



3rd PSN IUCLID sub-group meeting – 04 May 2022

IUCLID features: Validation assistant - April and October release

Alessandro Delfino

FDP Unit

Trusted science for safe food



The Validation Assistant is a tool available for IUCLID users to check the dossier is technically complete, before submitting it to EFSA



Business Rules (e.g., BR_PPP)

Prevents the applicant from successfully submitting a dossier



Quality warnings (e.g., QLT_PPP, TCC)

The applicant will be able to submit the dossier but will most likely not pass the admissibility check.

Validation Assistant



TEST Active substance
e57dc653-e783-453e-8308-5d5e5eac3fd5

Working context
EU PPP Microorganisms - active substance ap

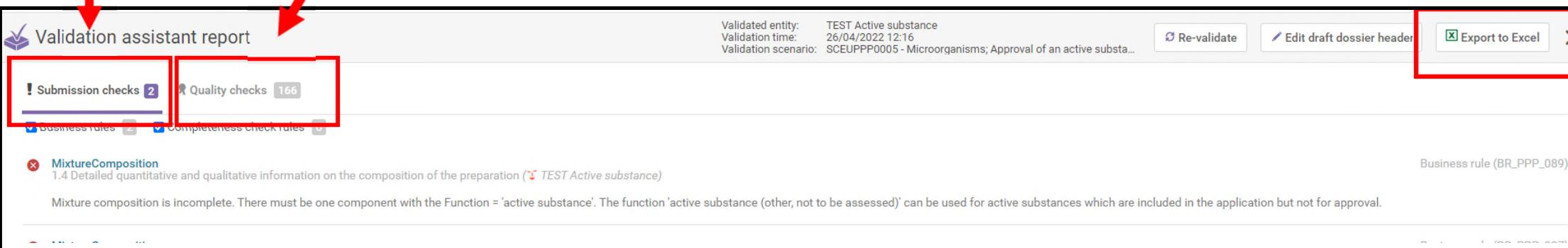
Mixture/Product name*
TEST Active substance

Public name

View Dossiers Validate Create dossier ... ?



BR_PPP QLT_PPP, TCC



Validation assistant report

Validation checks 2 Quality checks 166

Validation entity: TEST Active substance
Validation time: 26/04/2022 12:16
Validation scenario: SCEUPPP0005 - Microorganisms; Approval of an active substa...

Re-validate Edit draft dossier header Export to Excel

MixtureComposition
1.4 Detailed quantitative and qualitative information on the composition of the preparation (TEST Active substance)

Mixture composition is incomplete. There must be one component with the Function = 'active substance'. The function 'active substance (other, not to be assessed)' can be used for active substances which are included in the application but not for approval.

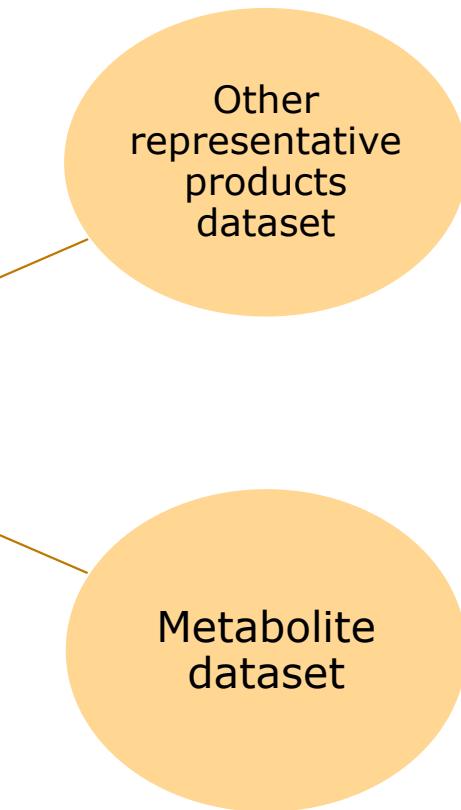
Business rule (BR_PPP_089)

