



# Webinar: what services does EFSA offer to regulated products' applicants?



Karine Lheureux and Margherita Guidi  
5 December 2016  
11:00am-11:45am

# WEBINAR GUIDE TO ATTENDEES

## Be reminded that:

- This webinar **is being recorded!**

Please ensure that you have carefully read the [EFSA Disclaimer and data protection note](#).

If you do not agree with the EFSA policy on webinars, please disconnect now.

- The webinar **is in English** and questions should be submitted in English.

# INTRODUCTION - GUIDE TO ATTENDEES

## Volume and speakers

- You are automatically connected to the audio broadcast. One-way audio (listen only mode)
- Check the audio panel to control your volume:



- Make sure you enable sound on your computer and turn on your headphones

# INTRODUCTION - GUIDE TO ATTENDEES

## Virtual Room

The screenshot displays a virtual room interface with four main panels:

- Presentation window:** A large central window with a grey background. It features the text "Presentation window" at the top. Below the text is a graphic showing a play button icon, a bar chart, and three stylized human figures.
- Q&A box:** A panel on the right side with a white background. It has a header with "Show All Questions" and "Assign To" dropdown menus. The main text reads: "Q&A box: You can submit your content related questions here".
- Video window:** A panel in the bottom-left corner with a grey background. It contains the text "Video window".
- Chat box:** A panel in the bottom-right corner with a light grey background. It has a header "Chat (Everyone)". The main text reads: "Chat box: You can submit non-content related questions here". Below the text is a text input field and a "Send" button.

At the bottom right, there is a "Files box" section with a table header:

Name	Size
<b>Files box: You can download available files from here</b>	
Upload File...	Download File(s)

# INTRODUCTION - GUIDE TO ATTENDEES

## Zoom in and out



Full screen  
Zoom in/out

# INTRODUCTION - GUIDE TO ATTENDEES

## Sending questions - Q&A box

- Questions should be **concise** and submitted **once**. Follow-up questions should be **self-explanatory**
- You can ask questions **until 11:45am**
- You will see the **answer** right below the question row once replied by EFSA
- We will address all questions as soon as possible and until **12:00 noon**
- If you do not receive an answer to your question, feel free to re-submit it through the **EFSA APDESK** web form later on:  
<http://www.efsa.europa.eu/en/applicationshelpdesk/askaquestion>

# INTRODUCTION - GUIDE TO ATTENDEES

## Q&A contributors' Team



# INTRODUCTION - GUIDE TO ATTENDEES

## Objectives of the webinar:

- Present the different support initiatives that are available at EFSA for applicants during the life cycle of application for regulated products. Focus will be on the **nature** and **scope** of the service; **who** can request the service; **when** and **how** to access it; and the expected **outcome** in the various phases of the application life-cycle.
- The webinar and Q&A **will NOT** address:
  - Advice on specific applications as well as planned or already provided support
  - Advice on particular scientific matters
  - Questions related to the legal framework and risk managers competencies



# INTRODUCTION - GUIDE TO ATTENDEES

## Outcome of the webinar:

### WE WILL PUBLISH:

- Final agenda
- Presentation
- Webinar recording

### WE WILL NOT PUBLISH:

- Log of questions and answers



# What services does EFSA offer to regulated products' applicants?



Karine Lheureux and Margherita Guidi  
5 December 2016  
11:00am-11:45am

# OUTLINE

- Introduction to the Catalogue (where to find it, purpose, structure)
- Pre-submission (phase 1)
- Submission (phase 2)
- Completeness check/suitability check (phase 3a)
- Risk assessment (phase 3b)
- Adoption and publication of a scientific output (phases 4 & 5)
- Overview on services requested
- Closure and take home messages

# INTRODUCTION TO THE CATALOGUE

## Applications helpdesk



> Discover our services catalogue

All the resources you need to assist you with the submission and the monitoring of an application for regulated products, substances and processes, and the substantiation of claims submitted for authorisation in the European Union.

