User Guide

Pre-application ID

Last update: 5 December 2024

EUROPEAN FOOD SAFETY AUTHORITY

Note for the users

This user guide has been updated on 5 December 2024 to take into account the latest system enhancements.

- The “Shared with” functionalities that allow organisations to share pre-application IDs among them, have been revised. In particular:
  - The name of this set of functionalities was changed from “Share with” to “Sharing options”.
  - The relationship type “Shared with”, which was granting read-only rights, has been renamed to “Read-only” accordingly.
  - A new section showing the history of sharing has been added to the pre-application ID page.

Some editorial changes have been introduced to further clarify the existing content.

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Introduction

I - Scope of the pre-application ID

Pre-submission activities

- ✓ General pre-submission advice, Article 32a(1) of the GFL
- ✓ Notification of studies commissioned or carried out to support an application, Article 32b of the GFL
- ✓ Notification of intended studies for renewal application and renewal pre-submission advice, Article 32c(1) of the GFL

! After registration and prior to initiating any pre-submission activity, a potential applicant must create a pre-application ID, which links all pre-submission activities undertaken by a potential applicant to support a future application related to a specific regulated product in a given regulated product area.

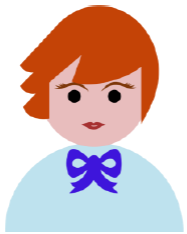
1. Actors of the Process

The process for managing the pre-application ID might involve up to **two types of actors**:

Business operators	(orange)
Third parties/consultants	(blue)

For ease of reference through this guide, the two roles are visualised by the respective **colour stripe** on the left-hand side of slides.

1. Actors of the Process



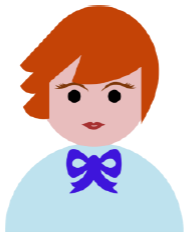
Business operators
Potential applicants

Business operators: these users create and manage their pre-application IDs in Connect.EFSA.

Third parties/
Consultants

Third parties/consultants: these users operate on behalf of business operators when authorised to represent one or more entities, shall also register-in (see the section on Account Relationship). They can create and manage pre-application IDs in Connect.EFSA.

1.1 Account qualification



Business operators
Potential applicants

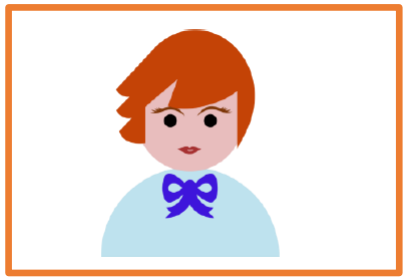
This guide applies to users qualified as applicant, i.e. organisations such as business operators. They act as potential applicant conducting pre-submission activities linked to a future application for a regulated product in a specific regulated area.

Only these organisations can create pre-application IDs.

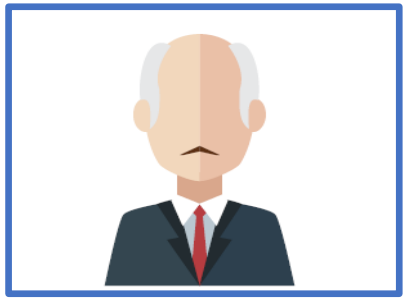
Third parties/
Consultants

The same qualification is assigned to consultants working on behalf of business operators.

1.2 Pre-application ID activities



Business operators
Potential applicants
Create and manage pre-application IDs



Third parties/ Consultants
Can be authorised to manage pre-application IDs

- **Add/create/delete study records**
- **Add/create/delete component**
- **Ask general pre-submission advice**
- **Share/delete share with other business operators**

1.3 List of intended studies for renewal: Process overview

Accessing Connect.EFSA

2. Access the Connect.EFSA portal

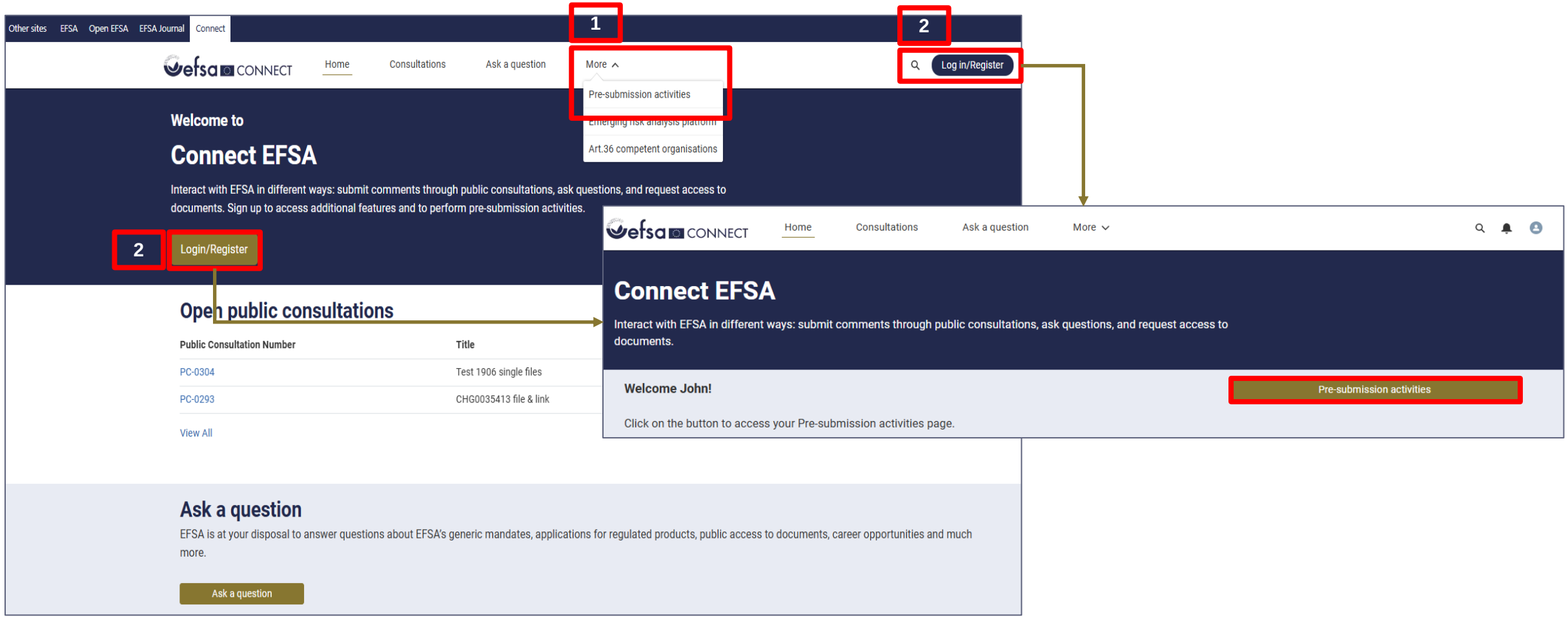
Business operators and **their third parties/consultants** before starting to conduct pre-submission activities should self-register an account on behalf of their organisation by following the instructions available in the Connect.EFSA registration user manual and identifiable by a **pink banner** on the left-hand side of the slides.

Registered users from business operator and/or **third party/consultant** organisations can access Connect.EFSA portal from their `trusted` devices via the following link: **https://connect.efsa.europa.eu/RM**

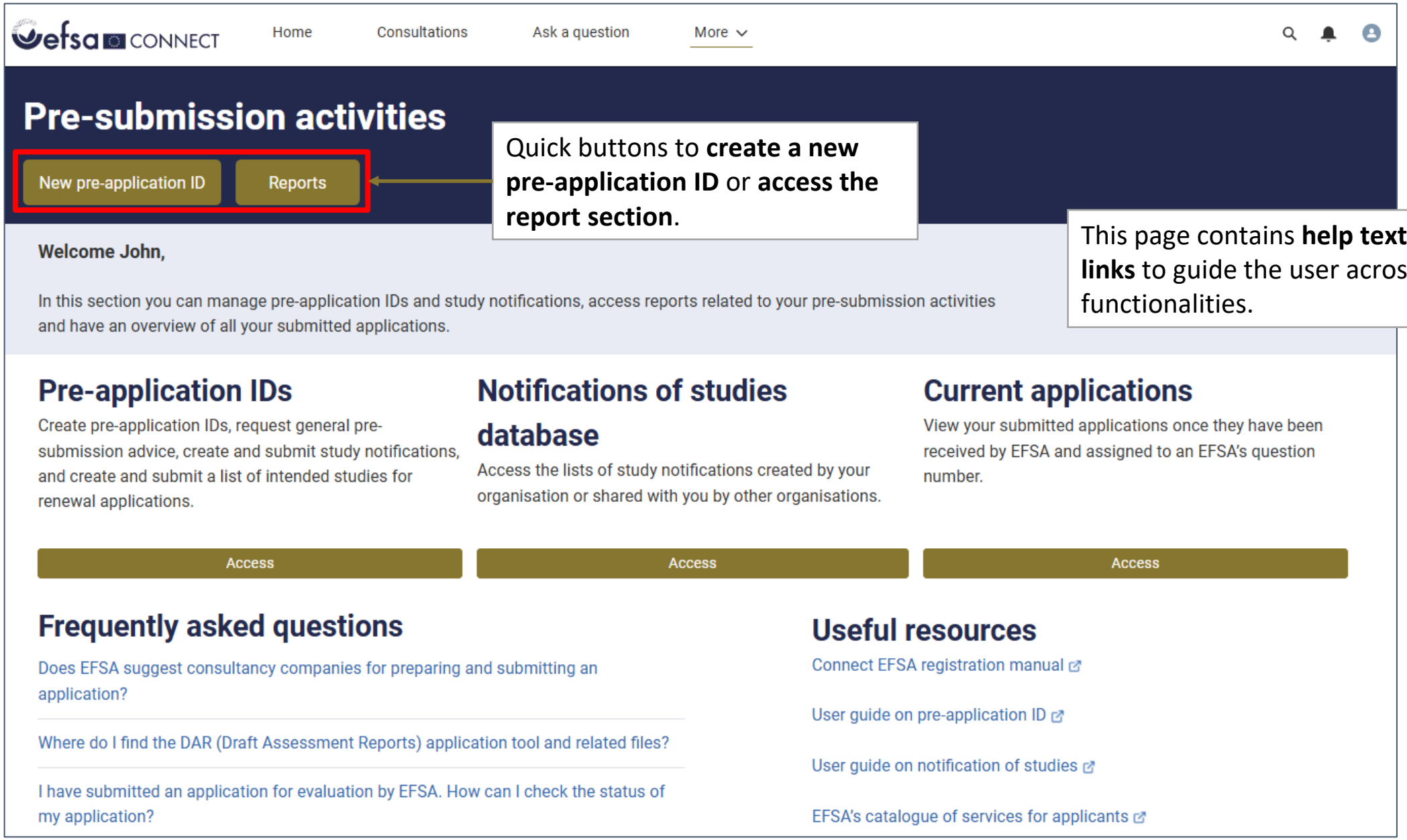
2.1 Accessing pre-submission activities

From the home page of Connect.EFSA users can access the **pre-submission activities page** in two ways:

1. before logging in, by clicking on ‘More’ and then selecting ‘Pre-submission activities’
2. after logging in

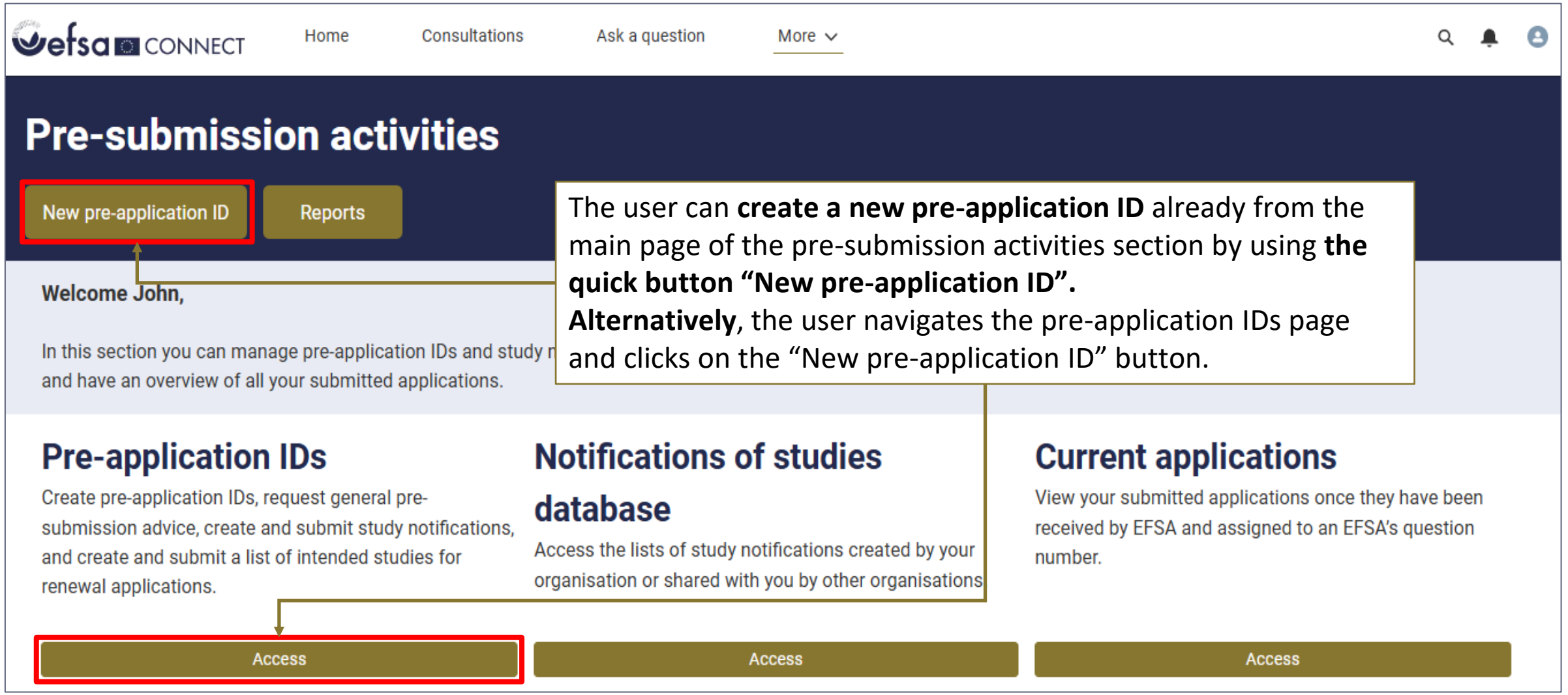


2.2 The pre-submission activities main page



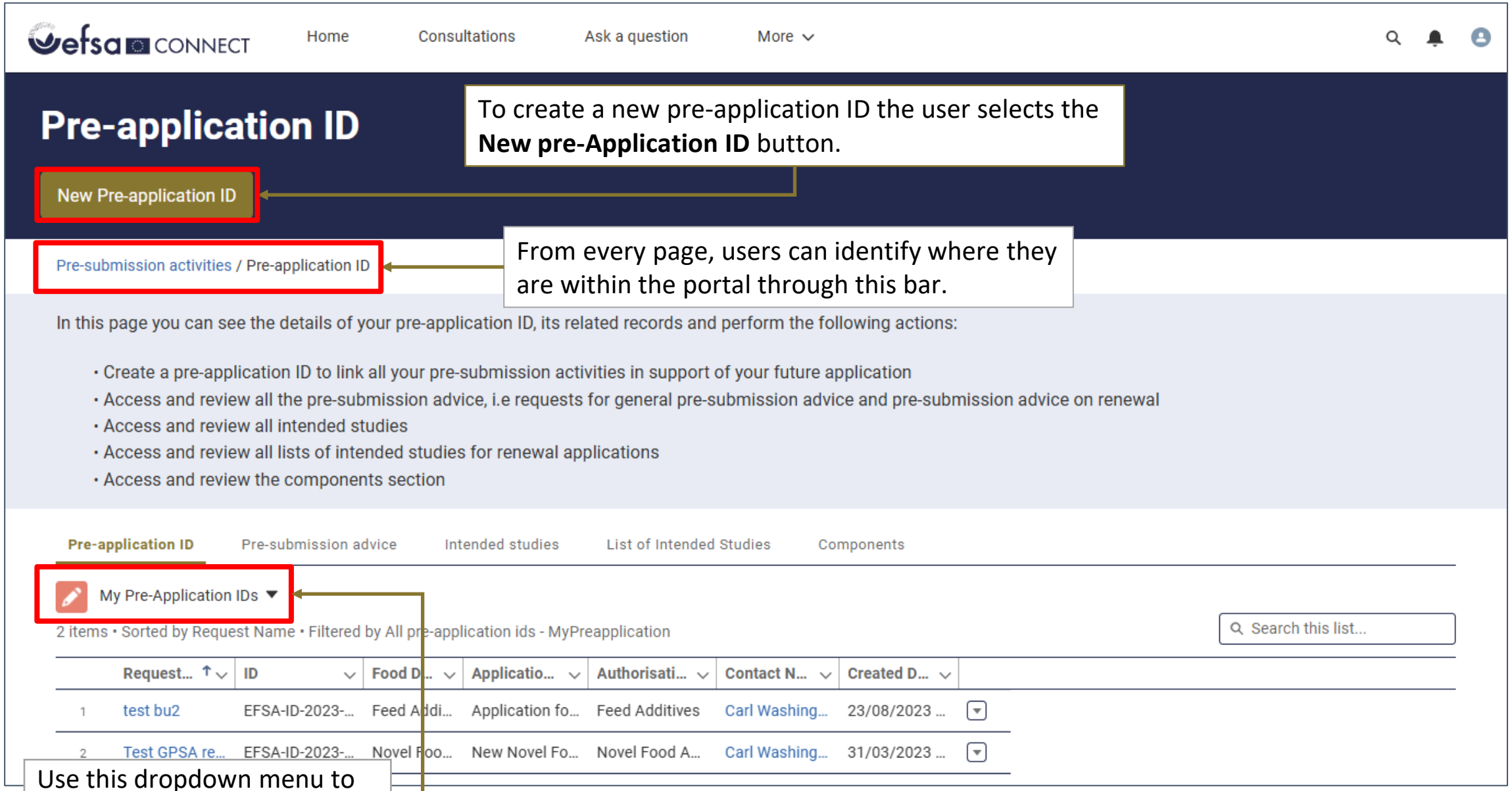
Pre-application ID

3. Create a pre-application ID



The user can **create a new pre-application ID** already from the main page of the pre-submission activities section by using **the quick button "New pre-application ID".**
Alternatively, the user navigates the pre-application IDs page and clicks on the "New pre-application ID" button.

3.1 Create a pre-application ID

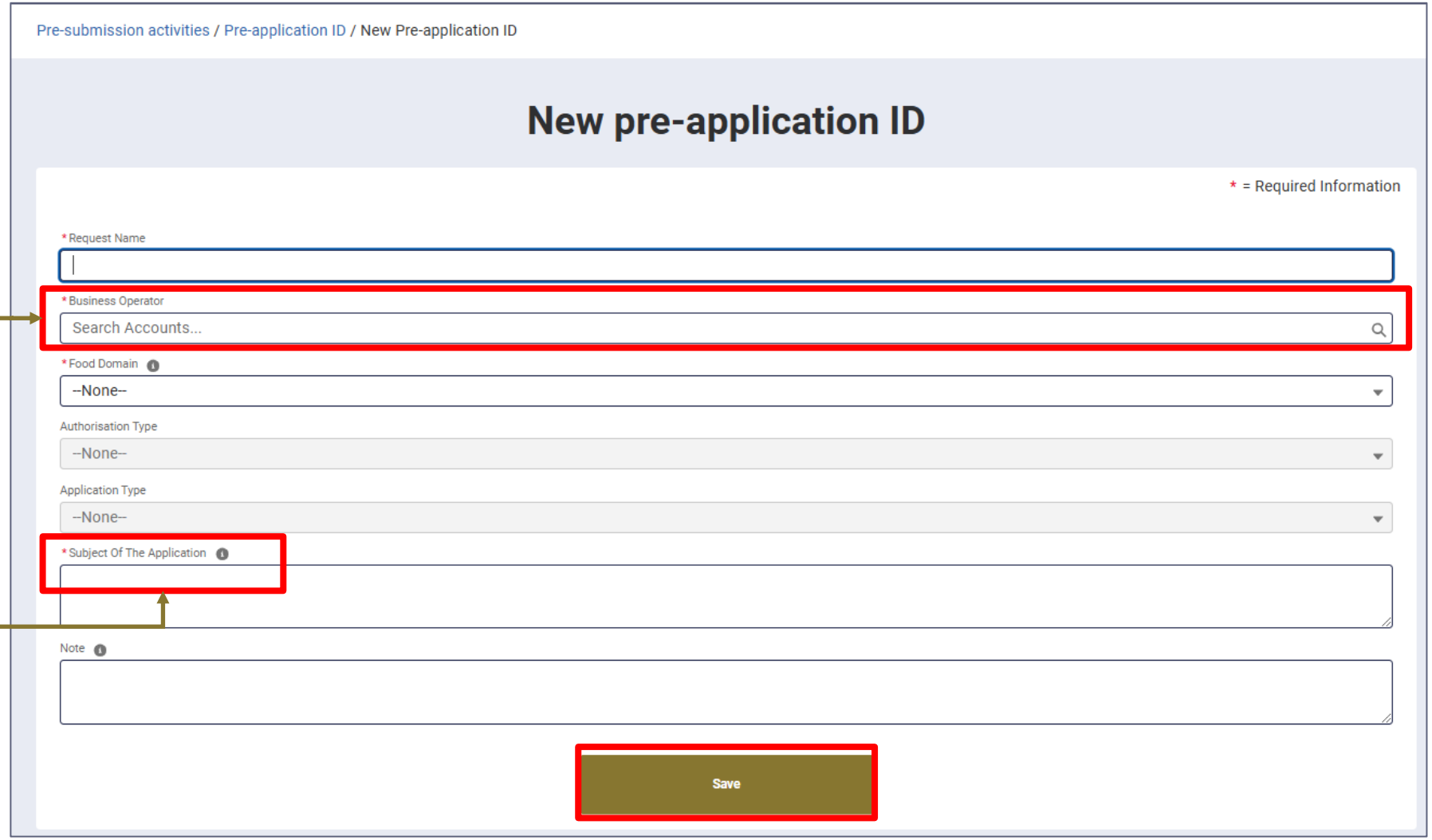


3.1 Create a pre-application ID

Step 1 – The user indicates the information required to create a new pre-application ID, such as the business operator name and the subject of the application**.**

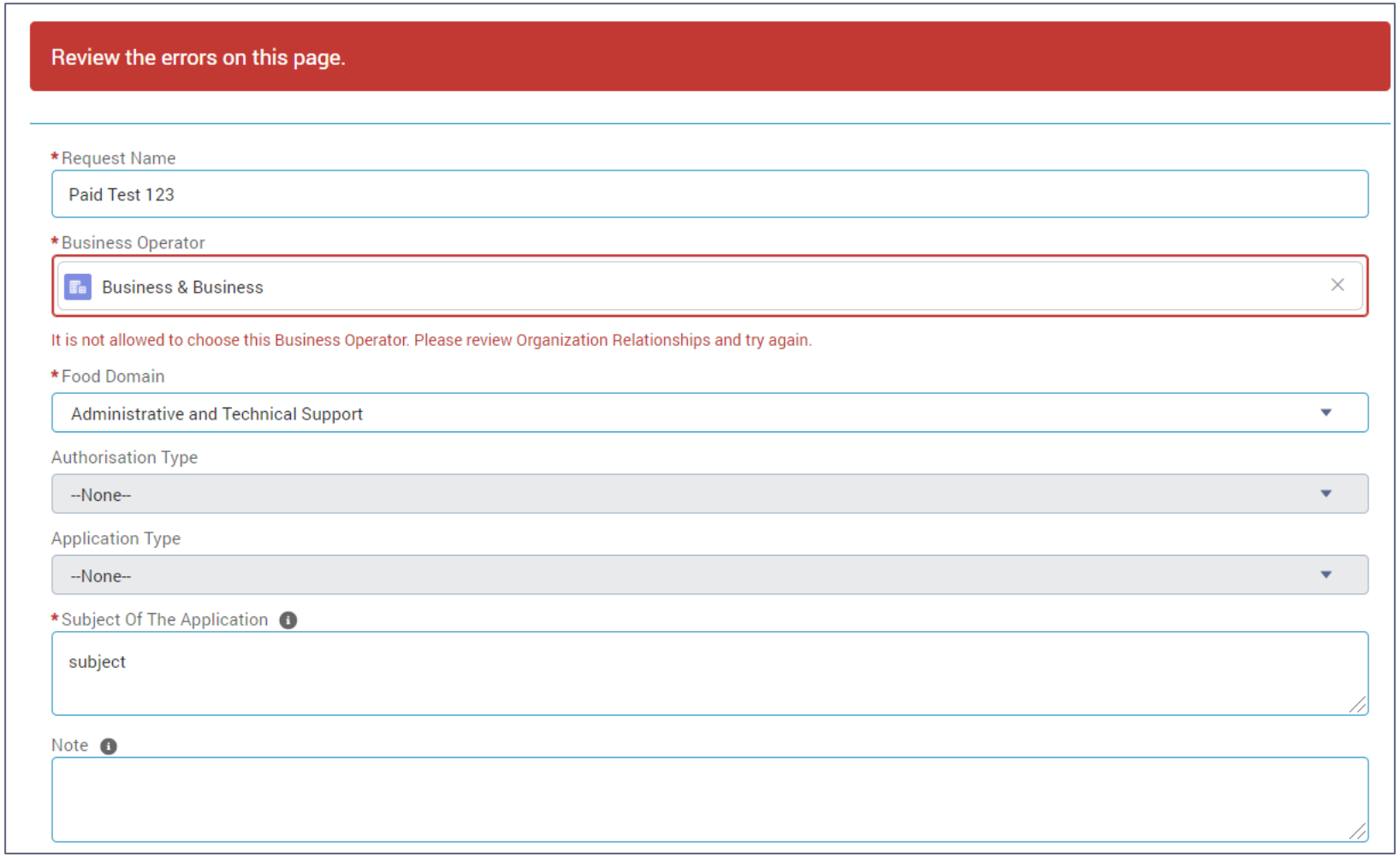
The user can fill this field with:
- Its own organisation name **(business operators)**
- The name of the business operator for which the **third party/consultant** is creating the pre-application ID "On behalf of".

- * this sign means that the field is **mandatory**
- ⓘ this icon displays the **help text** for that field.



3.1 Create a pre-application ID

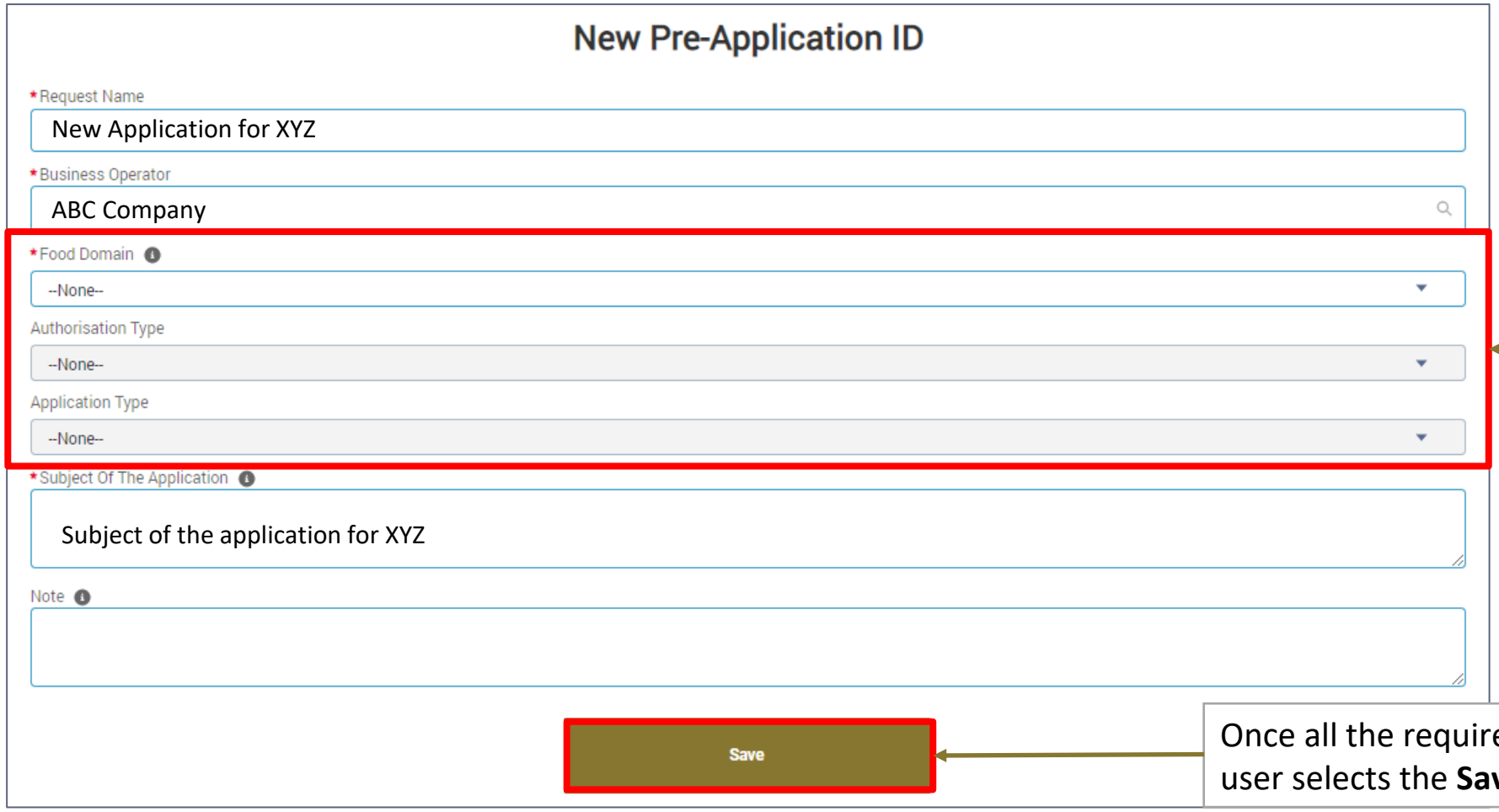
If a **business operator** or a **third party/consultant** tries to create a pre-application ID for another organisation, the system returns the following **error message**, unless a relationship between the two organisation has been previously established.



Look at the Account Relationship section to understand how to establish a relationship "On behalf of" and enable an organisation to work on behalf of the user's organisation.

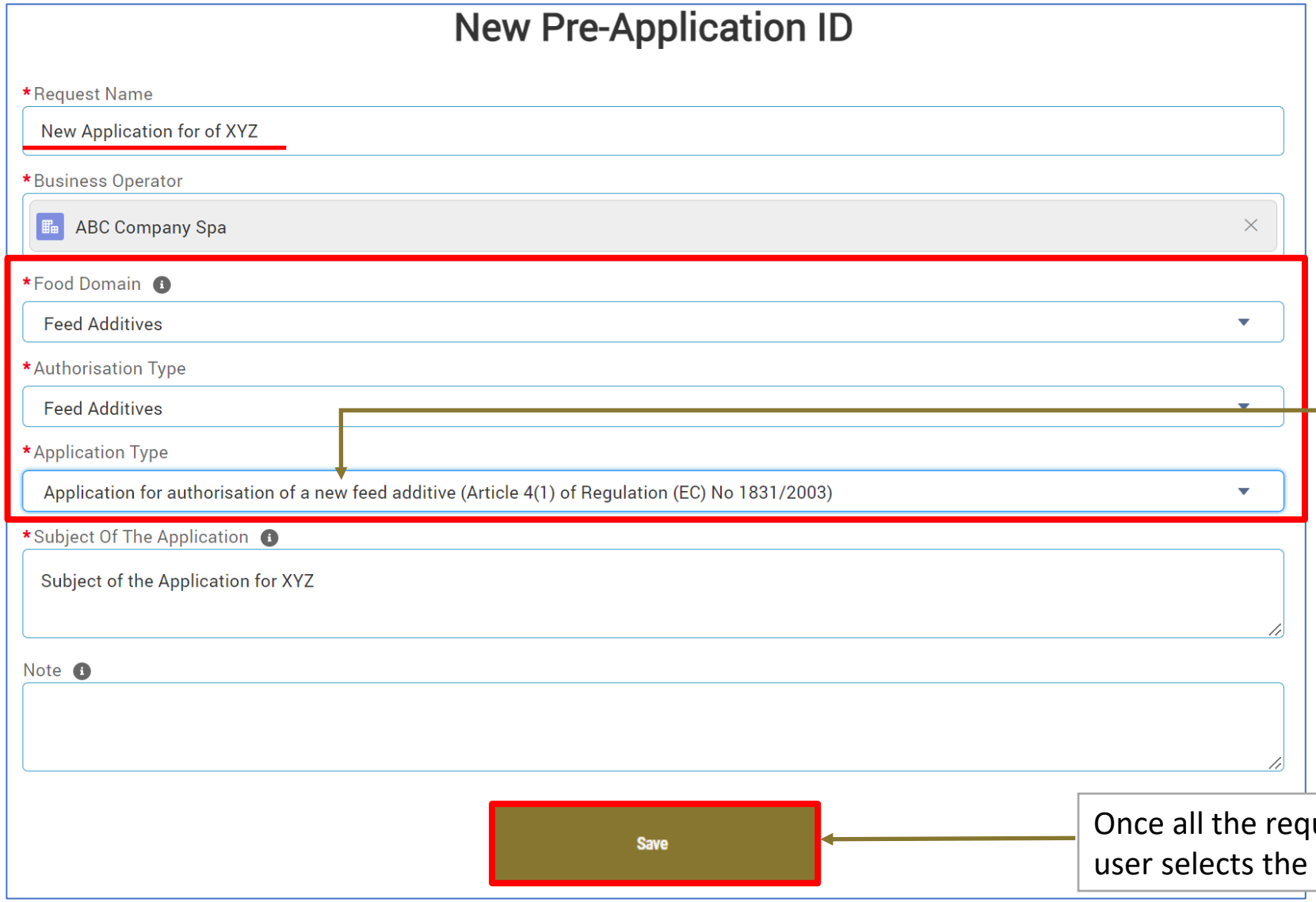
3.1 Create a pre-application ID

Step 2 - With a given combination of **Food Domain** and **Application Type**, the user can create a pre-application ID to link all pre-submission activities supporting a new application or a renewal application.



Once all the required fields are filled in, the user selects the **Save** button to proceed.

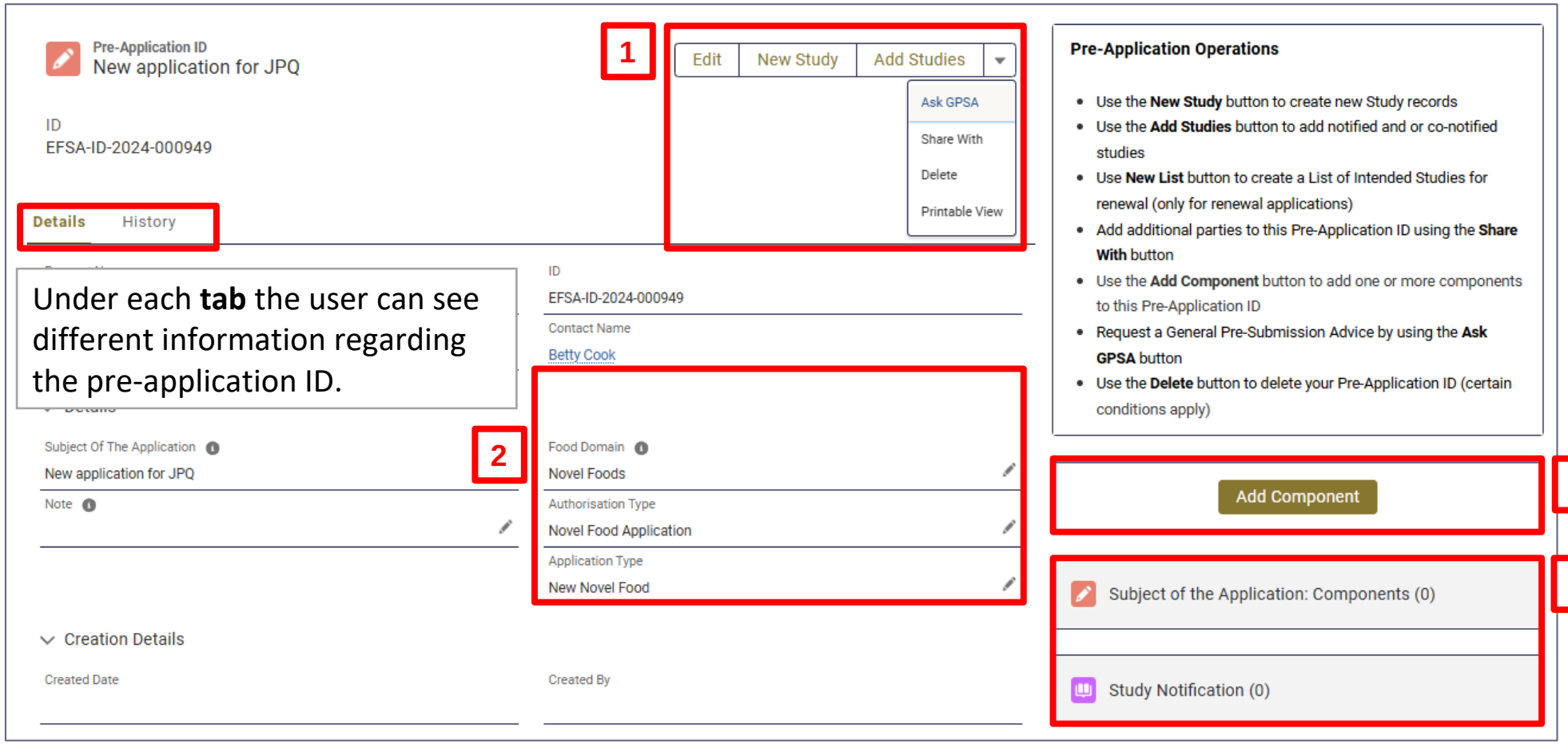
3.1.1 Pre-application ID - Applications



In this case, the user creates a **pre-application ID** to link pre-submission activities **supporting an application**.

Once all the required fields are filled in, the user selects the **Save** button to proceed.

3.1.1 Pre-application ID - Applications



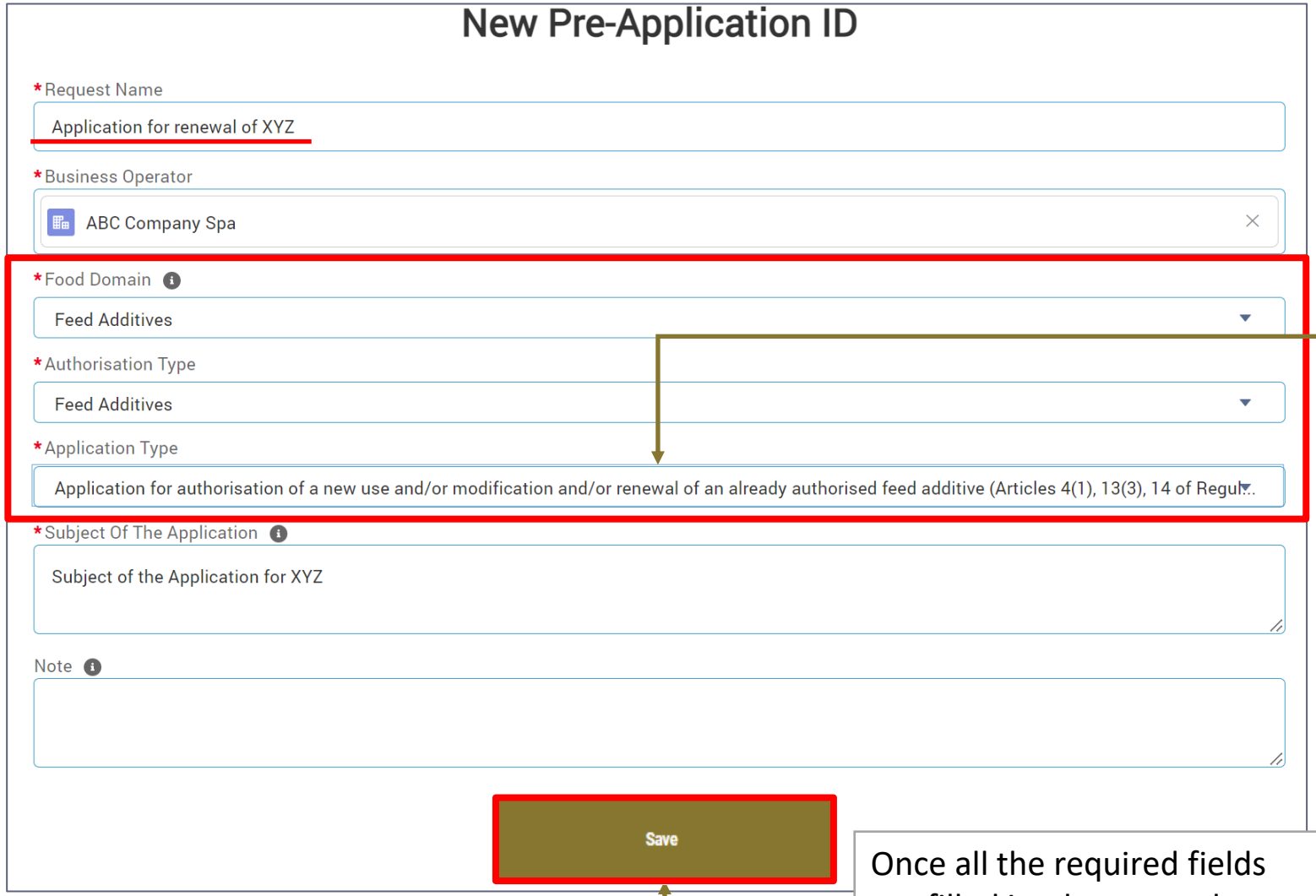
1 Use these buttons to create a new draft study or add a notified study, request a GPSA or delete the pre-application ID.