Validation Assistant: April release

- Quality warnings
- 26 new QLT_PPP
- EFSA Agency IUCLID vers. 6.6.14.3



IUCLID

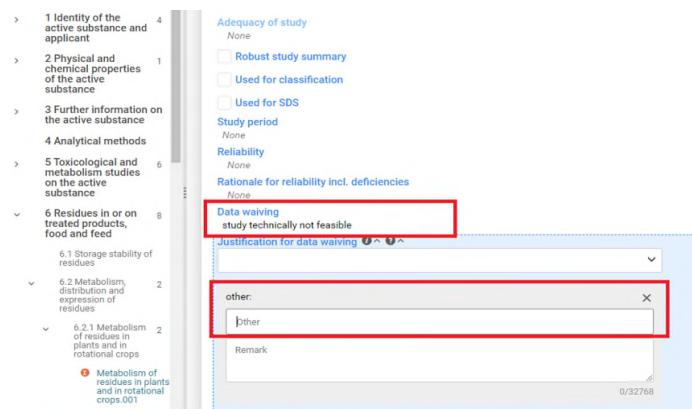


1 Identity of the plant protection product and applicant	10
1.1 Identity of the plant protection product, trade name or proposed trade name, and applicant	1
1.2 Manufacturer of the preparation and the microorganism(s)	3
1.3 Manufacturer's development code number if appropriate	1
1.4 Detailed quantitative and qualitative information on the composition of the preparation	5
MixtureComposition	
Detailed quantitative and qualitative information on the composition of the plant protection product.004	
1.4.1 Information on metabolites	2
1.4.2 Other representative products	1
1.5 (Cf. 1.4) Physical state and nature of the preparation	

April release: Check Administrative data field

- ❑ **QLT_PPP 063/64/65/69** (other representative products)
- ❑ **QLT_PPP 091/92/93/97** (metabolites)

- QLT PPP 063/091: Endpoint must be indicated
- QLT PPP 064/092: Data waiving must be justified



The screenshot shows a software interface for administrative data entry. On the left, a vertical navigation menu lists various study types with their respective counts: 1 Identity of the active substance and applicant (4), 2 Physical and chemical properties of the active substance (1), 3 Further information on the active substance (6), 4 Analytical methods (None), 5 Toxicological and metabolism studies on the active substance (6), 6 Residues in or on treated products, food and feed (8), 6.1 Storage stability of residues (2), 6.2 Metabolism, distribution and expression of residues (2), and 6.2.1 Metabolism of residues in plants and in rotational crops (0.01). On the right, several data fields are displayed: 'Adequacy of study' (None), 'Used for SDS' (checkbox), 'Study period' (None), 'Reliability' (None), 'Rationale for reliability incl. deficiencies' (None), 'Data waiving' (study technically not feasible), and a 'Justification for data waivering' text input field. Below these is a 'Remark' text input field. The 'Data waiving' and 'other:' input fields are highlighted with red boxes.

- QLT PPP 065/093: Reliability must be provided for Key Study (KS) and Weight of Evidence (WoE)
- QLT PPP 069/097: Key studies should have reliability 1 or 2

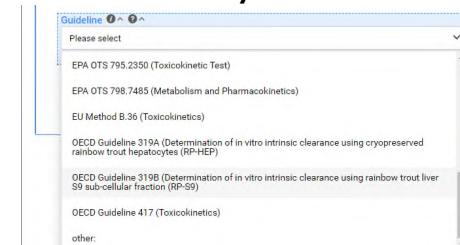
- 1-Reliable without restriction
- 2-Reliable with restrictions

April release: Check Materials And Method field

- ❑ **QLT_PPP 067/68/70** (other representative products)
- ❑ **QLT_PPP 095/96/98** (metabolites)

- QLT PPP 067/095: Guideline must be given for Key Study, WoE and testing proposal

For each endpoint study record marked as 'key study' or 'weight of evidence', or indicated as a testing proposal, the test guideline (to be) used in the study must be indicated in the 'Guideline' under the 'Test guideline' heading.