### Highlights

[Webinar: what services does EFSA offer to regulated products' applicants? – Registrations open](#)

📅 5 December 2016

[New guidance adopted by the NDA Panel for the preparation and presentation of an application for authorisation of Novel Foods \(EFSA-Q-2014-00216\)](#)

🍽️ Nutrition

📅 published: 21 September 2016

[New guidance adopted by the NDA Panel for the preparation and presentation of a notification of traditional foods from third countries \(EFSA-Q-2015-00108\)](#)

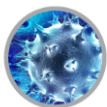
🍽️ Nutrition

📅 published: 22 September 2016

### Application resources by food sector area

#### Biological hazards

Animal by-product treatments, decontamination substances



#### Feed additives

Additives and products or substances used in animal feed



#### Food contact materials

Materials, plastic recycling processes, active and intelligent substances



#### Food ingredients

Food additives, food enzymes and flavourings, smoke flavourings, nutrient sources



### Application toolbox



Track your application



Event calendar



Ask a question

# INTRODUCTION TO THE CATALOGUE

## Purposes of the Catalogue

- Provide an overview of the support initiatives currently in place for applicants, Member States, universities, NGOs, etc
- Set up a global comprehensive list of all services available for regulated products which can vary for type, scope and impact
- Create awareness and mutual understanding on opportunities for dialogue with EFSA
- Encourage applicants and other parties to use the services

