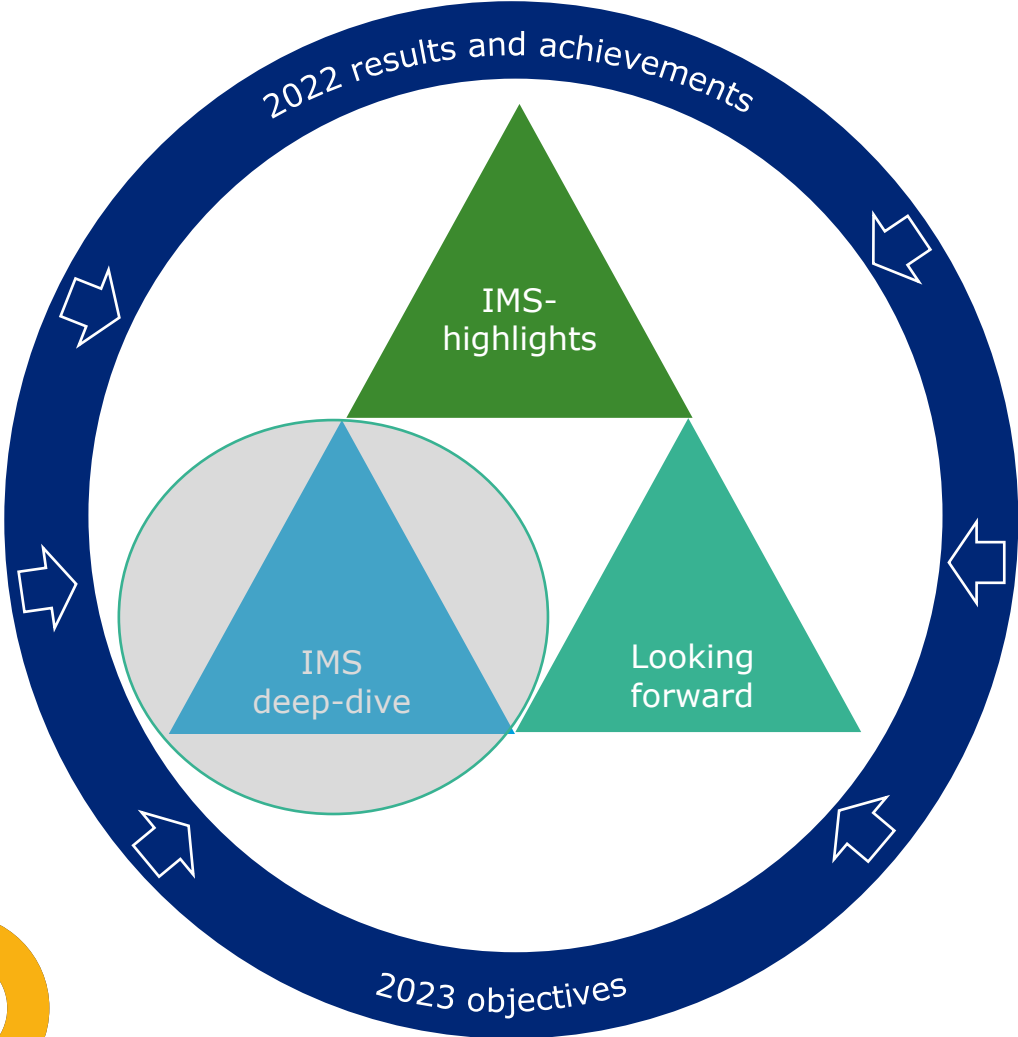


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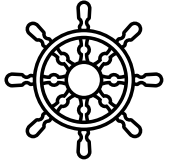
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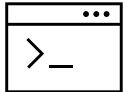


INTERNAL CONTROL ASSESSMENT 2022



Control clusters

- **Independence** -> **0 Conflict of Interest**
- **Human Resources** - **Ex-post control on outside activities.**
Monitoring timesheet submission and approval -> ongoing
- **Information Security** **Access Rights Management**
- **Finance** **Public Procurement**
- **Science** **Internal Quality Audits**



Exception Register

- **Stable-** DOI tool & financial

Audits



ECA

- Financial, Legality and Regularity, positive,
One preliminary finding on Conflict of Interest management

IAS :

- Information Security and Disaster Recovery
Two very important and three important audit recommendations.