- QLT PPP 070/098: Deviations in the guideline must be explained

- In the entry 'Test guideline' the field 'Deviations' has been set to 'yes'. In this case, you are expected to provide a brief explanation summarising the deviations from the guideline in the below 'Remarks' field.



April release: Check Materials And Method field

- QLT PPP 068/096: Test material must be given for Key Study, WoE and testing proposal

For each endpoint study record marked as 'key study' or 'weight of evidence', or indicated as a testing proposal, the test material (to be) used in the study must be identified by linking a test material information (TMI) record in the 'Test material information' entry.

April release: Check Attachment blocks – sanitised documents

QLT_PPP_071/097 - Check Data Source - Literature reference

- Attached (sanitised) documents for publication must be provided for each endpoint study record marked as 'key study' or 'weight of evidence'

QLT_PPP_079/106 - Check Data Source - Literature reference

- For each 'Attachments' block a version of the attachment must be provided under the 'Attached (sanitised) documents for publication' field, in addition, a selection under the field 'Attachment type' must be filled in.

QLT_PPP_074 - Check 'Additional information' field (Summaries)

- For each Endpoint study summary document a sanitised version must be provided if 'Attached document' is provided

(NoS) ID				before March 2021	
Attachments	New item	Import file	Attached confidential ...	Attached (sanitised) d...	Actions
1	full study report	Full original study report.pdf		Sanitised Study Report.pdf	

QLT_PPP_075 - Check 'Overall remarks, attachments' field

- If Attached document or Attached full study report is provided, a sanitised version for publication must be provided as well in the 'Attached (sanitised) documents for publication' field

QLT_PPP_076 - Check FLEXIBLE SUMMARY.SummaryEvaluation EU PPP document 'Reports and administrative information' field

- If attachment is provided a sanitised version must be provided as well in SummaryEvaluation_EU_PPP

Validation Assistant: April release

➤ QLT PPP 072/100: For each endpoint study record marked as 'key study' or 'weight of evidence', **report the notification of studies status of the submitted study in the Literature reference entity**.

- ❖ If the study has been notified in the Notification of Studies Database then report the number in the 'Other study identifier(s)' - 'Study ID' and indicate Study ID type="notification of Studies (NoS) ID".
- ❖ If the study has not been notified, then the 'Remarks' field must be completed providing a justification.

Other study identifier(s)		+ New item	Import file	
#	Study ID type	Study ID	Remarks	Actions
1	Notification of Studies (NoS) ID	None	Study commissioned before March 2021	

- ❖ If the study has been notified in the Notification of Studies Database then the NoS ID must be reported in the 'Study ID' field of the Literature Reference for the study, and indicate select type = 'notification of Studies (NoS) ID' NoS_ID and must follow the format: EFSA-YYYY-NNNNNNNN (where YYYY-year with minimum value set to 2021 and numeric and N-8digit number).

Other study identifier(s)		+ New item	Import file	
#	Study ID type	Study ID	Remarks	Actions
1	Notification of Studies (NoS) ID	EFSA-2022-12345678	None	

October release: Update to existing rules

QLT_PPP_010 Update

Study ID and/or Justification (remarks) must be provided

- There is exactly one entry under the *Other study identifier(s)* table with the field 'Study ID type' = **notification of Studies (NoS) ID**
- AND it fulfils at least one of the following:
 - The field 'Study ID' in the 'Literature reference' (LITERATURE.GeneralInfo.StudyIdentifiers.StudyID) is **not** empty and the entry of the following format: EFSA-YYYY-NNNNNNNN (there YYYY-year with minimum value set to 2021 and numeric and N-8digit number).
 - The 'Remarks' field ~~should~~ is **not** be empty.
- AND the field 'Study ID', if exists, has the format EFSA-YYYY-NNNNNNNN (there YYYY-year with minimum value set to 2021 and numeric and N-8digit number).