# INTRODUCTION TO THE CATALOGUE

## How it is structured:

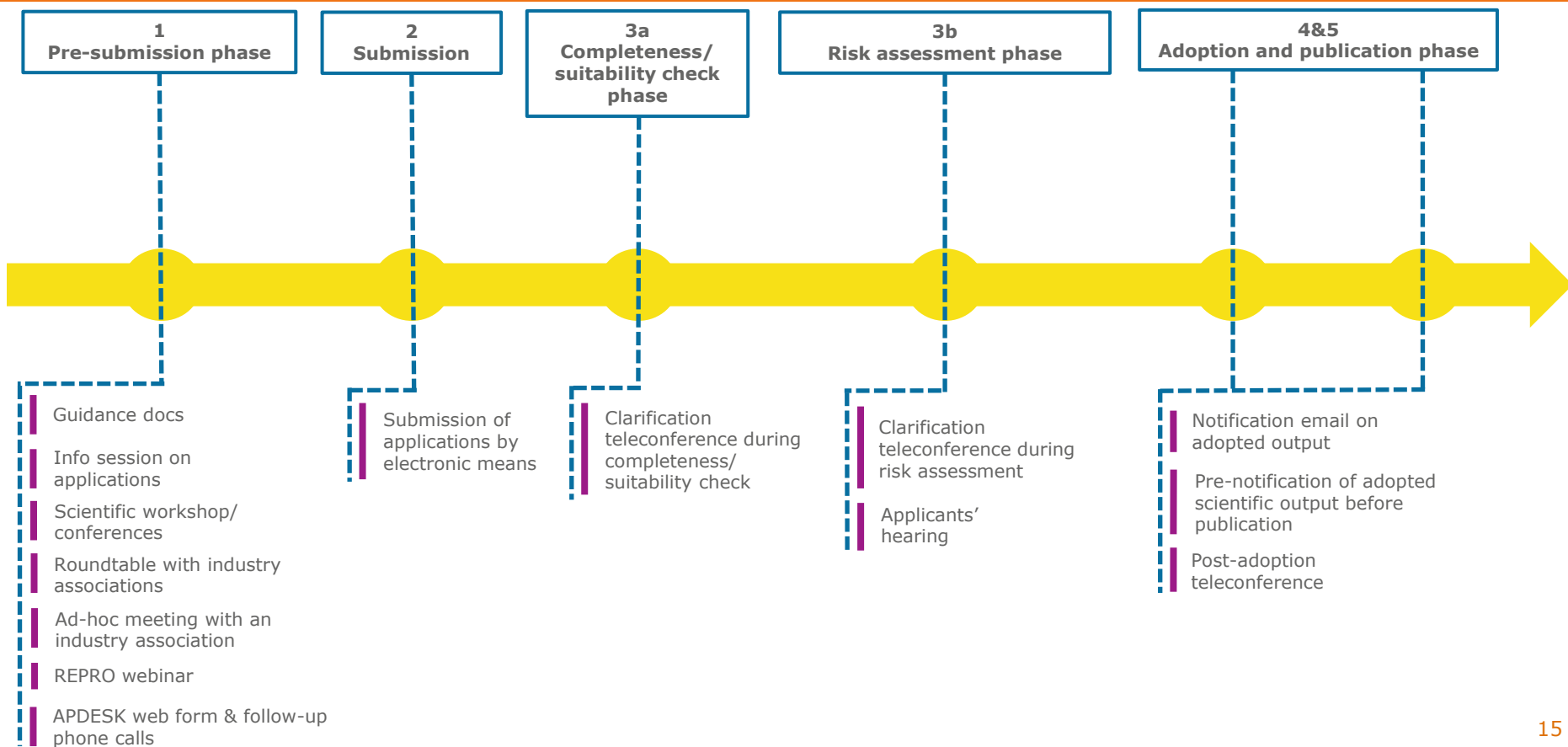
### Phases

- 1:** Pre-submission
- 2:** Submission
- 3:** Evaluation
  - 3a:** Completeness/  
suitability check
  - 3b:** Risk assessment
- 4&5:** Adoption and  
publication of the  
scientific output

### Content

- Description & scope  
of service
- Who can request it,  
when and how
- Format
- Participants
- Type of outcome

# CATALOGUE OF SERVICES



# LEGEND



Who initiates the process?



EFSA staff



Description of the initiative



EFSA experts



Who are the participants?



How long does it last?



European Commission  
representative



Who can request it?



What is the scope?



Applicants, industry  
associations, Member state  
competent authorities, other  
stakeholders



What outcome to expect?

Note: **Drawings, icons** and **animations** are only meant to exemplify the different **roles, actions** and **subjects** involved in the provision and use of the Catalogue of services. The “Catalogue” remains the main reference.



# PRE-SUBMISSION PHASE (1)

1

Pre-submission phase

1

- | Guidance docs
- | Info session on applications
- | Scientific workshop/ conferences
- | Roundtable with industry associations
- | Ad-hoc meeting with an industry association
- | REPRO webinar
- | APDESK web form & follow-up phone calls

# EFSA GUIDANCE DOCUMENTS



EFSA  
expert



EFSA  
staff



Regulated products Department units



Production, revision and updates of EFSA's technical and administrative documents to explain administrative or scientific requirements



They can include: Examples or case studies; Data requirements, List of scientific evidence. Explanatory notes are supplementary documents including key principles and examples of good studies/reporting



New guidance documents (technical or administrative) published on the EFSA website

# INFO SESSION ON APPLICATIONS



APDESK unit together with REPRO units



Technical meeting that can cover administrative and scientific issues related to applications



EFSA experts, EFSA staff, EC, online registrants



Half a day, one day, one and a half day



Once public registration opens on EFSA website



Outcome of public consultations, finalised administrative and scientific guidance documents, specific scientific topics. Do not provide pre-assessment on upcoming or on-going applications



Final agenda, all presentations, post-event summary

# ROUNDTABLE WITH INDUSTRY ASSOCIATIONS



EFSA



Annual meeting on food and feed regulated products to increase transparency and engagement