- *Two important outstanding recommendations not implemented within agreed timelines*

Follow-up audits by IAS & ECA **All action plans adequately and effectively implemented**

Internal Control Assessment

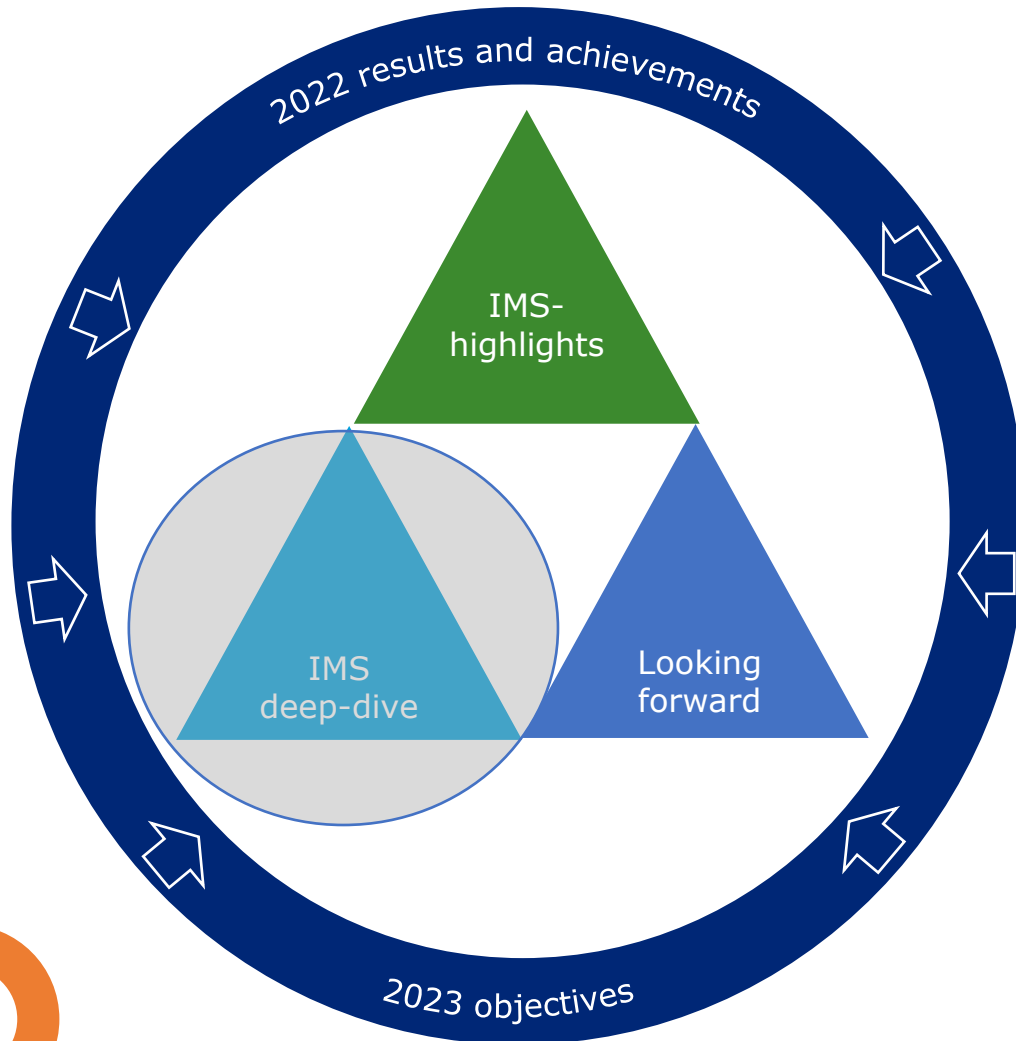


- 2020 **Discharge granted**
- ECA's 2021 **reliability of accounts and legality & regularity of transactions**
- **Anti-fraud**