October release: Dossier header checks

☐ QLT_PPP 108-111

- Rules checking Pre-Application ID (*BS, A.S. Application, MRL, MO*)
The Pre-Application_id must be entered in this format EFSA-ID-YYYY-NNNNNN

QLT_PPP_108 Pre-Application ID in EFSA format (Active substance application)

QLT_PPP_109 Pre-Application ID in EFSA format (Microorganism)

QLT_PPP_110 Pre-Application ID in EFSA format (MRL application)

QLT_PPP_111 Pre-Application ID in EFSA format (Basic substance)

☐ BR_PPP 112-115

- Rules checking Notification of Studies (NoS) ID
The Notification of Studies Identifiers must be entered in this format EFSA-YYYY-NNNNNNNN

BR_PPP_112 NoS ID must be of valid format (Active substance)

BR_PPP_113 NoS ID must be of valid format (MO)

BR_PPP_114 NoS ID must be of valid format (MRL)

BR_PPP_114 EFSA study identification must be of valid format (BS)



October release: Flexible summaries check

QLT_PPP XXX-XXX

Checks presence of mandatory Flexible summaries (*A.S. Application, MRL, MO*)

EU_PPP_ActiveSubstance_FLEXIBLE_SUMMARY	IUCLID section	Not mandatory
FLEXIBLE_SUMMARY.SummaryEvaluation_EU_PPP	11.2 Other reports	x
FLEXIBLE_SUMMARY.ToxRefValues	5. Toxicological and metabolism studies on the active substance	
FLEXIBLE_SUMMARY.Metabolites	EU PPP Active substance application (product) 1.4.4 Information on metabolites	
FLEXIBLE_SUMMARY.EndocrineDisruptingPropertiesAssessmentPest	11.4 Endocrine disrupting properties	
FLEXIBLE_SUMMARY.ResiduesInLivestock	6.4 Feeding studies	
FLEXIBLE_SUMMARY.MRLProposal	6.7.2 Proposed maximum residue levels	
FLEXIBLE_SUMMARY.ExpectedExposure	6.9 Estimation of the potential and actual exposure through diet and other source	
FLEXIBLE_SUMMARY.DefinitionResidueFate	7.4 Residue definition for risk assessment and environmental monitoring	
FLEXIBLE_SUMMARY.EcotoxRiskAssessmentPesticides	8 Ecotoxicological studies on the active substance	
FLEXIBLE_SUMMARY.AquaticToxicityRacReporting	8.2 Effects on aquatic organisms	
FLEXIBLE_SUMMARY.RelevantMetabolitesGroundWater	11.3 Relevance of metabolites in ground water	

PRIORITY

October release: Set of rules (like REACH)

- ❑ **TCC_05_01:** Transformation products must be identified

Results and discussion									
Material (mass) balance									
#	Soil N...	Samp...	Samp...	% Tot...	% No...	% CO2	% Oth...	% Rec...	St
% Degradation									
#	Paren...	Name...	Key r...	Soil N...	Samp...	% Degr.	St. dev.	Para...	Se
Half-life / dissipation time of parent compound									
#	Key result	Soil No.	DT50	St. dev.	Type	Temp.			
Transformation products									
None									
Identity of transformation products									
#	No.	Reference substance							

The rule checks that if ENDPOINT_STUDY_RECORD.BiodegradationInSoil has been indicated as a 'key study' or 'weight of evidence' in the field 'Adequacy of study', then a **selection must be made in the field 'Transformation products'**.

If 'yes' was selected, then in the table 'Identity of transformation products' there must be at least one row where the field 'Substance identity' contains a link to a reference substance entity.



October release: Set of rules (like REACH)

- **TCC_050203_01:** Biodegradation in soil - results tables must be completed

Results and discussion											Actions
Material (mass) balance											+ New item Import file
#	Soil N...	Samp...	Samp...	% Tot...	% No...	% CO2	% Oth...	% Rec...	St. dev.	Rema...	Actions
% Degradation											
#	Paren...	Name...	Key r...	Soil N...	Samp...	% Degr.	St. dev.	Para...	Samp...	Rema...	Actions
1	None	None	<input type="checkbox"/>	None	None	None	None	None	None	None	+ New item Import file

PRIORITY

The rule checks

ENDPOINT_STUDY_RECORD.BiodegradationInSoil.

