

2014 WORK PROGRAMME FOR GRANTS AND PROCUREMENT IN SCIENCE

Cover Note

Scientific cooperation is a key priority for EFSA that supports the development of risk assessments within the Authority's remit. To ensure the contribution of organisations from Member States and third countries, through scientific cooperation projects, EFSA has implemented the grant and procurement schemes. Each year, the activities related to grants and procurement are based on an annual work programme, which is approved by EFSA's Management Board following consultations with EFSA's Advisory Forum, the Scientific Committee and sister EU agencies, such as, ECDC, ECHA and EMA.

As stated in the Financial Regulation, the annual work programme for grants and procurement in science has to be adopted by the Management Board and published on EFSA's website before 31 March of the year of implementation.

The programme for grants under Article 36, scientific cooperation with Member State organisations can also take place via public procurement procedures. The choice on whether to address a scientific support need via the grant or the procurement scheme is based on the provisions set in EFSA's Founding Regulation and the Implementing Rules for Article 36 Cooperation, the provisions set in the Financial Regulation and its Rules of Application regarding grants and public procurement, and EFSA's experience with these schemes.

The total amount of funds spent or planned for cooperation projects with institutions and organisations in Member States and third countries to support EFSA in its scientific tasks (grants and procurement) has considerably increased (nearly doubled) between 2008 and 2013. Over the same period, grants have mainly been used for cooperation projects, e.g. for supporting general risk assessment activities, where networking is of particular importance, while procurement projects have mainly been used to undertake preparatory work to support the Panel risk assessments in the area of regulated substances. EFSA has tried to opt, when possible for the use of long-term procurement contracts (incl. framework contracts) over the more specific and short-term contracts (e.g. direct contracts and negotiated procedures) to increase efficiency and capacity building in the context of medium-term planning.

The proposed budget of €10.275 million for scientific cooperation activities in 2014 is lower compared to the approved 2013 budget of €10.52 million for the same activities.

To further anticipate the implementation of the 2014 work programme for Article 36 grants and scientific procurement projects, the draft 2014 programme is presented at the October 2013 meeting of the EFSA Management Board. This is in line with Article 84(2) of the Financial Regulation, which states that: *"Except in the case of appropriations which can be implemented without a basic act in accordance with point (e) of the first subparagraph of Article 54(2), the commitment of expenditure shall be preceded by a financing decision adopted by the institution or the authorities to which powers have been delegated by the institution"*.

This will allow EFSA to enhance the execution of the programme by anticipating the preparation and launch of some of the 2014 calls already in 2013, and to provide more time to potential applicants/tenderers to prepare their proposals/offers, in line with the recommendations of the European Court of Auditors. The 2014 work

programme may need adjustment in December 2013 to match the final decision on the 2014 budget by the EU Budgetary Authority.

Conclusion

The EFSA Management Board is requested to adopt the draft 2014 work programme for grants and procurement in science subject to the final approval of the 2014 budget by the budgetary authority in December 2013.

2014 WORK PROGRAMME FOR GRANTS AND PROCUREMENT IN SCIENCE

1. Introduction

Article 128 of the Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (hereafter referred to as “the Financial Regulation”) states that: *“Grants shall be subject to a work programme, to be published prior to its implementation.”*

Furthermore, Article 84(2) of the Financial Regulation states that: *“Except in the case of appropriations which can be implemented without a basic act in accordance with point (e) of the first subparagraph of Article 54(2), the commitment of expenditure shall be preceded by a financing decision adopted by the institution or the authorities to which powers have been delegated by the institution”.*

Article 94 of Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (hereinafter referred to as “the Rules of Application”), states under paragraph 2, that the financing decision shall in particular set out certain essential elements for an action involving the expenditure from the budget for grants and for procurement. In addition under paragraph 3 it is stated that the work programme which contains the information set out in paragraph 2 shall be considered to be the financing decision for grants and procurement.

Within the scientific cooperation programme, the choice on whether to address a scientific support need via the grant or procurement scheme is based on the provisions set in EFSA’s Founding Regulation and EFSA’s experience with these schemes.