EFSA staff, EC, industry associations



Half a day



Upon invitation by EFSA

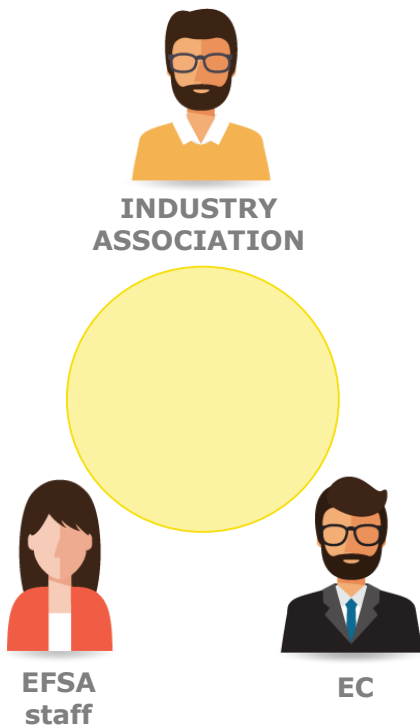


Administrative, scientific, managerial, communication issues and challenges linked to applications for regulated products



Final agenda, all presentations  
list of participants, post-event summary

# AD-HOC MEETING WITH AN INDUSTRY ASSOCIATION



Industry association



Exchange information and views on food and feed regulated products applications



EFSA staff, EC, industry association



1 hour up to 2 hours



Contact the scientific unit

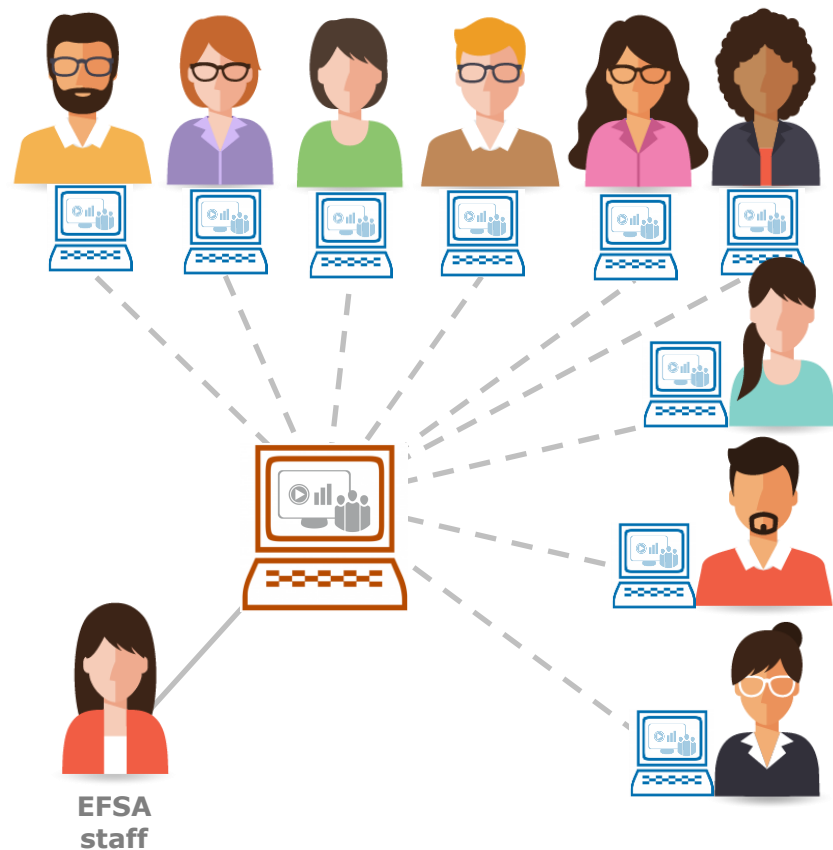


Methodological and procedural aspects, scientific requirements, approach(es) unique to particular scientific areas



Final agenda, all presentations, list of participants

# REPRO WEBINAR



APDESK unit together with REPRO units



Online event to exchange views and enhance an open dialogue on practical scientific and administrative issues as well as tools



EFSA experts of Working Groups/Panels, EFSA staff, EC, Online registrants



30 minutes, 1 hour, 2 hours



Online registration once public registration to a webinar is opened on EFSA website