2 Values in these fields can be modified only when there are no submitted objects associated to the pre-application ID.

3 Use the Add Component button to add/create one or more components and link them to the pre-application ID.

4 This section lists all the associated objects and the sharing relationships.

3.1.2 Pre-application ID - Renewal applications



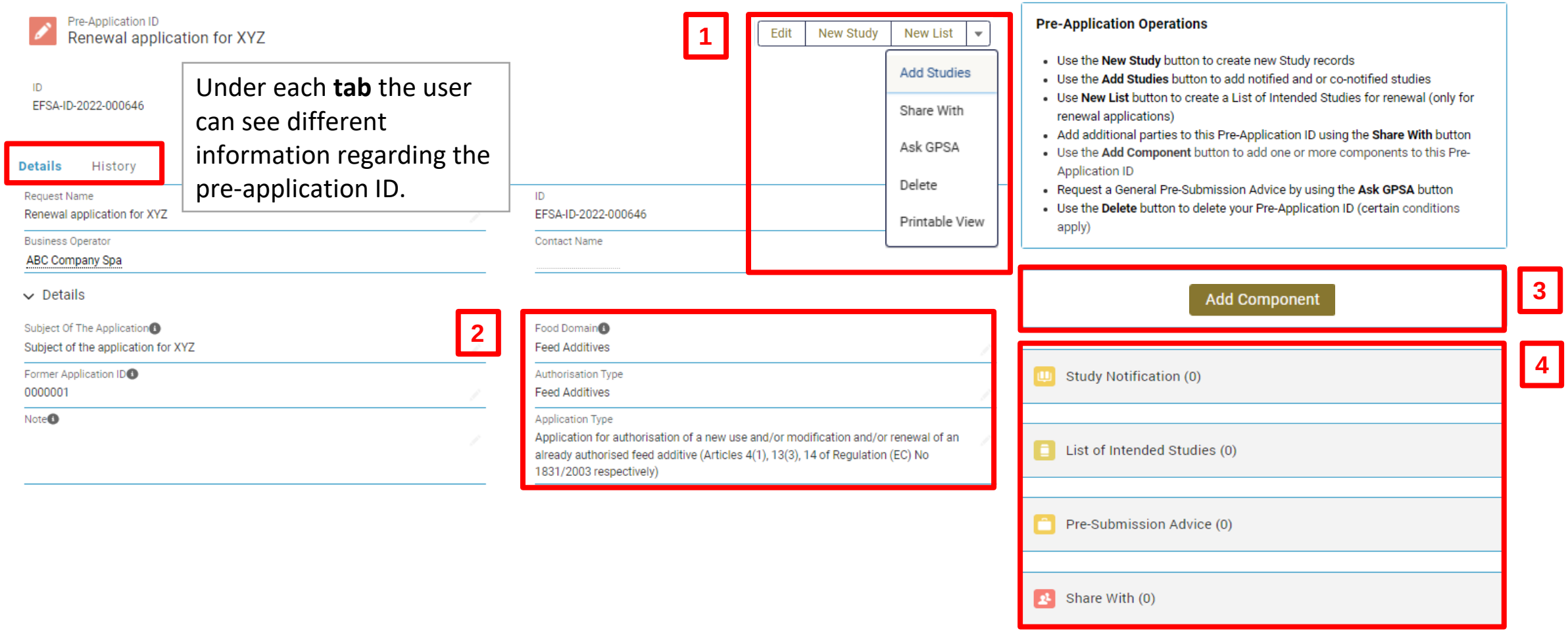
Suggested video tutorial: [pre-application ID and list of intended studies](#).

In this case, the user creates **a pre-application ID** to link pre-submission activities **supporting a renewal application**.

The system allows the creation and submission of a list of intended studies.

Once all the required fields are filled in, the user selects the **Confirm** button to proceed.

3.1.2 Pre-application ID - Renewal applications



1 Use these buttons to create a new draft study or add a notified study, request a GPSA, create and submit a list of intended studies or delete the pre-application ID.

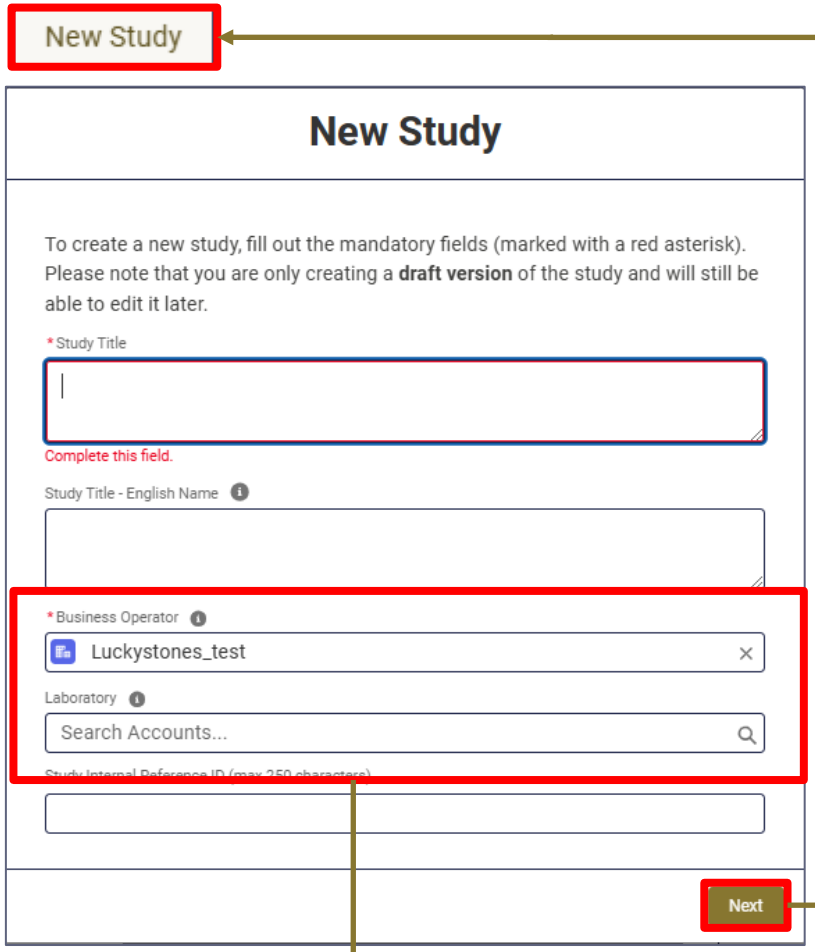
2 Values in these fields can be modified only when there are not submitted objects associated to the pre-application ID.

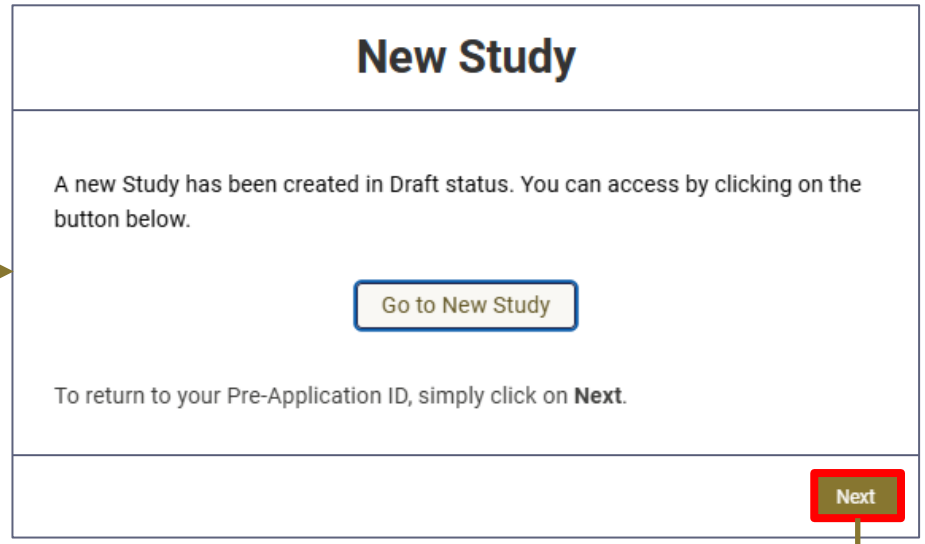
3 Use the Add Component button to add/create one or more components and link them to the pre-application ID.

4 This section lists all the associated objects and the sharing relationships.

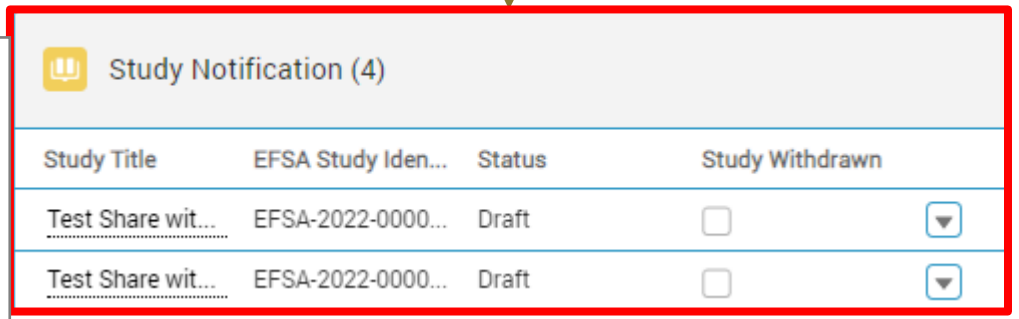
3.2 Create a new study

The user selects **New Study** and fill in the fields, then clicks **Next** to create a new draft study record and link it to this pre-application ID.





The study created appears in the **Study Notification** section available in the page of the pre-application ID.



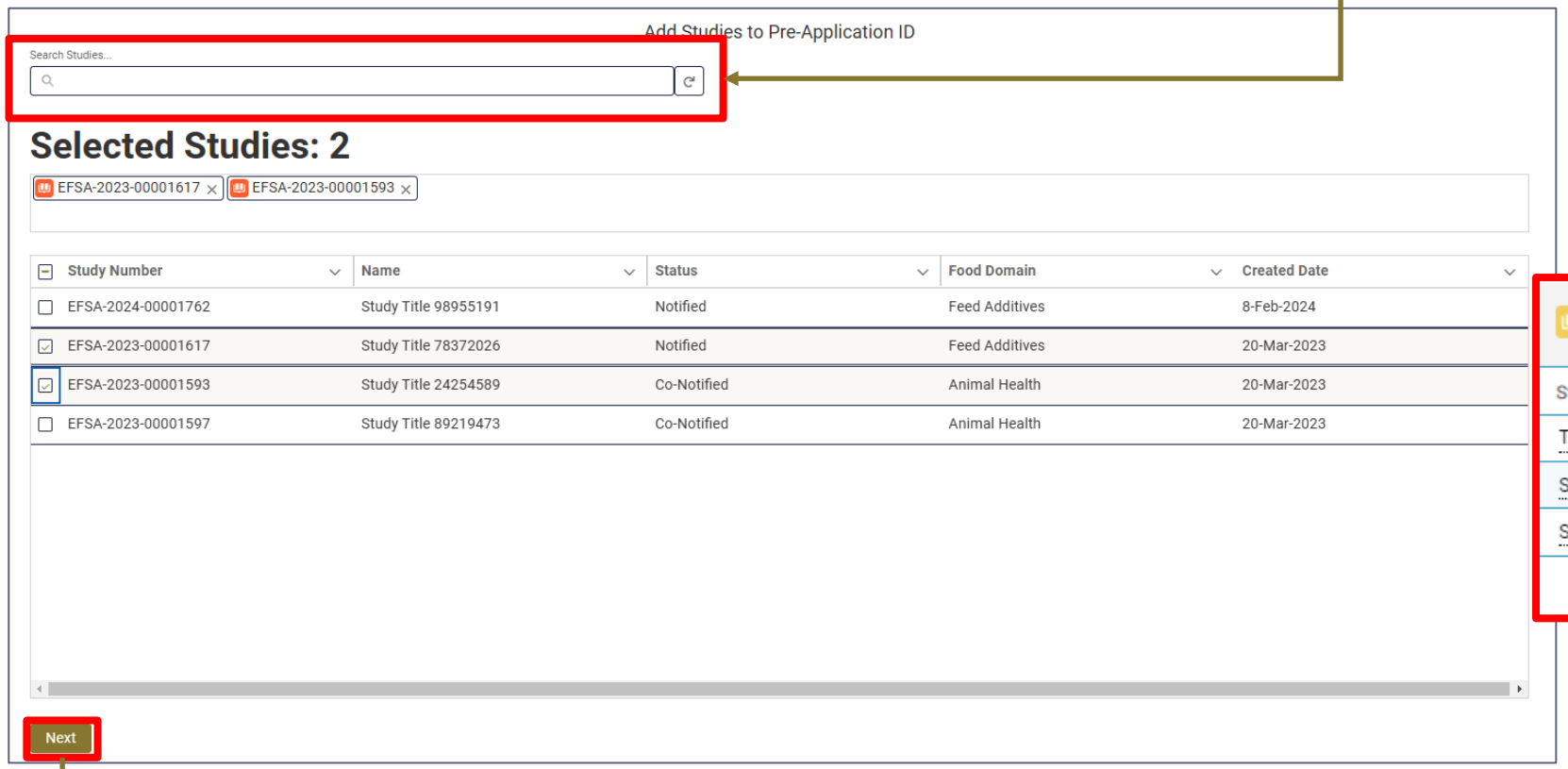
The user must indicate the business operator carrying out or commissioning the study. By default, it is the same user organisation as indicated in the pre-application ID. **When creating the notification** (and **only** at that stage), it is possible to edit the "Business Operator" field and indicate the actual business operator for that specific study notification. To do so, this entity should establish a relationship "on behalf of" with the third party/consultant (see Create an account relationship).

The user can also indicate the laboratory commissioned to conduct the study. This information can be revised also at a later stage.

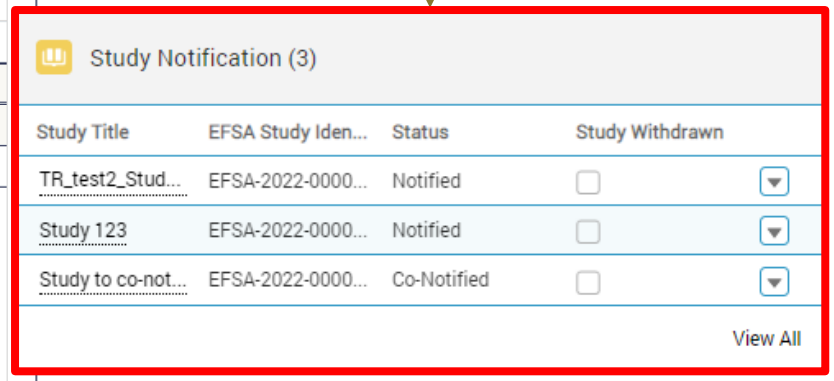
3.3 Add a study to the pre-application ID

Add Studies

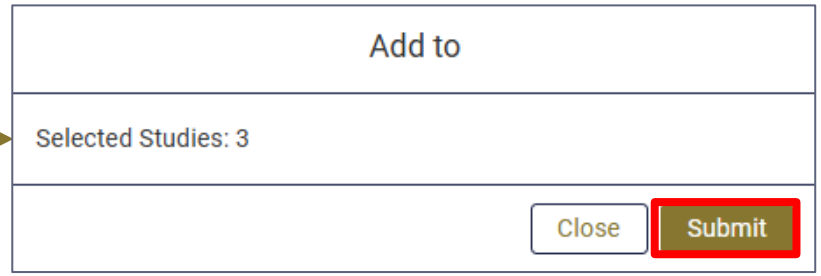
Click on **Add Studies** and use the search bar to find a study record. It is possible to select one or more study records the user would like to add to the pre-application ID. **Only notified and co-notified studies** can be added to the pre-application ID. To continue click on **Next.**



Added studies appear in the **Study Notification** section available in the page of the pre-application ID.



Clarification added!

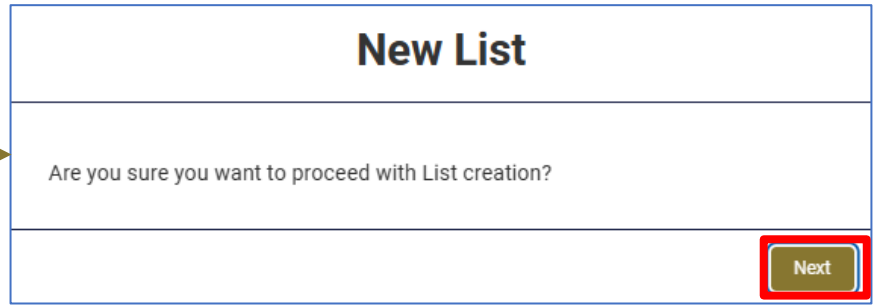


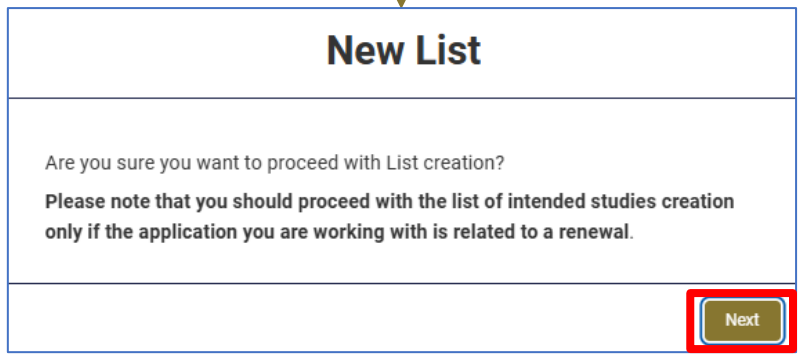
Studies must be notified only once. If a study notification has been already used in a pre-application ID, the function 'Add Study' allows to re-use a notified/co-notified study in another pre-application ID.

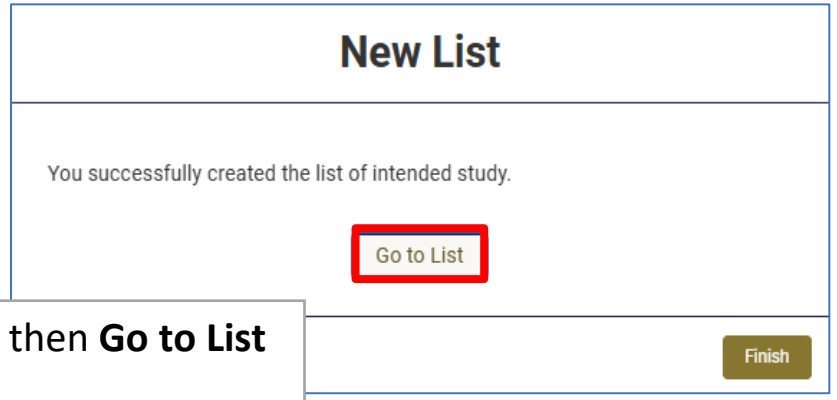
3.4 Create a list of intended studies for renewal

From the page of a **pre-application ID supporting a renewal application** the user can **create a new list of intended studies** by clicking on **New List**.

If the selected Food Domain is Feed Additives the message below is shown (it is only a warning message that does not block the process). For all the other Food Domains, a simple alert appears.

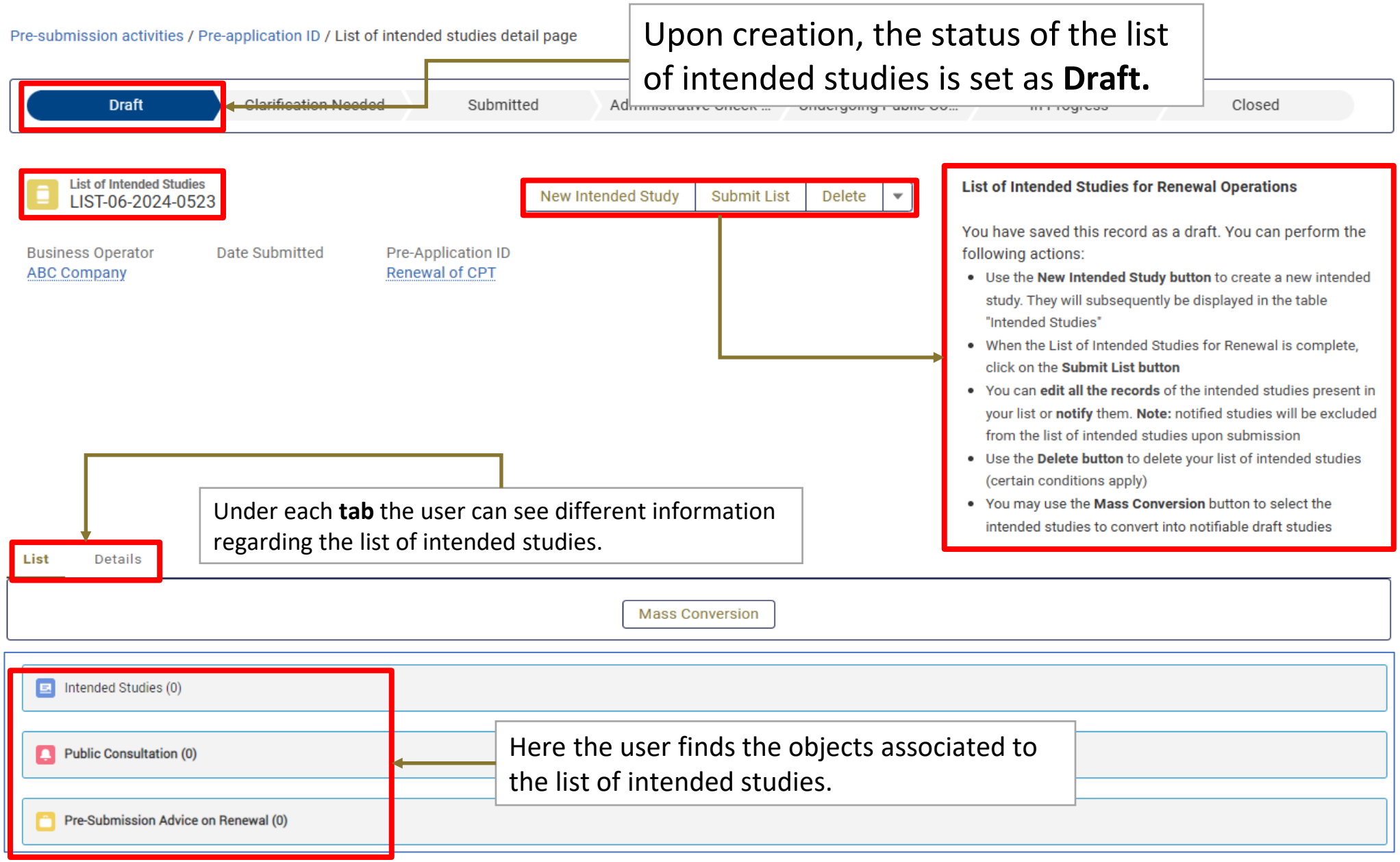






In both cases click **Next**, then **Go to List** to proceed.

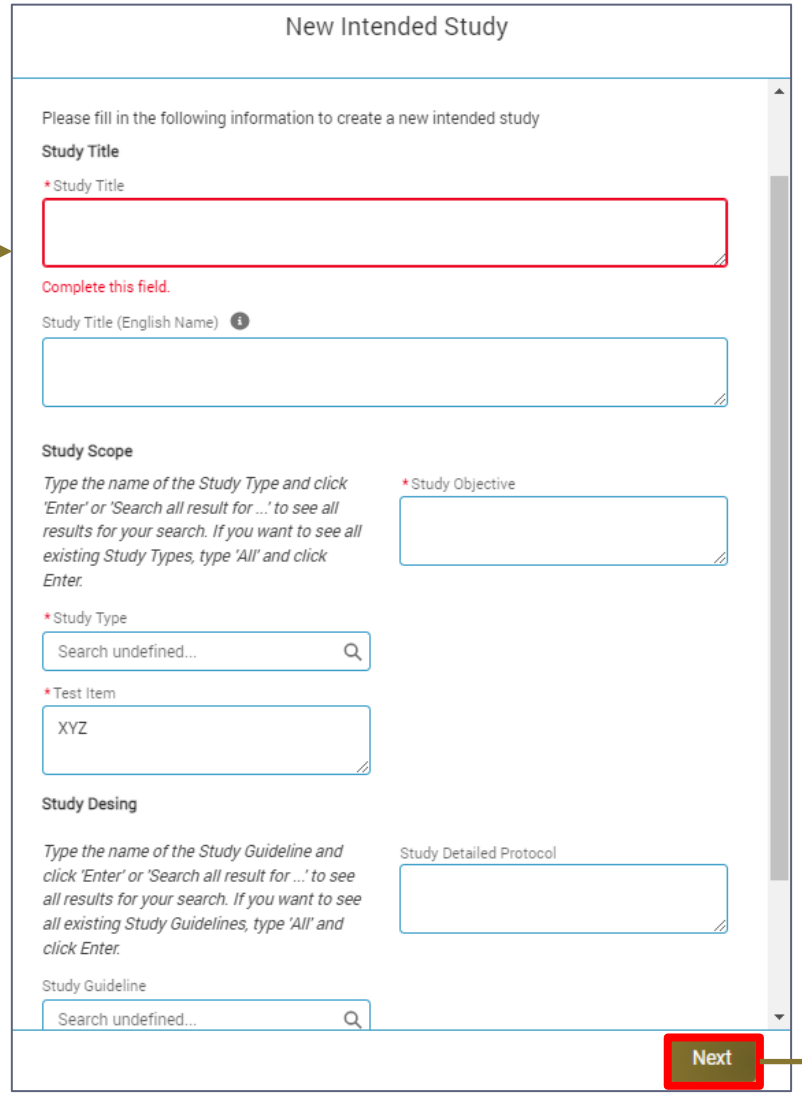
3.4 Create a list of intended studies for renewal



In this box, the actions available to the user on the list of intended studies are described.

3.4.1 Create an intended study

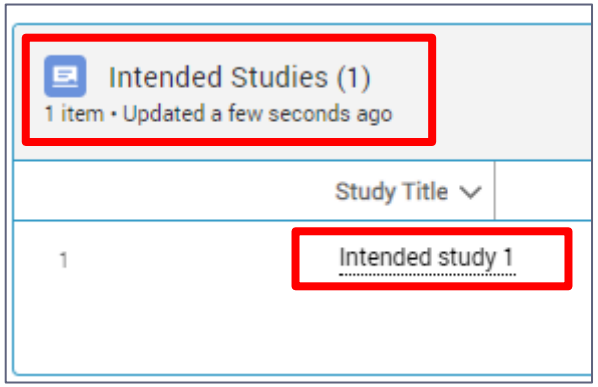
Users can create **new intended studies** that will be part of the list according to the provisions of Article 32c(1) of the General Food Law and Article 12 of the EFSA Practical Arrangements on pre-submission phase and public consultations.



The New Intended Study form must be completed indicating all the mandatory information. Then the user clicks **Next**.

If needed, it is possible to **edit** the information of the new intended study in a second moment before the submission of the list.

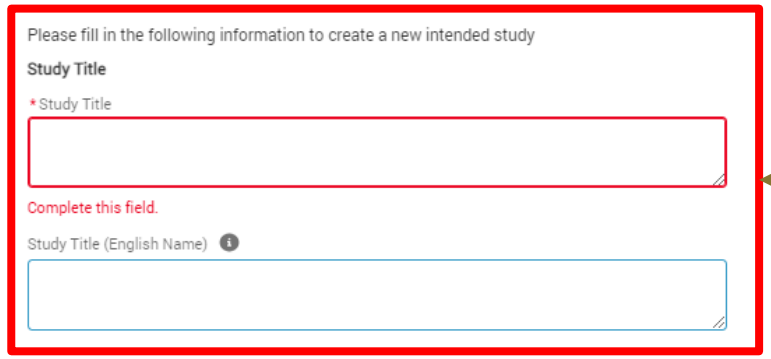
Upon creation, the intended study is shown in the **Intended Studies section** of the list. Click on the study title to access it.



Suggested read: Question and Answer on the EFSA Practical arrangements, section **Intended applications for renewal**.

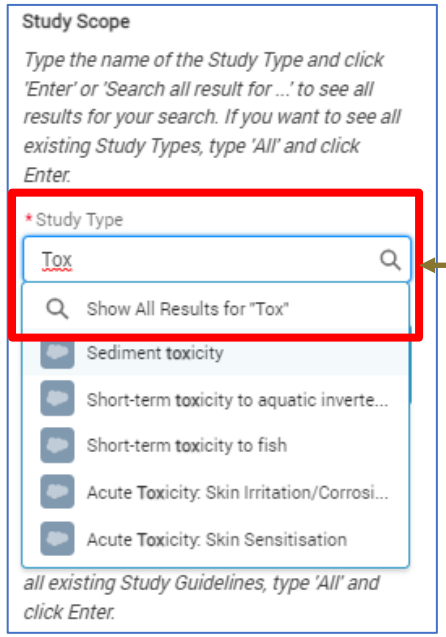
3.4.1 Create an intended study

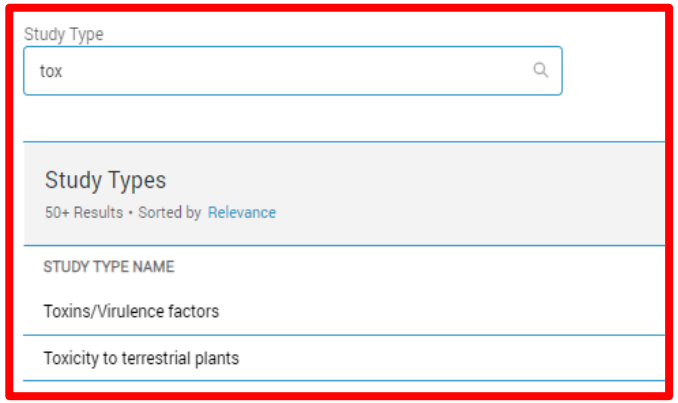
The form for the intended study allows to indicate a study title up to 300 characters long and to search more easily among values of Study Type and Study Guidelines and select the most relevant.

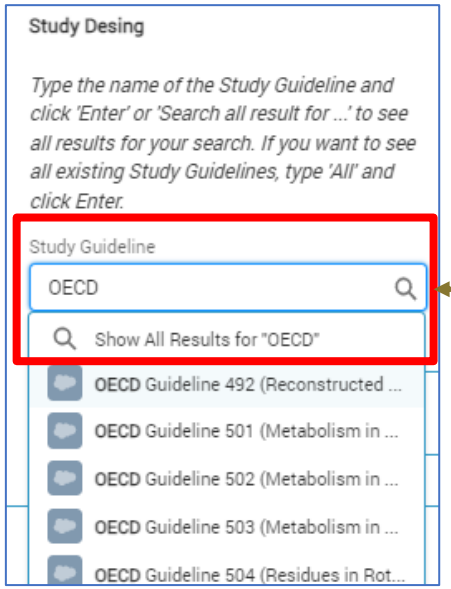


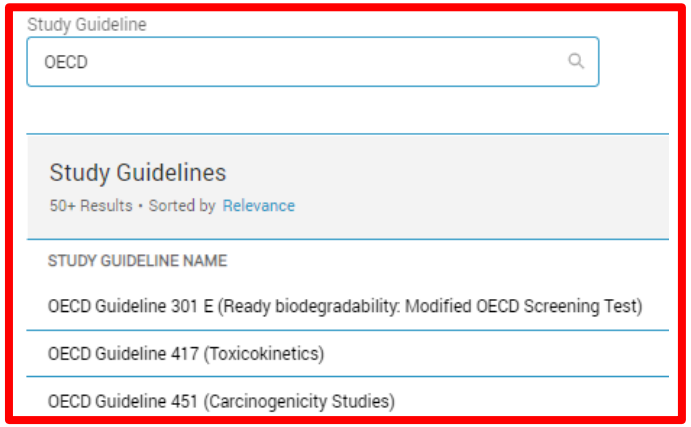
Up to 300 characters long.

This field can be used to **search and select a specific Study Type or Guideline**. Click on the below message “Show All Results for…” to see the search results. **Type “All”** and press **Enter** to see the full list.



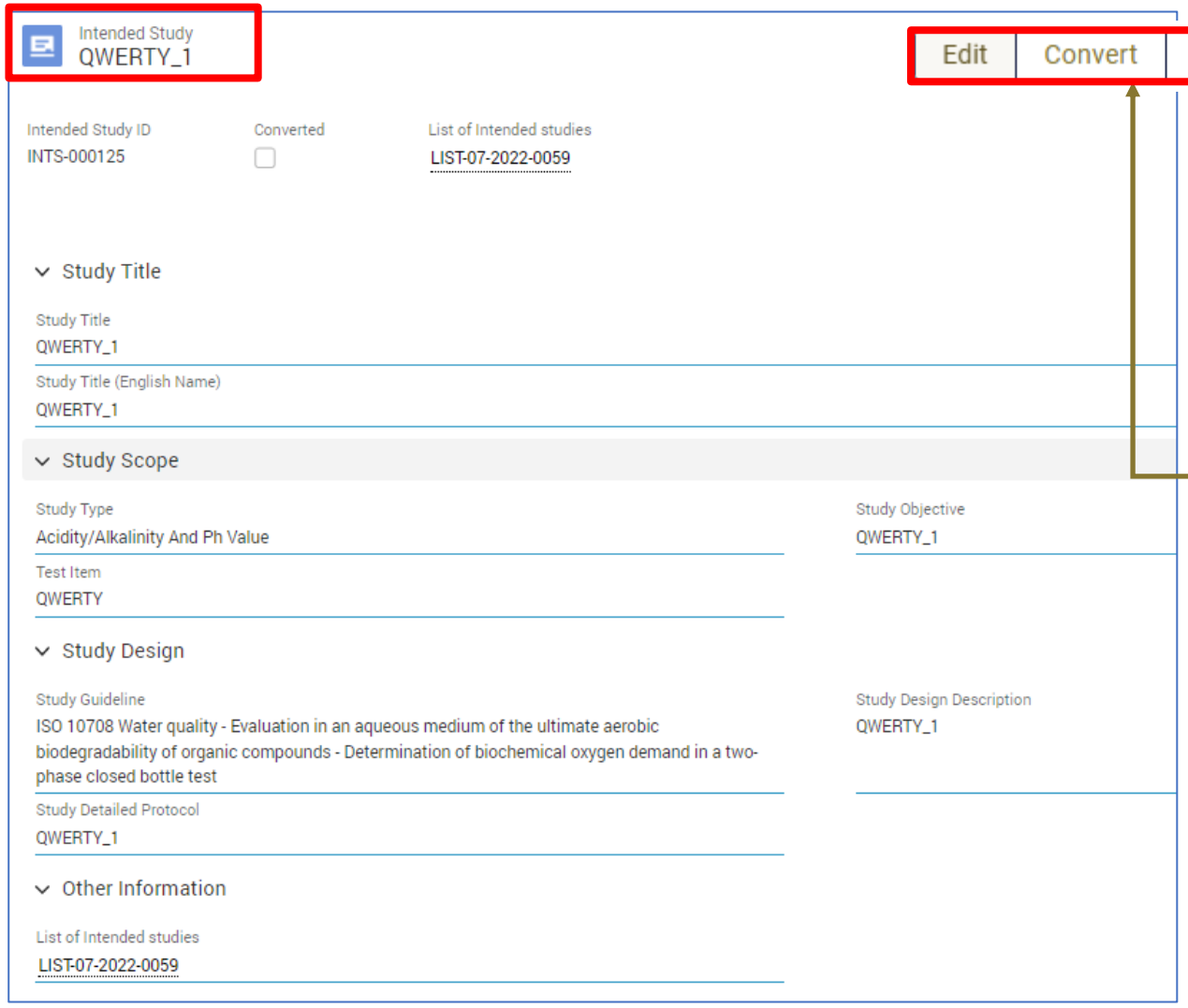






3.4.1 Create an intended study

In the intended study page, the user can revise the information provided and perform further actions on the intended study record.



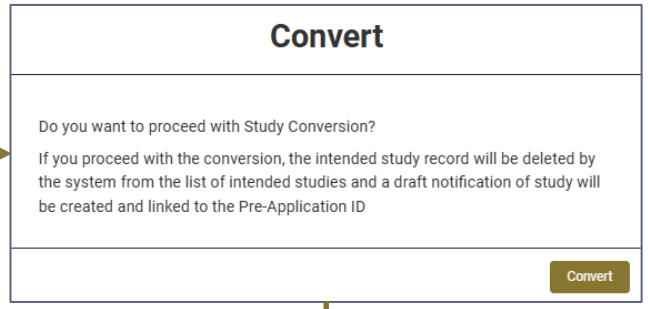
Intended studies can be **edited** or **deleted** only if the status of the list of intended studies is equal to “Draft” or “Clarification Needed”.

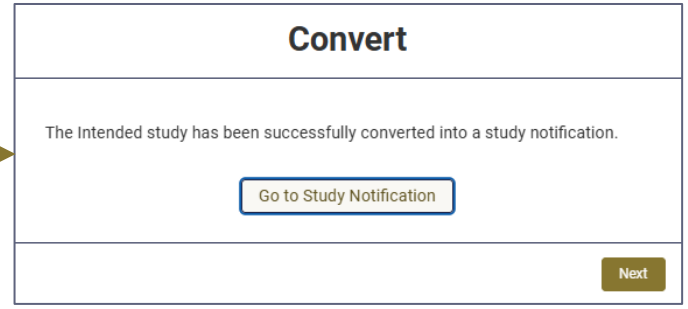
Intended studies can be **converted into notifiable draft studies (for notifications according to Article 32b of the General Food Law)** only if the status of the list of intended studies is “Draft”, “Clarification Needed” or “Closed”.

3.4.2 Convert single intended studies

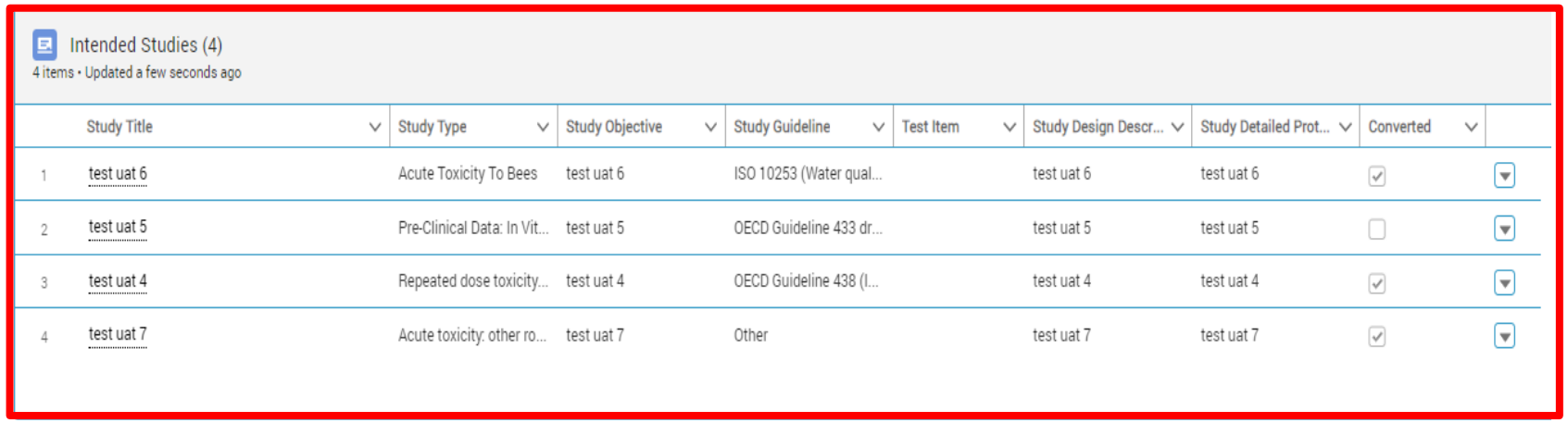
Single intended studies that are going to be commissioned can be converted into notifiable draft studies (for notifications according to Article 32b of the General Food Law) **only** when the status of the list is **“Draft”**, **“Clarification Needed”** or **“Closed”**.

The user clicks **Convert** from the intended study record page. **If the status of the list is “Draft”** or **“Clarification Needed”**, a warning message appears, clarifying that the converted notifiable study will not be subject to public consultation (as such, study can no longer be considered as ‘intended’).