Article 5(2) of Commission Regulation (EC) No 2230/2004 (of 23 December 2004 laying down detailed rules for the implementation of European Parliament and Council Regulation (EC) No 178/2002 with regard to the network of organisations operating in the fields within the European Food Safety Authority’s) foresees that financial support for tasks entrusted to organisations on the Article 36 list shall take the form of subsidies awarded in accordance with EFSA’s financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which, as a rule, will be implemented through the publication of calls for proposals.

2. Basic act and financing source

Basic Act:

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002.

Article 36 of Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety.

Commission Regulation (EC) No 2230/2004 of 23 December 2004 laying down detailed rules for the implementation of European Parliament and Council Regulation (EC) No 178/2002 with regard to the network of organisations operating in the fields within the European Food Safety Authority's.

Budget lines: 3010, 3110, 3201 and 3210.

3. Tasks to be entrusted, objectives to be achieved, priority areas, and results to be expected

Outsourcing work to institutions and organisations in Member States, or to those in third countries, enables EFSA to use a wider spectrum of scientific excellence.

Tasks entrusted to institutions and organisations are foreseen for the following preparatory activities:

- I. Provision of scientific opinions and advice and risk assessment approaches;
- II. Evaluation of products, substances and claims subject to authorization;
- III. Data collection, scientific cooperation and networking.

The deliverables of grant and procurement projects will help EFSA in responding more effectively and flexibly to its growing workload. In addition, it will further support networking amongst EFSA, the Member States and third countries.

4. Eligibility of the applicants

- For grants:
 - the applicants must be on the list adopted by the Management Board of EFSA on 19 December 2006, and as regularly updated, implying fulfillment of the criteria laid down in the Commission Regulation (EC) No 2230/2004;
 - the applicants shall not be, at the time of a grant award procedure, in one of the situations referred to in Articles 106 and 107 of the Financial Regulation.
- For procurement:
 - the tenderers must be established in an EU Member State. This implies that tenderers established in third countries (non-EU countries) do not have the right to participate in EFSA tendering procedures, unless other bilateral or special international agreements in the field of public procurement grant them the right to do so. In case there is no such agreement, or the agreement does not apply to the kind of contracts put out to tender, tenderers of third countries are not entitled to participate, unless otherwise explicitly allowed in the given calls published by EFSA;
 - the tenderers shall not be, at the time of a contract award procedure, in one of the situations referred to in Articles 106 and 107 of the Financial Regulation.

5. Selection and award criteria

The eligible proposals/tenders and offers will be evaluated against the selection criteria indicated in each specific call. In general, there are two sets of selection criteria to be assessed:

- Financial capacity;
- Technical capacity.

The proposals/tenders which meet the selection criteria will be evaluated against the award criteria indicated in each specific call. In general, there are three standard sets of award criteria to be assessed:

- the quality of the submitted proposal/tender;
- the proposed project management by the applicant/tenderer;
- the cost effectiveness of the estimated budget (in case of grants) / the price of the financial offer (in case of procurement).

In addition, other award criteria as specified in each specific call may apply.

6. Maximum rate of co-financing for grants

Up to 90% of the eligible costs.

7. Schedule of calls for proposals and tenders for 2014

Launch of calls for proposals/tenders: November 2013 – October 2014¹.

8. Indicative amounts available for calls for proposals / tenders for 2014

Grants and procurements are used to support the work of three main areas of scientific activities. The amounts presented in Table 1. are the amounts which are expected to be committed under scientific co-operation agreements and contracts in 2014.

Table 1: Amounts expected to be committed under scientific co-operation agreements and contracts in 2014

Activity	Amount (€)	Percentage
Provision of scientific opinions and advice & Risk Assessment approaches	3 106 000	30%
Evaluation of products, substances and claims subject to authorisation	2 384 000	23%
Data collection, scientific cooperation and Networking	4 785 000	47%
Total	10 275 000	100%

The total amount of funds spent (2008-2012) or planned (2013 and 2014) for cooperation projects (through grants and procurement in science) with institutions and organisations in Member States or third countries is presented in Table 2.

Table 2: Annual budget for grants and procurement in science as executed (2008 – 2012) or as planned (2013 – 2014)

	2008	2009	2010	2011	2012	2013	2014
	as executed	as approved	as proposed				
Budget (million €)	5.54	6.73	7.84	7.11	9.43	10.52	10.275

9. Precondition

The proposed 2014 work programme for grants and procurement in science is subject to the approval of EFSA's 2014 budget by the budgetary authority in December 2013.