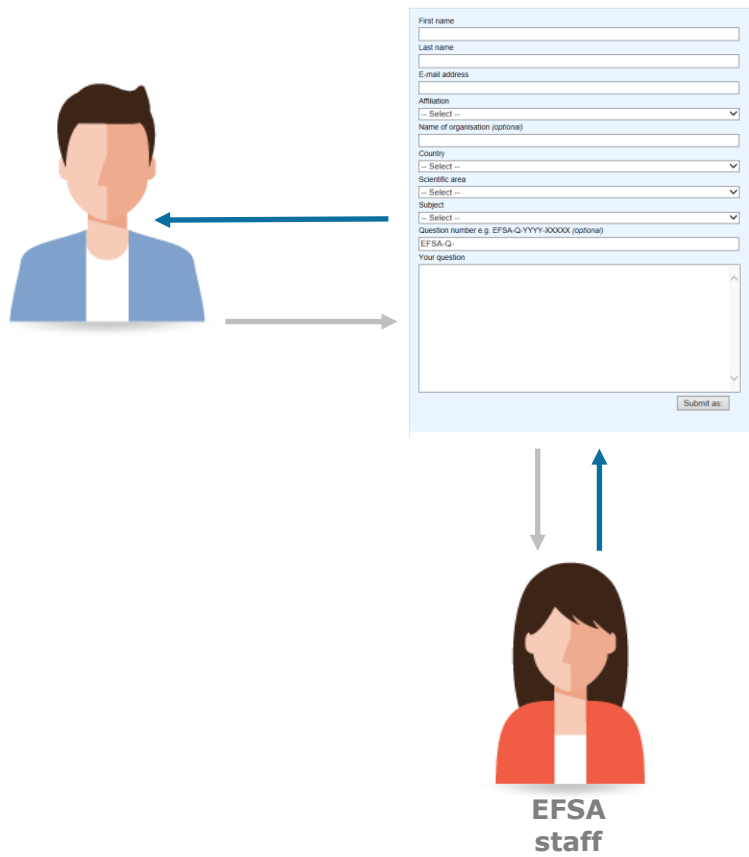


Methodological and procedural aspects, scientific requirements, approach(es) unique to particular scientific areas