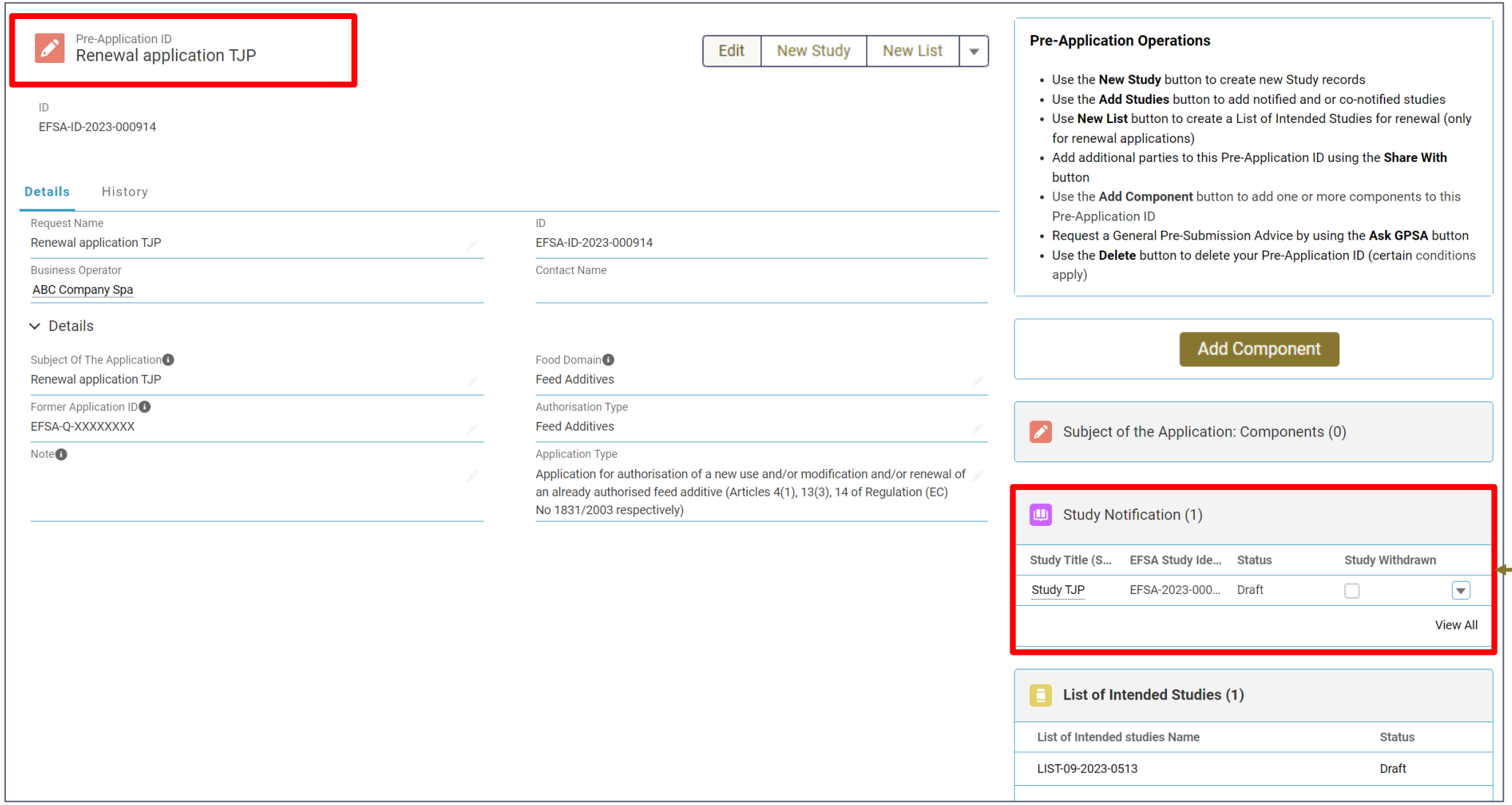


If the user decides to **convert an intended study when the status of the list is “Closed”** the original copy of the intended study will remain in the Intended Studies section of the list as record history and **marked as converted**.



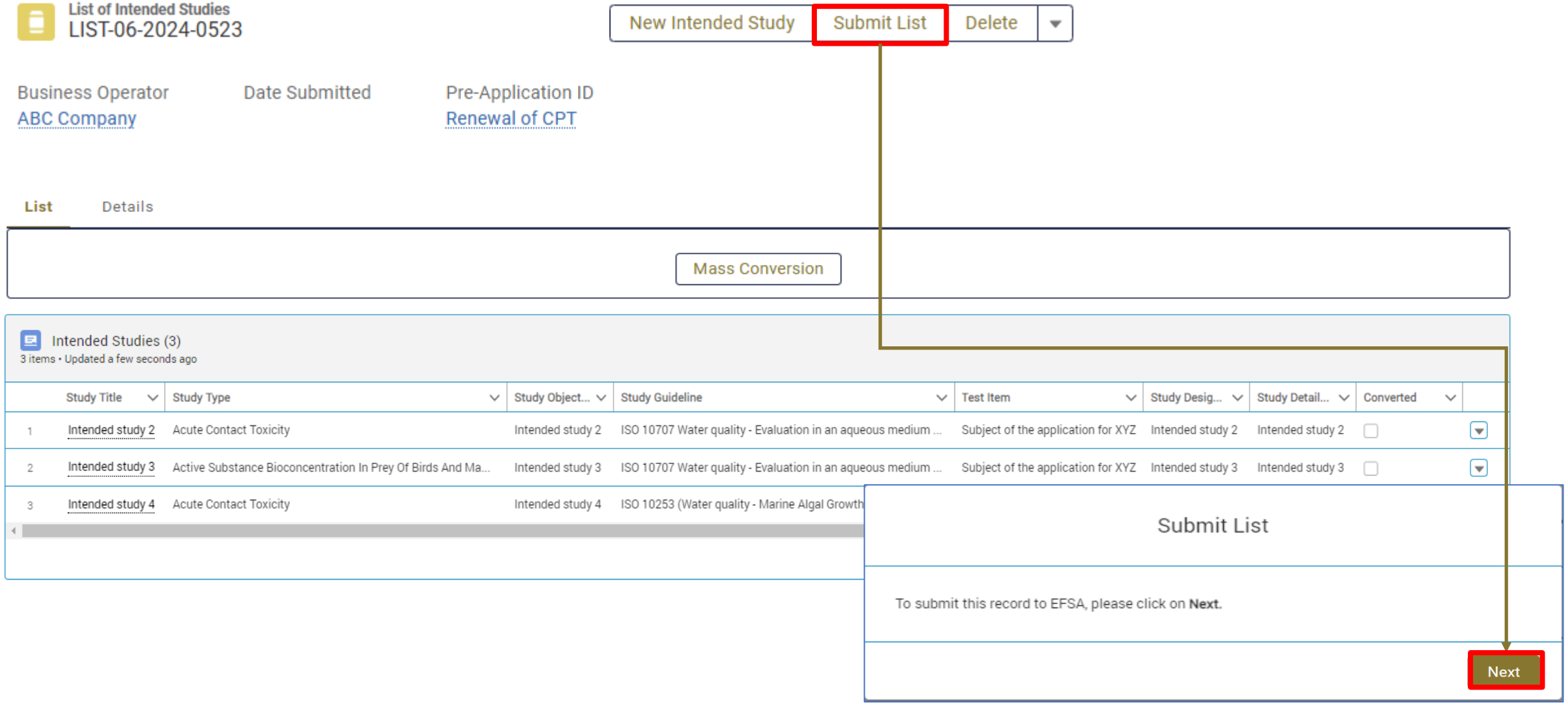
3.4.2 Convert single intended studies

Following the conversion, **an intended study is transformed into a draft notifiable study** (for notifications according to Article 32b of the General Food Law). The **draft study record** is moved into the "Study Notification" section of the related pre-application ID. The user can access the draft study and edit it before the notification.



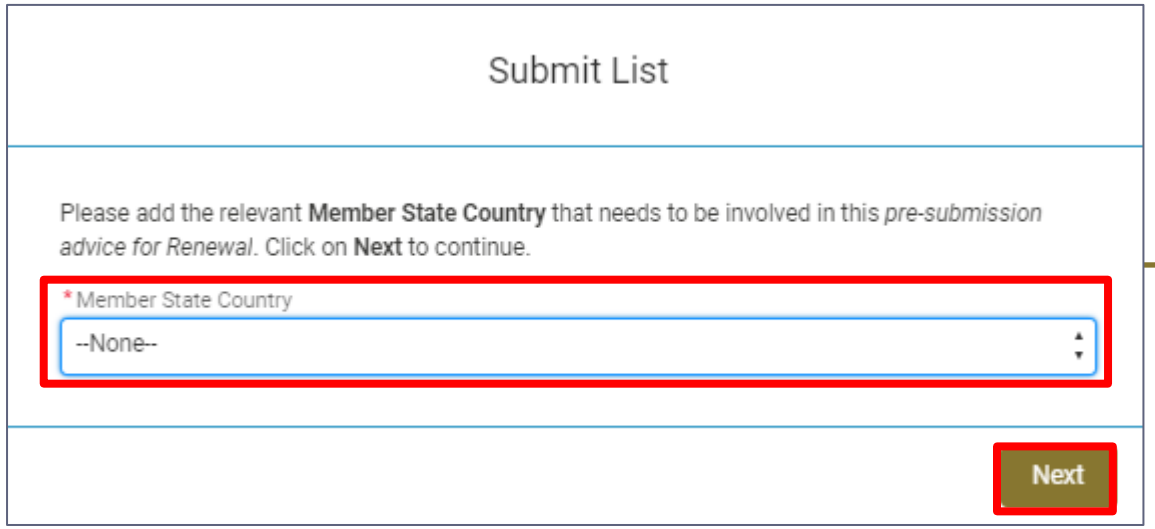
3.4.3 Submit a list of intended studies

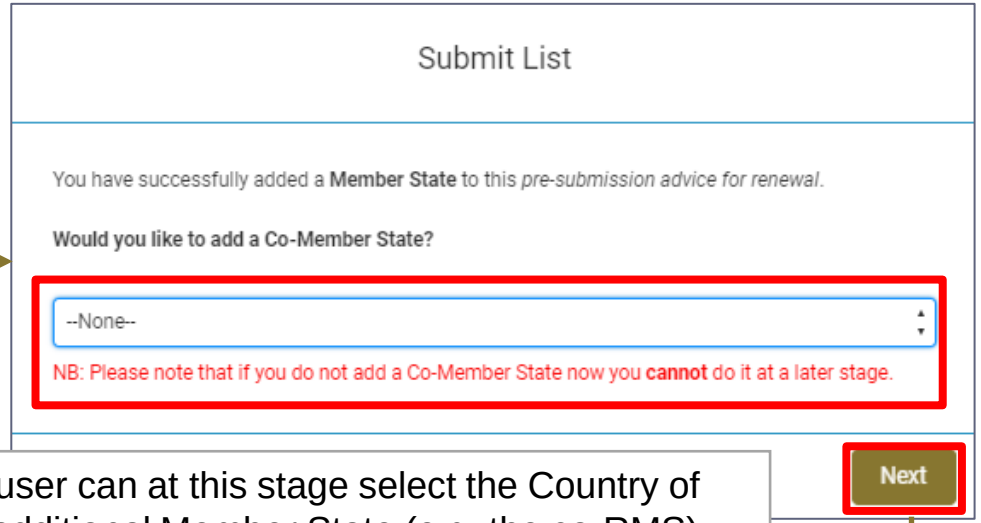
When the list of intended studies is ready, the user can submit it by using the function button **Submit List** and then **Next.**



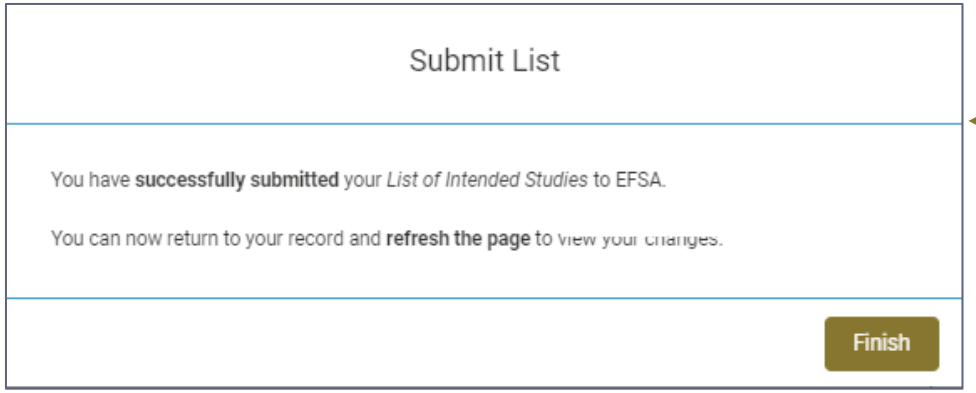
3.4.3.1 Submit a list of intended studies - Pesticides

When the pre-application ID for the renewal is related to the Food Domain **Pesticides (AIR)**, the user **must** select the **Member State Country** corresponding to the relevant Competent Authority in the rapporteur Member State/Co-rapporteur Member State for that renewal application.



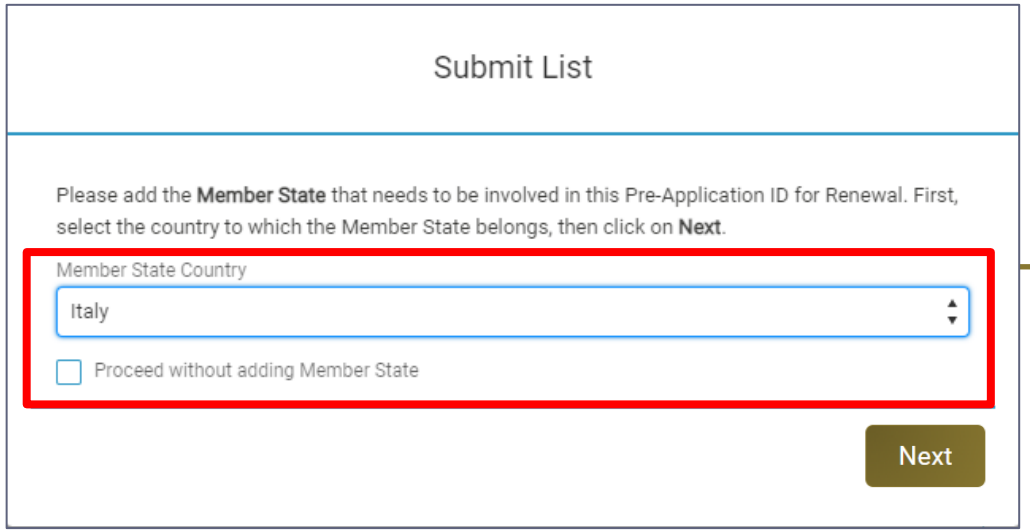


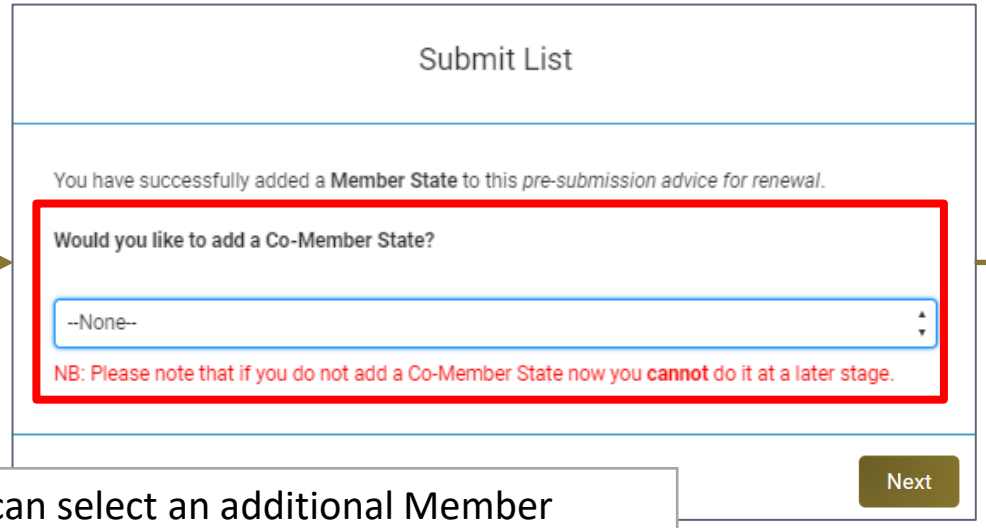
The user can at this stage select the Country of any additional Member State (e.g. the co-RMS)



3.4.3.2 Submit a list of intended studies - GMO Directive 2001/18/EC

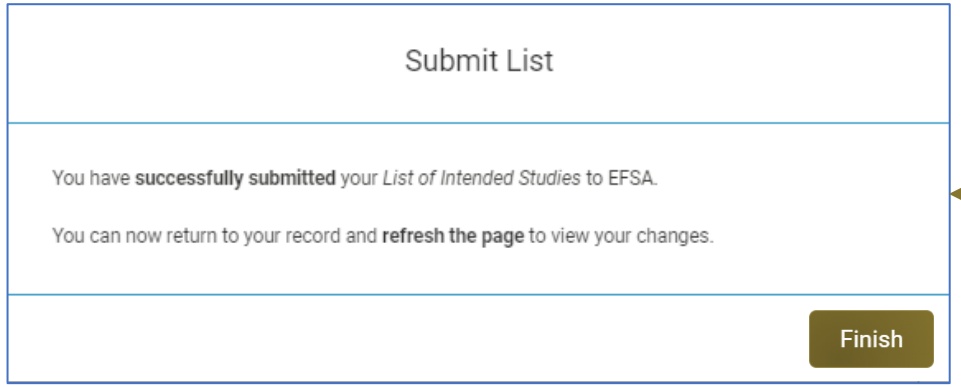
When the pre-application ID for the renewal is related to **GMO Directive 2001/18/EC**, the user is asked to select the **Member State Country** corresponding to the relevant Competent Authority in the Member State for that renewal notification.





The user can select an additional Member State, if needed, or continue without adding it.

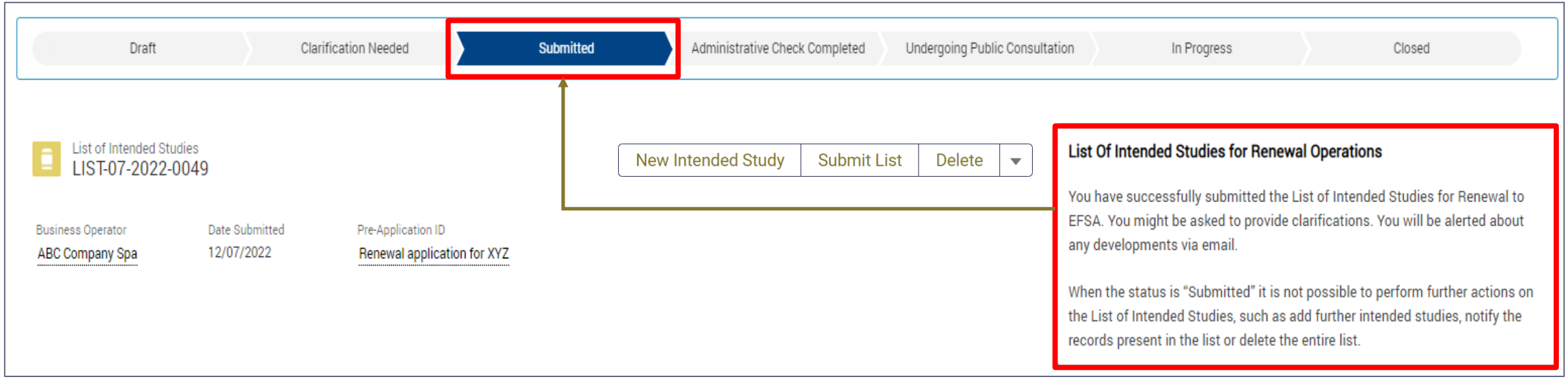
If the Member State is not known, the user can tick the box 'Proceed without adding Member State'. Further information might be requested by EFSA during the Administrative Check.

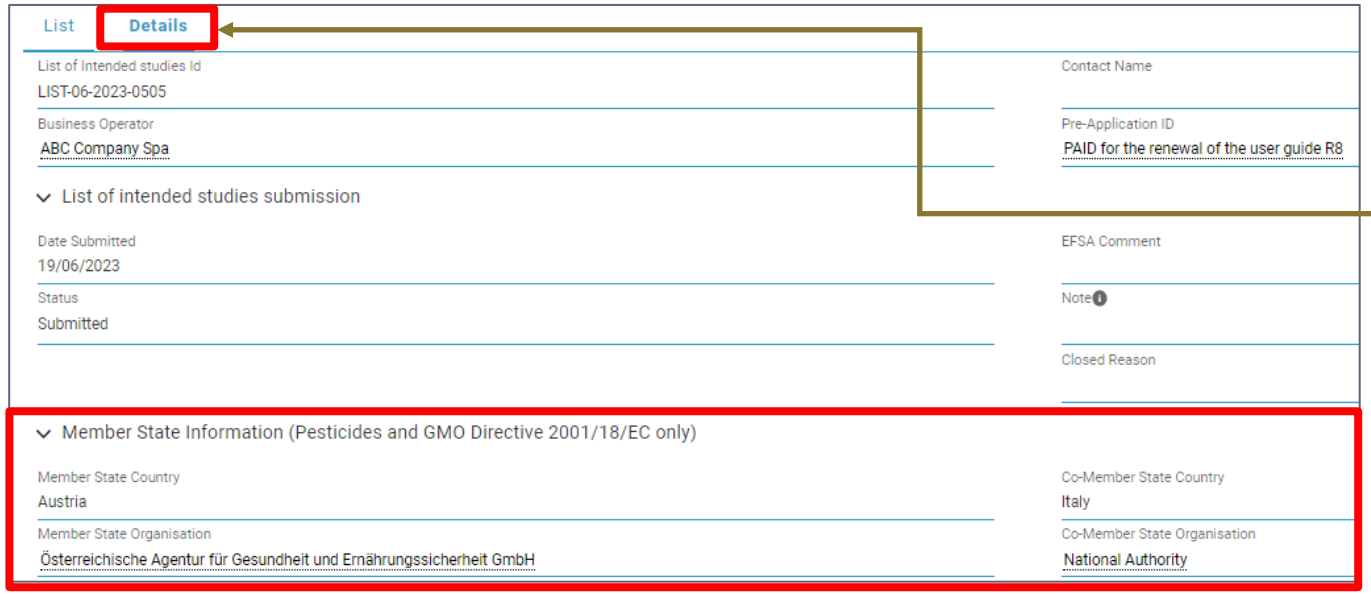


Suggested read: [Commission Notice on the submission of notifications under Articles 13 and 17 of Directive 2001/18/EC]

3.4.3 Submit a list of intended studies

Upon the submission of the list of intended studies its status turns into **Submitted**.



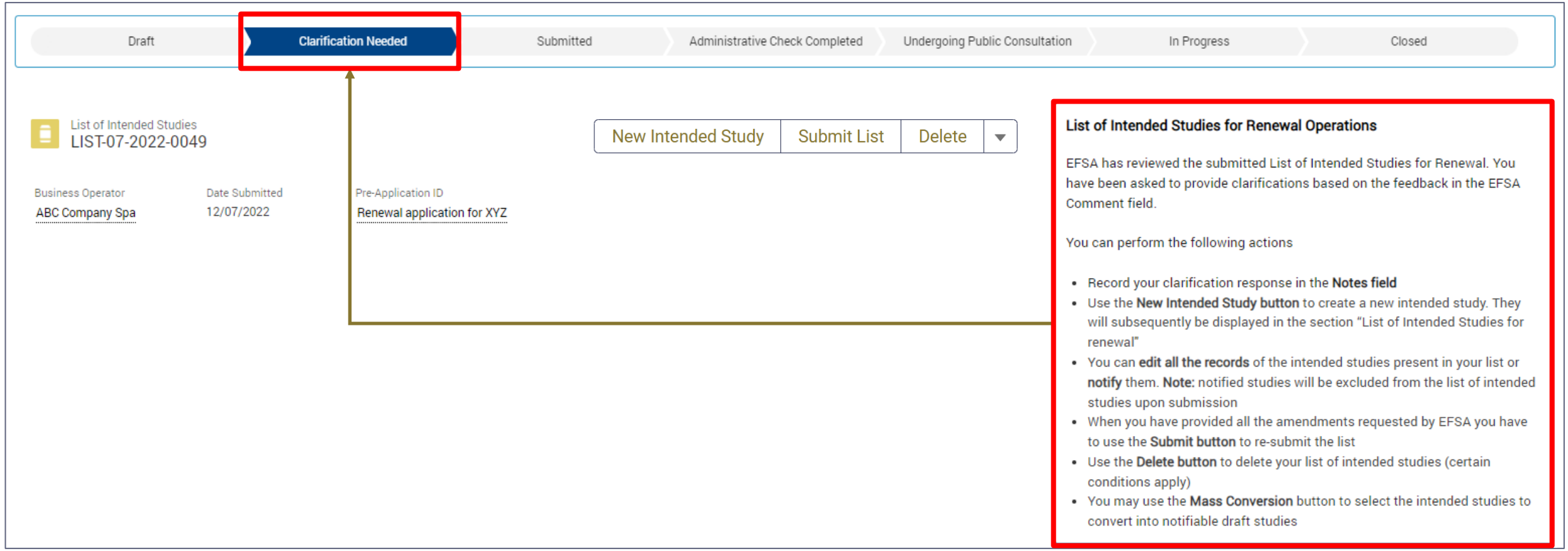


Upon submission and after each step, the record information reported in the **Details tab** is automatically updated.

In the **Details tab** the user finds also the selected Member State(s) information, if required by the type of application for renewal.

3.4.4 List of intended studies - Clarification Needed

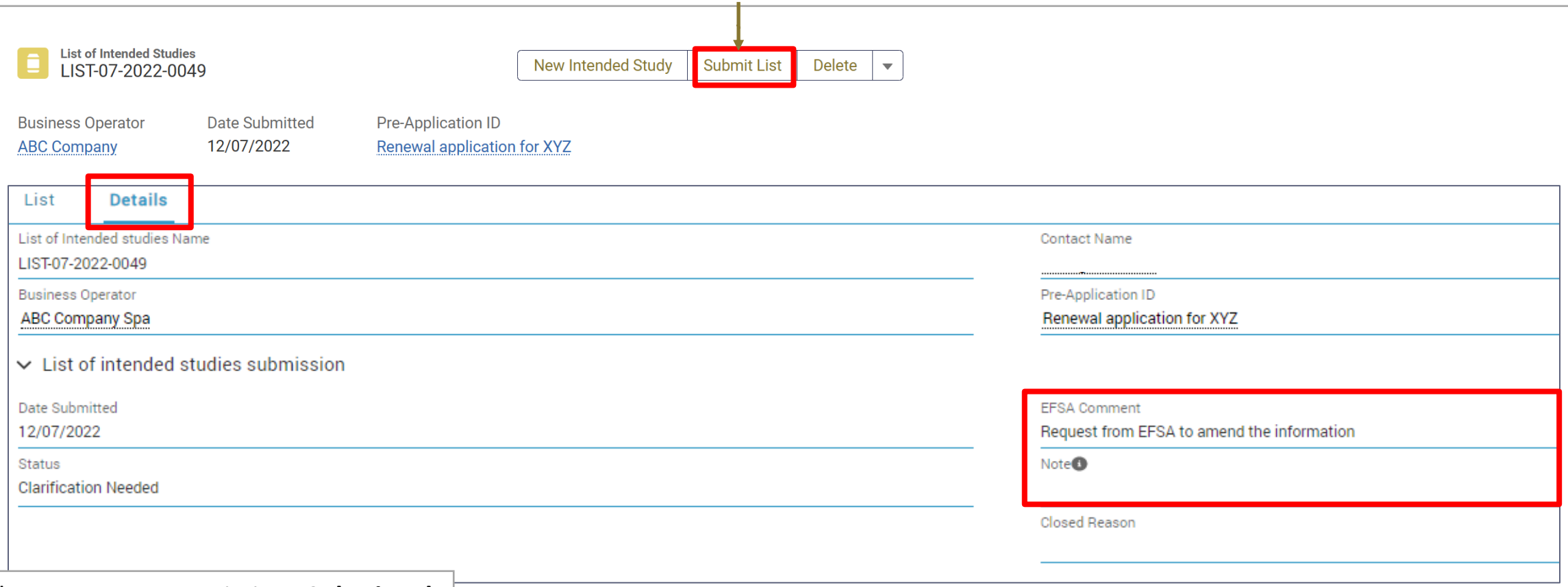
During the administrative check performed by EFSA, there might be the need for clarification on the information submitted with the list. EFSA will set the status of the list to **Clarification Needed**.
To reply to the clarification request, users can **edit** the pre-application ID and the list record. It is also possible to **add, delete or convert** intended studies into notifiable draft studies by using the specific buttons.



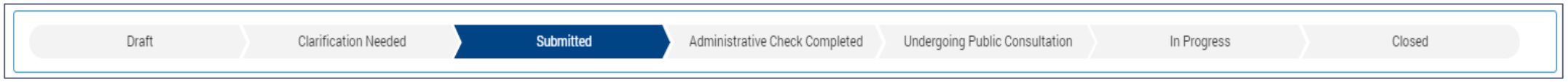
Suggested reads: Article 13 of the EFSA Practical Arrangements on pre-submission phase and public consultations
Questions 22.B and 24.B of the EFSA Q&A on Practical Arrangements.

3.4.4 List of intended studies - Clarification Needed

Under the **Details tab** of the list the user finds the section **EFSA comments** containing the request(s) of clarification. A reply can be submitted by the user using the **Note section**.
After the required amendments have been done and the list is ready, the user must **Submit** the **list** again.

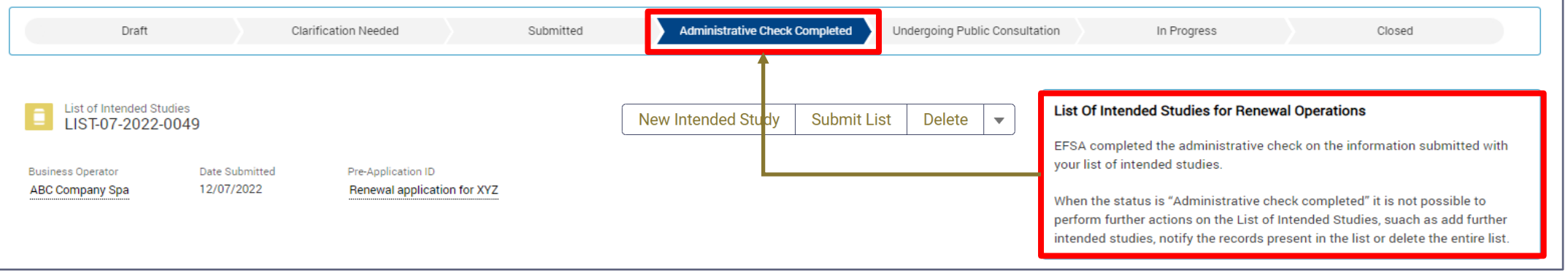


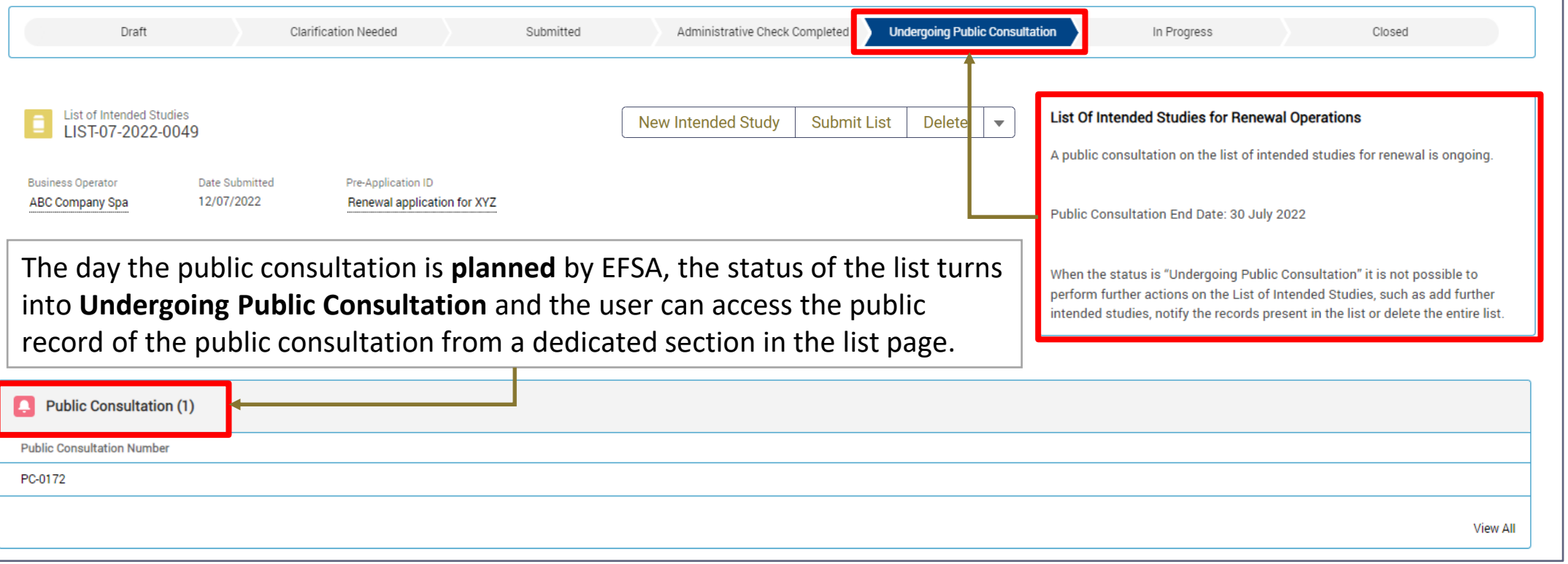
The status turns again into **Submitted**.



3.4.5 List of intended studies – Administrative Check Completed and Public Consultation

When EFSA has completed the administrative check, the status turns into **Administrative Check Completed.**

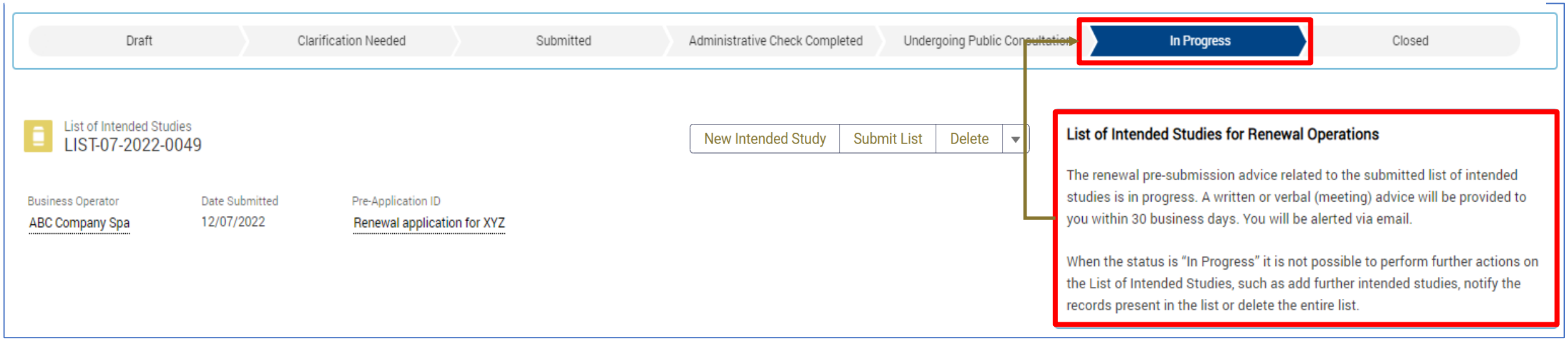




The day the public consultation is **planned** by EFSA, the status of the list turns into **Undergoing Public Consultation** and the user can access the public record of the public consultation from a dedicated section in the list page.

3.4.6 List of intended studies – In Progress

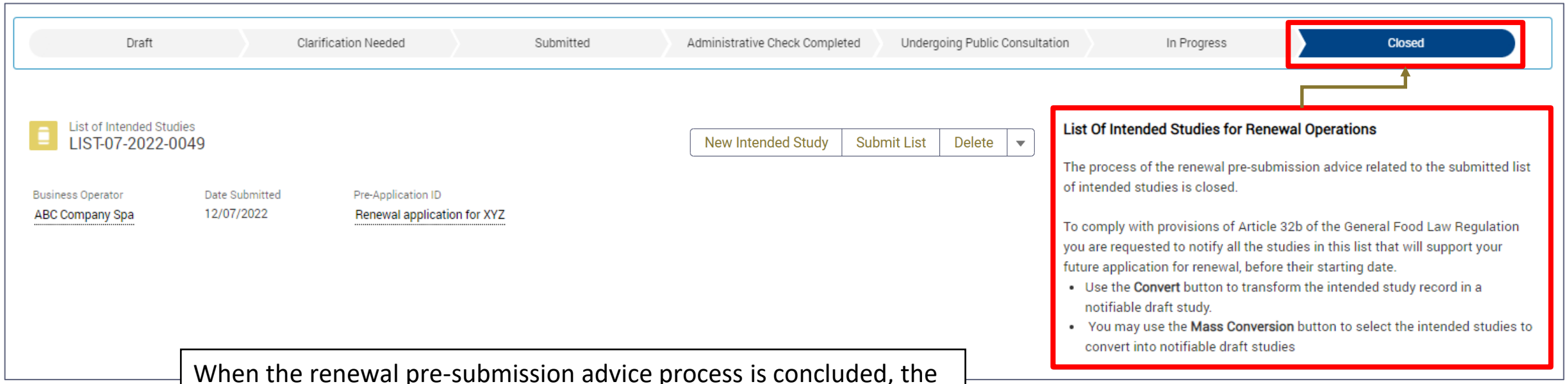
After the end of the public consultation the status of the list turns into **In Progress**. This means that EFSA is considering the comments received during the public consultation and will provide the user with the renewal pre-submission advice in 30 working days.



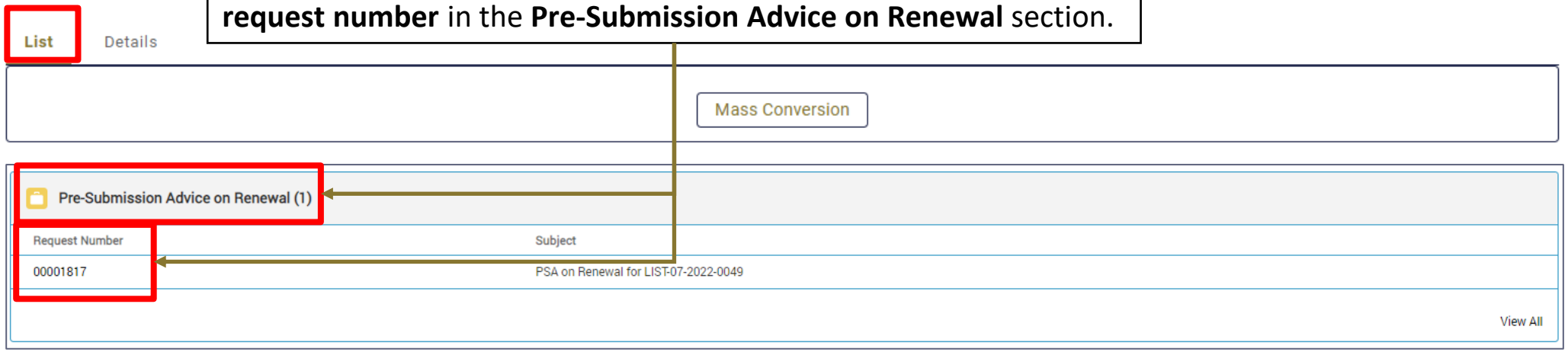
Note: when the status of the List is "Submitted", "Administrative Check Completed", "Undergoing Public Consultation" or "In Progress" it is not possible to perform further actions on the List.
However, it is always possible to create and notify studies or add already notified studies by using the function buttons (i.e. New Study, Add studies) in the related pre-application ID page.

3.4.7 List of intended studies – Closed

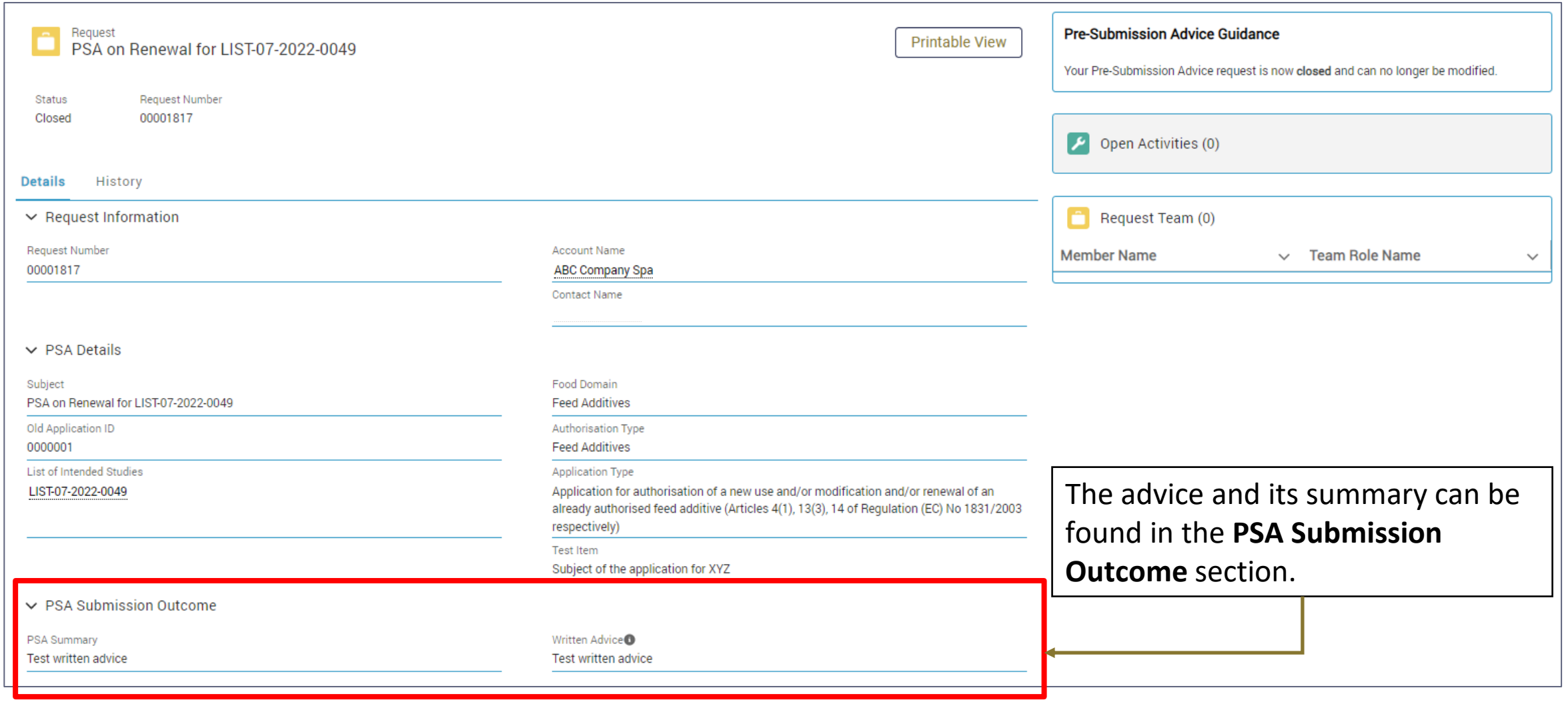
When the renewal pre-submission advice is sent to the potential applicant, the status of the list turns into **Closed**.