Adopted in Parma
on 24 October 2013

For EFSA's Management Board

[SIGNED]
Sue Davies
Chair of the Management Board

¹ In case a call for proposals/tenders is launched before the official approval of the budget, a respective clause will be added to indicate that the project is subject to the approval of EFSA's 2014 budget by the budgetary authority and that no grant/procurement project will be awarded before such approval.

ADVISORY FORUM AND SCIENTIFIC COOPERATION UNIT

MANAGEMENT BOARD DECISION ON THE 2013 ANNUAL WORK PROGRAMME FOR GRANTS AND PROCUREMENT

1. Introduction

Within the Scientific cooperation programme, the choice on whether to address a scientific support need via the grant or procurement scheme is based on the provisions set in EFSA's Founding Regulation and EFSA's experience with these schemes.

Article 5 (2) of Commission Regulation (EC) No. 2230/2004 foresees that financial support for tasks entrusted to organisations on the Article 36 list shall take the form of grants awarded in accordance with EFSA's financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which, as a rule, will be implemented through the publication of calls for proposals.

2. Basic act and financing source

- **Basic Act:** Regulation (EC) No 178/2002 of the European Parliament and of the Council, Art.36, and Commission Regulation (EC) No. 2230/2004.
- **Budget lines:** 3001, 3011, 3021, 3031, 3041, 3051, 3101, 3111, 3121, 3131, 3141, 3151, 3161, 3201, 3231, 3241

3. Tasks to be entrusted, objectives to be achieved, priority areas, and results to be expected

Outsourcing work to organisations enables EFSA to use a wider spectrum of scientific excellence in Europe.

Tasks entrusted to organisations are foreseen in the following areas:

- Provision of scientific opinions and advice and risk assessment approaches;
- Evaluation of products, substances and claims subject to authorization;
- Data collection, scientific cooperation and networking.

The outputs will help EFSA respond more effectively and flexibly to its growing workload. The activities funded through the grants and procurement schemes will further support networking amongst the Member State competent organisations in line with Article 36 of EFSA's Founding Regulation.

4. Eligibility of the applicants

- For grants:
 - the applicants must be on the list adopted by the Management Board of EFSA on the 19th of December 2006 as updated ever since (implying fulfillment of the criteria set in the Commission Regulation (EC) No. 2230/2004);
 - the applicants shall not be, at the time of a grant award procedure, in one of the situations referred to in Articles 93 and 94 of the Financial Regulation (Council Regulation (EC) No. 1605/2002) as amended.
- For procurement:
 - the tenderers must be established in an EU Member State. This implies that tenderers established in third countries (non-EU countries) do not have the right to participate in EFSA tendering procedures, unless other bilateral or special international agreements in the field of public procurement grant them the right to do so. In case there is no such agreement, or the agreement does not apply to the kind of contracts put out to tender, tenderers of third countries are not entitled to participate, unless otherwise explicitly allowed in the given calls published by EFSA;
 - the tenderers shall not be, at the time of a contract award procedure, in one of the situations referred to in Articles 93 and 94 of the Financial Regulation (Council Regulation (EC) No. 1605/2002) as amended.

5. Selection and award criteria

The eligible proposals / tenders and offers will be evaluated against the selection criteria indicated in each specific call. In general, there are two sets of selection criteria to be assessed:

- Financial capacity
- Technical capacity.

The proposals / tenders which meet the selection criteria will be evaluated against the award criteria indicated in each specific call. In general, there are three sets of award criteria to be assessed:

- Quality of the proposal / tender
- Quality of the management
- Cost effectiveness
- Specific criteria of actual calls.

6. Maximum rate of co-financing for grants

Up to 90% of the eligible costs.

7. Schedule of calls for proposals and tenders for 2013

Launch of calls for proposals / tenders: July 2012 – October 2013¹.

¹ In case a call for proposals / tenders is launched before the official approval of the budget, a respective clause will be added to indicate that the project is subject to the approval of EFSA 2013 budget by the budgetary authority and no grants will be awarded before such approval.

8. Indicative amounts available for calls for proposals / tenders for 2013

The amounts indicated in the table below are the amounts which are expected to be committed under scientific co-operation agreements and contracts to be signed in 2013.