Final agenda, presentations, post-event summary, webinar recording

# APDESK WEB FORM



Any stakeholder interested on regulated products



Front office and support desk on regulated products related matters



EFSA staff, web form requestor



Responses to web form requests are provided within 15 working days



Fill-in the web form available on EFSA's Applications web section

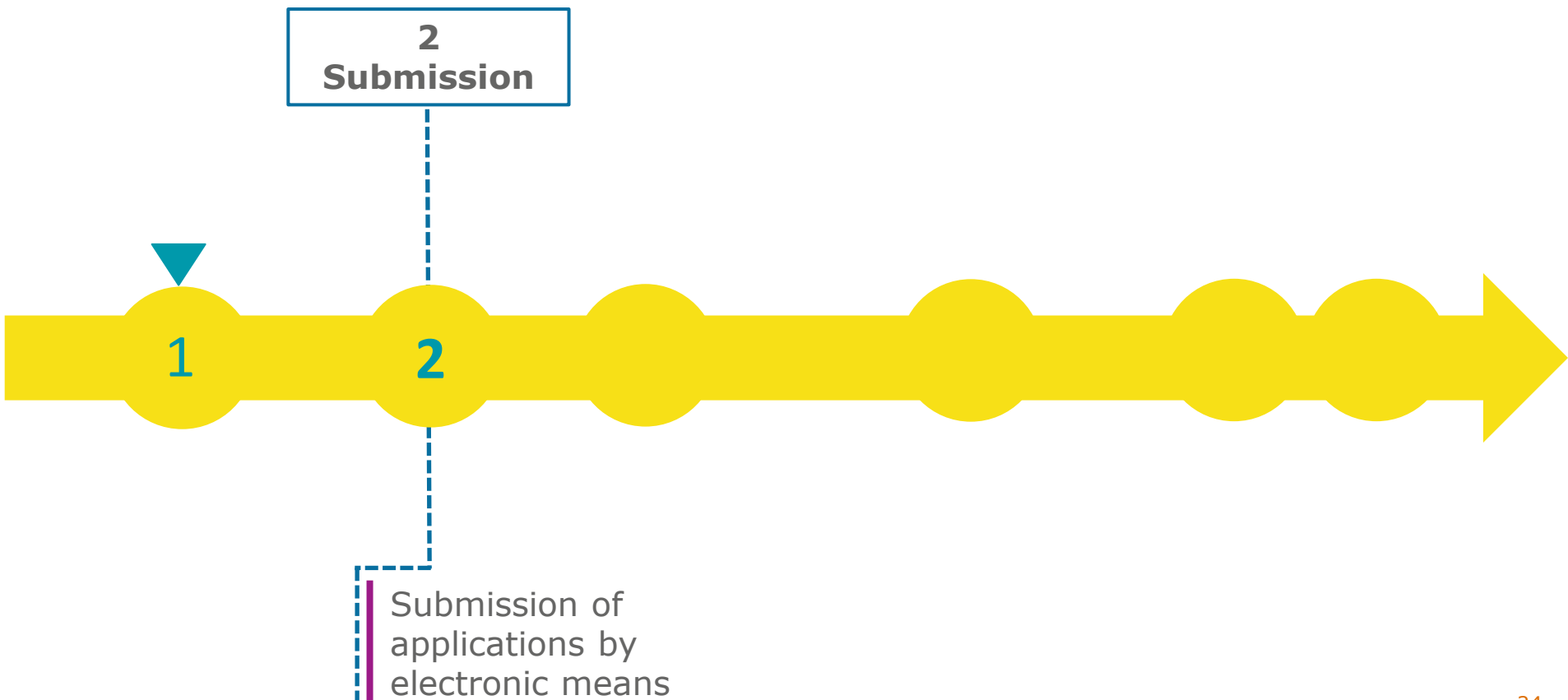


Administrative and scientific issues, EU regulatory framework, guidance documents requirements, procedural steps, status of specific applications



Individual answer to requests within 15 working days from receipt

## SUBMISSION PHASE (2)





# SUBMISSION BY ELECTRONIC MEANS



MS

APPLICANT

EC



EFSA  
staff



An applicant, Member State, EC



Submit an application, updates, missing/additional information to EFSA via CD ROMs, DVDs or USB keys



Applicants, Member States, European Commission



Send applications and related documents via electronic means + original signed cover letter listing all annexes, tables of content and mandate



Minimise the administrative burden for applicants and streamline the submission of applications



EFSA letter/e-mail of acknowledgement of receipt, including EFSA contact person details and format of submission

# COMPLETENESS/SUITABILITY CHECK PHASE (3A)

**3a**  
**Completeness/  
suitability check phase**

1

2

3a

Clarification teleconference  
during completeness/  
suitability check

# CLARIFICATION TELECONFERENCE DURING CC



EFSA  
staff



APPLICANT



Applicant



Telephone conference to clarify any outstanding issues during the completeness/suitability check (CC) phase



EFSA APDESK staff, applicant



30 minutes



An applicant upon reception of an EFSA letter requesting missing information or at any time during the CC phase



Clarify administrative and scientific rationale of individual questions during CC, ensure understanding of the questions to be answered by the applicant, clarify outstanding issues



EFSA e-mail acknowledging that the teleconference took place indicating date and duration

## COMPLETENESS/SUITABILITY CHECK PHASE (3B)

**3b**  
**Risk assessment phase**

1

2

3a

3b

Clarification teleconference during risk assessment

Applicants' hearing

# CLARIFICATION TELECONFERENCE DURING RA



**EFSA  
staff**



**APPLICANT**



Applicant



Telephone conference to clarify a request for additional information sent by EFSA during the Risk assessment (RA) phase