- Timeliness of scientific outputs
- Full disaster recovery exercise
- Update of Scientific guidance



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CUSTOMER FEEDBACK|2022

EFSA STRATEGY SURVEY OVERVIEW

EFSA overall satisfaction

76%



Customers

65%



Stakeholders

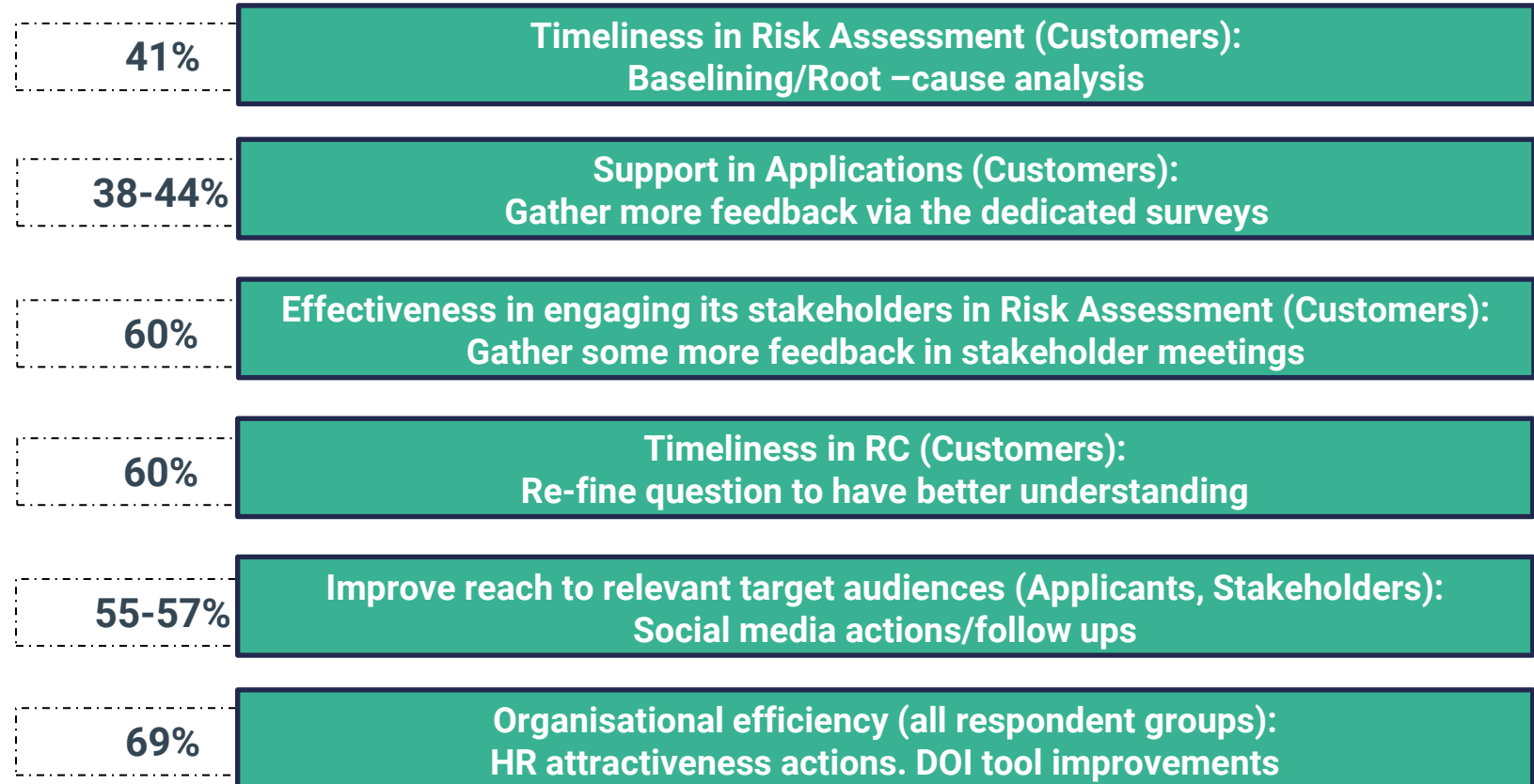
73%



Partners

90%

Areas for attention



CUSTOMER FEEDBACK|2022

AREAS OF ATTENTION- SANTE

SANTE Interviews overview

- 14 outputs were selected- by EFSA or SANTE
- Feedback **mainly positive**
- **Good** collaboration & communication

Common areas for attention EFSA Strategy Survey & SANTE Interviews

- S01** Fitness for purpose- use for regulatory purpose
- S01** Ability to communicate risks in a way that is clear, comprehensible and meaningful
- S02** Appropriateness of the data used by EFSA for risk assessment

SANTE Interviews- 2023

Piloting "regular feedback over the year" will begin first half 2023

Evolution of Interviews feedback

- **Not providing a clear basis for regulatory action (at least 1 output a year)**
- **Pesticides conclusions: area of attention but improving** from year to year
- Data gaps leading to **uncertainties/inconclusive** opinions

Positive evolution - no feedback on:

- **EFSA exceeding the Terms of Reference** (since 2019)