Areas	Amount (€)
I. Provision of scientific opinions and advice & Risk Assessment approaches	2,787,000
II. Evaluation of products, substances and claims subject to Authorisation	2,340,500
III. Data collection, scientific cooperation and networking	5,592,500
Total	10,720,000

9. Pre-condition

This work programme is subject to the approval of EFSA's budget for 2013.

Adopted in Parma, Italy
on 14 June 2012

For the EFSA Management Board:

(signed)

Sue Davies
Vice-Chair of the Management Board

2012 ANNUAL WORK PROGRAMME FOR GRANTS

1. Introduction

Article 5 (2) of Commission Regulation (EC) No. 2230/2004 foresees that financial support for tasks entrusted to organisations on the Article 36 list shall take the form of grants awarded in accordance with EFSA's financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which, as a rule, will be implemented through the publication of calls for proposals.

2. Basic act and financing source

- **Basic Act:** Regulation (EC) No 178/2002 of the European Parliament and of the Council Article 36 and Commission Regulation (EC) No. 2230/2004.
- **Budget lines:** 3001, 3011, 3021, 3031, 3041, 3051, 3061, 3071, 3081, 3091, 3101, 3111, 3121, 3131, 3141, 3144, 3151, 3211.

3. Tasks to be entrusted, the objectives to be achieved, and priority areas

- Support for the examination of authorisation dossiers, in the areas of plant protection products and feed additives;
- Preparatory work for risk assessment in the areas of animal health, animal welfare, contaminants, and plant health;
- Data collection and analysis supporting risk assessment and risk monitoring, in the areas of emerging risks in animal and plant health, food composition and food consumption, and electronic transmission of chemical occurrence and zoonoses data;
- Horizontal issues and scientific cooperation in the area of emerging risk identification.

4. Eligibility of the applicants

- The applicants must be on the list adopted by the Management Board of EFSA on the 20th of December 2006 as updated ever since (implying fulfillment of the criteria set in the Commission Regulation (EC) No. 2230/2004);
- The applicants shall not be, at the time of a grant award procedure, in one of the situations referred to in Articles 93 and 94 of the Financial Regulation (Council Regulation (EC) No. 1605/2002) as amended.

5. Selection and award criteria

The eligible proposals will be evaluated against the selection criteria indicated in each specific call. In general there are two sets of selection criteria to be assessed:

- Financial capacity
- Technical capacity

The proposals which meet the selection criteria will be evaluated against the award criteria indicated in each specific call. In general there are three sets of award criteria to be assessed:

- Quality of the proposal
- Quality of the management
- Cost effectiveness

6. Maximum rate of co-financing

Up to 90% of the eligible costs

7. Schedule of calls for proposals for 2012

Launch of calls for proposals: July 2011 – October 2012¹

8. Indicative amounts available for calls for proposals for 2012

Areas	Amount (€)
1. Support for the examination of authorisation dossiers	429 000
2. Preparatory work for risk assessment	936 000
3. Data collection and analysis supporting risk assessment and risk monitoring	1 436 000
4. Horizontal issues and scientific cooperation	225 000
Total	3 026 000

Adopted in Budapest, Hungary
on 16 June 2011

For the EFSA Management Board:

Signed by

Prof. Diána Bánáti
Chair of the Management Board

¹ In case a call for proposals is launched before the official approval of the budget, a respective clause will be added to indicate that the project is subject to the approval of EFSA 2012 budget by the budgetary authority and no grants will be awarded before such approval.

Adopted



European Food Safety Authority

Scientific Cooperation and Assistance Directorate

ADJUSTED 2011 ANNUAL WORK PROGRAMME FOR GRANTS

1. Introduction

Article 5 (2) of Commission Regulation (EC) No. 2230/2004 foresees that financial support for tasks entrusted to organisations on the Article 36 list shall take the form of grants awarded in accordance with EFSA's financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which, as a rule, will be implemented through the publication of calls for proposals.

2. Basic act and financing source

- **Basic Act:** Regulation (EC) No 178/2002 of the European Parliament and of the Council Article 36 and Commission Regulation (EC) No. 2230/2004.
- **Budget lines:** 3001, 3011, 3021, 3031, 3041, 3051, 3061, 3071, 3081, 3091, 3101, 3111, 3121, 3131, 3141, 3144, 3151, 3211.