When the renewal pre-submission advice process is concluded, the user can access the advice and its summary **by clicking on the request number** in the **Pre-Submission Advice on Renewal** section.



3.5 Renewal pre-submission advice and summary of the advice

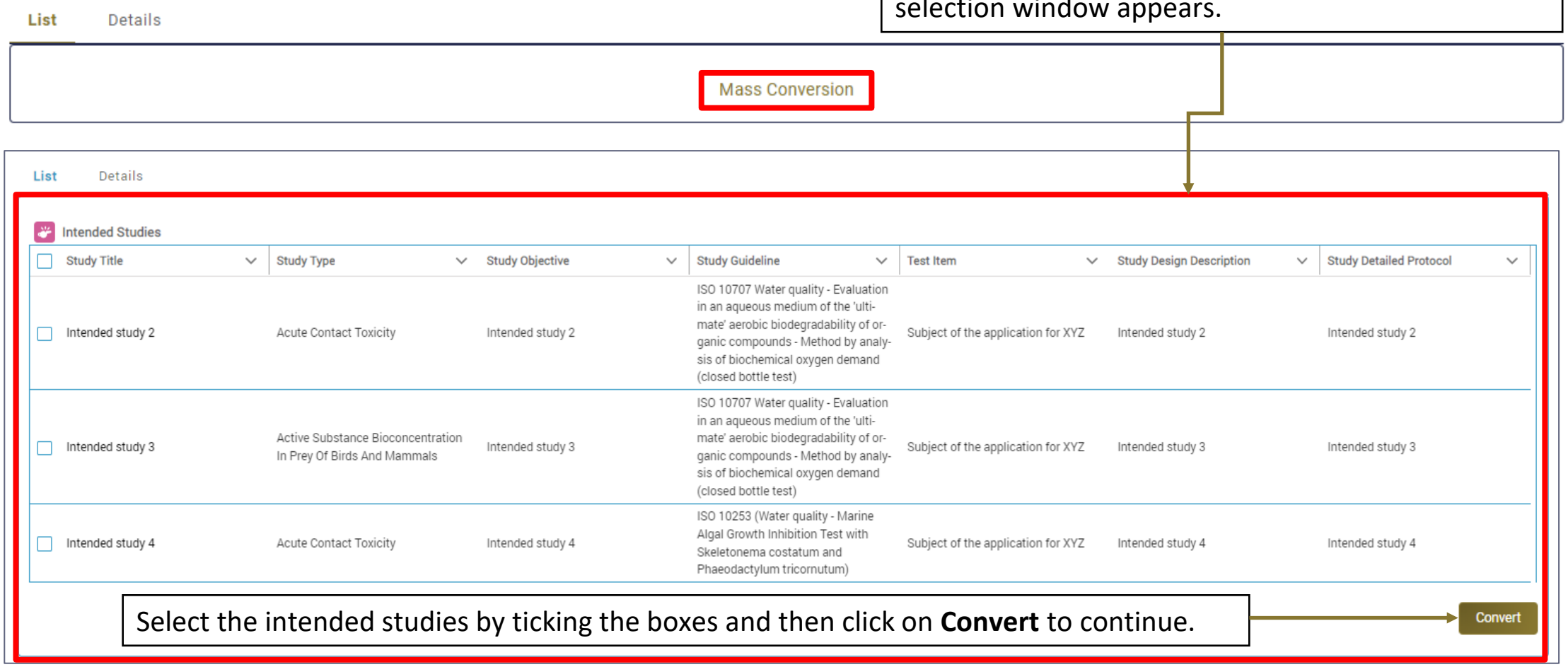


The advice and its summary can be found in the **PSA Submission Outcome** section.

3.6 Mass conversion of intended studies

Intended studies that are going to be commissioned can be converted into draft notifiable studies (for notifications according to Article 32b of the General Food Law) when the status of the list is “Draft”, “Clarification Needed” and “Closed”. Users can use the **Mass Conversion** button from the List tab to select which studies need to be converted. The same rules of the conversion of single intended studies apply.

The user clicks on **Mass Conversion** and a dedicated selection window appears.



3.6 Mass conversion of intended studies

Convert

Once the users clicks on **Convert**, a message appears.

List Details

Do you want to proceed with Study Conversion?

If you proceed with the mass conversion, for the selected intended study a draft notification will be created and linked to the Pre-Application ID.

Cancel Convert

Click again on **Convert** to continue or **Cancel** to go back.

Pre-Application ID
Renewal application for XYZ

Edit | New Study | New List

ID
EFSA-ID-2022-000646

Details History

Request Name
Renewal application for XYZ

ID
EFSA-ID-2022-000646

Business Operator
ABC Company Spa

Contact Name

Details

Subject Of The Application
Subject of the application for XYZ

Food Domain
Feed Additives

Former Application ID
0000001

Authorisation Type
Feed Additives

Note

Application Type
Application for authorisation of a new use and/or modification and/or renewal of an already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC) No 1831/2003 respectively)

Converted studies (in draft) can be found in the **Study Notification** section of the pre-application ID page.
Click on **View All** for a complete view.

Study Notification (3)

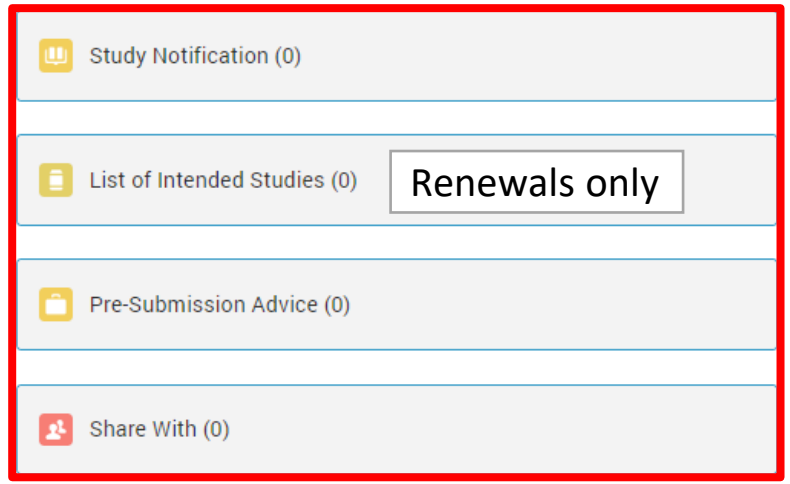
Study Title	EFSA Study Iden...	Status	Study Withdrawn
Intended study 1	EFSA-2022-0000...	Draft	
Intended study 2	EFSA-2022-0000...	Draft	
Intended study 3	EFSA-2022-0000...	Draft	

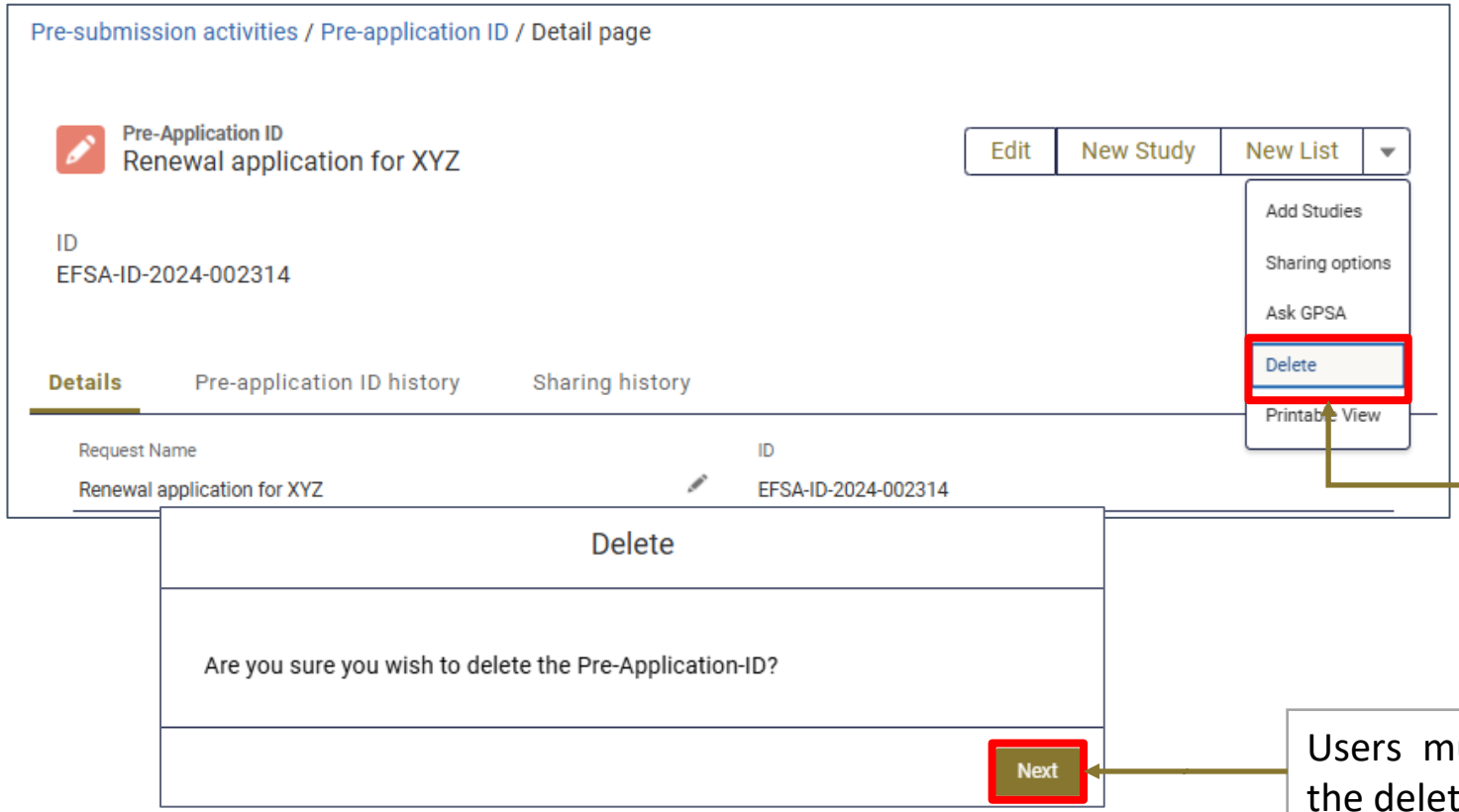
View All

3.7 Delete a pre-application ID

Users can delete a pre-application ID only when there are no records associated, such as notified studies, list of intended studies or general pre-submission advice.

If the above conditions are not fulfilled, the system will return an **error message**.





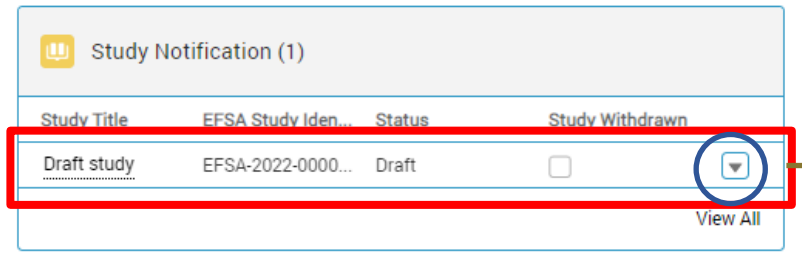
In the pre-application ID page, click on the function button **Delete.**

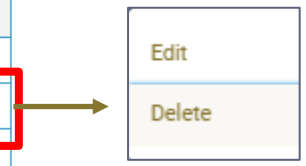
Users must click on **Next** to confirm the deletion.

3.7.1 Delete a pre-application ID and/or remove draft objects

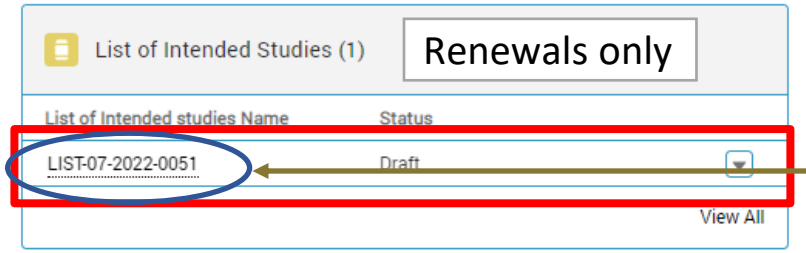
Updated!

If a pre-application ID is associated with **draft objects,** such as **studies or general pre-submission advice** request(s) or shared with another organisation, the user must first remove all the associations to be able to delete the pre-application ID record.

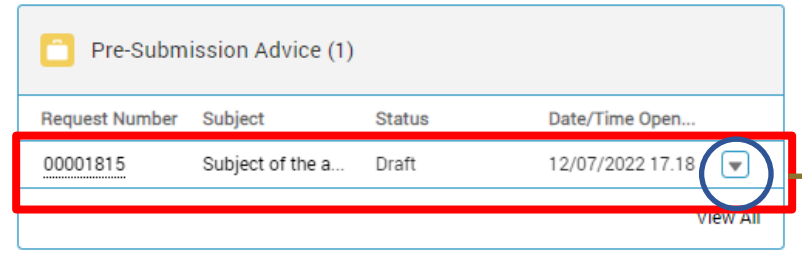


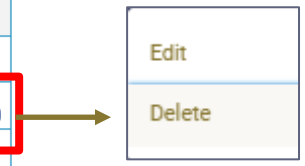


Select **Delete** to remove a draft study from the pre-application ID. This action will not delete the study itself.
Notified and co-notified studies cannot be removed.



Click on the list name to open the list of intended studies page. **Only empty list of intended studies can be deleted.** Intended studies associated with the list **can be deleted only** when the status corresponds to "**Draft**" or "**Clarification Needed**".





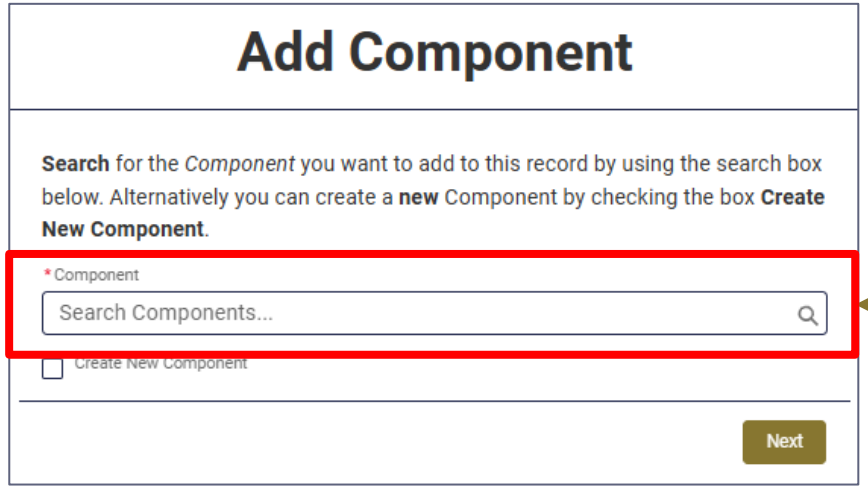
Select **Delete** to remove a **draft general pre-submission advice request**. Submitted general pre-submission advice requests will prevent deletion of the pre-application ID.

Components

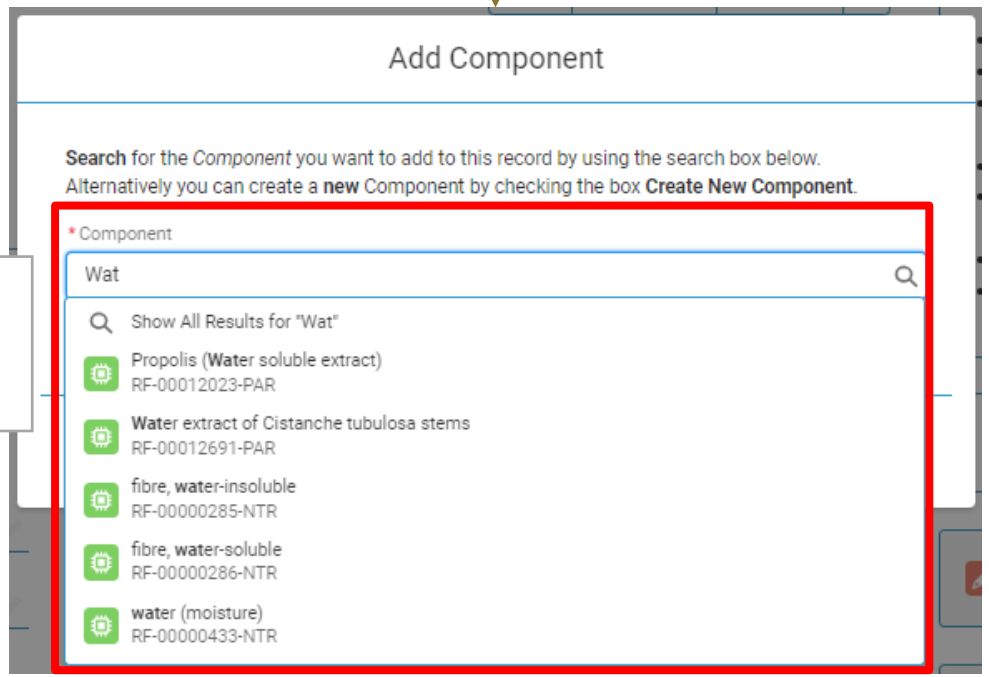
3.8 Add a component

Component(s) can be added to a pre-application ID to give more information about the subject of the application.

The user clicks on the **"Add Component"** button right-hand side of the pre-application ID page.



It is possible to **search for existing components in the EFSA catalogue (PARAM)**. The search includes also the components already created by the user. See "View Component" section for details.

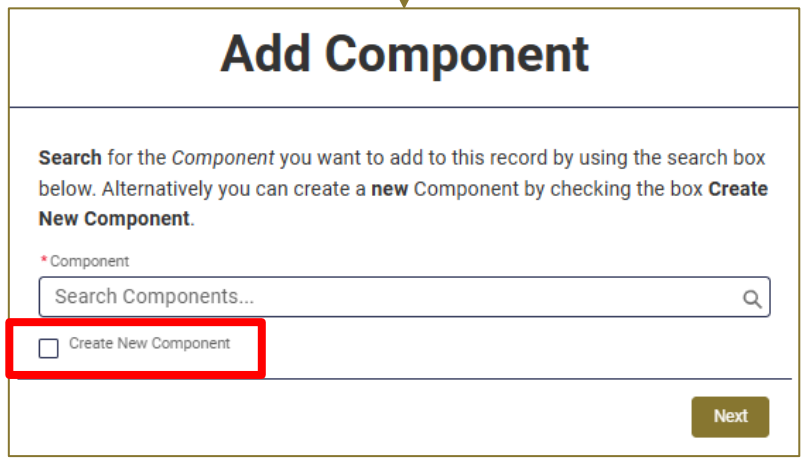


Type at least three letters of the component name to find all the related results. **To expand the search results, click on "Show All Results for …".**

Select one of the results and click on **Next** to continue. The added component appears in the related list **Subject of the application: Components** in the pre-application ID page**.**

3.8.1 Create a new component

If a component is not retrievable using the search function, the user checks the box “Create New Component” in the “Add Component” pop-up window.



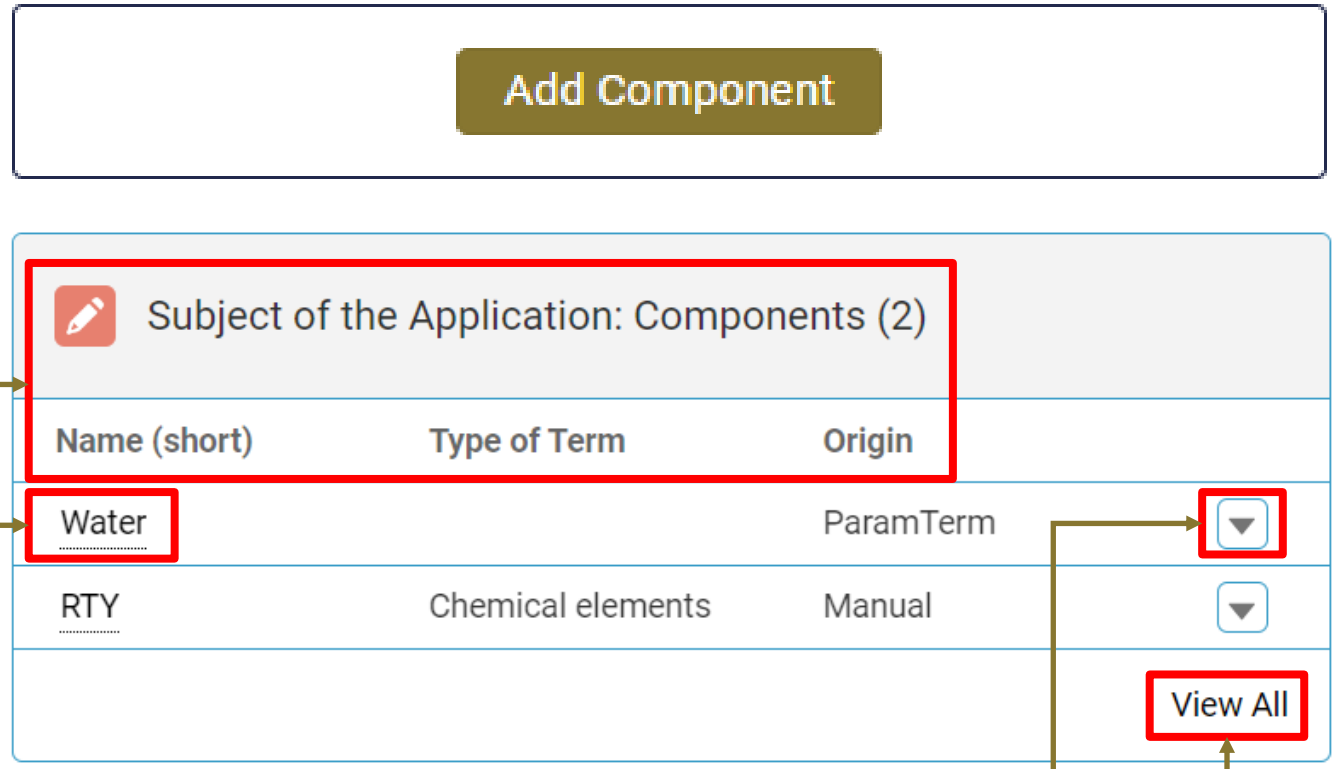
Fill in the “Component Details” form with the corresponding information. The fields “Type of Term” and “Name” are mandatory. More details on the information required by a certain field are showed by passing over the ⓘ icons. Click **Next** to continue.

The newly created component appears in the related list **Subject of the application: Components** in the pre-application ID page**.**

3.8.2 Related list “Subject of the Application: Components”

Users find the components associated to a pre-application ID in the related list **“Subject of the Application: Components”**. For easier identification of the listed components, additional fields (e.g. Name, Type of Term, Origin) are available.

Click on the name of the component to open the corresponding details page.

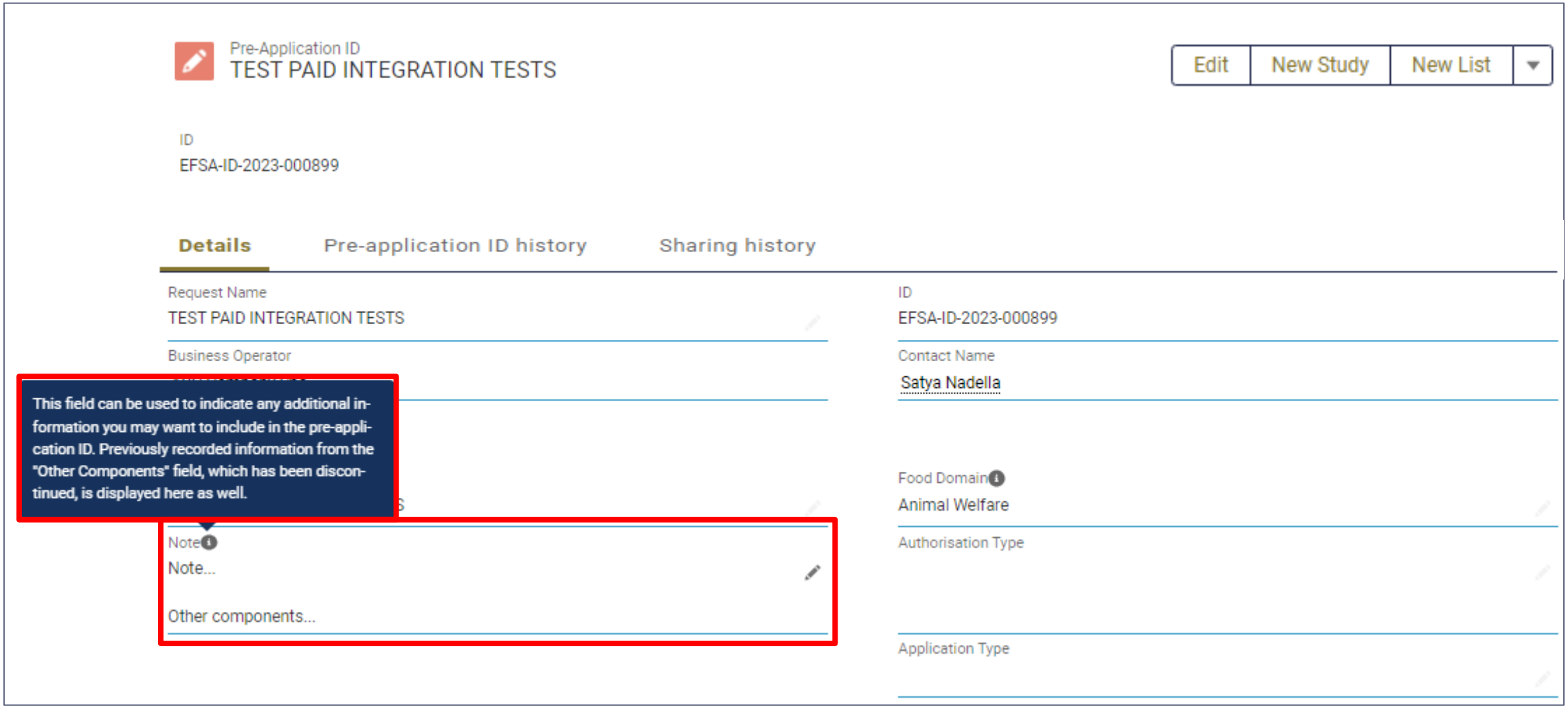


Click on pointing down arrow to Edit or Delete the component from the list.

The related list shows a limited number of entries, users can click on “View All” to expand the related list box and view all the associated components.

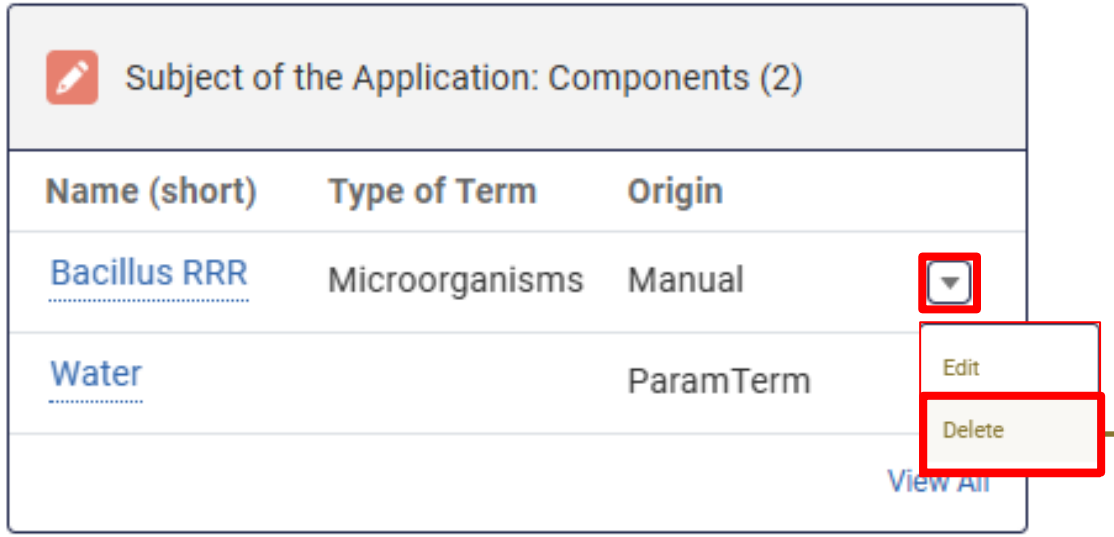
3.8.3 Note field and Other Components

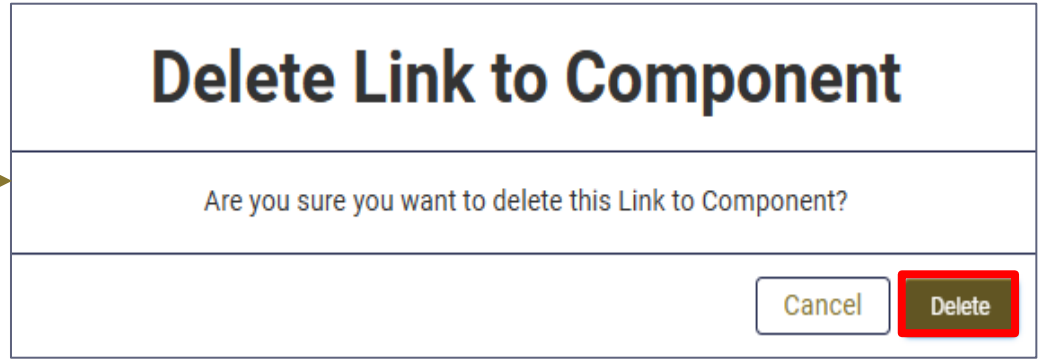
The “Other Components” field was discontinued, the data previously contained, if any, is now available in the “Note” field. Users can modify such data or decide to **create a component** to be linked to the pre-application ID.



3.8.4 Delete link to components

The user can **always** remove Components from the pre-application ID. By performing this action, the user will delete only the link between the pre-application ID and the Component, **not the Component itself.**

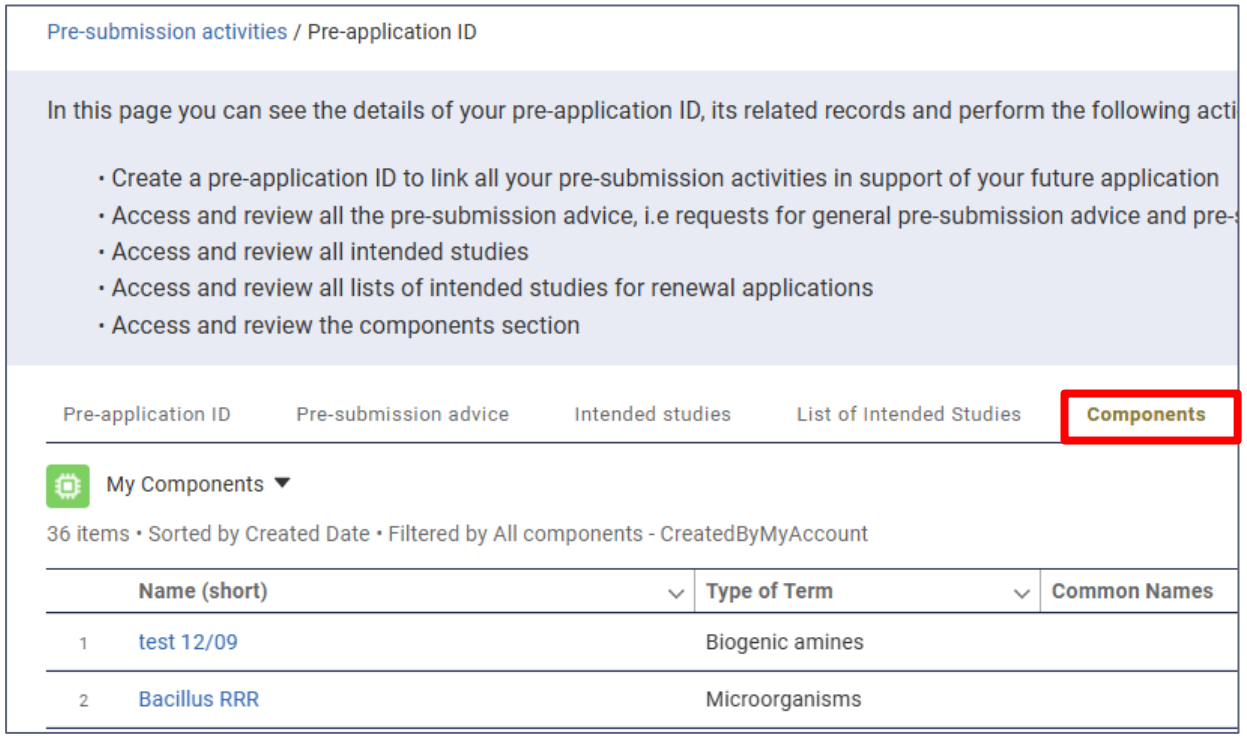


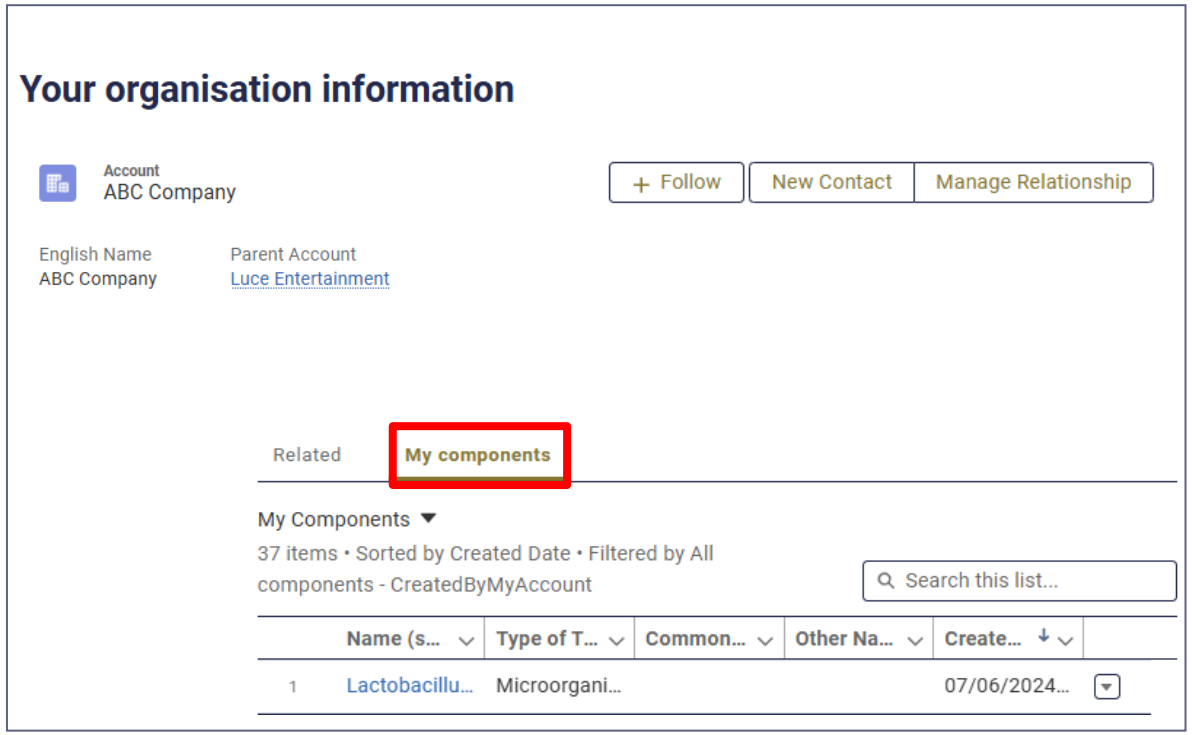


As a result, **the Component is removed from the related list** "Subject of the Application: Components" on the pre-application ID page.

3.8.5 View Components

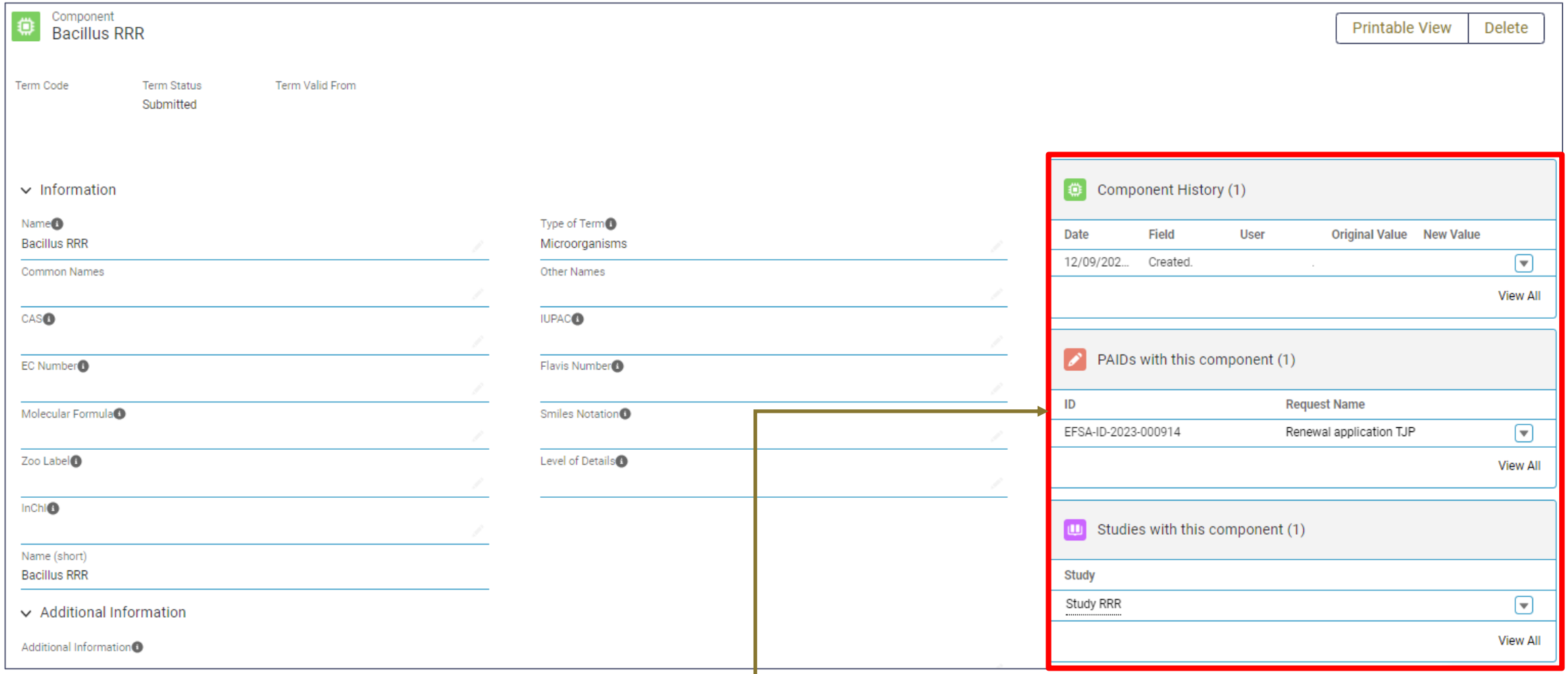
All Components created by the user are listed under the tab **“Components”** in the pre-application ID main page, and in the “My profile” page under “your organization information” section.





3.8.6 Component details page

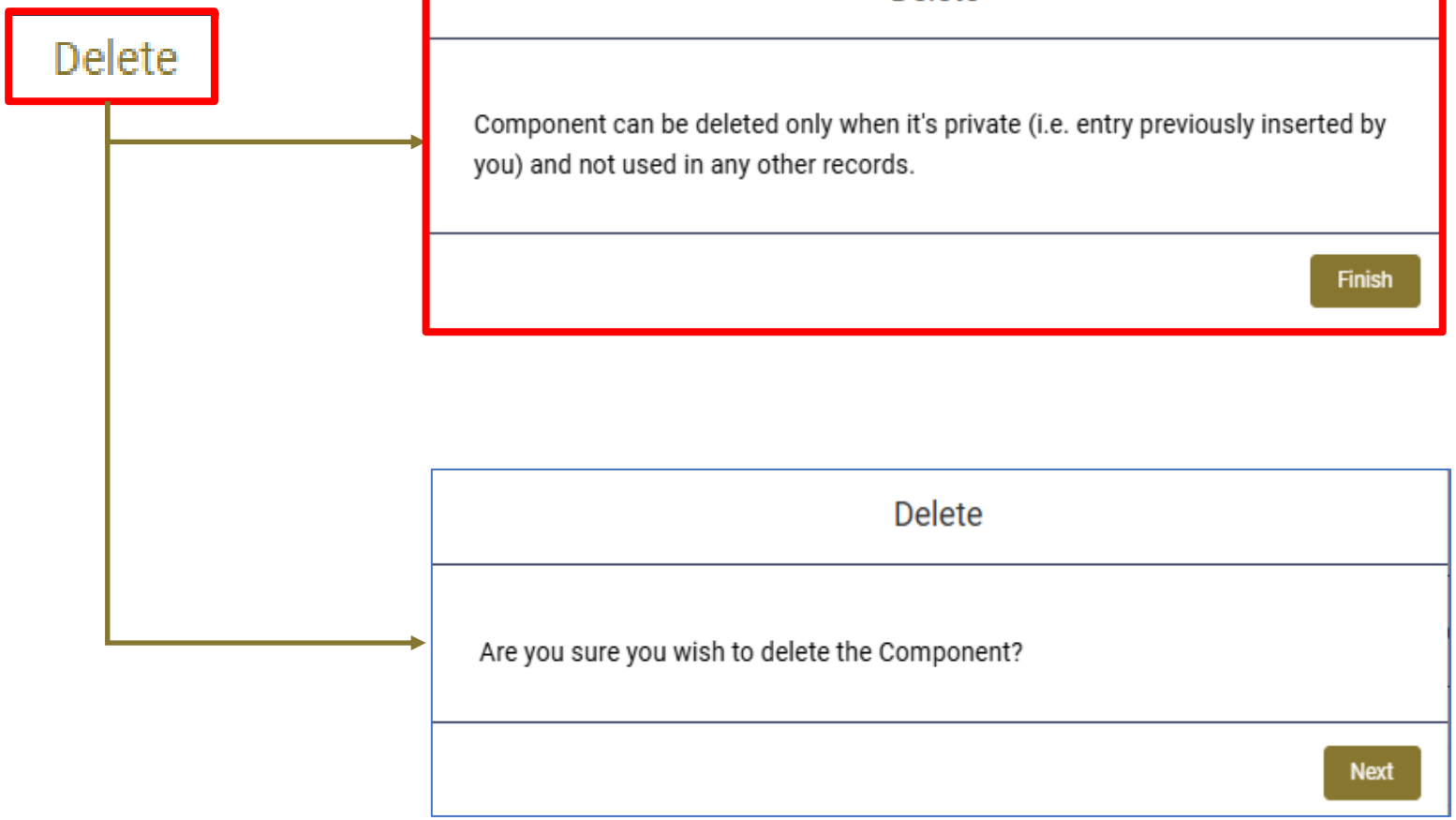
The detail page of the component appears as in the image below. Information on the component can be added/modified directly from this page only for components created by the user.



