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## Guidance on the identity, characterisation and conditions of use of feed additives

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### Abstract

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## 72 **Background and Terms of reference**

73 Regulation (EC) No 1831/2003 establishes the rules governing the Community authorisation of  
74 additives for use in animal nutrition. Moreover, Regulation (EC) No 429/2008 provides detailed rules  
75 for the implementation of Regulation (EC) No 1831/2003 as regards the preparation and the  
76 presentation of applications and the assessment and the authorisation of feed additives.

77 The Panel on Additives and Products or Substances used in Animal Feed (FEEDAP Panel) has adopted  
78 a series of guidance documents which aim at complementing Regulation (EC) No 429/2008 to support  
79 applicants in the preparation and submission of technical dossiers for the authorisation of additives for  
80 use in animal nutrition according to Regulation (EC) No 1831/2003.

81 The European Food Safety Authority (EFSA) asked its FEEDAP Panel to:

- 82 1. identify from the current guidance documents, those that need to be updated, taking into  
83 consideration the most recent scientific developments and the experience gained in the  
84 assessment of feed additives;
- 85 2. update the guidance documents in need of revision accordingly; this activity can be conducted  
86 in different rounds of activities on the basis of the priorities identified and on the feasibility of  
87 the revision according the resources available;
- 88 3. taking into account the sensitivity and the relevance of some of the guidance documents  
89 under revision and the entity of the revision itself (e.g. substantial or not), consider initiatives  
90 like preparatory info-sessions or public consultations of the draft guidance documents. The  
91 relevant comments received in either step will have to be considered and addressed if  
92 appropriate in the final version of the guidance documents.

93 The first of the terms of reference was addressed by a statement of the FEEDAP Panel (EFSA FEEDAP  
94 Panel, 2016), in which it was identified the need to update most of the guidance documents that it  
95 produced and set priorities for this update.

96 This output addresses the second and third terms of reference with regards to the update of the  
97 guidance documents dealing with the assessment of the identity and characterisation of feed  
98 additives.

## 99 **Scope of the guidance**

100 This guidance document is intended to assist the applicant in the preparation and the presentation of  
101 its application, as foreseen in Article 7.6 of Regulation (EC) No 1831/2003. This document does not  
102 substitute for the obligation of an applicant to comply with the requirements of Regulation (EC) No  
103 1831/2003 and its implementing rules.

104 In particular, this guidance document is intended to provide the information necessary to properly  
105 identify and characterise a feed additive as required in Section 2 of Annex II and the relevant sections  
106 of Annex III of Regulation (EC) No 429/2008.

### 107 **1. Introduction**

108 For the purpose of this guidance, the following definitions apply:

- 109 - Active substance: any substance or mixture of substances intended to be used as a feed  
110 additive that provides the intended effect.
- 111 - Active agent: any microorganism intended to be used as a feed additive that provides the  
112 intended effect.
- 113 - Preparations: A combination of the active substance with technological additives and other  
114 substances or products which are incorporated to maintain the integrity of an active  
115 substance but are not intended to perform a function in the feed in which the preparation is  
116 to be incorporated.

- 117 - Feed additive: substances, microorganisms or preparations other than feed materials and  
118 premixtures which are intentionally added to feed or water in order to perform one or more  
119 functions mentioned in Article 5.3 of Regulation (EC) No 1831/2003.

120 The numbering in the sections below follows the same numbering as the Section 2 of Annex II of  
121 Regulation (EC) No 429/2008.

122 Reasons should be given for the omission from the dossier of any data prescribed there.

## 123 **2. Section II: Identity, characterisation and conditions of use of the** 124 **additive; methods of analysis.**

### 125 **2.1. Identity of the additive**

126 The additive has to be fully identified and characterised. The studies described in this section must be  
127 based on the final product(s) for which authorisation is sought. In-house identifiers should be avoided  
128 unless embedded in third-party documents. In this case a statement is required to confirm that the  
129 identifier(s) refers to the formulation(s) for which the claim is made.