- **crossing risk assessment /risk manager boundaries** (since 2018)



QUALITY AND PERFORMANCE | OVERALL PERFORMANCE VIS-À-VIS EFSA 2022 PRIORITIES



Core business performance

- Stable inputs
- Production metrics overall in line with 2021
- Moderate performance deviations in entire E2E stream

	INPUT		PERFORMANCE			
	Budget	FTEs	Volume	Timeliness	Stock	Satisfaction
2022	52.5 M	243	586	84.3%	1411	73%
2021	52.3 M	237	627	82.6%	1441	80%
Year-on-Year	0.4%	2.5%	-6.5%	+2.1%	-2.1%	-8.8%



Setting foundations for Strategy 2027 execution

- New Programmes finalised
- Strategic transformation priorities defined



Optimisation of IT tools

- Increased inputs
- Significant efforts in tool optimisation
- Staff satisfaction remains low and efficiency gains yet to materialise



Finalisation of new processes and governance

- Processes finalised (only residual activities left)
- New Decision-Making Framework introduced



Budget execution

- Budget execution on track, despite increased budget
- Main expenditure increases in Grants&Procurements, IT and communication



Management Board recommendations from 3rd EFSA External Evaluation – [link](#)

[Annual Activity Report \(AAR\) 2022](#)

[Report back to Management Board in June 2023](#)