Related lists of the component page: inform the user about the history of the component record (e.g. creation, editing actions), and whether the component is associated to a pre-application ID or other study notifications.

3.8.7 Delete Components

From the detail page My Components the user can delete a component record by using the **Delete** function button.



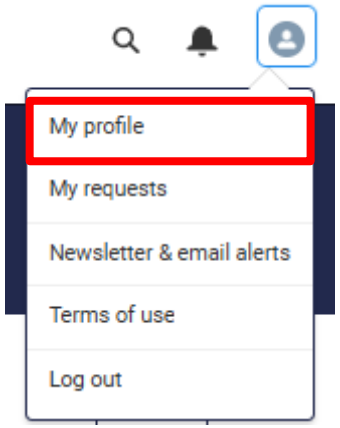
This **error message** appears if the component is used in any other record (i.e. Pre-Application IDs, Studies records).

To delete the component, the user must firstly remove all the existing links with the other records as explained in the previous slides.

Account relationships and sharing options

3.9 Account relationship(s)

When a **business operator** wants to delegate a **third-party/consultant** to work on its behalf, a relationship "on behalf of" must be established at the account level from the **My profile** page under "**Your organization information**" section.



Your organisation information

Account
ABC Company

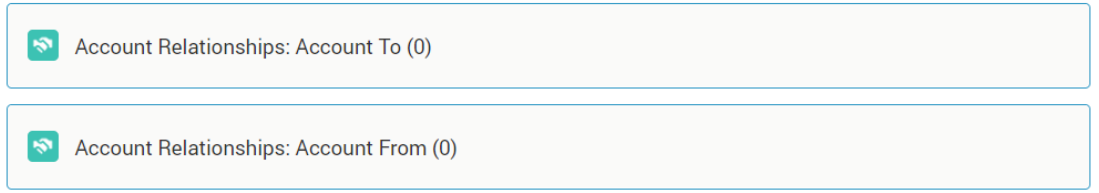
English Name
ABC Company

Parent Account
Luce Entertainment

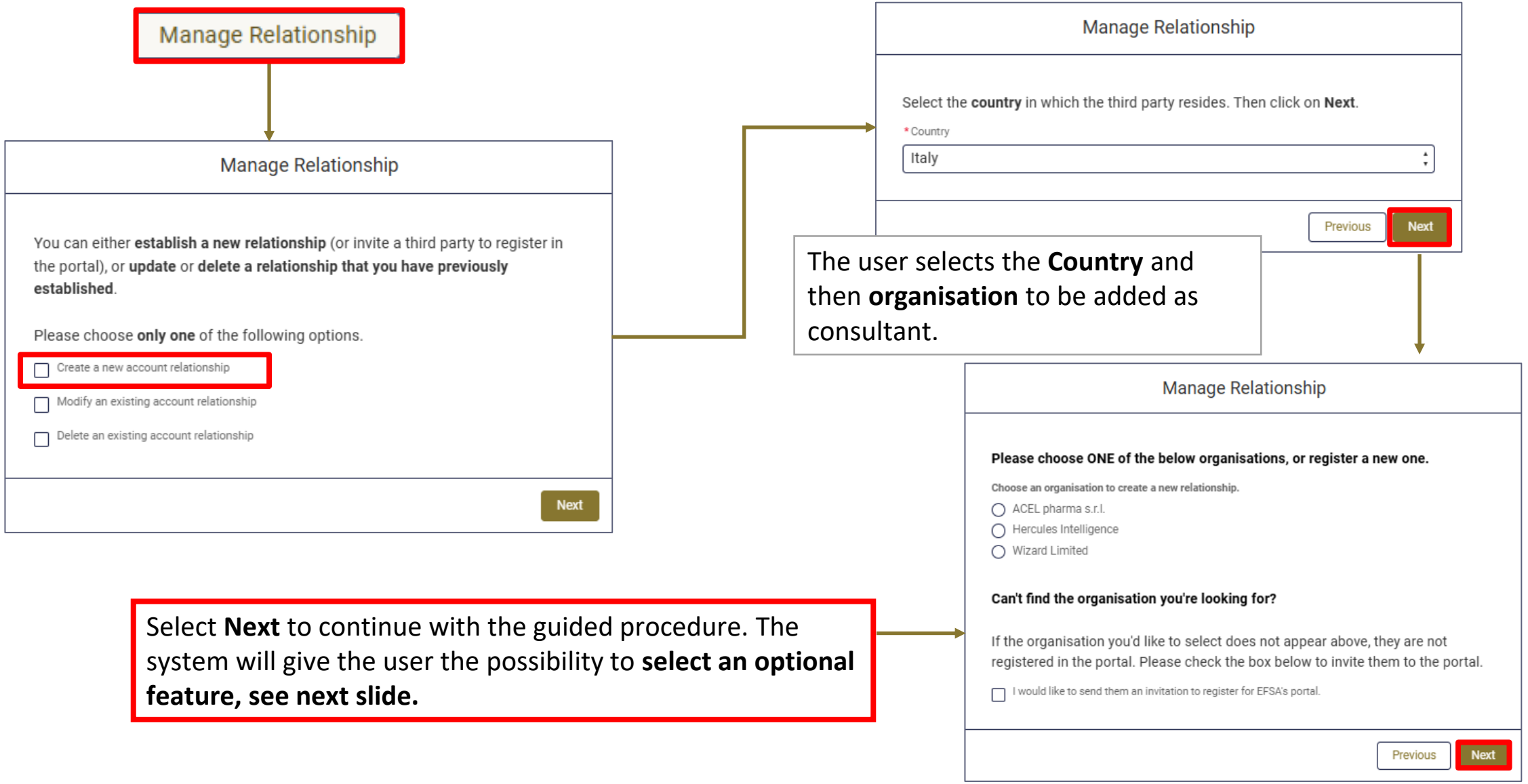
User can click on the button **Manage Relationship** to **create, modify or delete** a relationship with an organisation that works on its behalf.

Under **Related** tab, the user can find the lists showing existing relationships.

Account To: list of organisations **working on behalf of the user.**
Account From: list of organisations the user **is working on behalf of.**

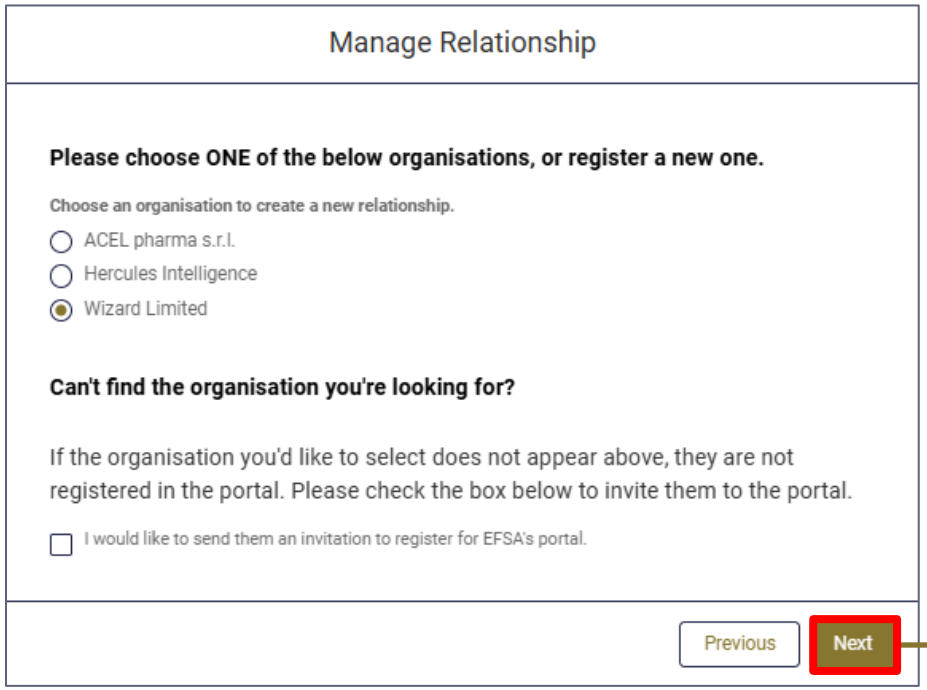


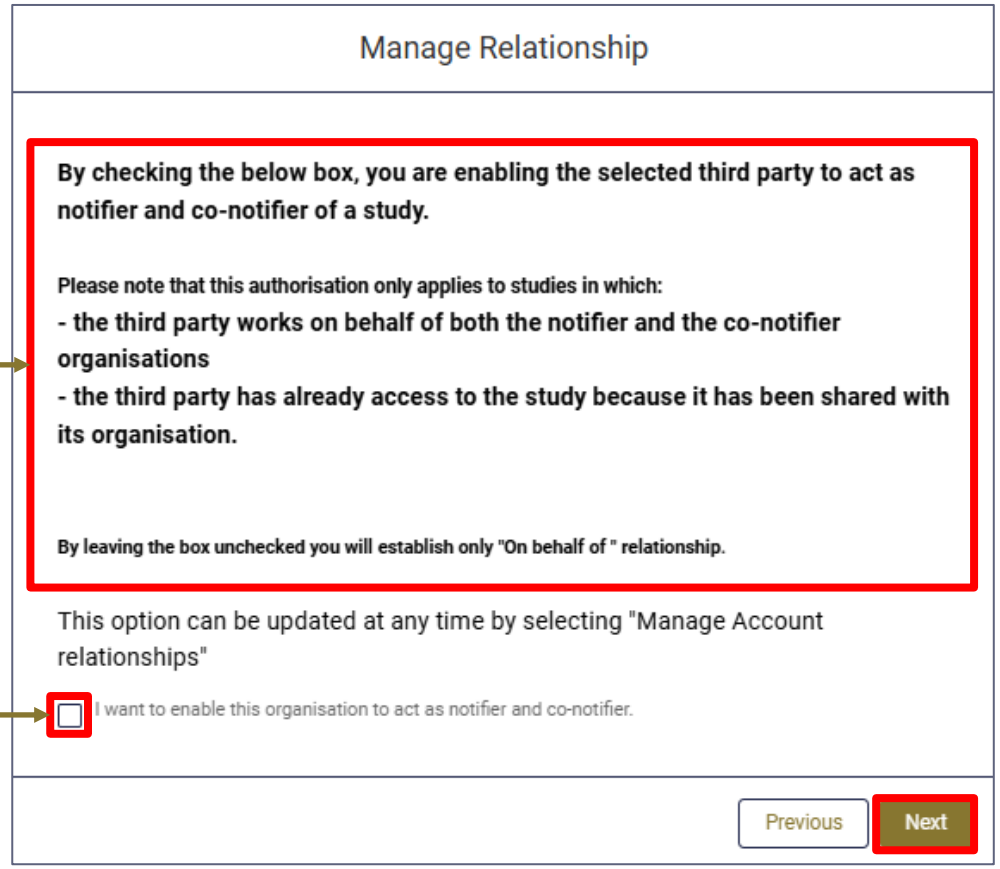
3.9.1 Create an account relationship



3.9.1 Create an account relationship

OPTIONAL FEATURE - During the creation of an account relationship, **business operators and laboratories can agree on enabling a selected third party/consultant to act as Notifier and Co-notifier**, at the same time, of one or more studies. It is possible to modify this choice at any time (see Modify account relationship(s) to know more details).





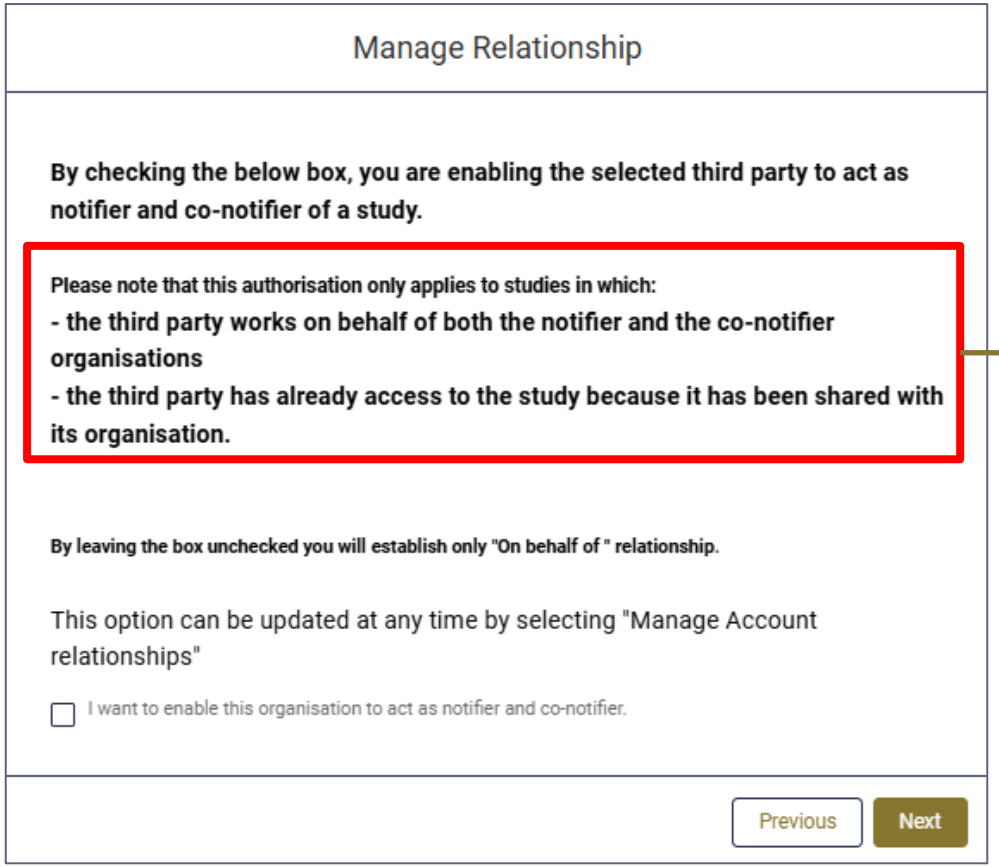
Check the box to enable the third party/consultant to perform this action or continue without checking the box. Click **Next** to complete the procedure.

Note: a practical example of how this feature works is given in the next slide.

3.9.1 Create an account relationship

Actors of the process:
- **A business operator**, e.g. “Business Operator”
- **A laboratory**, e.g. “Laboratory”
- **A third party/consultant**, e.g. “Consultant”

Scenario: “Business Operator” commissions a study to “Laboratory”. **The two parties decide to delegate to** “Consultant” part or the entire process of notification of studies.

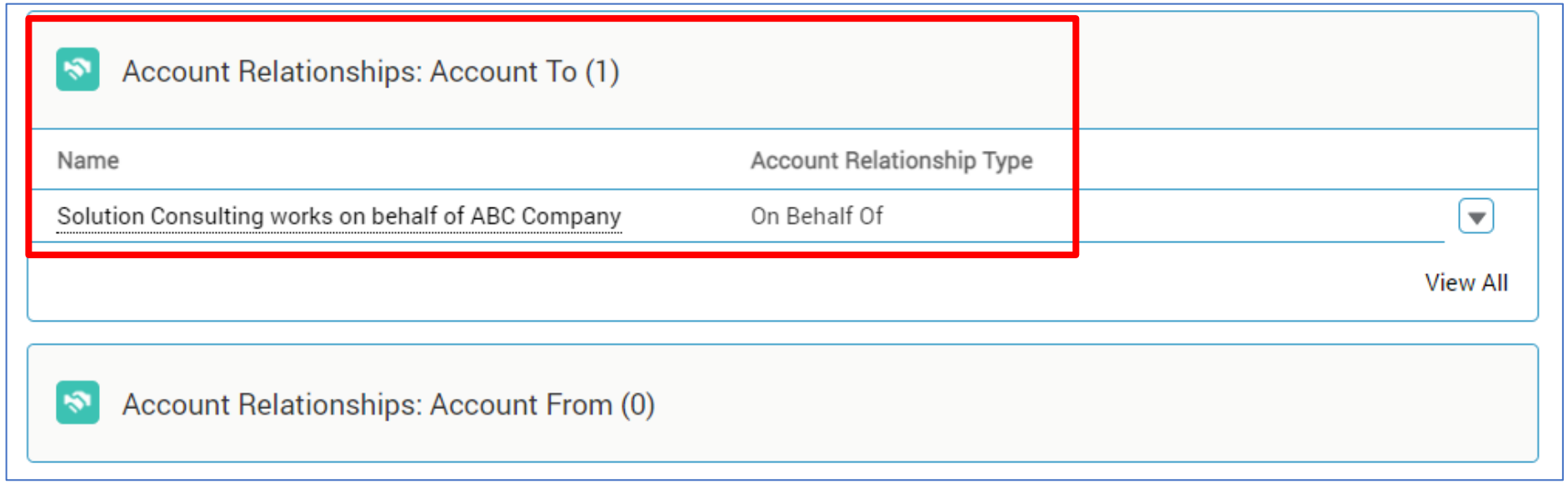


How it works:
1. “Business Operator” and “Laboratory” **create an account relationship with “Consultant”,** and both **enable this organisation to act as notifier and co-notifier**.
2. “Consultant” creates and notifies a new study record on behalf of “Business Operator”.
3. “Consultant” co-notifies the study on behalf of “Laboratory”.

The process works also if “Laboratory” starts the notification process.

3.9.2 Manage account relationship(s)

Created relationship will appear in the related list **Account Relationships: Account To** as shown below.

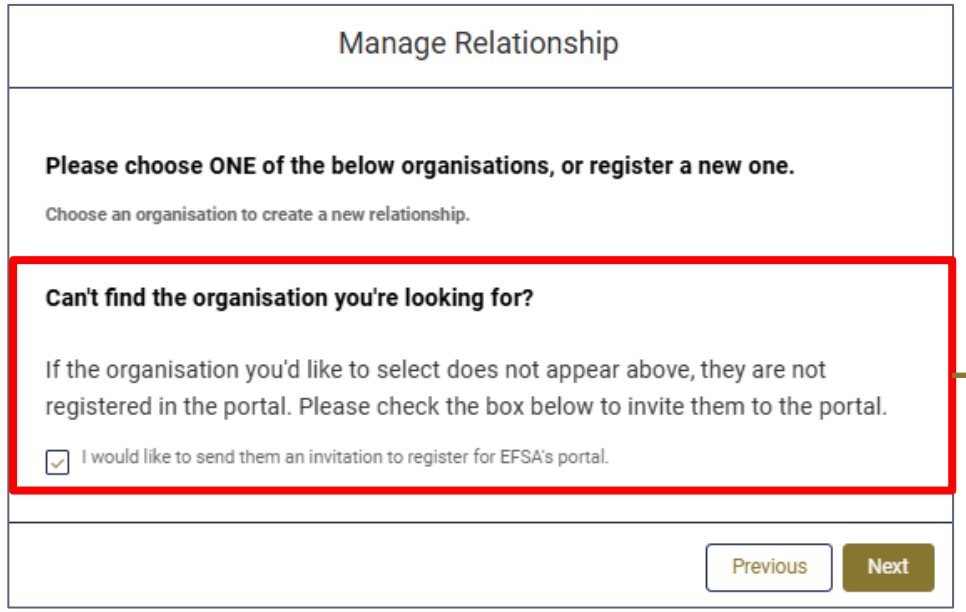


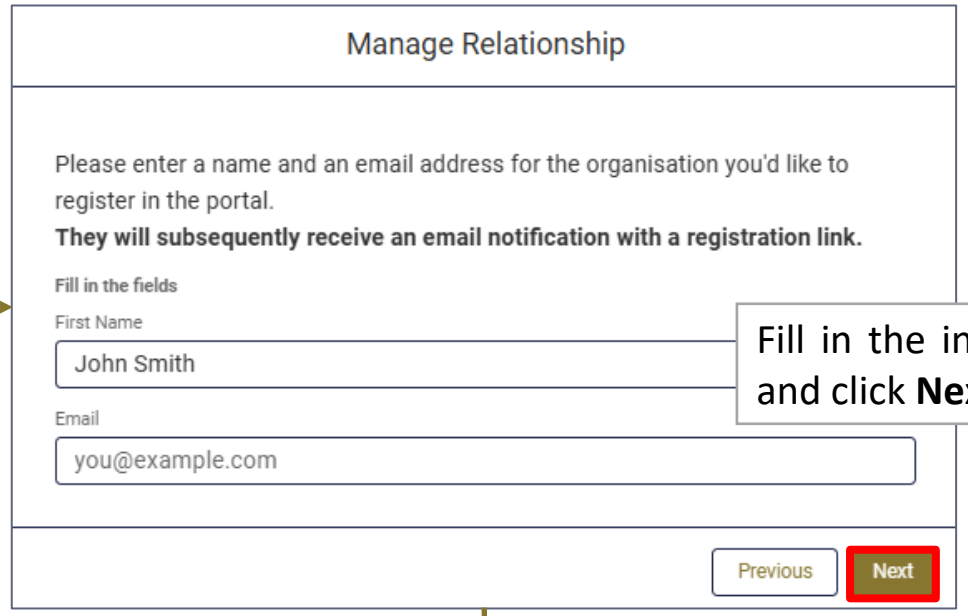
Once relationship has been established at the account level:

1. The business operator can **share single records** with its third party/consultant (to know more see Share pre-application ID “On behalf of”)
2. The third party/consultant can create pre-application IDs and perform all associated actions for the business operator.

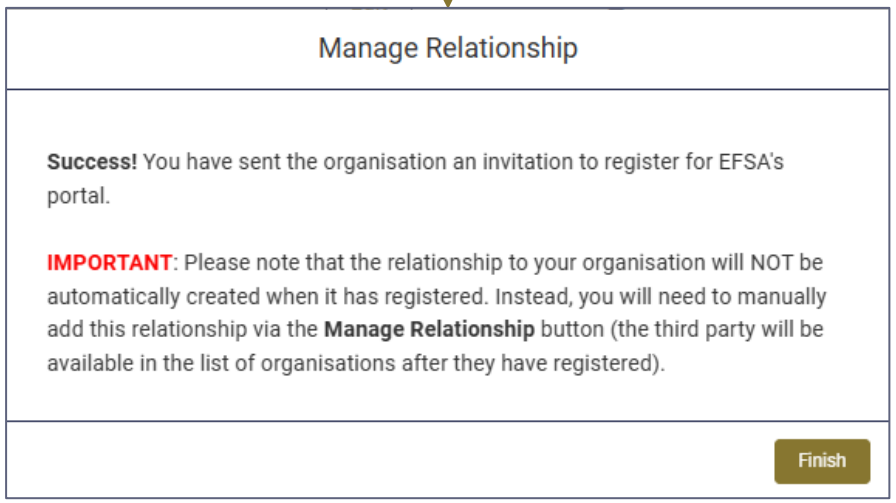
3.9.2 Manage account relationship(s)

If the organisation that the user wants to create a relationship with is not registered in the system, it is possible to send an invitation to register by following these steps.





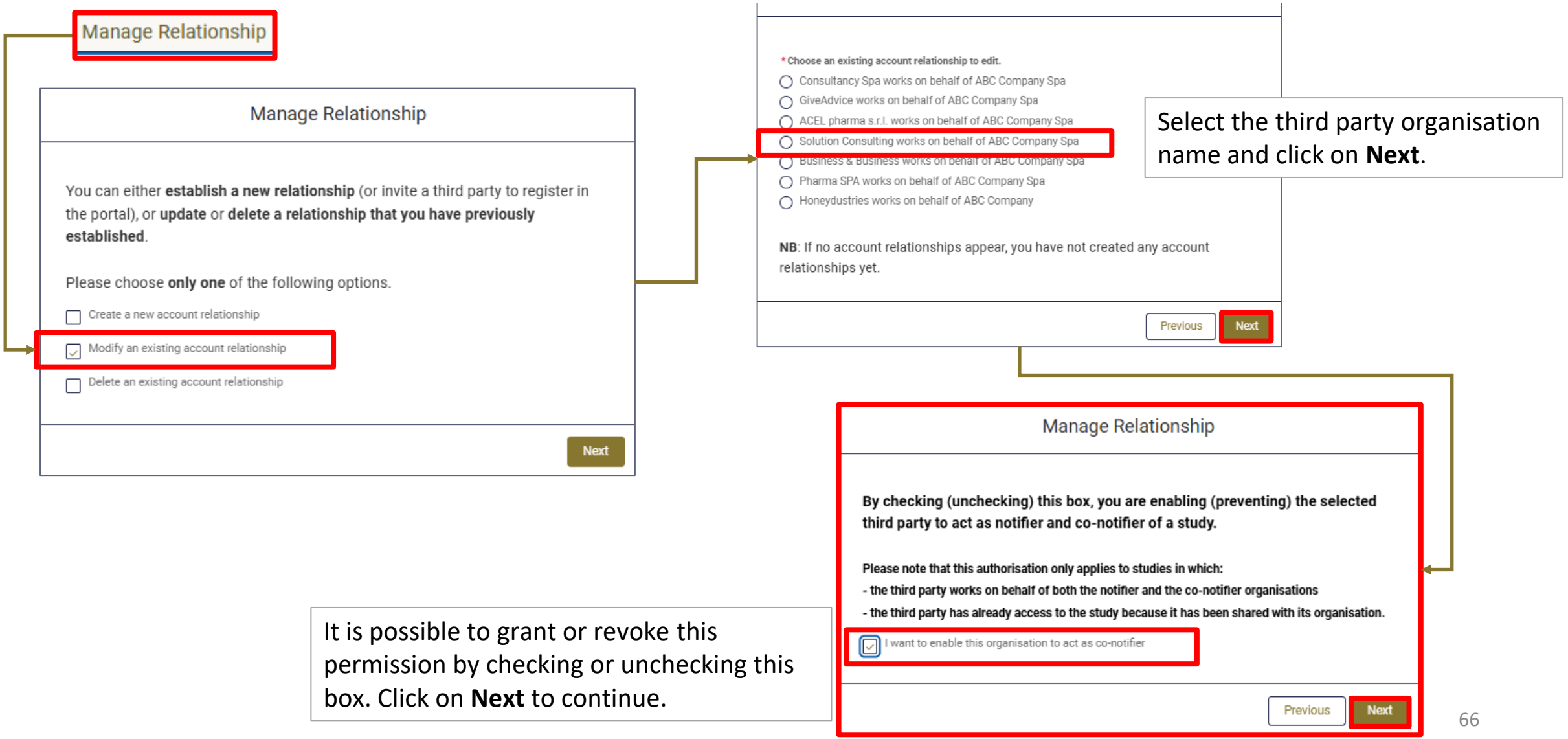
Fill in the information and click **Next.**



Please note that the relationship with this organisation is not automatically created upon its registration. The user needs to create the relationship once the organisation is registered.

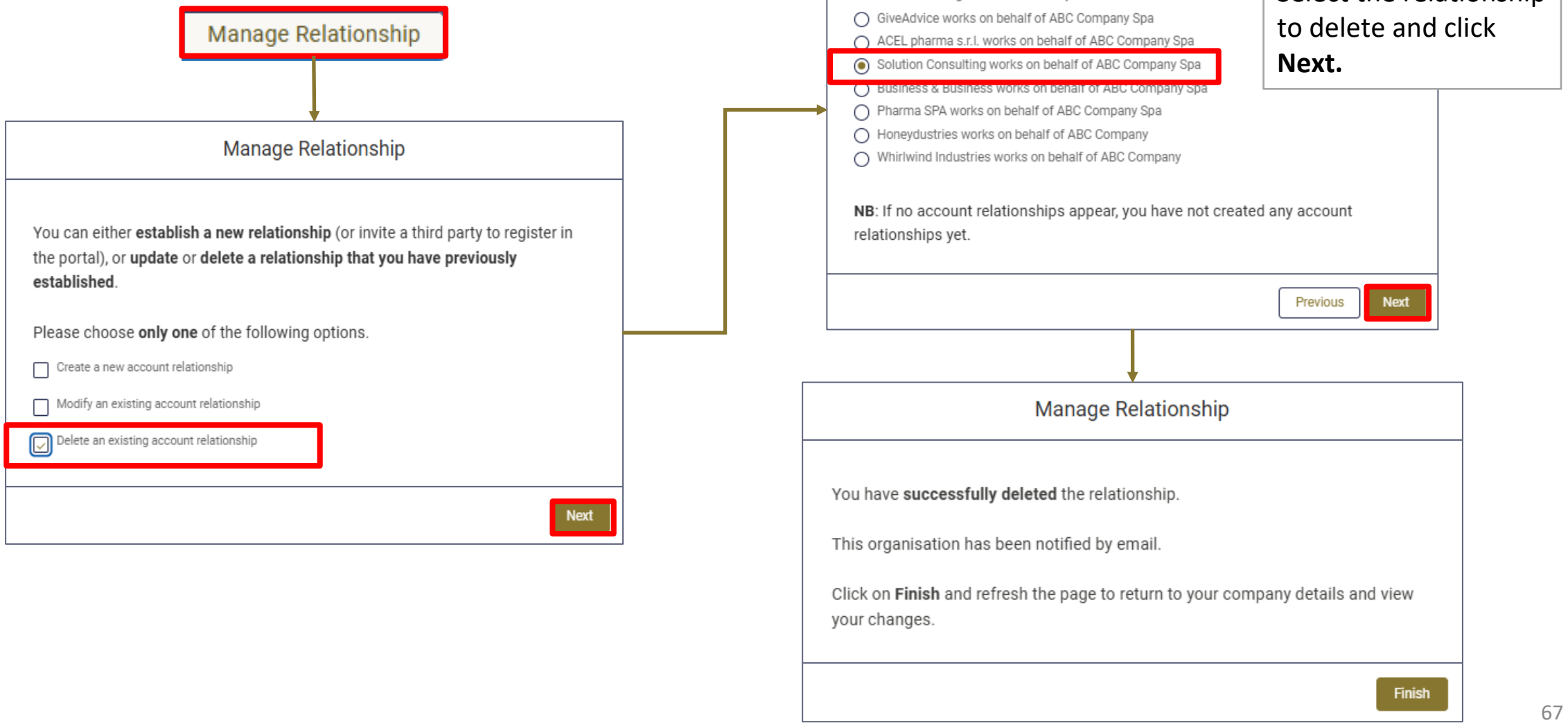
3.9.3 Modify an account relationship

Business operators and Laboratories **can modify** the option that enables a selected third party/consultant to act as Notifier and Co-notifier at any time.



3.9.4 Delete an account relationship

To delete an existing relationship with an organisation, follow these steps.



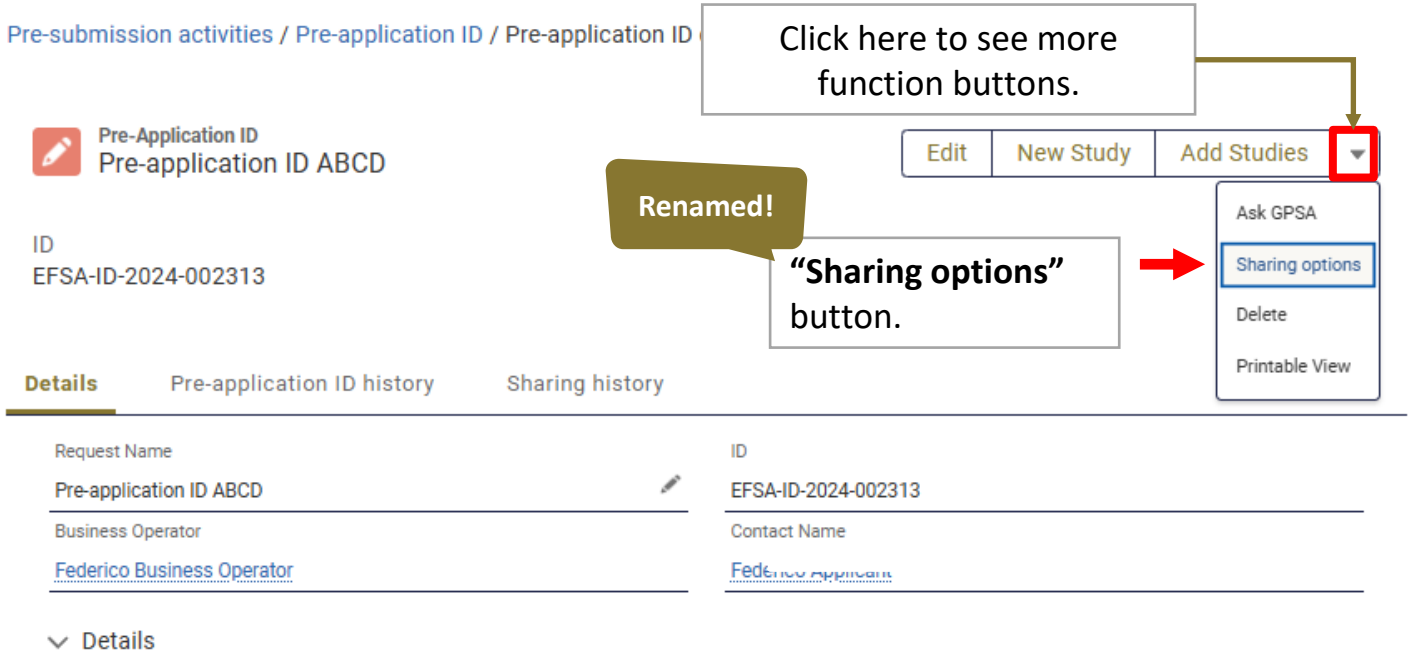
3.10 Share a pre-application ID

Updated!

Business operators or **third parties/consultants** can share pre-application ID(s) with other organisations using the button **"Sharing options"**.

The pre-application ID(s) can be shared in two different ways:

- **"On behalf of" permissions:** the user allows **third parties/consultants** to **view/edit** only the pre-application ID or the pre-application ID along with some/all the study records already linked to it. An **account relationship "on behalf of"** with the chosen **third parties/consultants** is required in advance (see Account Relationship). This type of sharing can be revoked at any time.
- **"Read-only" permissions:** the user involves another organisation in the pre-submission activities and provides **read-only access** to the shared pre-application ID. No previous actions are required to perform this sharing. "Read-only" permissions can be revoked if no submitted study notification(s), GPSA request(s) or list of intended studies are associated with the pre-application ID.



Pre-application operations

- Use the **New study** button to create new study records
- Use the **Add studies** button to add notified and or co-notified studies
- Use **New list** button to create a list of intended studies for renewal (only for renewal applications)
- Add additional parties to this pre-application ID using the **Sharing options** button
- Use the **Add component** button to add one or more components to this pre-application ID
- Request a general pre-submission advice by using the **Ask GPSA** button
- Use the **Delete** button to delete your pre-application ID (certain conditions apply)

3.10.1 Share a pre-application ID “On behalf of” - overview

New!

Company A

Shares a pre-application ID

“On behalf of” permissions

Company B

Company B **can view and edit the pre-application ID** until Company A decides to revoke the sharing.

Should be used with third-parties/consultants delegated to work “on behalf of”.

New name!

“Read-only” permissions

Company C

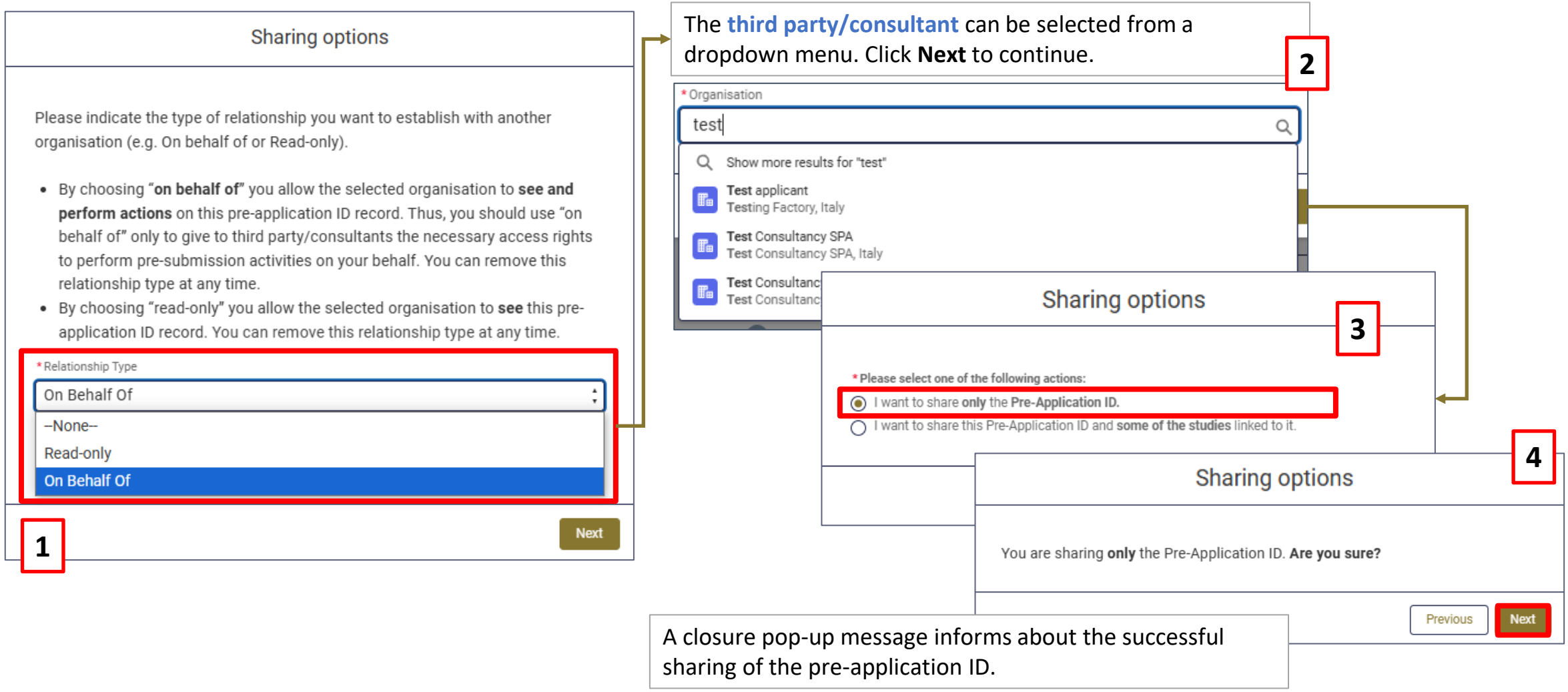
Company B **can view the pre-application ID**. It can be revoked at certain conditions.

May be used to list participants of a joint application.

3.10.1a Share a pre-application ID "on behalf of" – *without studies*

Updated!

To share only the pre-application ID (without any of the linked studies), the user chooses the sharing type "on behalf of" and the name of the **third party/consultant**, then checks the box corresponding to "**I want to share only the pre-application ID**".

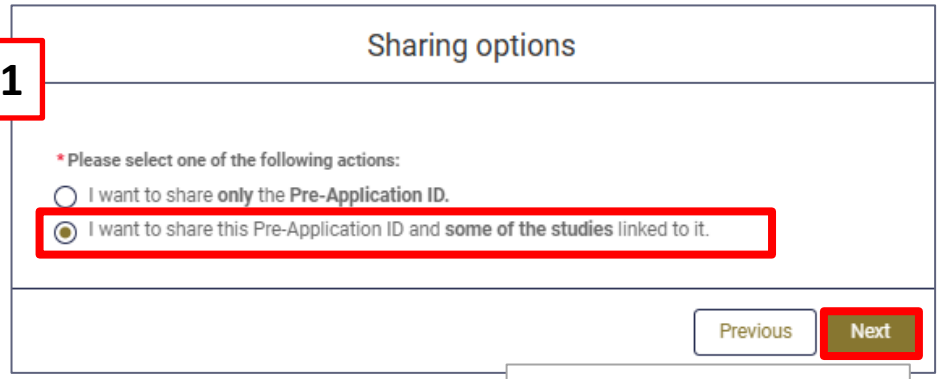


3.10.1b Share a pre-application ID "On behalf of" – *with studies*

Updated!