For each endpoint study record marked as 'key study' or 'weight of evidence', at least one entry under the headings '% Degradation' or 'Half-life / dissipation time of parent compound' must be filled in.

Under '% Degradation' heading, the fields '% Degr.', 'Parameter' and 'Sampling time' must be filled in, with unit. Under 'Half-life / dissipation time of parent compound' heading, the fields 'DT50' and 'Temp.' must be filled in, with unit. Each created entry must be complete. If you select 'other:' in any of the picklists, the below field must be filled in.

If a quantitative result was not determined, an explanation must be provided in the field 'Remarks on result'.

October release: Set of rules (like REACH)

- ❑ **TCC_070201_01:** Acute oral toxicity - results tables must be completed

The rule checks

ENDPOINT_STUDY_RECORD.AcuteToxicityOral. For each endpoint study record marked as 'key study' or 'weight of evidence', under 'Effect levels' heading, the fields 'Dose descriptor' and 'Effect level' must be provided, with unit. Each created entry must be complete.

If a quantitative result was not determined, an explanation must be provided in the field 'Remarks on result'. If none of the available picklist values apply, select 'other:' and provide the reason for not determining a quantitative result in the below field.

Results and discussion

Preliminary study
None

Effect levels							
#	Key result	Sex	Dose desc...	Effect level	Based on	95% CL	Remarks o...
1	<input type="checkbox"/>	None	None	None	None	None	None

Mortality
None



October release: Set of rules (like REACH)

- TCC_070501_01:** Repeated dose toxicity oral - results tables must be completed - effect levels

- TCC_070501_02:** Repeated dose toxicity oral - results tables must be completed - critical effects



The rule checks

ENDPOINT_STUDY_RECORD.RepeatedDoseToxicityOral.

For each endpoint study record marked as 'key study' or 'weight of evidence', in the table 'Effect levels' the fields 'Dose descriptor', 'Effect level' and 'Basis for effect level' must be provided, with unit. If you add several rows in the table, then all of them must be complete.

For each endpoint study record marked as 'key study' or 'weight of evidence', a selection must be made in the field 'Critical effects observed' of the table 'Target system / organ toxicity'.

If 'yes' was selected, then the fields 'Lowest effective dose/conc.', 'System', 'Organ' and 'Treatment related' must be provided, with unit, where applicable. Each created row must be complete.

October release: Set of rules (like REACH)

- **TCC_070601_02:** Genetic toxicity in vitro - results tables must be completed

The rule checks

ENDPOINT_STUDY_RECORD.GeneticToxicityVitro

For each endpoint study record marked as 'key study' or 'weight of evidence', under 'Test results' heading, the fields 'Species/strain', 'Metabolic activation', 'Genotoxicity' and 'Cytotoxicity/choice of top concentrations' must be provided. Each created entry must be complete.

Results and discussion										
Test results		+ New item	Import file							
#	Key re...	Specie...	Metab...	Genoto...	Cytoto...	Vehicl...	Untrea...	True n...	Positiv...	Actions
1	<input type="checkbox"/>	None	None	None	None	None	None	None	None	
Additional information on results										
None										

PRIORITY

October release: Set of rules (like REACH)

- TCC_060101_01:** Short term toxicity to fish - results tables must be completed

PRIORITY

The rule checks

ENDPOINT_STUDY_RECORD.ShortTermToxicityToFish

For each endpoint study record marked as 'key study' or 'weight of evidence', under 'Effect concentrations' heading, the fields 'Duration', 'Dose descriptor' and 'Effect conc.' must be filled in, with unit. If 'other:' was selected in any of the picklists, the below field must be filled in. Each created entry must be complete.

If a quantitative result was not determined, an result'. If none of the available picklist values apply, select 'other:' and provide the reason for not determining a quantitative result in the below field.