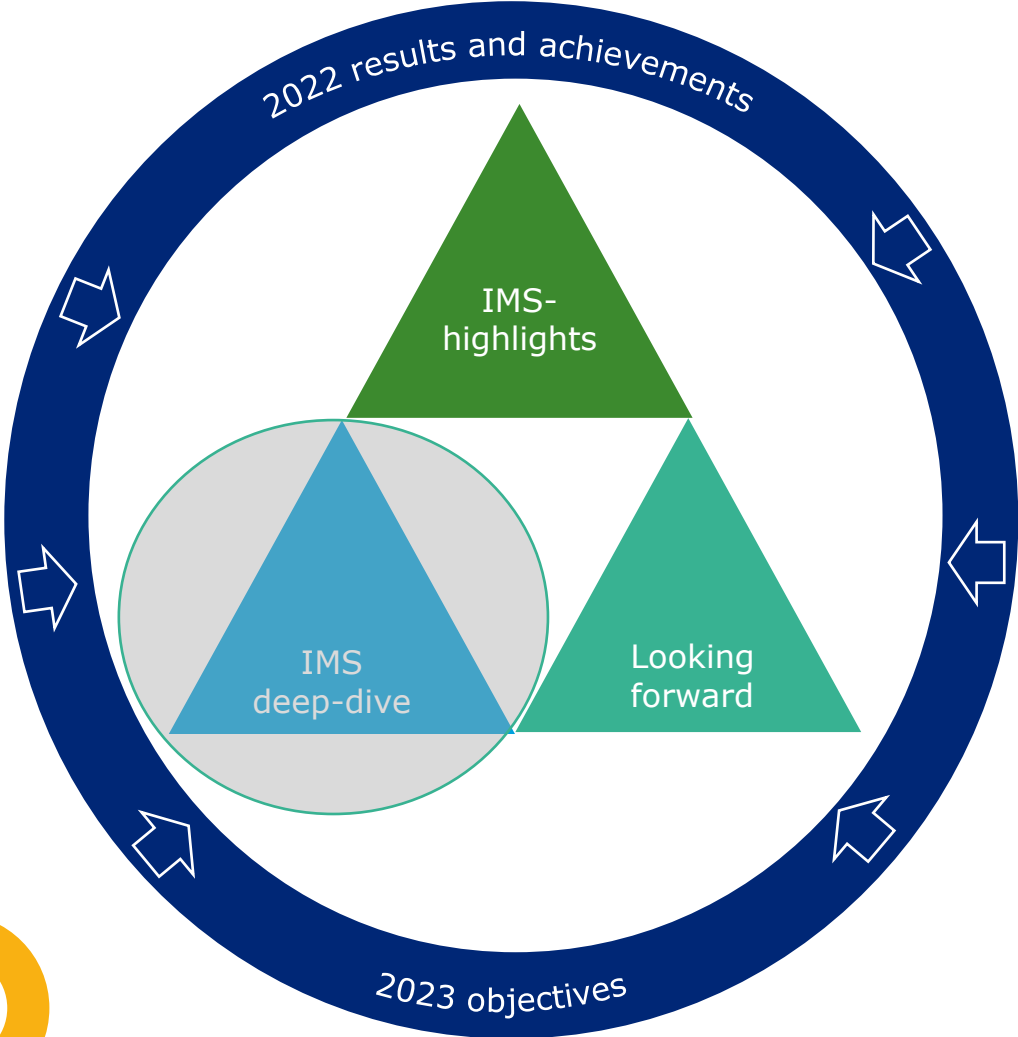
EX-POST Evaluation

[Ex post evaluation \(end 2023\) on specific transparency regulation measures](#)

[Ex post evaluation on policy of independence](#)



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HSSE| MAIN 2022 OBJECTIVES AND DELIVERABLES