EFSA REPRO units staff, applicant



1 hour



An applicant upon reception of an EFSA letter requesting additional information

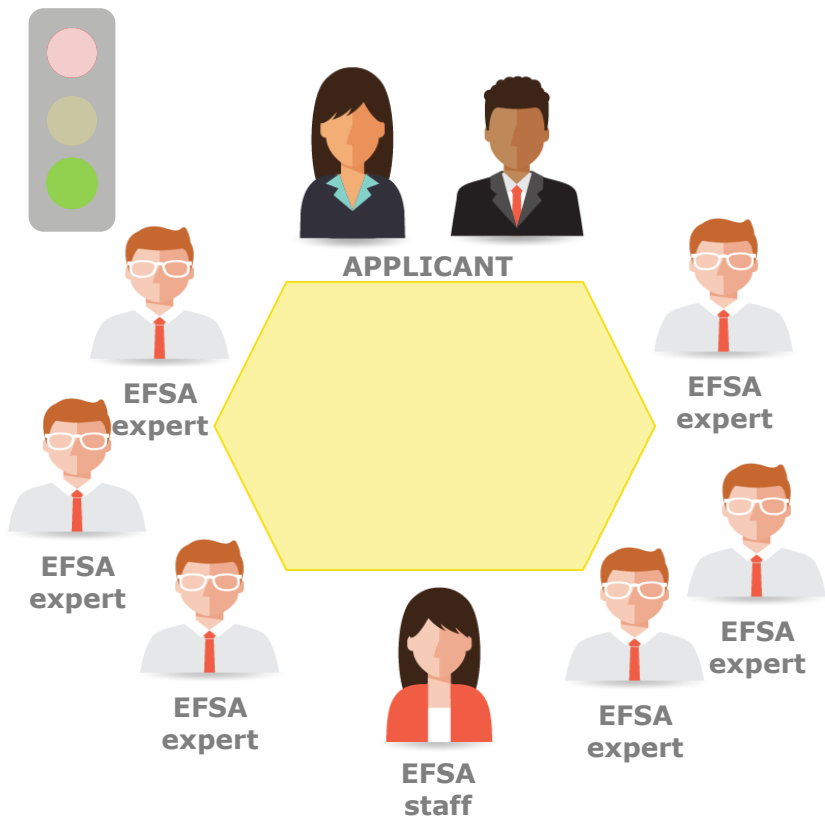


Clarify the scientific rationale of individual questions raised during RA, ensure understanding of the questions to be answered. It does not provide pre-assessment on upcoming request



EFSA e-mail acknowledging that the teleconference took place indicating date and duration

# APPLICANTS' HEARING



EFSA invites the applicant to attend a specific agenda item of Working Groups or Panels meetings



An applicant is invited to an applicants' hearing to answer question raised by the EFSA Working Groups and Panels experts



EFSA experts, EFSA staff, applicant



2 hours maximum



EFSA's Working Groups and/or Panels members



Clarify additional data or supplementary information provided when considered not appropriate or unclear, or to clarify any outstanding issues on the application



Participation to an applicants' hearing is reported in the meeting minutes published on EFSA website. EFSA staff sends a follow-up letter to the applicant to ensure mutual understanding

## ADOPTION AND PUBLICATION PHASE (4&5)

**4&5**  
**Adoption and publication**  
**phase**

1

2

3a

3b

4

5

Notification email on adopted output

Pre-notification of adopted scientific output  
before publication

Post-adoption teleconference

# NOTIFICATION EMAIL ON ADOPTION OF OUTPUT



**EFSA  
staff**



**APPLICANT**



EFSA



A notification email is addressed to the applicant informing on the adoption of the scientific output by the EFSA Scientific Panel



EFSA staff in the scientific units



Within one working day from the adoption



An applicant who has filed an application at EFSA



E-mail sent by the EFSA scientific unit to applicants informing on the adoption of the scientific output by the EFSA scientific Panel