3. Tasks to be entrusted, the objectives to be achieved, and priority areas

- Support for the examination of authorisation dossiers, in the areas of plant protection products, genetically modified organisms, and feed additives.
- Preparatory work for risk assessment in the areas of biological hazards, contaminants, and plant health.
- Data collection and analysis supporting risk assessment and risk monitoring, in the areas of emerging risks, food composition, food consumption, dietary exposure, probability risk assessment, and zoonoses.

4. Eligibility of the applicants

- The applicants must be on the list adopted by the Management Board of EFSA on the 20th of December 2006 as updated ever since (implying fulfillment of the criteria set in the Commission Regulation (EC) No. 2230/2004);
- The applicants shall not be, at the time of a grant award procedure, in one of the situations referred to in Articles 93 and 94 of the Financial Regulation (Council Regulation (EC) No. 1605/2002) as amended.

5. Selection and award criteria

The eligible proposals will be evaluated against the selection criteria indicated in each specific call. In general there are two sets of selection criteria to be assessed:

- Financial capacity
- Technical capacity

The proposals which meet the selection criteria will be evaluated against the award criteria indicated in each specific call. In general there are three sets of award criteria to be assessed:

- Quality of the proposal
- Quality of the management
- Cost effectiveness

6. Maximum rate of co-financing

Up to 90% of the eligible costs

7. Schedule of calls for proposals for 2011

1. Launch of calls for proposals: October 2010 – October 2011 ¹

8. Indicative amounts available for calls for proposals for 2011

Activity	Amount (€)
1. Support for the examination of authorisation dossiers	399 375
2. Preparatory work for risk assessment	708 750
3. Data collection and analysis supporting risk assessment and risk monitoring	1 428 750
Total	2 536 875

Adopted on 16 February 2011

Signed by

Prof. Diána Bánáti
Chair

¹ In case a call for proposals is launched before the official approval of the budget, a respective clause will be added to indicate that the project is subject to the approval of EFSA 2011 budget by the European Parliament and no grants will be awarded before such approval.

ANNUAL WORK PROGRAMME FOR GRANTS

1. INTRODUCTION

Article 5 (2) of Commission Regulation (EC) No. 2230/2004 foresees that financial support for tasks entrusted to organisations on the article 36 list shall take the form of grants awarded in accordance with EFSA's financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which, as a rule, will be implemented through the publication of calls for proposals.

2. BASIC ACT AND FINANCING SOURCE

- **Basic Act:** Regulation (EC) No 178/2002 of the European Parliament and of the Council article 36 and Commission Regulation (EC) No. 2230/2004.
- **Budget lines:** 3001, 3011, 3021, 3031, 3041, 3051, 3061, 3071, 3081, 3091, 3101, 3111, 3121, 3131, 3141, 3144, 3151, 3211.

3. TASKS TO BE ENTRUSTED, THE OBJECTIVES TO BE ACHIEVED, AND PRIORITY AREAS

- a. Support for the examination of authorisation dossiers, in the areas of plant protection products, and genetically modified organisms.
- b. Preparatory work for risk assessment in the areas of emerging risks, animal health and welfare, biological hazards, plant health, toxicology, and contaminants.
- c. Data collection and analysis supporting risk assessment and risk monitoring, in the areas of emerging risks, food composition, probability risk assessment, plant protection products, food classification and harmonisation of data.

4. ELIGIBILITY OF THE APPLICANTS

- The applicants must be on the list adopted by the Management Board of EFSA on the 20th of December 2006 as updated (implying fulfillment of the criteria set in the Commission Regulation (EC) No. 2230/2004);
- The applicants shall not be, at the time of a grant award procedure, in one of the situations referred to in Articles 93 and 94 of the Financial Regulation (Council Regulation (EC) No. 1605/2002) as amended.