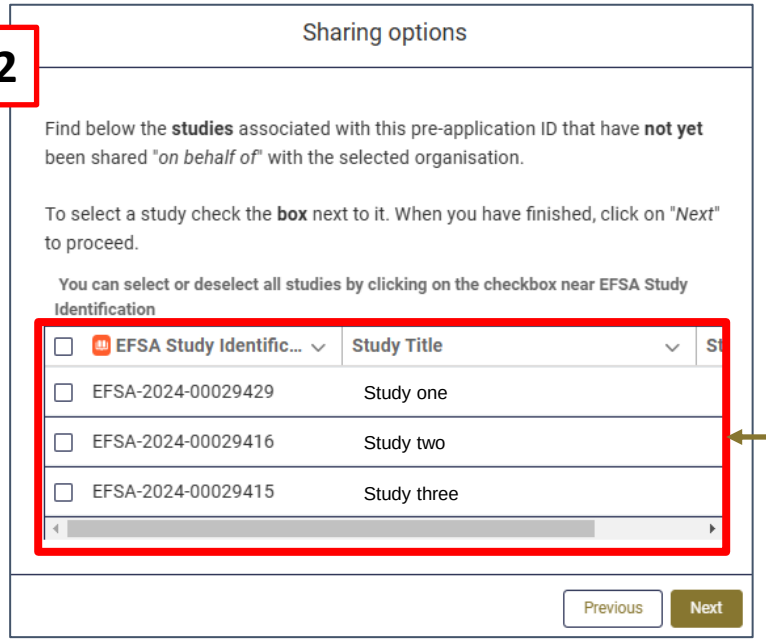
To share both **pre-application ID and also some/all the studies already linked to it**, the user chooses the sharing type "on behalf of" and the name of the **third party/consultant**, as showed in the previous slide, then checks the box corresponding to "**I want to share this pre-application ID and some of the studies linked to it**".

1



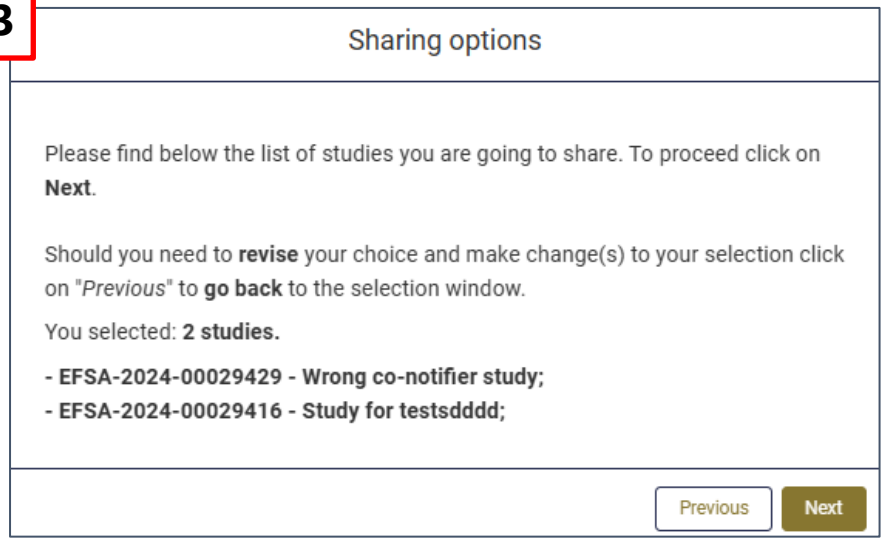
Click **Next** to continue.

2

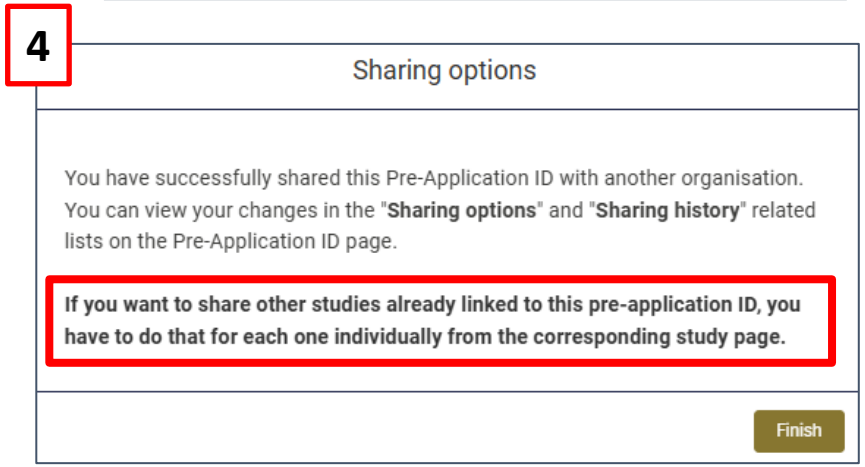


The system displays **only the studies that have not been shared yet** with the selected third party/consultant.

3



4

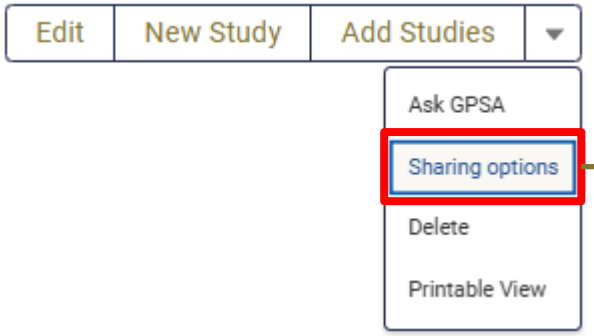


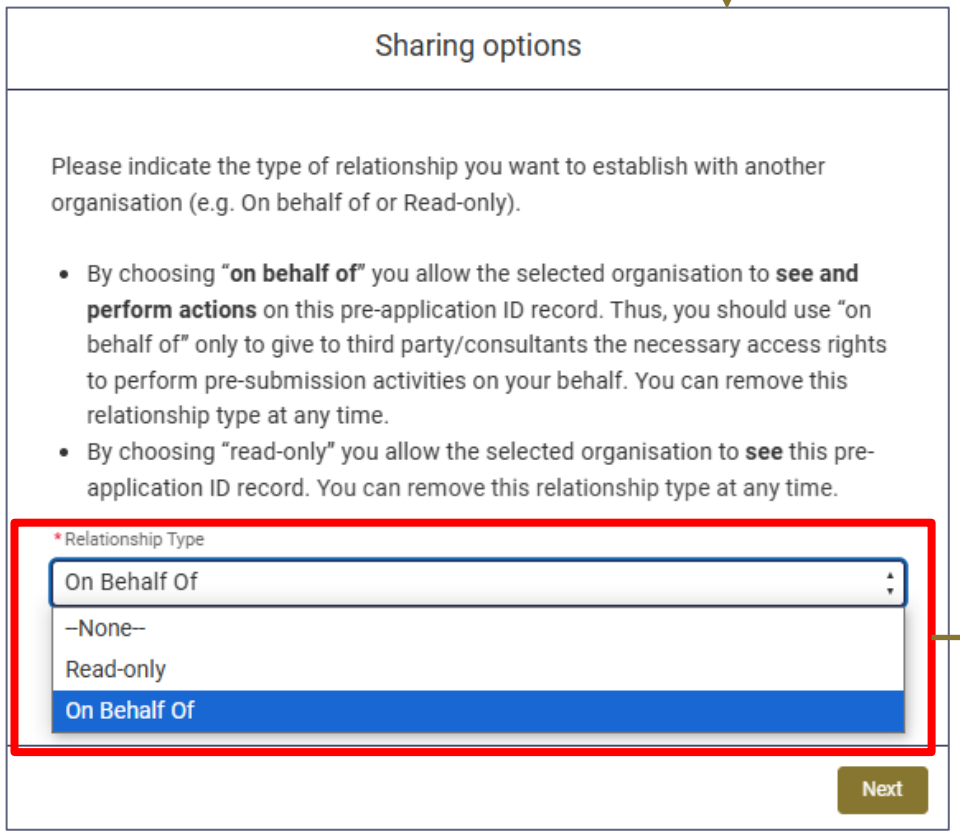
The **third party/consultant** is added to the related list "**Sharing options**" in the pre-application ID page.

Note: The user cannot repeat the sharing procedure by selecting the same **third party/consultant** to share additional studies.

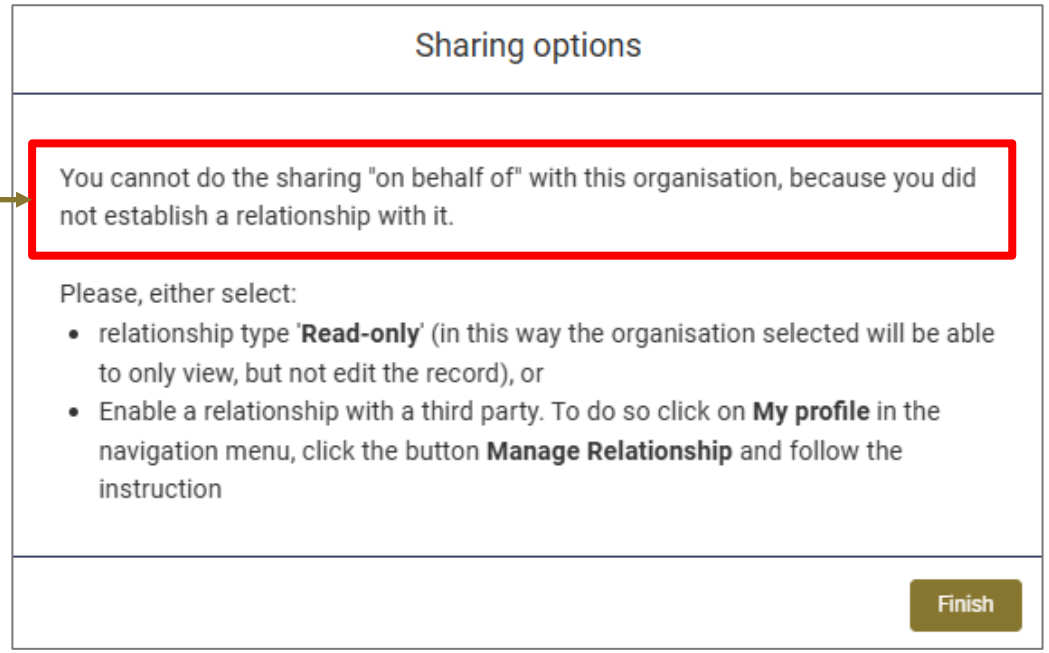
3.10.1c Share a pre-application ID “On behalf of” – error message

Updated!





If the account relationship with the **third party/consultant** has not been established beforehand, the system returns an **error message** when the user tries to share a record with the relationship type “On behalf of”.



3.10.1d Share a pre-application ID “On behalf of” - summary

Updated!

Actions allowed to **business operator** or a **third party/consultant** for a pre-application ID shared granting **“On behalf of” permissions:**

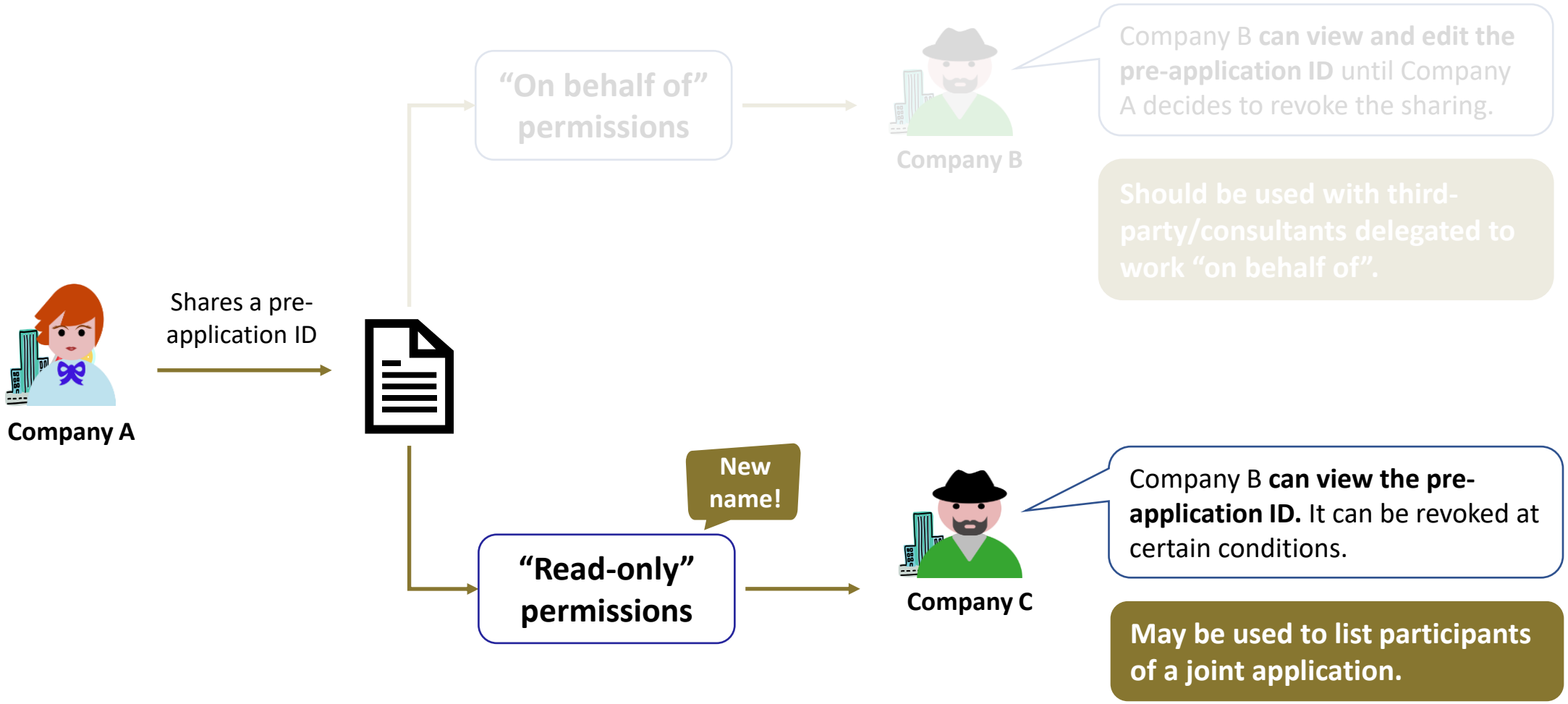
1. View and edit the pre-application ID information*
2. Create new studies or add already existing studies to the pre-application ID
3. View and edit the studies that have been shared with the pre-application ID**
4. Create, edit and submit a list of intended studies (for renewals only)
5. Manage the intended studies associated to a list (for renewals only)
6. View and add components
7. Share the pre-application ID with other business operators

*if the pre-application ID contains already a list of intended studies, this will also be shared and editable by the consultant who will be able to submit it as well.

**studies previously created/added need to be shared following the procedure described in Section 3.10.1b.

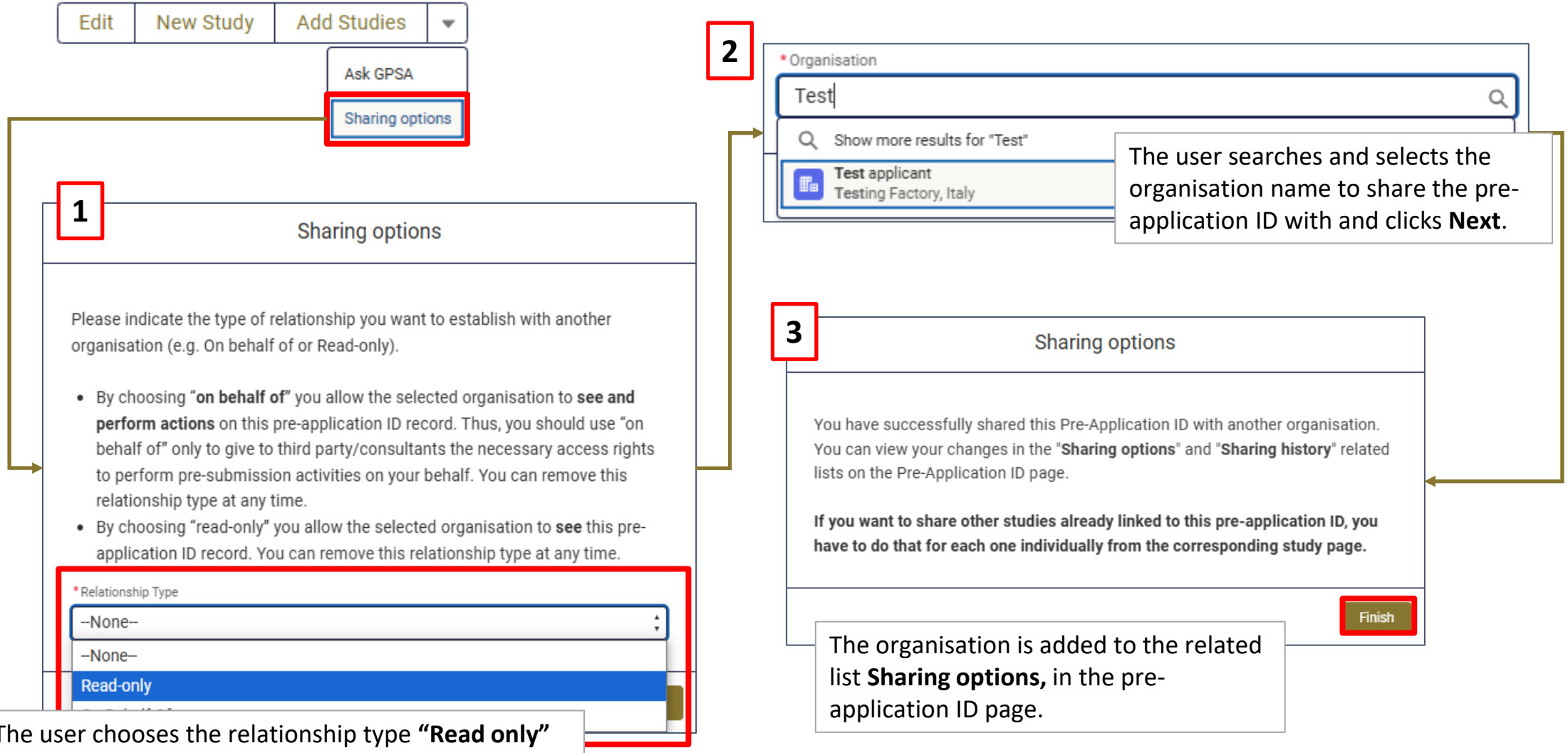
3.10.2 Share a pre-application ID – “Read-only” - overview

New!



3.10.2a Share a pre-application ID “Read-only” - creation

Updated!



3.10.2b Share a pre-application ID “Read-only” - summary

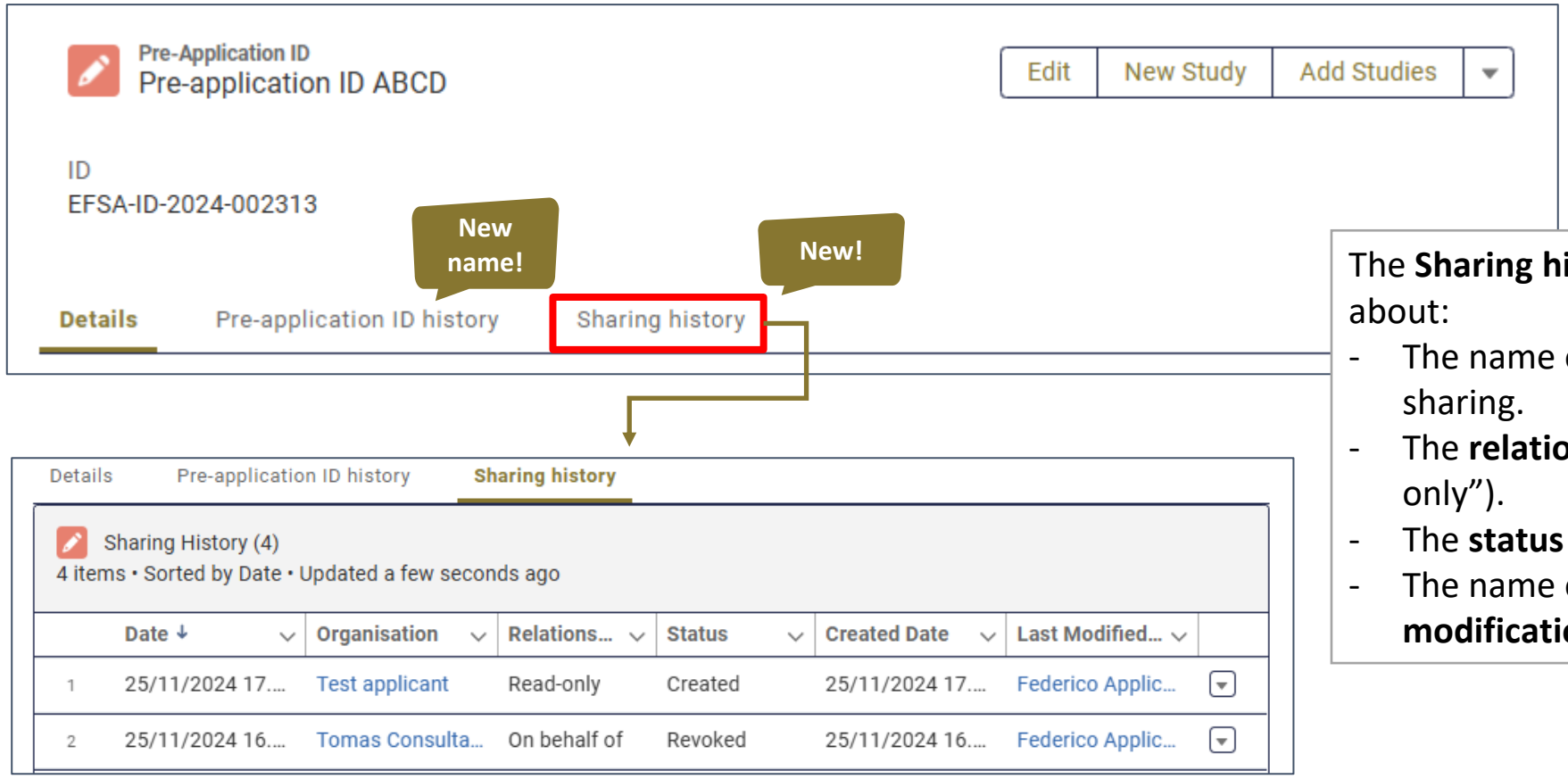
Updated!

Actions allowed to **business operator** or a **third party/consultant** for a pre-application ID shared granting **“Read-only” permissions**:

1. See the pre-application ID information
2. View the list of intended studies and all the information contained in its page (renewals only)
3. View components added to the pre-application ID
4. View **only** studies created/added after the record was shared*

*studies previously created/added need to be shared one by one.

3.10.3 Share a pre-application ID – Sharing history

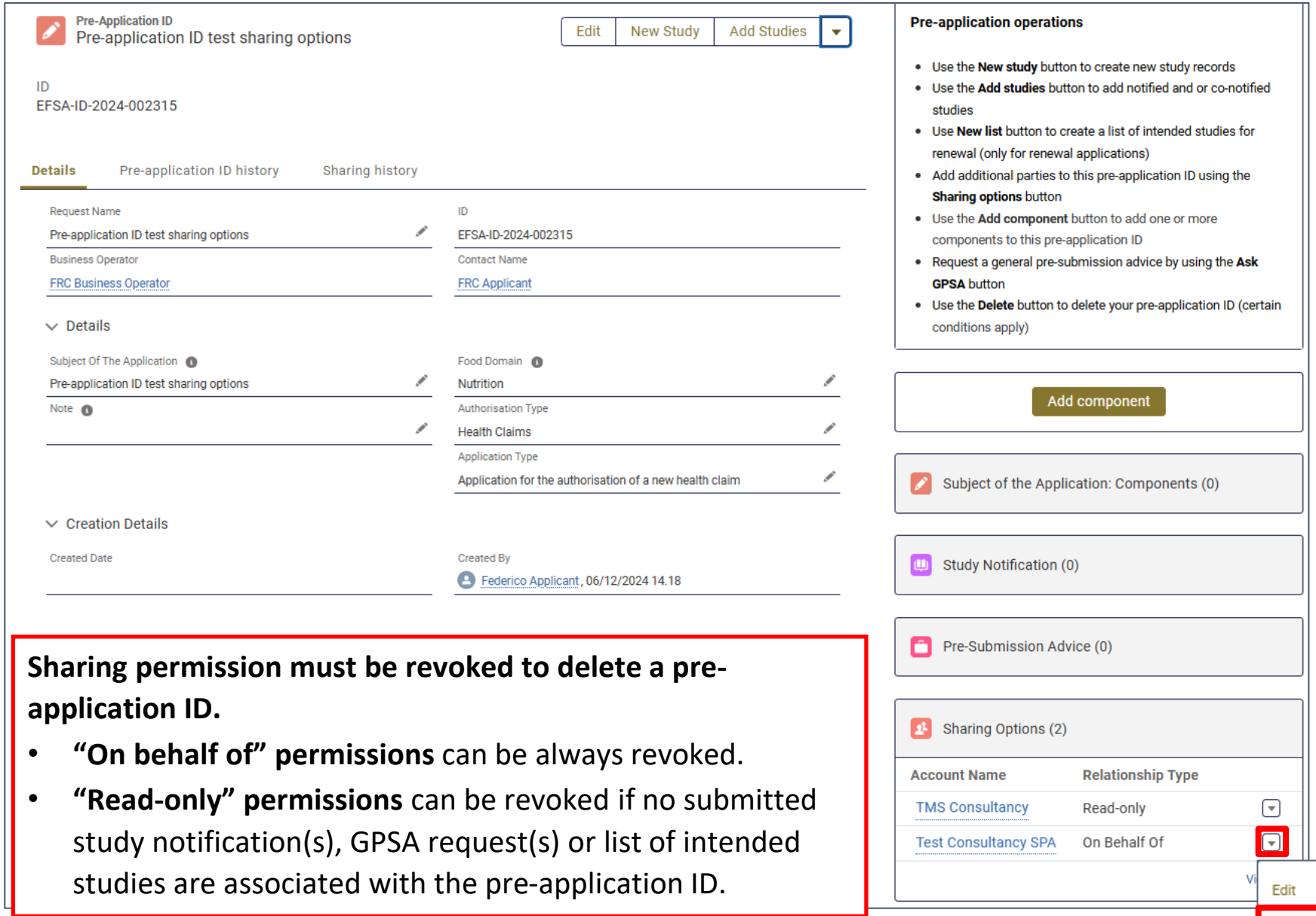


The **Sharing history** section displays information about:

- The name of the **organisation** receiving the sharing.
- The **relationship type** ("on behalf of" or "read only").
- The **status** of the sharing.
- The name of the user that made the **last modification**.

3.10.4 Delete sharing permissions

New!



Sharing permission must be revoked to delete a pre-application ID.

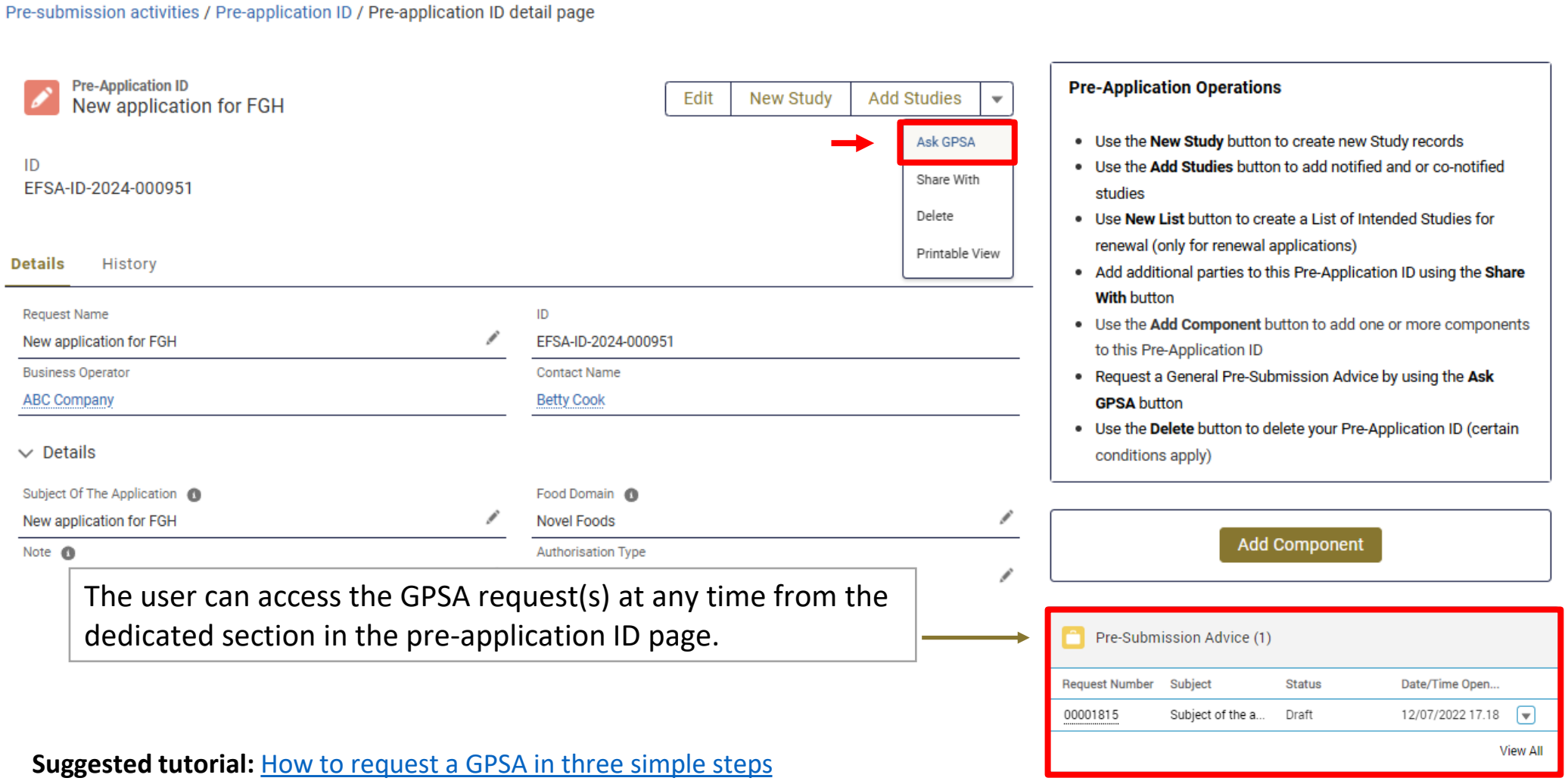
- **"On behalf of" permissions** can be always revoked.
- **"Read-only" permissions** can be revoked if no submitted study notification(s), GPSA request(s) or list of intended studies are associated with the pre-application ID.

The user clicks **Delete** to remove the sharing permission.

General pre-submission advice

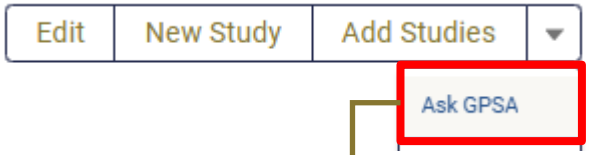
3.11 General pre-submission advice (GPSA)

Users can request a general pre-submission advice from the pre-application ID by using the dedicated button **Ask GPSA**, **at any moment** prior the submission of the application. **This action is the same for new and renewal applications.**

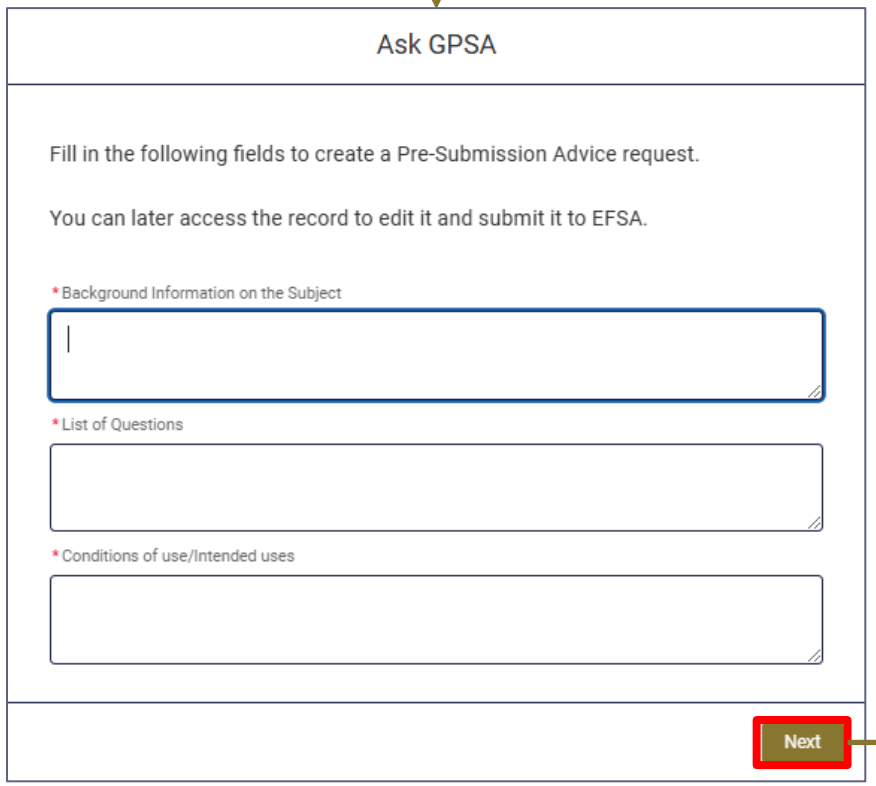


Suggested tutorial: How to request a GPSA in three simple steps

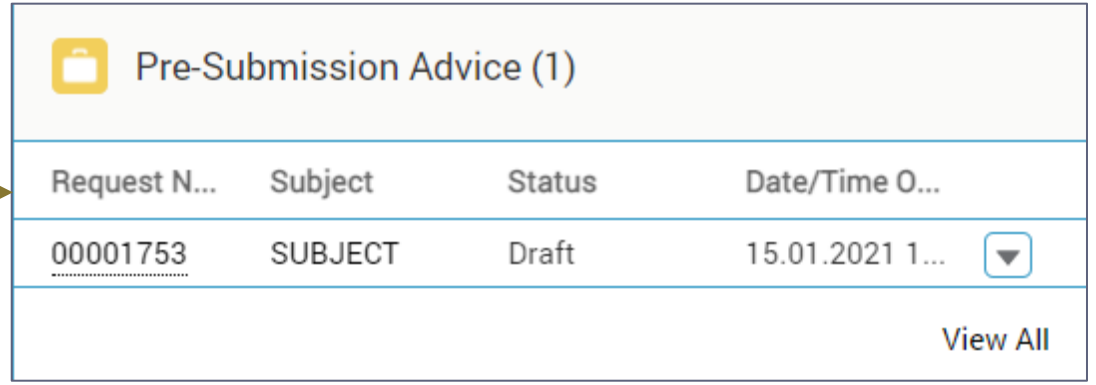
3.11.1 Request a GPSA



The GPSA request will appear and be accessible from the related list **pre-submission advice** on the pre-application ID.



Fill in the information and click **Next** to create the GPSA request in draft status.

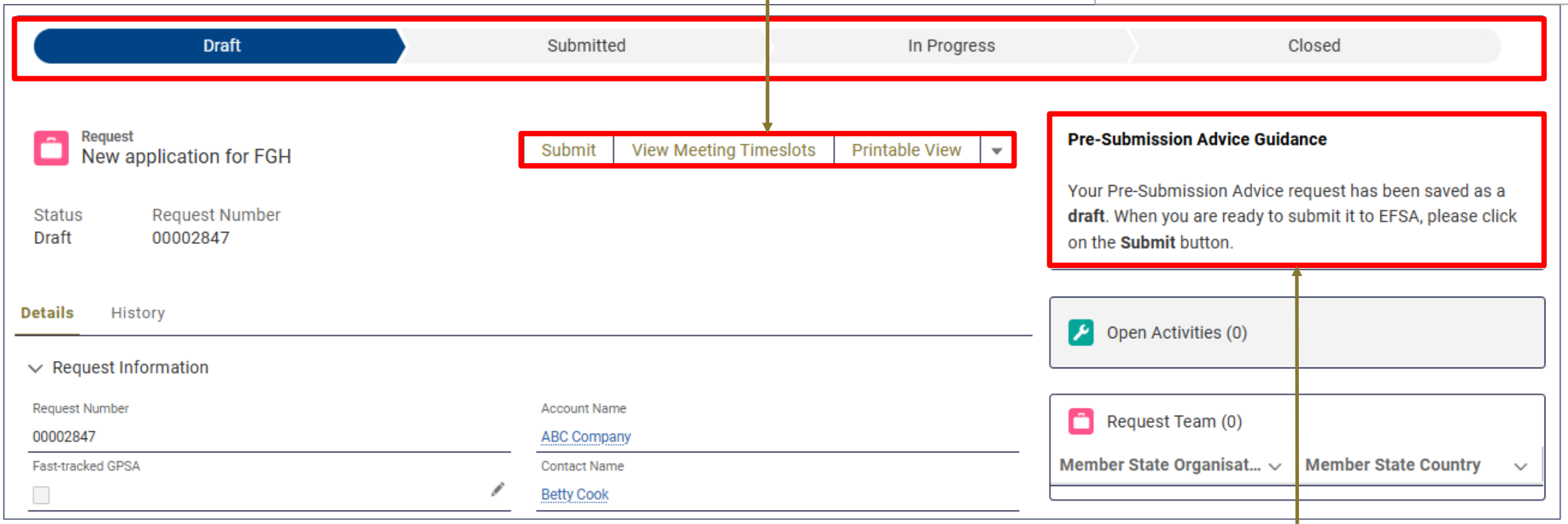


Suggested read: Q.10 and Q.11 of the Questions and Answers on the EFSA Practical Arrangements

3.11.1 Request a GPSA

Use these function **buttons** to perform actions on the record.

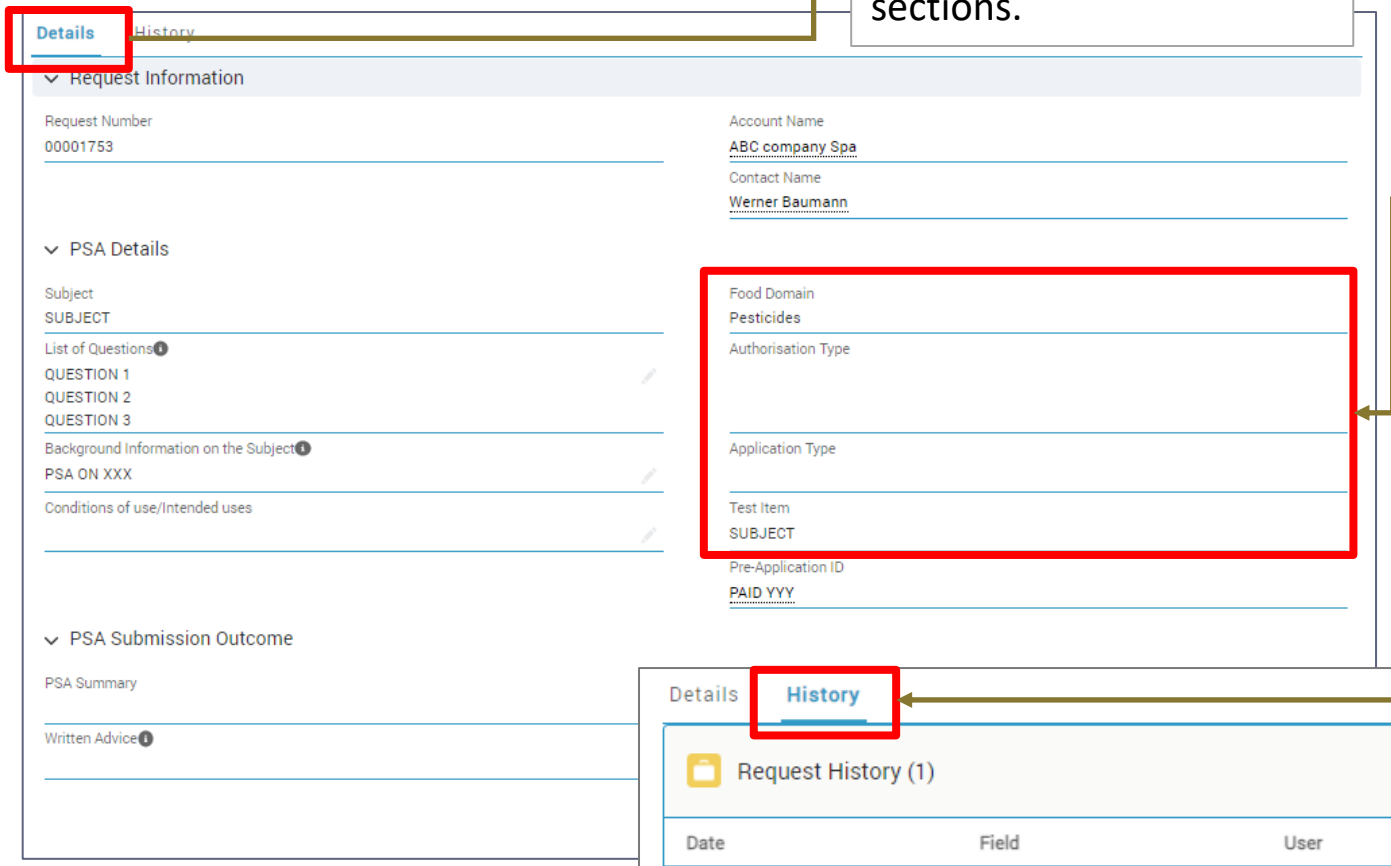
The status bar shows the record progress. When the status is **Draft** the user can still edit the record.



This section shows additional information on the GPSA request.

3.11.1 Request a GPSA

Under the **Detail tab** the user can find the details of the record divided into sections.