ENVIRONMENT

ISO 14001 – EMAS

Purchase of electricity produced 100% from renewable sources

Carbon footprint assessment of EFSA

New contract for shuttles services including green criteria

Re-Certified ISO 14001 and obtained 2nd EMAS renewal



SAFETY

ISO 45001

Third year with zero injuries

Safety audits to Contractors

On-line training courses for all staff
Emergency drill

ISO 45001 certificate maintenance



SECURITY

ISO 22301

Logistics: Power generator autonomy doubled

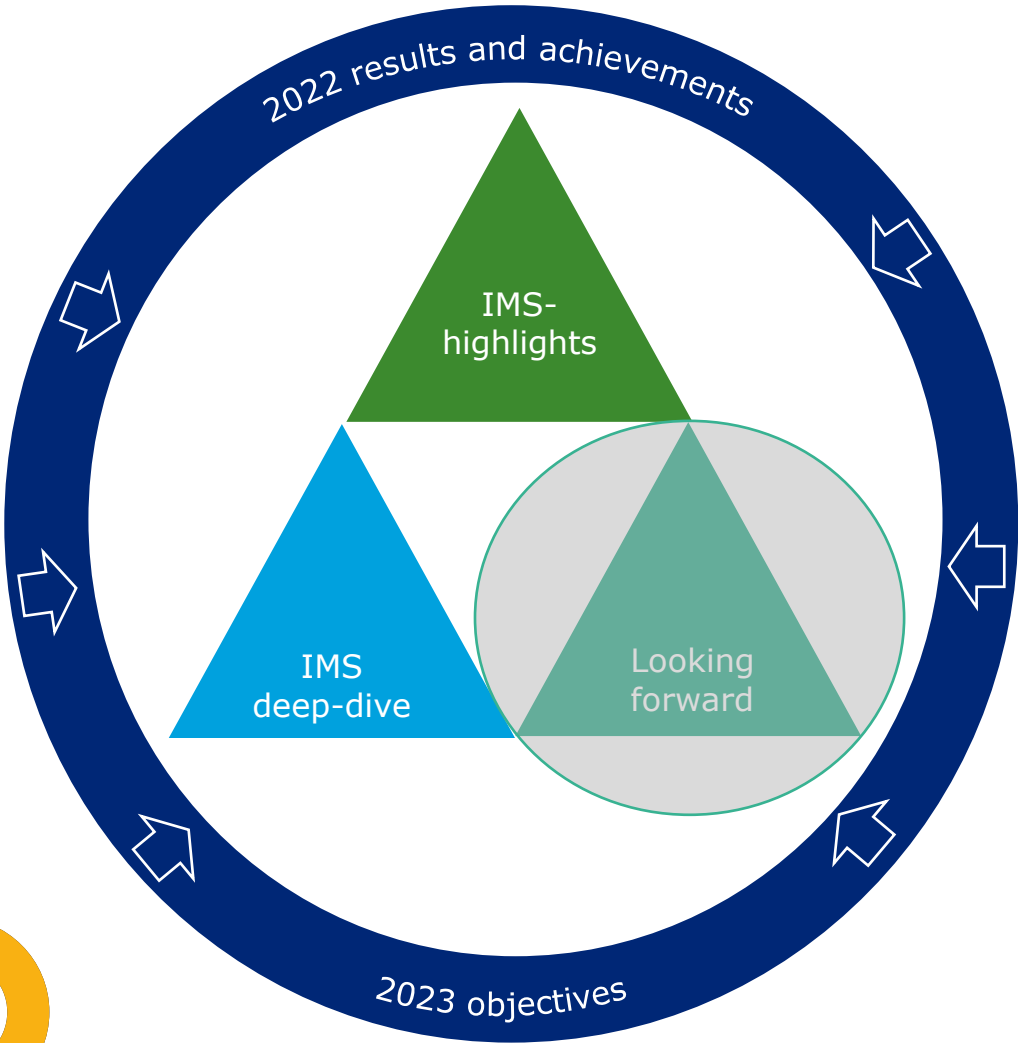
IT: Disaster Recovery exercise performed

Inter-institutional collaboration on Business Continuity with Community Plant Variety Office (CPVO)

ISO 22301 certification maintenance



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DRIVERS & POTENTIAL IMPLICATIONS ON EFSA'S IMS



External

Chemical Strategy for sustainability (DG ENVI)

Farm to fork strategy (DG SANTE) (more info 3rd Quarter 2023)

New Instrument of Pre-accession Assistance (IPA) (DG NEAR)

Partnership on Animal Health and Welfare (DG AGRI) preliminary

New cybersecurity regulation

Inflation increases



Internal

Strategy 2027 (with Transparency regulation), new organisation, new EFSA Process Architecture in place

New ISO/IEC 27001 Information security certification

Preparation for Knowledge Management - ISO 30401:2018