5. SELECTION AND AWARD CRITERIA

The eligible proposals will be evaluated against the selection criteria indicated in each specific call. In general there are two sets of selection criteria to be assessed:

1. Financial capacity
2. Technical capacity

The proposals which meet the selection criteria will be evaluated against the award criteria indicated in each specific call. In general there are three sets of award criteria to be assessed:

1. Quality of the proposal
2. Quality of the management
3. Cost effectiveness

6. MAXIMUM RATE OF CO-FINANCING

Up to 80% of the eligible costs

7. SCHEDULE OF CALLS FOR PROPOSALS FOR 2010

Launch of calls for proposals: October 2009 – October 2010¹

8. INDICATIVE AMOUNTS AVAILABLE FOR CALLS FOR PROPOSALS FOR 2010

- a) Support for the examination of authorisation dossiers: Calls will be launched for approximately 300 000 €.
- b) Preparatory work for risk assessment: Calls will be launched for approximately 1 290 000 €.
- c) Data collection and analysis supporting risk assessment and risk monitoring: Calls will be launched for approximately 1 475 000 €.

SIGNATURE

(signed)

Prof. Diána Bánáti, Chair

DATE

Parma, 08. 10. 2009

¹ In case a project tendering is launched before the official approval of the budget, a respective clause will be added to indicate that the project is subject to the approval of EFSA 2010 budget by the European Parliament and no grants/contract will be awarded before such approval.

ANNUAL WORK PROGRAMME FOR GRANTS COVER NOTE

The annual work programme for grants has to be adopted by the Management Board and published on EFSA's website as per Article 110 of the Financial Regulation (Regulation (EC Euratom) No. 1605/2002 as amended by Regulation (EC Euratom) No. 1995/06) which states that: "*Grants shall be subject to an annual programme to be published at the start of the year*" (before the 31st March). A similar programme was adopted by the Management Board and published on the EFSA website in 2007 and 2008.

This programme is an important tool for implementing the strategy on cooperation adopted by the Management Board in December 2006. With a view to the increasing workload, the main objective of this programme is that competent organisations in Member States support EFSA's Scientific Committee and the Scientific Panels in their risk assessment tasks. In consultation with the Advisory Forum and the Scientific Committee, the following priority areas have been identified.

- Support for the examination of authorisation dossiers, in the areas of plant protection products, genetically modified organisms, food additives and nutrient sources added to food, food contact materials, enzymes, flavourings and processing aids, feed additives, human nutrition and health claims.
- Preparatory work for risk assessment in the areas of biological hazards, animal health and welfare, plant health, contaminants.
- Data collection and analysis supporting risk assessment and risk monitoring, in the areas of emerging risks, occurrence of contaminants and food consumption.
- Horizontal issues and scientific cooperation.

The total budget allocated to Article 36 (grants) projects has increased from €1.7 million (related to 8 calls) in 2007, to €2.0 (related to 18 calls) million in 2008, and a proposed €3.9 million in 2009. Scientific cooperation with Member State organisations also takes place via public procurement procedures and other specific agreements. Table 1 below shows the distribution of the total 2009 budget of €7.5 million to the different activities as well as the type of procedure proposed. It will be noted that the majority of the resources is to be spent on direct support for risk assessment activities.

Conclusion:

Acting on this proposal of the Executive Director, the Management Board is requested to adopt the grants programme (attached).

Table 1: Budget proposed to be allocated in 2009 to scientific cooperation with organisations in Member States for the main scientific activities of EFSA.

Activity	Procurement (€) (for information)	Grants (€)	Total (€)
1. Support for evaluation of authorisation dossiers	1 638 000	775 000	2 413 000
2. Risk assessment	120 000	1 595 000	1 715 000
3. Data collection	940 000	1 020 000	1 960 000
4. Horizontal issues and Scientific Cooperation	50 000	475 000	525 000
Total	2 748 000	3 865 000	6 613 000

In addition, it is intended to conclude Focal Point agreements for a total amount of 860 000 €, thus bringing the total budget to **7 473 000 €**.

ANNUAL WORK PROGRAMME FOR GRANTS

1. INTRODUCTION

Article 5 (2) of Commission Regulation (EC) No. 2230/2004 foresees that financial support for tasks entrusted to organisations on the article 36 list shall take the form of grants awarded in accordance with EFSA's financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which, as a rule, will be implemented through the publication of calls for proposals.

2. BASIC ACT AND FINANCING SOURCE

- **Basic Act:** Regulation (EC) No 178/2002 of the European Parliament and of the Council article 36 and Commission Regulation (EC) No. 2230/2004.
- **Budget lines:** 3001, 3011, 3021, 3031, 3041, 3051, 3061, 3071, 3081, 3091, 3101, 3111, 3121, 3131, 3141, 3144, 3151, 3211.