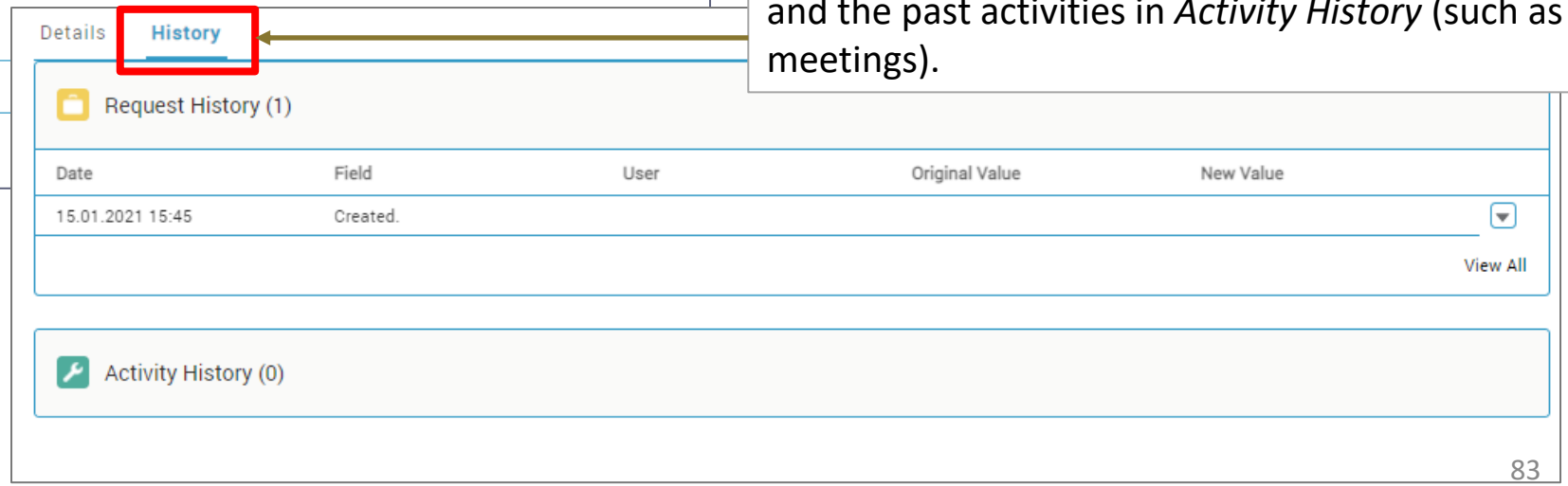


The following fields are automatically copied from the pre-application ID information:

- Food Domain
- Authorisation Type
- Application Type
- Test Item

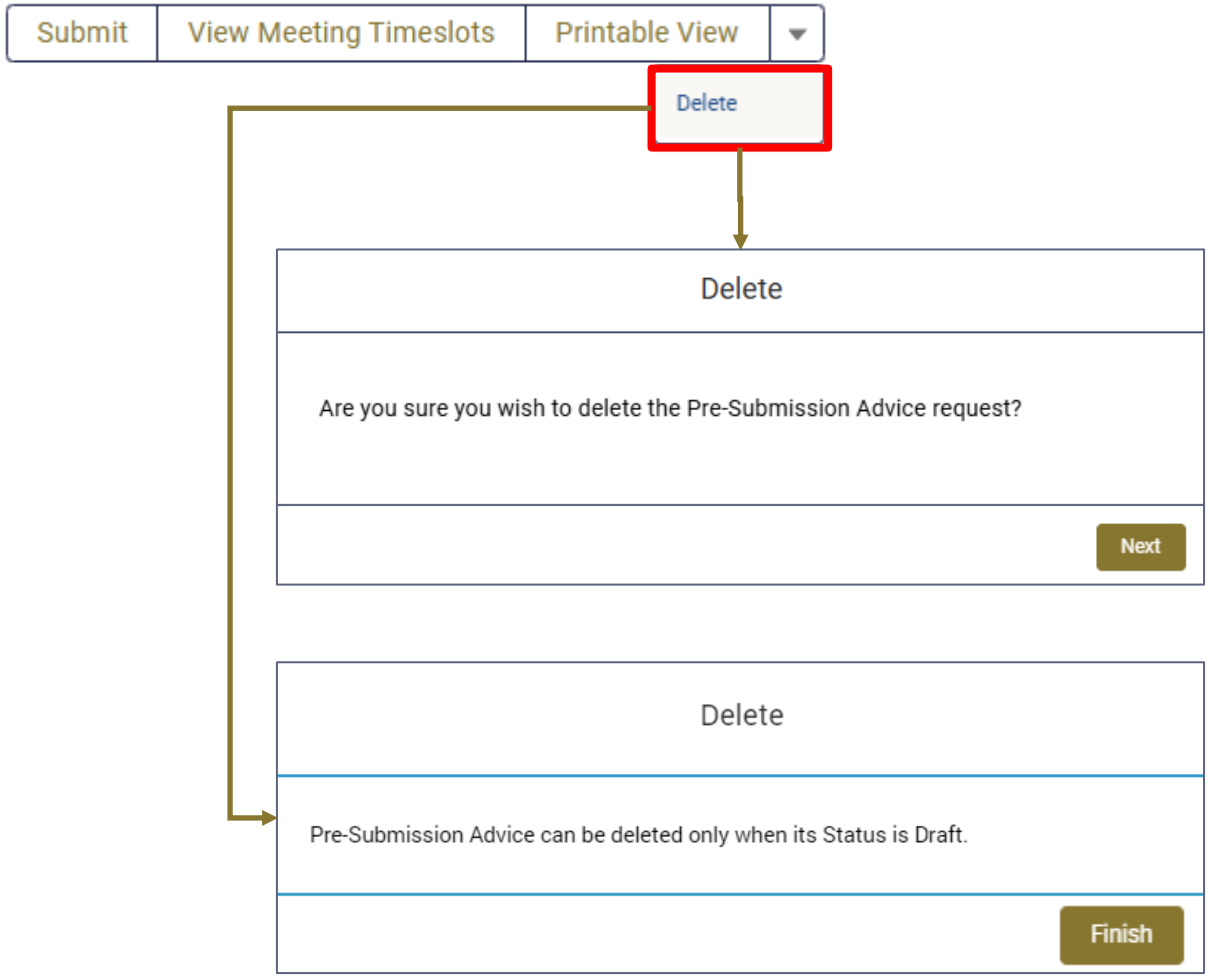
These fields cannot be edited.

Under the **History tab** the user can see the changes made to the record on *Request History* and the past activities in *Activity History* (such as meetings).



3.11.2 Deletion of a request for GPSA

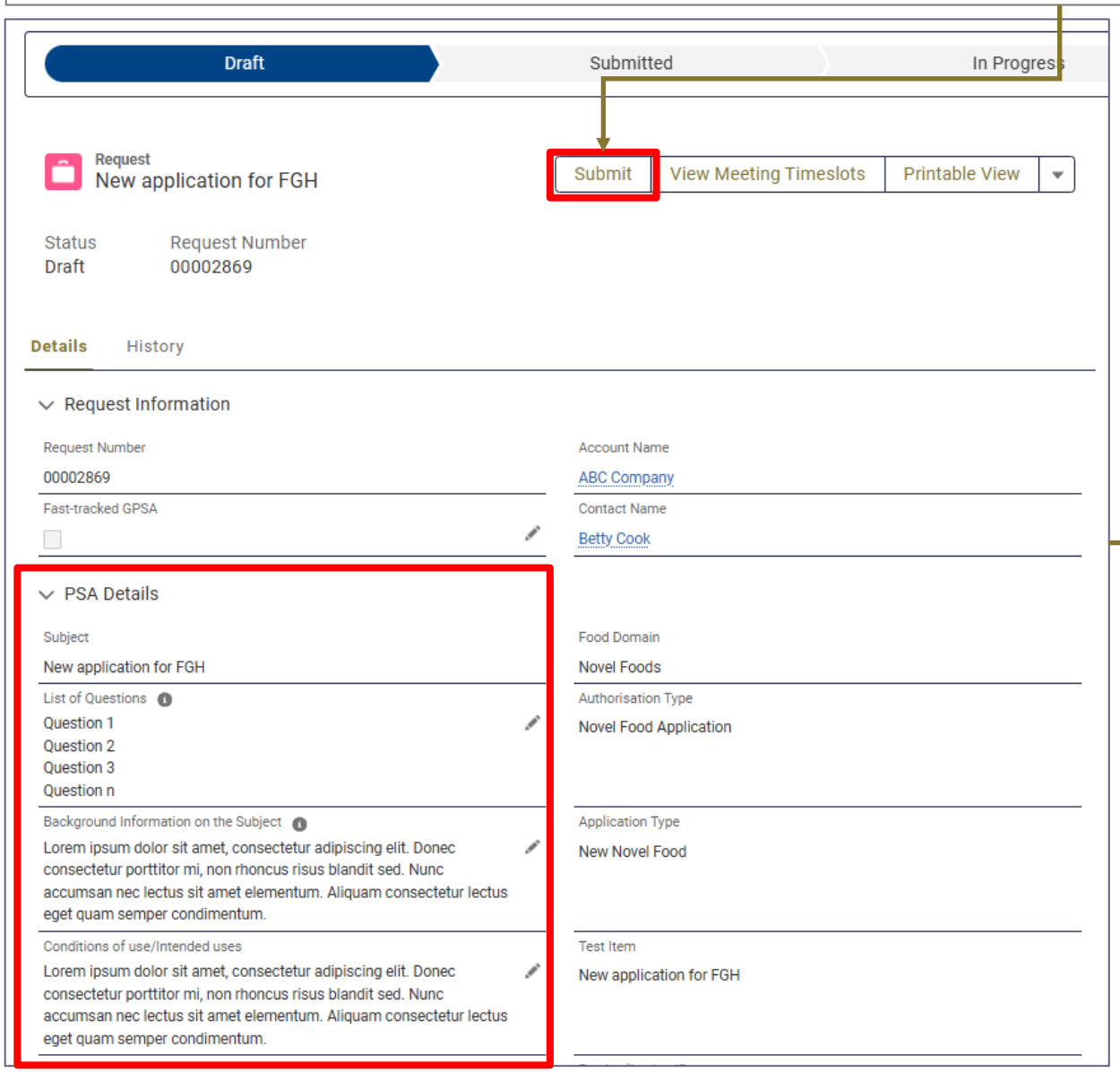
It is possible to delete the GPSA request **only when its status is equal to Draft**, otherwise an **error message** will appear.

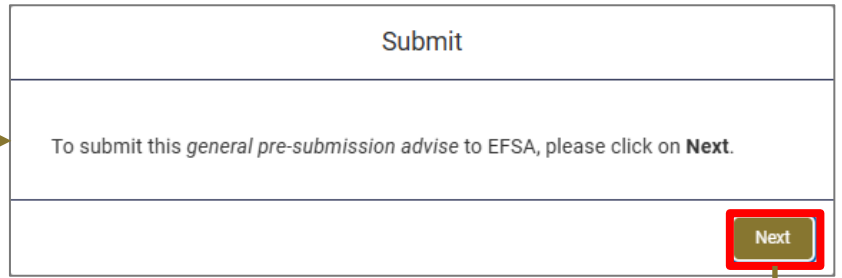


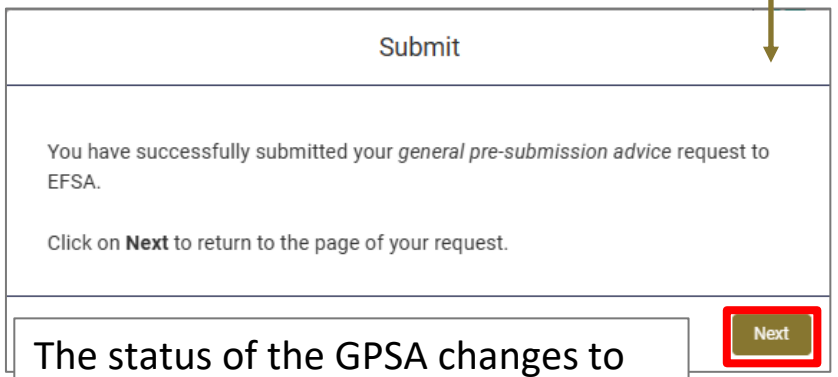
The user is redirected to the pre-application ID page.

3.11.3 Submission of a request for GPSA

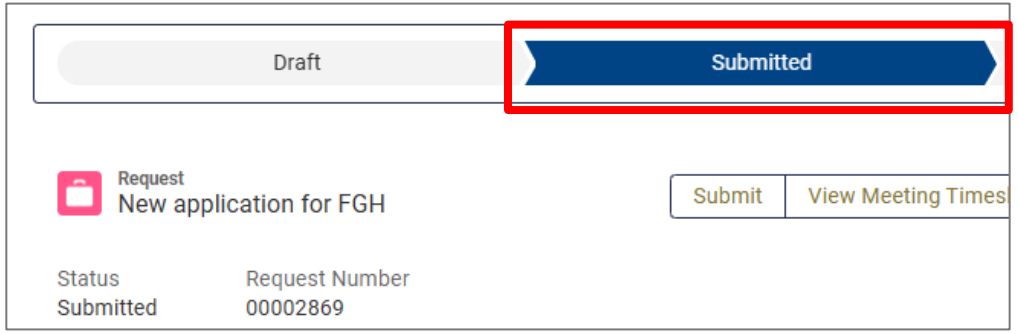
When the information required by the GPSA form are complete the user clicks **Submit** and follows the procedure.







The status of the GPSA changes to Submitted

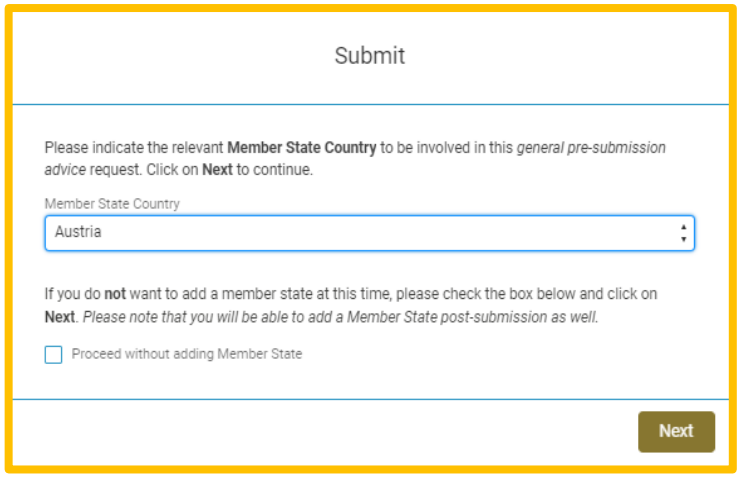


3.11.4 Submission of a request for GPSA – Pesticides

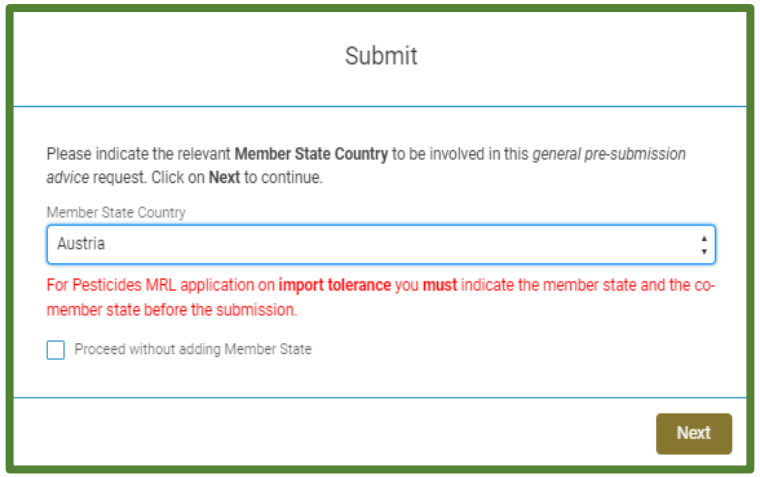
When submitting a GPSA requests linked to future applications with Food Domain: **Pesticides Peer Review (NAS), Pesticides MRL, Pesticides Peer Review (AIR) and Pesticides Peer Review - Other Areas**, the user is requested to indicate **the country** of the Rapporteur Member State (RMS) and the Co-Rapporteur Member State (Co-RMS).

Depending on the Food Domain, the system will display a different window for the selection of the Member State(s), to clarify when the selection of the RMS and co-RMS is mandatory.

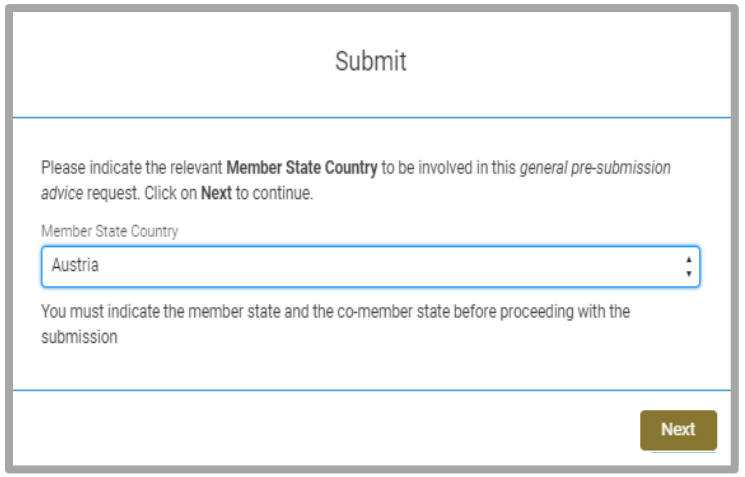
Pesticides Peer Review (NAS) & Other Areas



Pesticides MRL

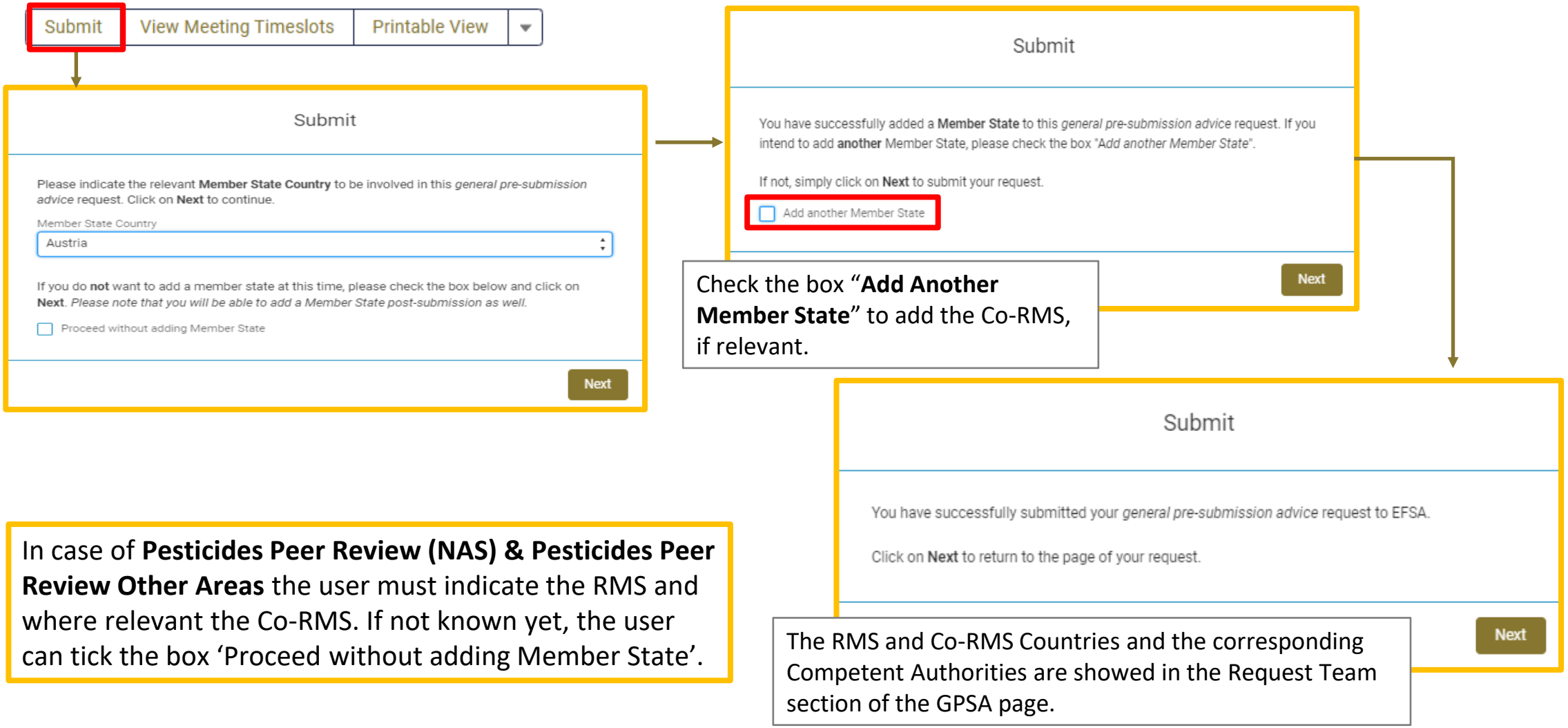


Pesticides Peer Review (AIR)



Note: more details on the submission workflow of a GPSA request for each Pesticides Food Domain are presented in the next slides.

3.11.4.1 Submission of a request for GPSA – Pesticides Peer Review (NAS) & Other Areas

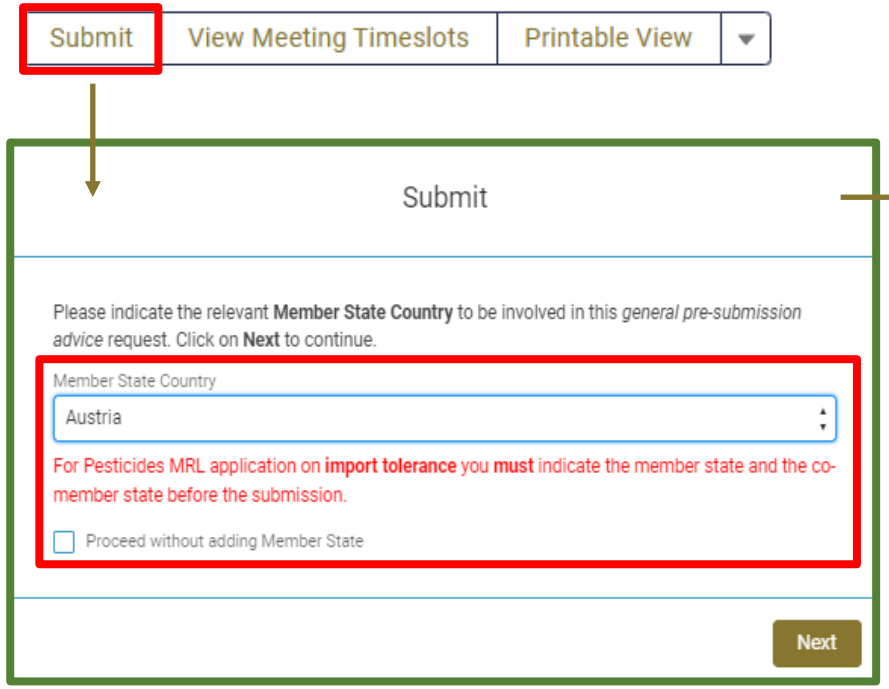


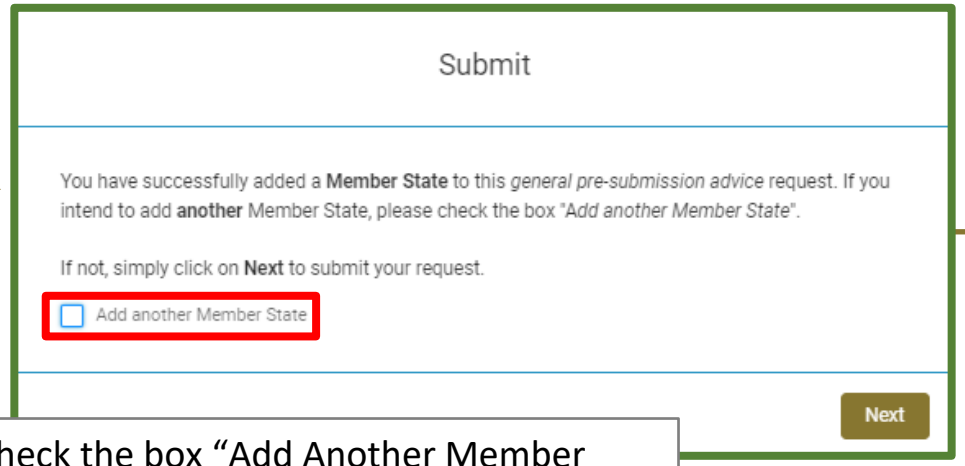
In case of **Pesticides Peer Review (NAS) & Pesticides Peer Review Other Areas** the user must indicate the RMS and where relevant the Co-RMS. If not known yet, the user can tick the box 'Proceed without adding Member State'.

Suggested reads: Article 10(3) of the EFSA Practical Arrangements on pre-submission phase and public consultations
Questions 20.B of the EFSA Q&A on Practical Arrangements.

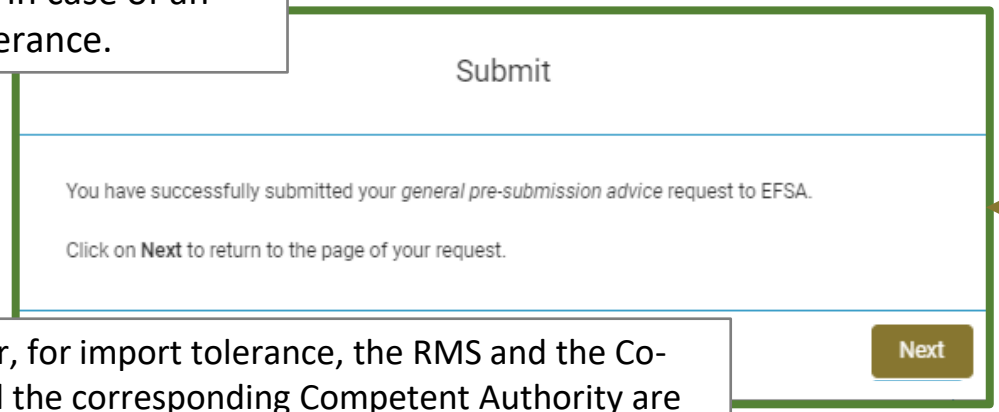
3.11.4.2 Submission of a request for GPSA – Pesticides MRL

In case of Pesticides MRL, the user must indicate the evaluating Member State (EMS). If not known yet, the user can tick the box 'Proceed without adding Member State'. For **Pesticide MRL applications on import tolerance**, the information on **RMS and Co-RMS is mandatory**, therefore the box must not be ticked.





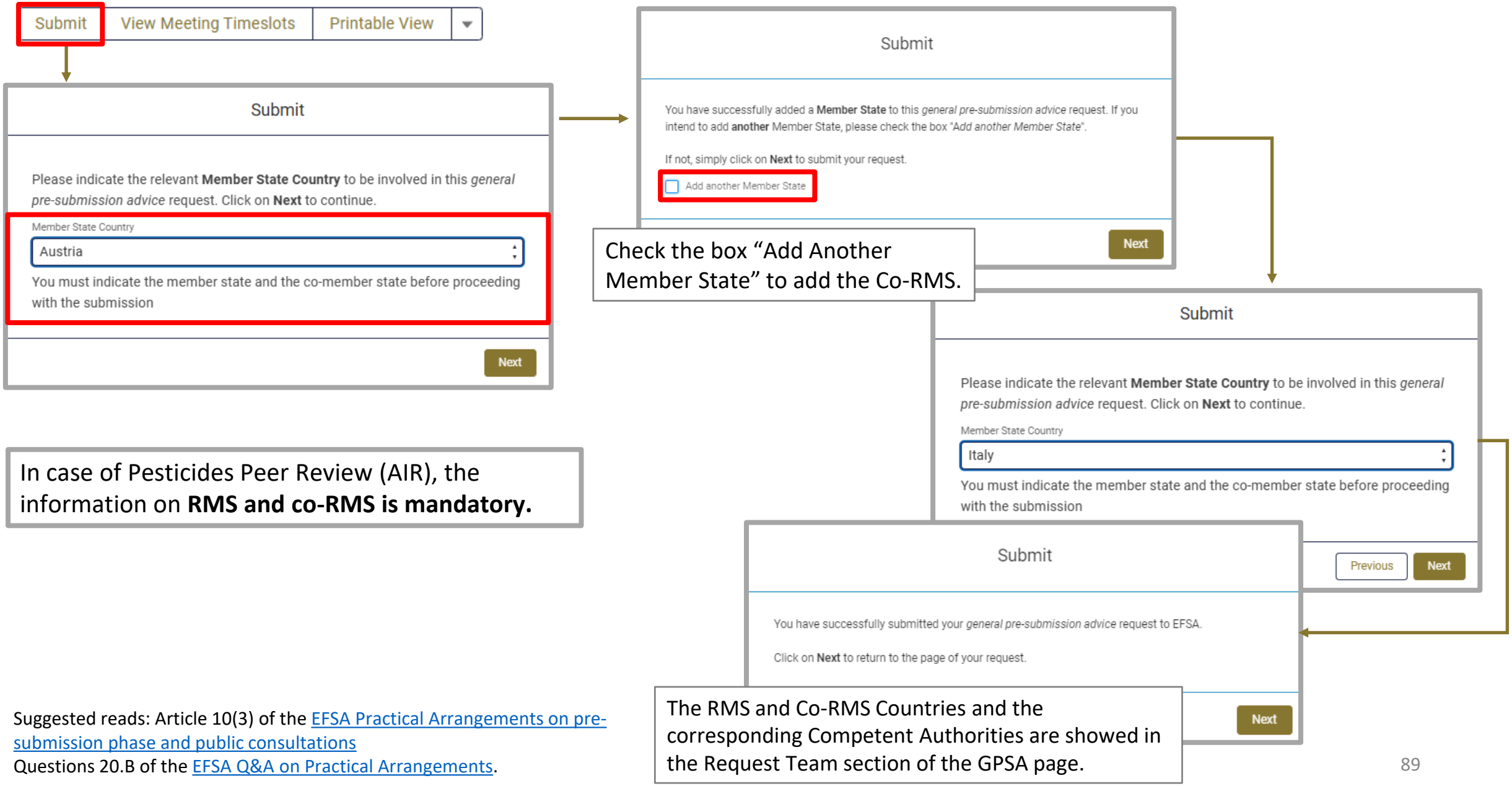
Check the box "Add Another Member State" to add the Co-RMS in case of an application for import tolerance.



The EMS Country (or, for import tolerance, the RMS and the Co-RMS Countries) and the corresponding Competent Authority are showed in the Request Team section of the GPSA page.

Suggested reads: Article 10(3) of the EFSA Practical Arrangements on pre-submission phase and public consultations
Questions 20.B of the EFSA Q&A on Practical Arrangements.

3.11.4.3 Submission of a request for GPSA – Pesticides Peer Review (AIR)

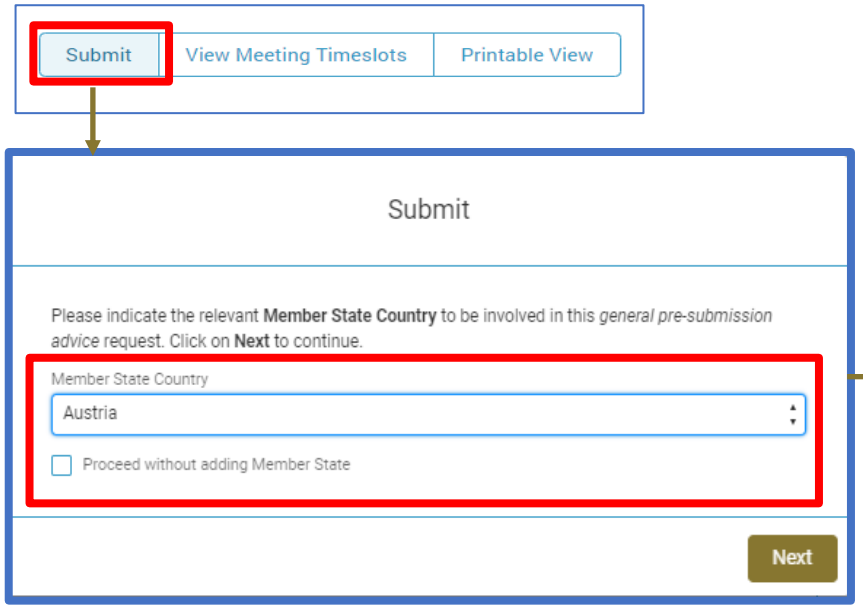


In case of Pesticides Peer Review (AIR), the information on **RMS and co-RMS is mandatory.**

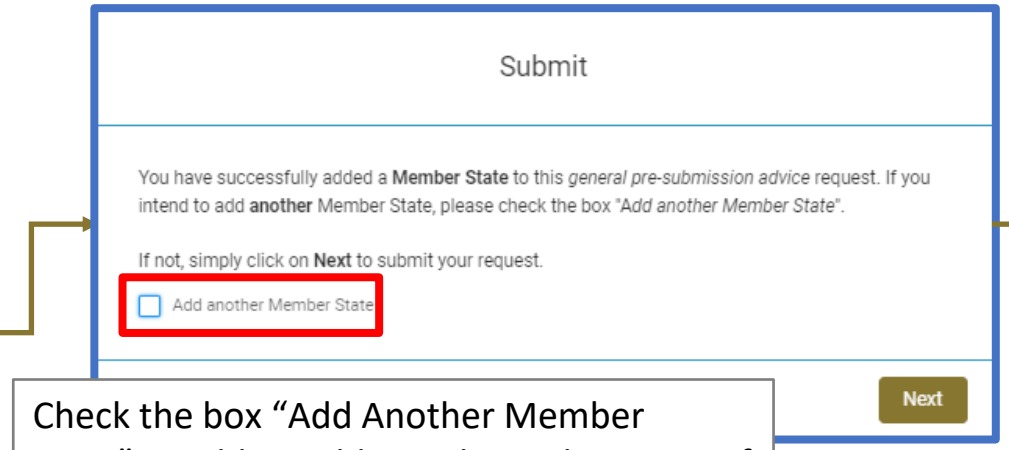
Suggested reads: Article 10(3) of the EFSA Practical Arrangements on pre-submission phase and public consultations
Questions 20.B of the EFSA Q&A on Practical Arrangements.

3.11.5 Submission of a request for GPSA – GMO Directive

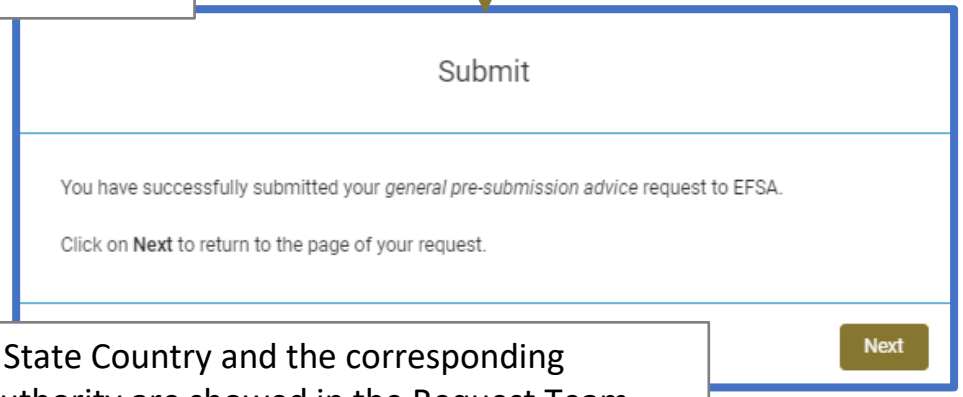
When submitting a GPSA requests linked to future notification under Articles 13 and 17 of Directive 2001/18/EC, the user is requested to indicate the **Country of the Member State** that will be notified.



If the Member State is not known yet, the user can tick the box 'Proceed without adding Member State'.



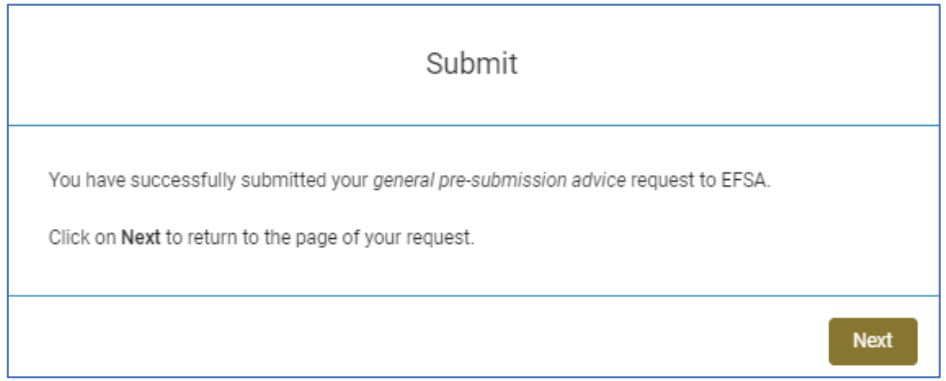
Check the box "Add Another Member State" to add an additional Member State, if needed.



The Member State Country and the corresponding Competent Authority are showed in the Request Team section of the GPSA page.

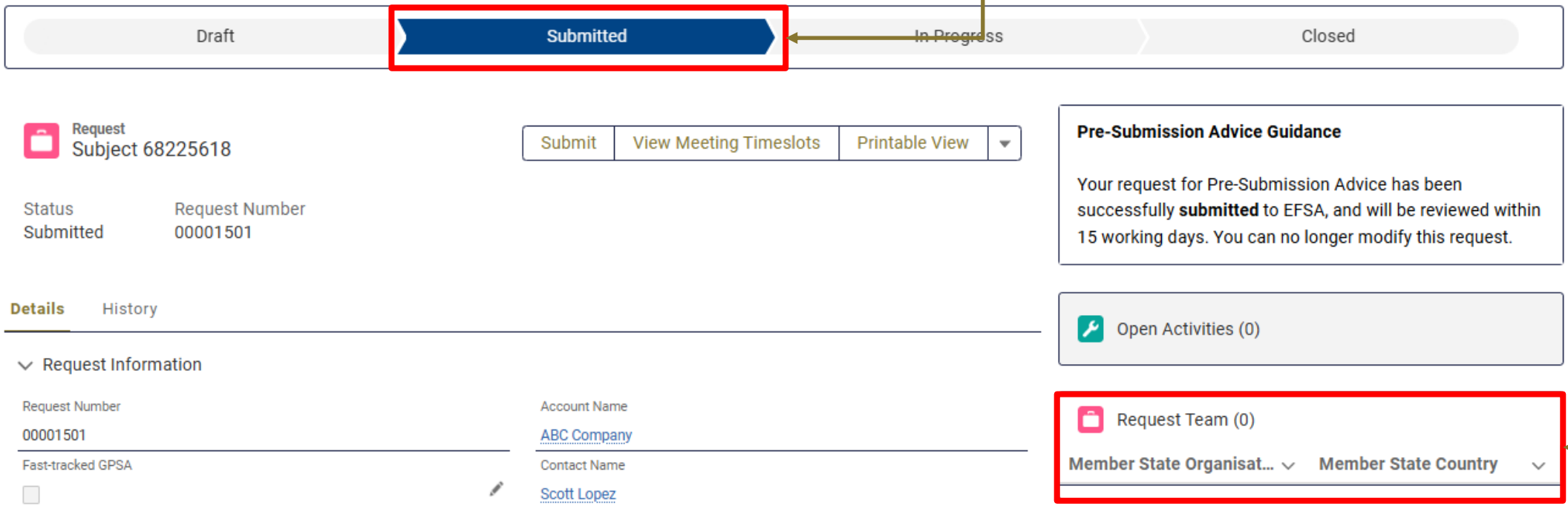
Suggested read: Commission Notice on the submission of notifications under Articles 13 and 17 of Directive 2001/18/EC

3.11.6 Submitted request for GPSA – Pesticides and GMO Directive



The Status turns into **Submitted** and the Member State contact is added to the **Request Team** related list.

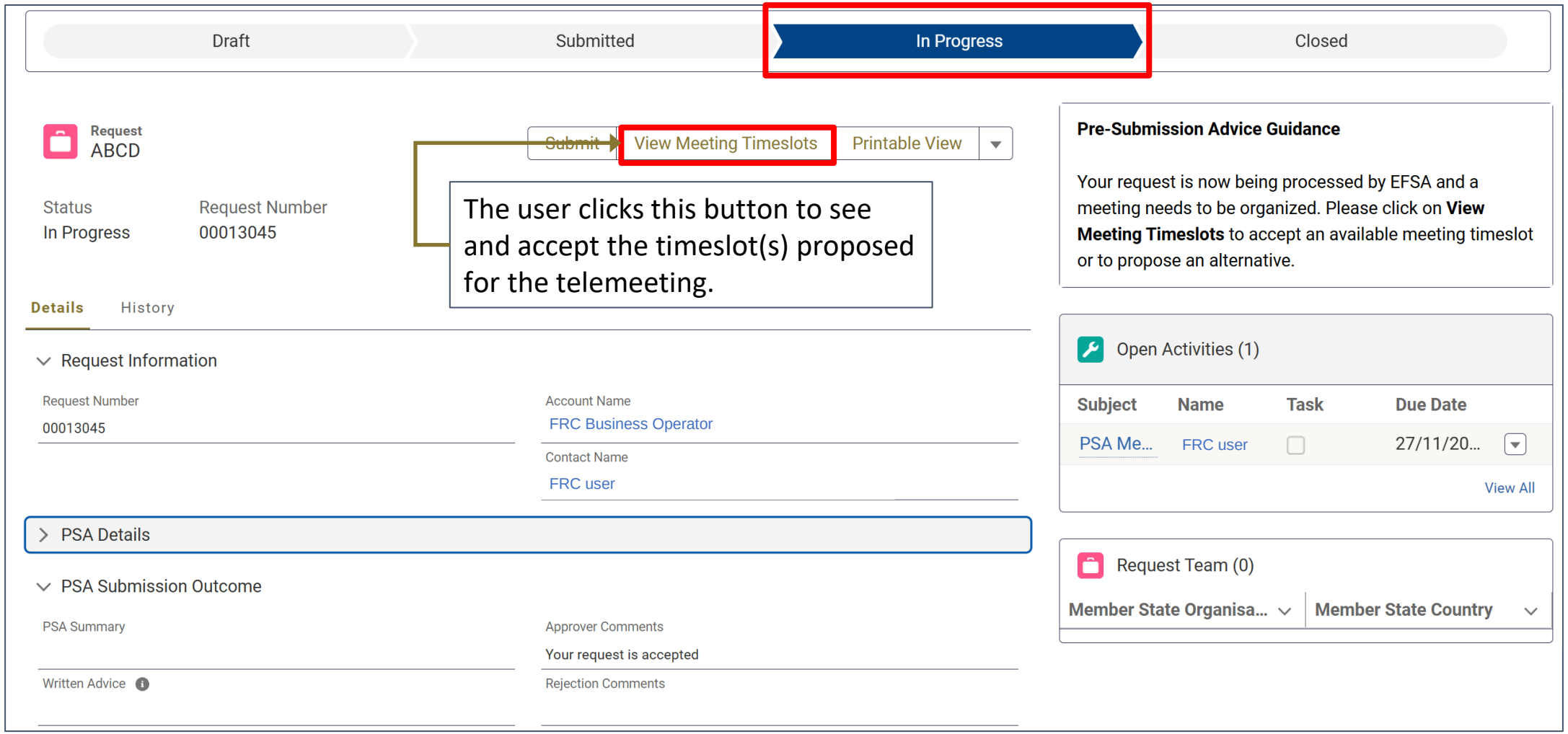
The Member State contact will be alerted by email and will be able to see and read the GPSA request.