QLT_PPP 53-60 *Proposed residue definitions and Toxicological reference values*

QLT_PPP_053	Proposed residue definitions. A Residue definition for enforcement for unprocessed plant/animal products must be provided
QLT_PPP_054	Proposed residue definitions. A Residue definition for risk assessment for unprocessed plant/animal products must be provided.
QLT_PPP_055	Proposed residue definitions. A Residue definition for risk assessment for processed crops must be provided.
QLT_PPP_056	Proposed residue definitions. A Residue definition for risk assessment for rotational crops must be provided
QLT_PPP_058	Toxicological reference values document the AOEL must be completed
QLT_PPP_059	Toxicological reference values document the ADI must be completed
QLT_PPP_060	Toxicological reference values document the ARfD must be completed

PRIORITY

October release:rules 48 & 27 - Feedback needed

QLT_PPP_048 & QLT_PPP_027

Both rules check **only one row** in the 'Attachments' block with the selection 'full study report' under the field 'Attachment type' and in the literature reference of all EU_PPP_endpoints

Consequently the rule fails/cannot be resolved if more than one attachment (row) is provided

Attachments				
#	Attachment type	Attached confidential ...	Attached (sanitised) d...	Actions
1	full study report	Attachment study report_2.pdf	Attachment study report_sanitised_2.pdf	
2	full study report	Attachment study report.pdf	Attachment study report_sanitised.pdf	



Feedback needed!

Can the constraint that only one literature reference be included in the Endpoint Study Record data source be removed?

Post on TEAMS [RICHARDSON Jane: QLT_PPP_027](#)

Resources

Rules Master File

	Type	Parent Rule	DevOps	Summary	Description
QLT_PPP_001	W	QLT_PPP_001	IUCVA-1918	QLT_PPP_001: Endpoint must be indicated	Rule should check Documents owner entities location in the dossier and documents owner entities as specified in CF_obj_02 (IUCVA-1873). The rule should check documents under the sections described as QLT_PPP_endpoints_all, as specified in *QLT_PPP_endpoints_all* Excel attached to IUCVA-1919. Each document under the above specified sections should be checked by calling CF_ESR_001 (IUCVA-1919). The rule should fail for a document if the CF_ESR_001 check is FALSE otherwise the rule passes for that document.
QLT_PPP_091	W	QLT_PPP_001	279968	QLT_PPP_091: Endpoint must be indicated (Metabolic pathway)	Rule should check Documents owner entities location in the dossier and documents owner entities as specified in #652961. The rule should check documents under the sections described as EU_PPP_endpoints_all, as specified in EU_PPP_endpoints_all Excel attached to #279967. Each document under the above specified sections should be checked by calling CF_ESR_001 (IUCVA-1919). The rule should fail for a document if the CF_ESR_001 check is FALSE otherwise the rule passes for that document.
QLT_PPP_063	W	QLT_PPP_001	652909	QLT_PPP_063: Endpoint must be indicated (other)	Rule should check Documents owner entities location in the dossier and documents owner entities as specified in #279858. Each document under the above specified sections should be checked by calling CF_ESR_001 (IUCVA-1919). The rule should fail for a document if the CF_ESR_001 check is FALSE otherwise the rule passes for that document.
QLT_PPP_002	W	QLT_PPP_002	279969	QLT_PPP_002: Data waiving must be justified	Rule should check Documents owner entities location in the dossier and documents owner entities as specified in #279859. Each document under the above specified sections should be checked by calling CF_ESR_001 (IUCVA-1919). The rule should fail for a document if the CF_ESR_001 check is FALSE otherwise the rule passes for that document.
QLT_PPP_092	W	QLT_PPP_002	657051	QLT_PPP_092: Data waiving must be justified (Metabolic pathway)	Rule should check Documents owner entities location in the dossier and documents owner entities as specified in #279860. Each document under the above specified sections should be checked by calling CF_ESR_001 (IUCVA-1919). The rule should fail for a document if the CF_ESR_001 check is FALSE otherwise the rule passes for that document.

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