3. TASKS TO BE ENTRUSTED, THE OBJECTIVES TO BE ACHIEVED, AND PRIORITY AREAS

a) Support for the examination of authorisation dossiers, in the areas of plant protection products, genetically modified organisms, food additives and nutrient sources added to food, food contact materials, enzymes, flavourings and processing aids, feed additives, human nutrition and health claims.

b) Preparatory work for risk assessment in the areas of biological hazards, animal health and welfare, plant health, contaminants.

c) Data collection and analysis supporting risk assessment and risk monitoring, in the areas of emerging risks, occurrence of contaminants and food consumption.

d) Horizontal issues and scientific cooperation.

4. ELIGIBILITY OF THE APPLICANTS

- The applicants must be on the list adopted by the Management Board of EFSA on the 20th of December 2006 as updated/18 Dec 2008¹ (implying fulfillment of the criteria set in the Commission Regulation (EC) No. 2230/2004);
- The applicants shall not be, at the time of a grant award procedure, in one of the situations referred to in Articles 93 and 94 of the Financial Regulation (Council Regulation (EC) No. 1605/2002) as amended.

¹ Pending the decision of the Management Board

5. SELECTION AND AWARD CRITERIA

The eligible proposals will be evaluated against the selection criteria indicated in each specific call. In general there are two sets of selection criteria to be assessed:

1. Financial capacity
2. Technical capacity

The proposals which meet the selection criteria will be evaluated against the award criteria indicated in each specific call. In general there are three sets of award criteria to be assessed:

1. Quality of the proposal
2. Quality of the management
3. Cost effectiveness

6. MAXIMUM RATE OF CO-FINANCING

Up to 80% of the eligible costs

7. SCHEDULE OF CALLS FOR PROPOSALS FOR 2008

Launch of calls for proposals: January – October 2009

8. INDICATIVE AMOUNTS AVAILABLE FOR CALLS FOR PROPOSALS FOR 2008

- a) Support for the examination of authorisation dossiers: Calls will be launched for approximately 775,000 €.
- b) Preparatory work for risk assessment: Calls will be launched for approximately 1,595,000 €.
- c) Data collection and analysis supporting risk assessment and risk monitoring: Calls will be launched for approximately 1,020,000 €.
- d) Horizontal issues and scientific cooperation: Calls will be launched for approximately 475,000€.

SIGNATURE

DATE

Diána Bánáti, Chair

DECISION OF THE MANAGEMENT BOARD
EUROPEAN FOOD SAFETY AUTHORITY

Annual Work Programme for Grants 2008

Done at Parma, on 12 March 2008



Patrick G. Wall
Chair

ANNUAL WORK PROGRAMME FOR GRANTS

1. INTRODUCTION

Article 5 (2) of Commission Regulation 2230/2004 foresees that financial support for tasks entrusted to organizations on the article 36 list shall take the form of grants awarded in accordance with EFSA's financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which as a rule will be implemented through the publication of calls for proposals.

2. BASIC ACT AND FINANCING SOURCE

- **Basic Act:** Commission Regulation 178/2002 article 36 and Commission Regulation 2230/2004
- **Budget lines:** 3001, 3011, 3021, 3031, 3041, 3051, 3061, 3071, 3081, 3091, 3101, 3111, 3121, 3131, 3141, 3144, 3151, 3211.

3. TASKS TO BE ENTRUSTED, THE OBJECTIVES TO BE ACHIEVED, AND PRIORITY AREAS

- a) Data compilation and analysis, and other preparatory work contributing to the Authority's scientific opinions, in the areas of human nutrition and health claims, contaminants, animal health, food and feed additives.
- b) Preparatory work in the development of new and harmonized methodological approaches and guidance documents, in the areas of zoonoses, pesticides, plant pests, animal welfare, and food additives and flavourings.
- c) Data collection and analysis, including the development of databases, for facilitating risk assessment, in the areas of pesticides, mixtures, folic acid, and exposure assessment.
- d) Development of methodologies for the detection of emerging risks.

4. ELIGIBILITY OF THE APPLICANTS

- The applicants must be on the list adopted by the Management Board of EFSA on the 20th of December 2006 (implying fulfillment of the criteria set in the Commission Regulation 2230/2004);
- The applicants shall not be, at the time of a grant award procedure, in one of the situations referred to in Articles 93 and 94 of the Financial Regulation (Council Regulation 1605/2002) as amended.