3.11.7 Acceptance of a GPSA request by EFSA

Updated!

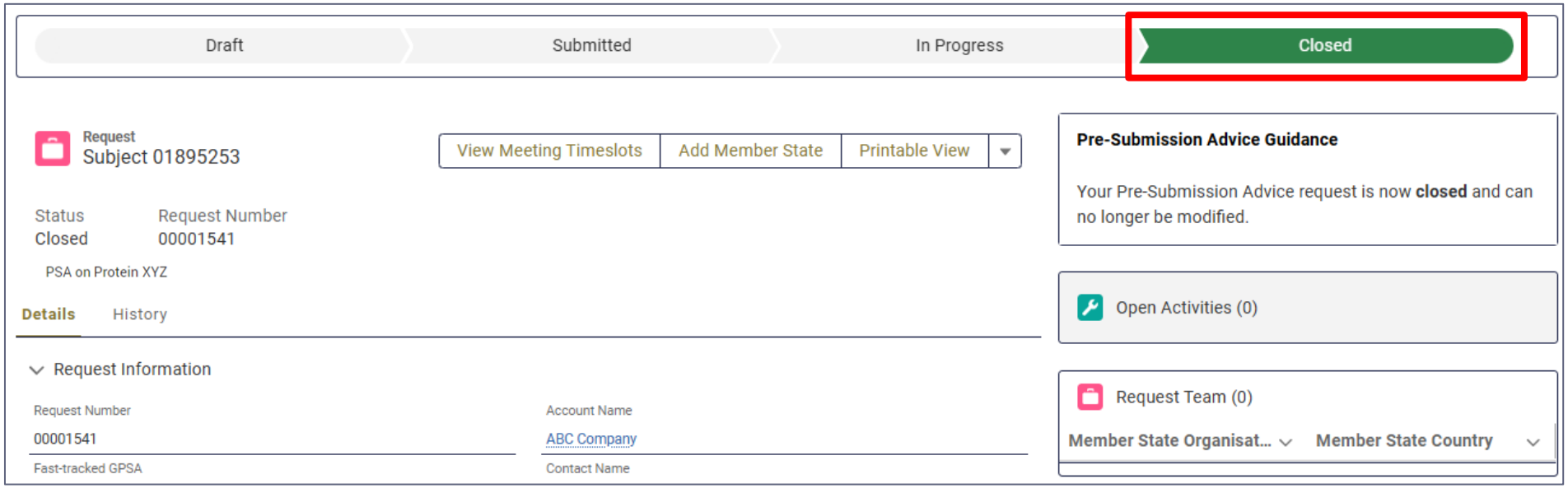
Following the EFSA's acceptance the status of the GPSA turns to "In Progress". If EFSA decides to provide the advice in a telemeeting, an email alert with the invitation to accept the proposed timeslot(s) is sent to **email address indicated for pre-submission activities** (more details in **Section 5.2 of the** [registration user manual](#)).

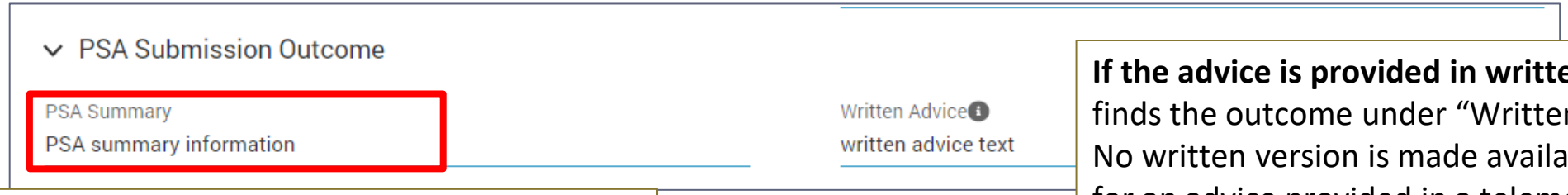


3.11.8 Receiving the reply to a GPSA request

Updated!

The user sees the record in Closed status.



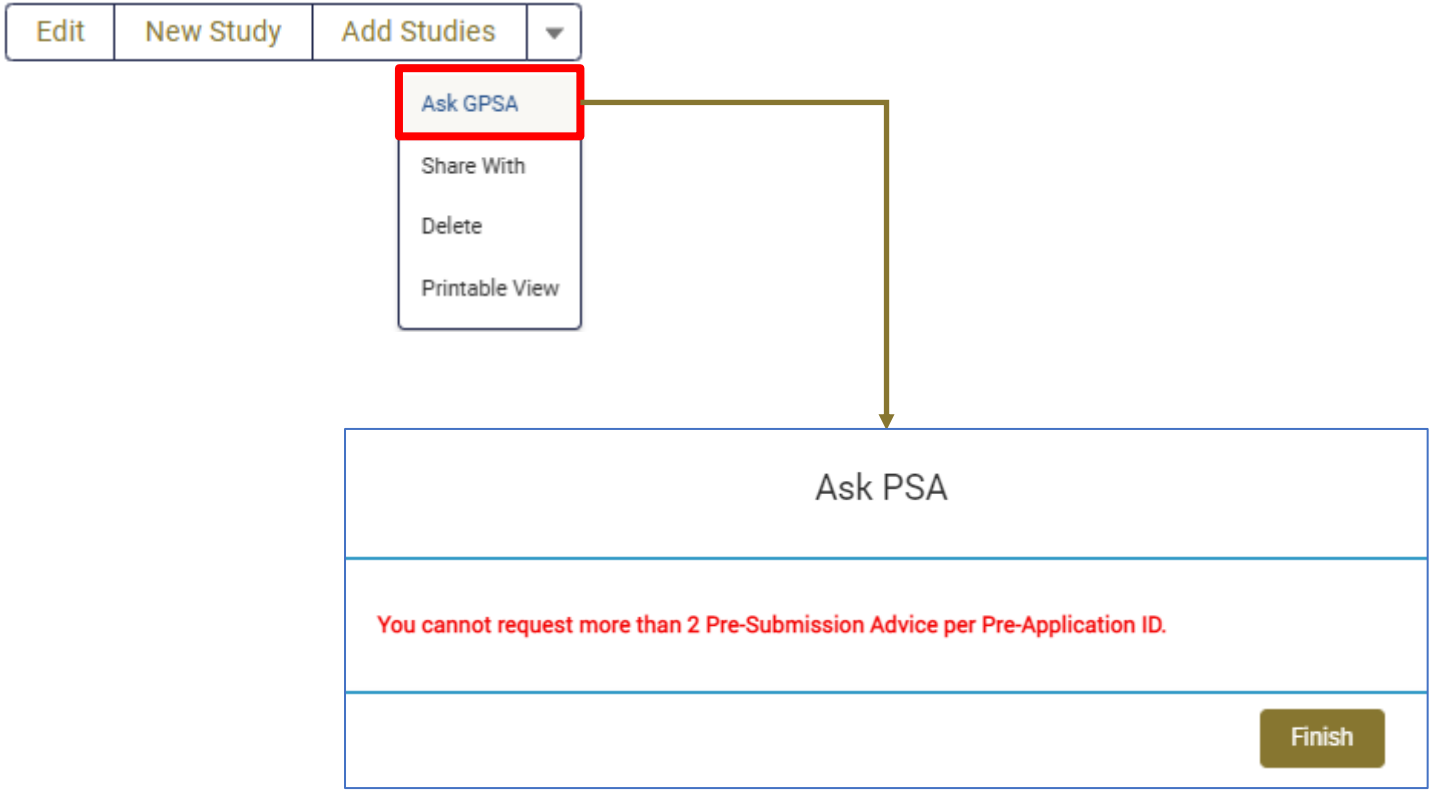


A succinct summary or the advice, **not containing confidential information**, is always provided.

If the advice is provided in written, the user finds the outcome under “Written Advice”. No written version is made available by EFSA for an advice provided in a telemeeting.

3.11.8 Limit number of GPSA requests

Each registered **business operator** or **third party/consultant** can submit **up to two GPSA requests** per pre-application ID.



When the user tries to submit more than two GPSA requests for the same pre-application ID, the system returns an **error message**.

Joint pre-submission activities (task force)

4 Task force scenario – no third party/consultant involved

Updated!

Business operators task force

The business operator representing the task force (i.e. the main potential applicant) creates the pre-application ID

The main potential applicant shares the pre-application ID with all the business operators

Share the pre-application ID before creating the studies

Option 1*

Option 2**

All companies composing the task force are mentioned in the notifications

By default, the main potential applicant appears in the field 'Business Operator' of the pre-application ID and of all the studies linked therein.

*Option 1 - Pre-application ID shared with "Read-only" permissions: the companies composing the taskforce, other than the main potential applicant, can only view the studies created and notified that are linked to the pre-application ID.

Option 2 – Pre-application ID shared with "On behalf of" permissions: **when creating the notification (and **only** at that stage), the Business Operator may be changed to reflect the actual organisation in the task force commissioning the study/ies, as showed in Section 3.2. To do so, the main potential applicant should establish an additional relationship "on behalf of" with such organisation(s).

Both options are adequate to describe a task force scenario. Potential applicants can choose according to their needs.

4.1 Task force scenario – with a third party/consultant involved

Updated!

Business operators task force

The business operator representing the task force (i.e. the main potential applicant) needs to establish a relationship "on behalf of" with the third party

The third party/consultant creates the pre-application ID

The main potential applicant must be indicated in the field "Business operator" of the pre-application ID.

The third party/consultant shares the pre-application ID with all the business operators

Share the pre-application ID before creating the studies

Option 1*

Option 2**

All companies composing the task force are mentioned in the notifications

By default, the main potential applicant appears in the field 'Business Operator' of the pre-application ID and of all the studies linked therein.

*Option 1 - Pre-application ID shared with "Read-only" permissions: the companies composing the taskforce, other than the main potential applicant, can only view the studies created and notified that are linked to the pre-application ID.

Option 2 – Pre-application ID shared with "On behalf of" permissions: **when creating the notification (and **only** at that stage), the Business Operator field may be changed to reflect the actual organisation in the task force commissioning the study/ies, as showed in Section 3.2. To do so, this entity should establish a relationship "on behalf of" with the third party/consultant.

Both options are adequate to describe a task force scenario. Potential applicants can choose according to their needs.

4.2 Highlights of the task force scenario

Updated!

- The main potential applicant must be indicated in the field “Business operator” of the pre-application ID.
- If a third party/consultant is involved, the main potential applicant must first establish an account relationship “on behalf of” with this organisation.
- The pre-application ID may be shared with “On behalf of” or “Read-only” permissions with the other companies composing the task force. The rules explained in Sections 3.10.1 and 3.10.2 apply.
- It is possible to include, at a later stage, additional potential applicants under an already created pre-application ID by creating a relationship before sharing the pre-application ID with them.
- Should one of the joint potential applicants wish to seek general pre-submission advice separately or notify studies without sharing them with the other potential applicants of the task force (to avoid sharing confidential issues), they could request an additional individual pre-application ID. When the joint application will be submitted, all the pre-application IDs need to be reported.

Reporting features

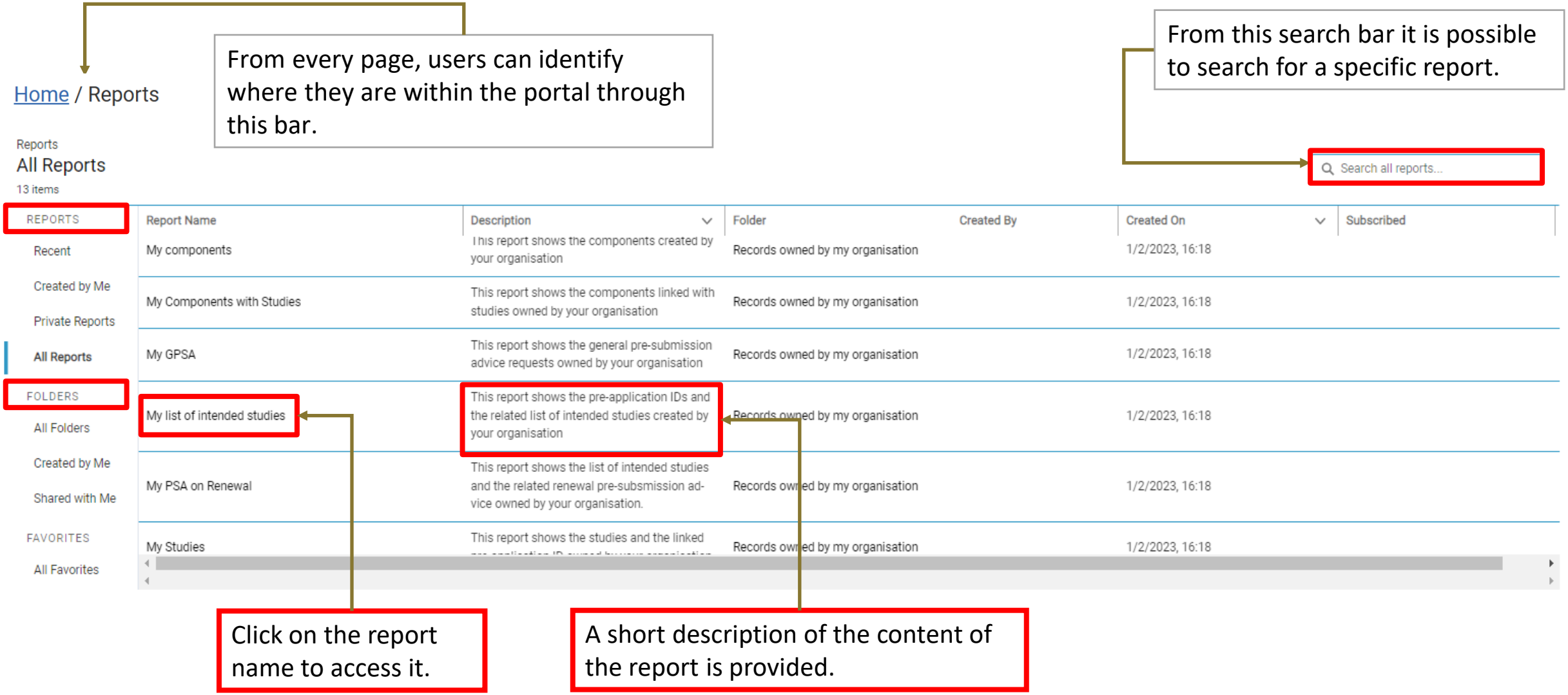
5. Reporting features

Important notes about reports:

- The user entering the Report section finds an overview of all the **Reports** available.
- Reports are collected in two main folders: “Records owned by my organisation”, “Records shared with my organisation”. Hence it is not possible to see records belonging to another organisation unless they have been shared. An additional folder “Study Types and Study Guidelines” contains the already available reports on study type and study guidelines.
- All reports and folders available on the portal are predefined by EFSA and in **read-only mode**. This means that changes done by the user will not be saved. When the page is refreshed, the system will restore the original version of the report. The user cannot create new folders.
- It is possible to (temporarily) apply some changes to the online reports. They can also be **exported in an editable Excel or CSV file**.

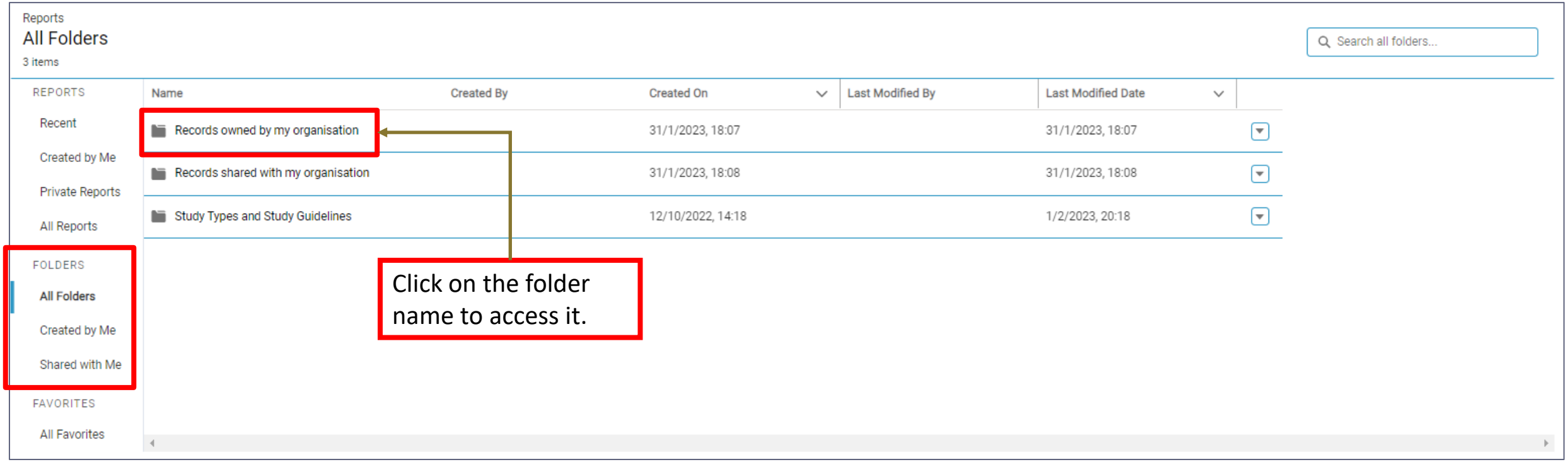
5.1 Reporting features – Overview

The user can access the reports form the REPORTS (All Reports) view, or from the FOLDERS (All Folders) view.



5.2 Reporting features - Folders

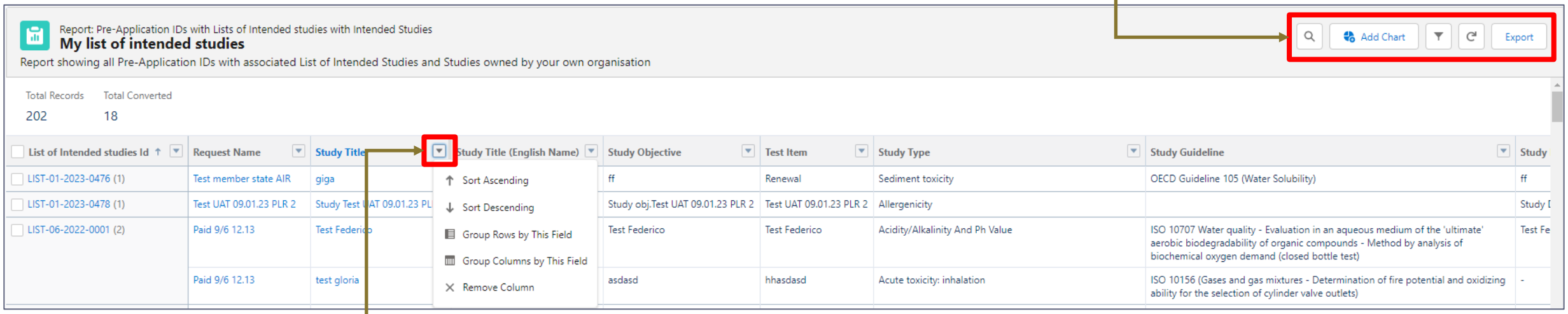
All the reports available to the user are saved in **three distinct folders**.



5.3 Reporting features – Actions allowed on a report

The user can perform actions on the report using these buttons.
It is possible to:

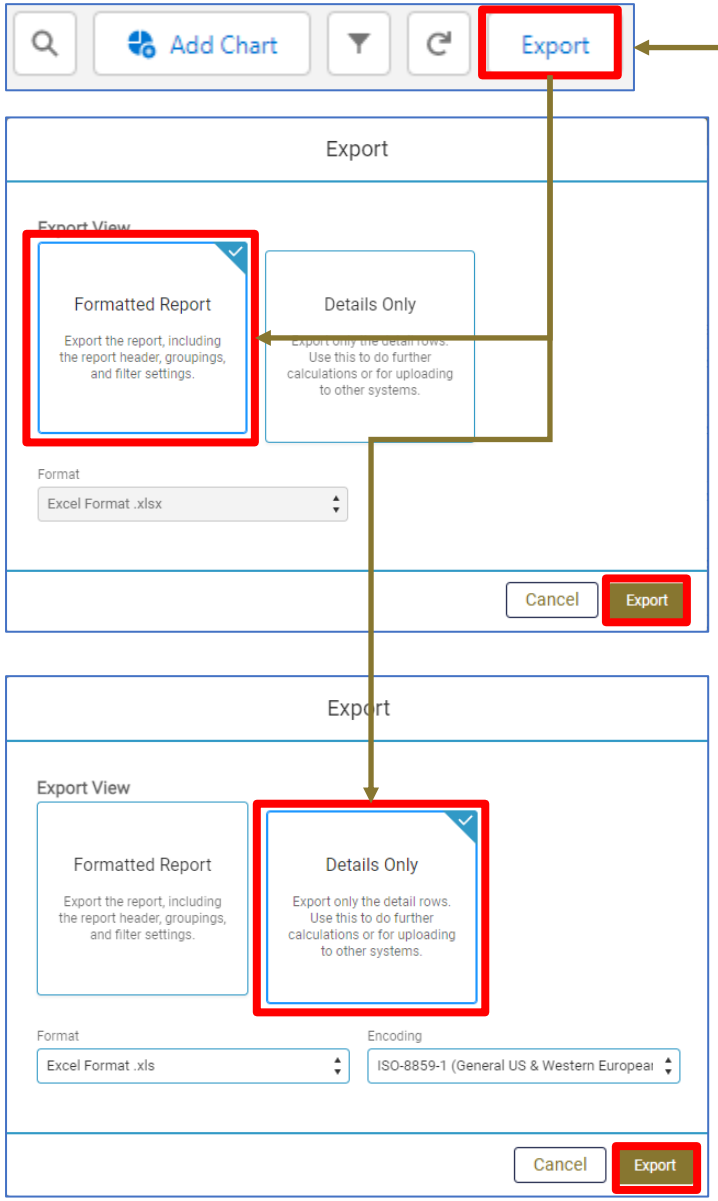
- **search for a specific value** in the table
- **add a chart**
- **apply filters**
- **refresh the values in table**
- **export the report** in Excel or CSV formats



Click on one of the pointing down arrows to perform actions on the report table.
The user can:

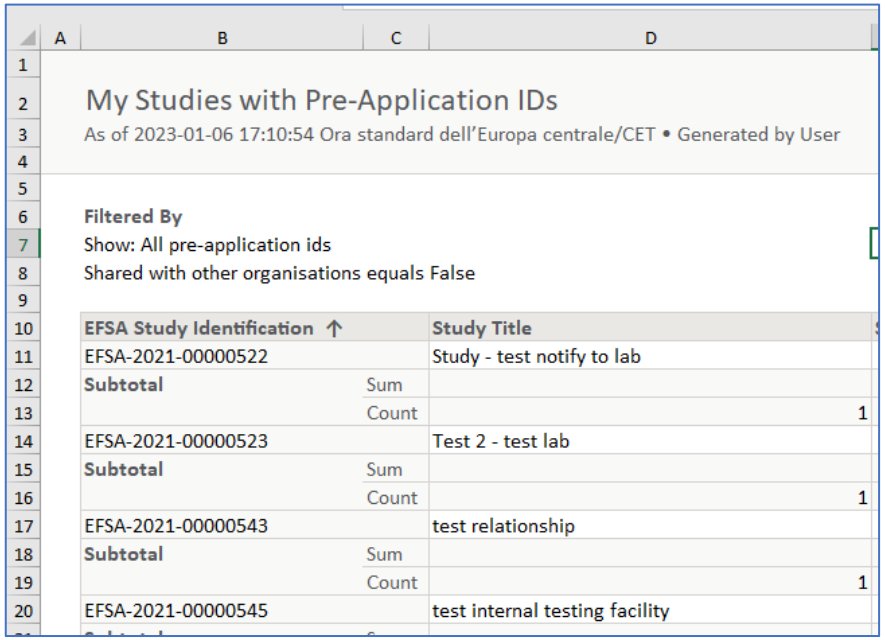
- **sort the values**
- **group/ungroup values**
- **remove columns**

5.4 Reporting features – Export a report



Click on **Export** button and select the preferred format.

Formatted Report
Reports can be exported in a format similar to the online version, e.g., keeping the grouping and the other settings. This option exports the report as Excel file only.



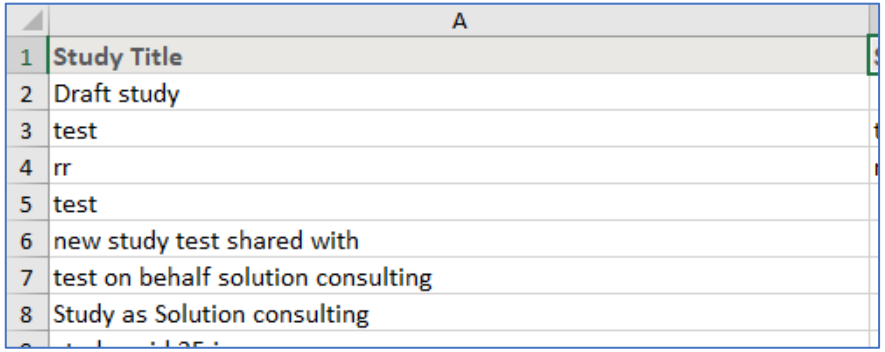
My Studies with Pre-Application IDs

As of 2023-01-06 17:10:54 Ora standard dell'Europa centrale/CET • Generated by User

Filtered By
Show: All pre-application ids
Shared with other organisations equals False

EFSA Study Identification		Study Title
EFSA-2021-00000522		Study - test notify to lab
Subtotal	Sum	
	Count	1
EFSA-2021-00000523		Test 2 - test lab
Subtotal	Sum	
	Count	1
EFSA-2021-00000543		test relationship
Subtotal	Sum	
	Count	1
EFSA-2021-00000545		test internal testing facility

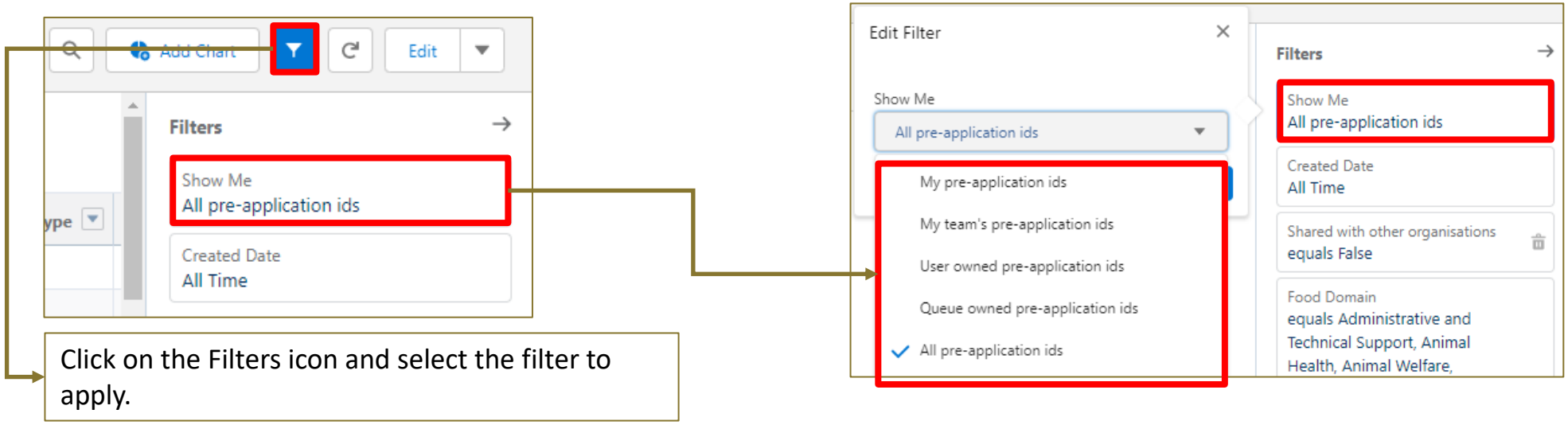
Details Only
Reports can be exported as Excel or CSV file showing only the detail rows.



Study Title
Draft study
test
rr
test
new study test shared with
test on behalf solution consulting
Study as Solution consulting

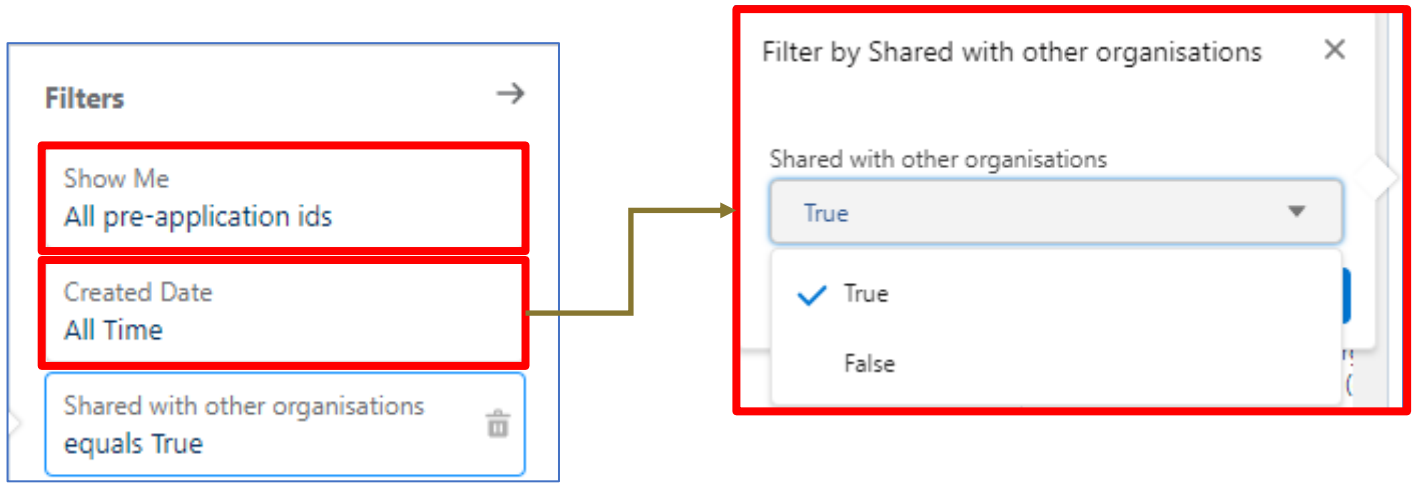
5.5 Reporting features – Filters functionality

Depending on the type of data showed in the report, predefined filters are available. Once the user refreshes the page the default filtering rules set by EFSA will be restored.

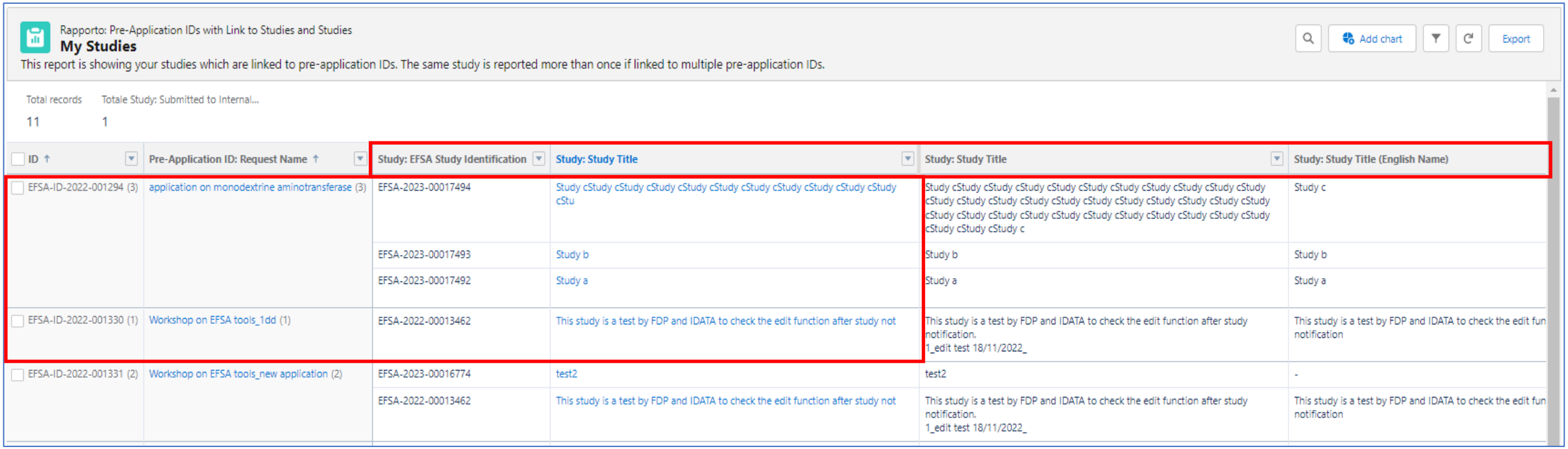


Click on the Filters icon and select the filter to apply.

Some filters will allow to restrict the view to records on the basis of their **creation date**, while others allow to view only the records **shared with the user's organisation**.



5.6 Reporting features – My studies report



Rapporto: Pre-Application IDs with Link to Studies and Studies

My Studies

This report is showing your studies which are linked to pre-application IDs. The same study is reported more than once if linked to multiple pre-application IDs.

Add chart | Export

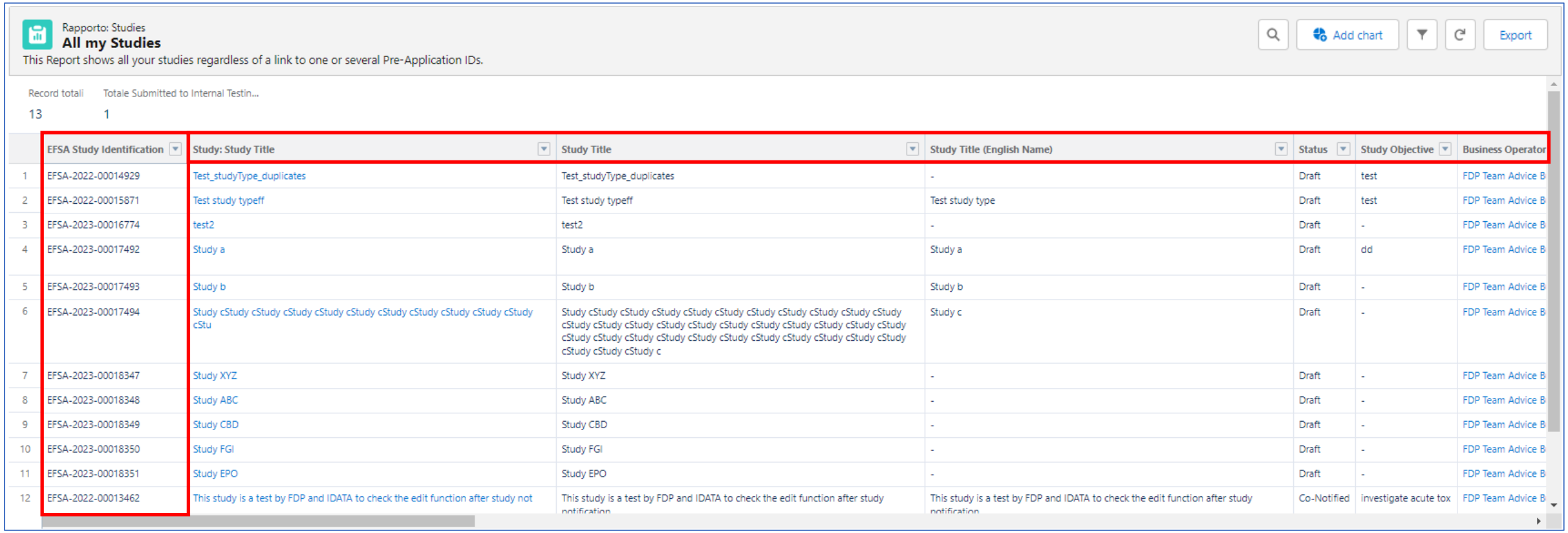
Total records	Totale Study: Submitted to Internal...
11	1

ID	Pre-Application ID: Request Name	Study: EFSA Study Identification	Study: Study Title	Study: Study Title	Study: Study Title (English Name)
EFSA-ID-2022-001294 (3)	application on monodextrine aminotransferase (3)	EFSA-2023-00017494	Study cStudy cStudy cStudy cStudy cStudy cStudy cStudy cStudy cStudy cStu	Study c	Study c
		EFSA-2023-00017493	Study b	Study b	Study b
		EFSA-2023-00017492	Study a	Study a	Study a
EFSA-ID-2022-001330 (1)	Workshop on EFSA tools_1dd (1)	EFSA-2022-00013462	This study is a test by FDP and IDATA to check the edit function after study not	This study is a test by FDP and IDATA to check the edit function after study notification. 1_edit test 18/11/2022_	This study is a test by FDP and IDATA to check the edit fun notification
EFSA-ID-2022-001331 (2)	Workshop on EFSA tools_new application (2)	EFSA-2023-00016774	test2	test2	-
		EFSA-2022-00013462	This study is a test by FDP and IDATA to check the edit function after study not	This study is a test by FDP and IDATA to check the edit function after study notification. 1_edit test 18/11/2022_	This study is a test by FDP and IDATA to check the edit fun notification

This report shows all the studies owned by the users organisation which are linked to pre-application IDs. The user finds:

1. The **ID** and the **Request Name of the pre-application ID** and **all the studies linked therein**.
2. The **Study Title information** comprehensive of “Study Title” with direct link to the study record page, “Study Title” (i.e. the full length version) and “Study Title (English Name)”.
3. Other available information includes: Status, Study Objective, Business Operator name and email, Laboratory name and email, etc.

5.7 Reporting features – All my Studies reports



Rapporto: Studies
All my Studies
This Report shows all your studies regardless of a link to one or several Pre-Application IDs.

Add chart | Export

Record totali: 13 | Totale Submitted to Internal Testin...: 1

	EFSA Study Identification	Study: Study Title	Study Title	Study Title (English Name)	Status	Study Objective	Business Operator
1	EFSA-2022-00014929	Test_studyType_duplicates	Test_studyType_duplicates	-	Draft	test	FDP Team Advice B
2	EFSA-2022-00015871	Test study typeff	Test study typeff	Test study type	Draft	test	FDP Team Advice B
3	EFSA-2023-00016774	test2	test2	-	Draft	-	FDP Team Advice B
4	EFSA-2023-00017492	Study a	Study a	Study a	Draft	dd	FDP Team Advice B
5	EFSA-2023-00017493	Study b	Study b	Study b	Draft	-	FDP Team Advice B
6	EFSA-2023-00017494	Study cStudy cStudy cStudy cStudy cStudy cStudy cStudy cStudy cStudy cStu	Study c	Study c	Draft	-	FDP Team Advice B
7	EFSA-2023-00018347	Study XYZ	Study XYZ	-	Draft	-	FDP Team Advice B
8	EFSA-2023-00018348	Study ABC	Study ABC	-	Draft	-	FDP Team Advice B
9	EFSA-2023-00018349	Study CBD	Study CBD	-	Draft	-	FDP Team Advice B
10	EFSA-2023-00018350	Study FGI	Study FGI	-	Draft	-	FDP Team Advice B
11	EFSA-2023-00018351	Study EPO	Study EPO	-	Draft	-	FDP Team Advice B
12	EFSA-2022-00013462	This study is a test by FDP and IDATA to check the edit function after study not	This study is a test by FDP and IDATA to check the edit function after study notification	This study is a test by FDP and IDATA to check the edit function after study notification	Co-Notified	investigate acute tox	FDP Team Advice B

This report shows all the studies owned by the user organisation, regardless they are linked or not to a pre-application ID. The user finds:

1. The **EFSA Study IDs**.
2. The **Study Title information** comprehensive of “Study Title” with direct link to the study record page, “Study Title” (i.e. the full length version) and “Study Title (English Name)”.
3. Other available information includes: Status, Study Objective, Business Operator name and email, Laboratory name and email, etc.

Recommended documents and links

Applicants Toolkit	https://www.efsa.europa.eu/en/applications/toolkit
Transparency Regulation	https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32019R1381
Practical Arrangements	https://www.efsa.europa.eu/en/corporate-pubs/transparency-regulation-practical-arrangements
Q&A on Practical arrangements	https://www.efsa.europa.eu/en/corporate-pubs/questions-and-answers-efsa-practical-arrangements