# POST-ADOPTION TELECONFERENCE



**EFSA  
staff**



**APPLICANT**



Applicant



Telephone conference on adopted scientific output to present the content of the final scientific output, as expressed by the Panels and/or EFSA



EFSA staff, applicant, EC



2 hours



An applicant who has filed an application to EFSA for which an EFSA scientific output was published



Explain the scientific rationale of the final output from the Panel and/or EFSA, clarify recommendations, clarify the sources of evidence and factors that influenced the outcome. Such teleconference do not provide any scientific advice for future submissions

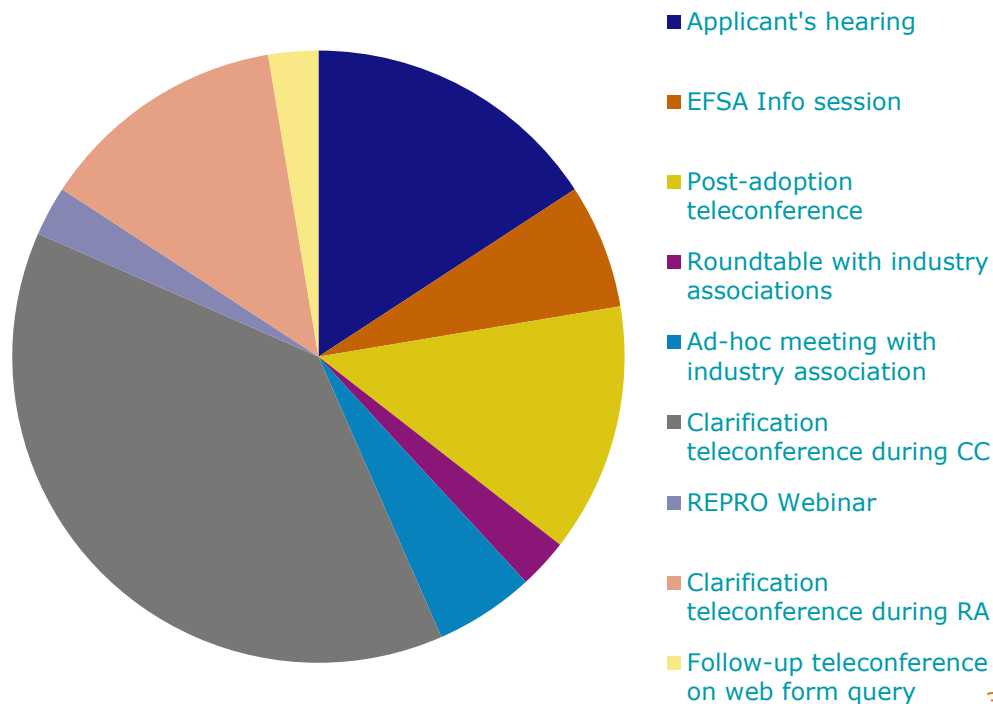


Follow-up letter including main points of discussion to keep track of what has been discussed

# OVERVIEW ON SERVICES REQUESTED

## Status: March 2015 – November 2016

Service type	Total
Applicant's hearing	12
EFSA Info session	5
Post-adoption teleconference	10
Roundtable with industry associations	2
Ad-hoc meeting with industry association	4
Clarification teleconference during CC	29
REPRO Webinar	2
Clarification teleconference during RA	10
Follow-up teleconference on web form query	2
<b>Grand Total</b>	<b>76</b>



# CLOSURE AND TAKE HOME MESSAGES

- Make use of the catalogue
- It is updated regularly (once per year), search for the most up to date version
- Some initiatives are currently under consideration
- No recordings or transcript is allowed for teleconference and meetings included in the Catalogue
- In case of doubt or feedback please contact the:  
[apdesk.applications@efsa.europa.eu](mailto:apdesk.applications@efsa.europa.eu)

# Thank you for attending our webinar!



Please take **5 more minutes** to [fill out our evaluation form](#),  
your feedback will help us improve our service