5. SELECTION AND AWARD CRITERIA

The eligible proposals will be evaluated against the selection criteria indicated in each specific call. In general there are two sets of selection criteria to be assessed:

1. Financial capacity
2. Technical capacity

The proposals which meet the selection criteria will be evaluated against the award criteria indicated in each specific call. In general there are three sets of award criteria to be assessed:

1. Quality and relevance of the proposal
2. Quality of the management
3. Cost effectiveness

6. MAXIMUM RATE OF CO-FINANCING

Up to 80% of the eligible costs

7. SCHEDULE OF CALLS FOR PROPOSALS FOR 2008

Launch of calls for proposals: March – October 2008

8. INDICATIVE AMOUNTS AVAILABLE FOR CALLS FOR PROPOSALS FOR 2008

- a) Data compilation and analysis, and other preparatory work contributing to the Authority's scientific opinions: Calls will be launched for approximately 695,000 €.
- b) Preparatory work in the development of new and harmonized methodological approaches and guidance documents: Calls will be launched for approximately 955,000 €.
- c) Data collection and analysis, including the development of databases, for facilitating risk assessment: Calls will be launched for approximately 1,060,000 €.
- d) Development of methodologies for the detection of emerging risks: Calls will be launched for approximately 120,000 €



European Food Safety Authority

**DECISION OF THE MANAGEMENT BOARD
EUROPEAN FOOD SAFETY AUTHORITY**

Annual Work Programme for Grants 2007

Done at Parma, on 23 February 2007

Patrick G. Wall
Chair

European Food Safety Authority (EFSA)
Largo N. Palli 5/a,
I-43100 Parma



ANNUAL WORK PROGRAMME FOR GRANTS

1. INTRODUCTION

Article 5 (2) of Commission Regulation 2230/2004 foresees that financial support for tasks entrusted to organisations on the article 36 list shall take the form of grants awarded in accordance with EFSA’s financial regulation and implementing rules. Based on these rules the grants shall be subject to a public annual work programme which as a rule will be implemented through the publication of calls for proposals.

2. BASIC ACT AND FINANCING SOURCE

Basic Act: Regulation 178/2002 article 36 and Regulation 2230/2004

Budget lines: 3011;3021;3031;3041;3051;3061;3071;3081;3091;3101;3141;3151;3211

3. OBJECTIVES OF THE PROGRAMME, PRIORITY AREAS FOR 2007 IN WHICH CALLS WILL BE LAUNCHED

- a) **Development of proposals for guidance documents in areas such as animal welfare, post-marketing monitoring and pesticides**

- b) **Assistance in data collection and data development on exposure and animal diseases**

- c) **Assistance in the development of risk assessment methodologies and draft risk assessments**

4. ELIGIBILITY CONDITIONS

- Be on the list adopted by the Management Board of EFSA on the 20th of December

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2006 and

- Be legal entities pursuing public interest objectives and
- Be directly responsible for the preparation and management of the project, not acting as an intermediary and
- Have stable and sufficient sources of finance to ensure the continuity of their organisation throughout the project and to play a part in financing it and
- Be experienced and able to demonstrate their capacity to manage larger scale activity corresponding with the size of the project for which a grant is requested.

5. SELECTION AND AWARD CRITERIA

The evaluation of the respective calls for the projects of the work plan will be carried out in accordance with the procedures set out in EFSA Financial Regulation and in the Financial Regulation 1605/2002 applicable to the general budget of the European Communities and its implementing rules n. 2342/2002 of 23 December 2002.

6. MAXIMUM RATE OF CO-FINANCING

80%

7. SCHEDULE OF CALLS FOR PROPOSALS FOR 2007

Launch of calls for proposals: March – July 2007

8. INDICATIVE AMOUNTS AVAILABLE FOR CALLS FOR PROPOSALS FOR 2007

- Development of proposals for guidance documents in areas such as animal welfare, post-marketing monitoring and pesticides: Calls will be launched for approximately 430,000 €
- Assistance in data collection and data development on exposure and animal diseases: Calls will be launched for approximately 1,075,000 €

Assistance in the development of risk assessment methodologies and draft risk assessments: Calls will be launched for approximately 820,000 €