#### 130 **2.1.1. Name of the additive**

131 The name of the additive should be given. A proposal for a trade name may be made to be used  
132 within the dossier to identify the additive.

#### 133 **2.1.2. Proposal for classification**

134 The applicant should specify the intended effect which is expected following the use of the additive in  
135 animal nutrition and make a proposal for the classification of the additive in one or more categories  
136 and functional groups according to its main functions under Article 6 and Annex I of Regulation (EC)  
137 No 1831/2003.

138 For 'substances for reduction of the contamination of feed by mycotoxins', the target mycotoxin(s)  
139 should be specified.

140 Any data from other known uses of the identical active substances or agents (e.g. use in food, human  
141 or veterinary medicine, agriculture and industry) must be provided. Any other authorisation as feed or  
142 food additive, veterinary drugs or other kind of authorisations of the active substance(s)/agent(s) has  
143 to be specified and properly referenced.

#### 144 **2.1.3. Qualitative and quantitative composition (active substance/agent, 145 other components, impurities, batch to batch variation)**

146 The composition of the additive should be fully described, giving the proportion of the different  
147 components by weight in the final product. In some cases, the active substance and the additive can  
148 be considered as synonymous.

149 The applicant should propose a specification of the product as it relates to the concentration of the  
150 active substance(s)/agent(s). Evidence should be provided by the analysis of at least five independent  
151 production batches that this specification is satisfied in practice. If an application for an additive  
152 covers different manufacturing methods or origins/sources, data from at least five batches should be  
153 provided for each.

154 Data to establish the identity of the active substance(s)/agent(s) of the additive should be provided  
155 using analytical methods with adequate characteristics of selectivity, sensitivity, accuracy and  
156 precision. Where available, the methods used for the analytical determination of the active  
157 substance(s)/agent(s) should be those with international recognition. Certificates of analysis indicating  
158 the analytical values should be attached. Statements of compliance alone are not considered  
159 sufficient.

- 160 - For microorganisms: number of viable cells expressed as colony forming units (CFU) per gram  
161 should be determined.

- 162 - For enzymes: each declared (main) activity should be described and the number of units of  
163 each activity given. Other activities present should be also mentioned. The units of activity  
164 should be defined preferably as  $\mu$ moles of reaction product released per minute from the  
165 substrate at a specified pH and temperature.
- 166 - For mineral substances: denomination and specification should follow internationally  
167 recognised systems, where applicable.
- 168 - For 'substances for reduction of the contamination of feed by mycotoxins' which act by  
169 binding, the mycotoxin binding capacity should be provided.

170 If the active component is a mixture of active substances or agents, each of which is clearly definable  
171 (qualitatively and quantitatively), the active substance(s)/agent(s) must be described and the  
172 proportions in the mixture given.

173 Other mixtures in which the constituents cannot be described by a single chemical formula and/or  
174 where not all can be identified shall be characterised by the constituent(s) contributing to its activity.  
175 Applicants should make reasonable efforts to fully describe the components of the mixture.

176 In case of the additives requested as consisting of preparations, quantitative data on the supporting  
177 compound(s) should also be provided and the justification for inclusion given.

#### 178 2.1.4. Impurities

179 The applicant should identify and quantify microbiological and chemical (including residual solvents)  
180 impurities, substances with toxic or other undesirable properties that are not intentionally added and  
181 do not contribute to the activity of the additive. The applicant should describe which impurities are  
182 monitored on a routine basis, the frequency of testing and the action limits set for each monitored  
183 impurity. Action limits for contaminants and impurities should respect existing legislation (e.g.,  
184 Directive 2002/32/EC, or specifications from European Union food additive authorisations) and  
185 recommendations from internationally recognised sources when these are available (e.g., Joint  
186 FAO/WHO Expert Committee on Food Additives (JECFA) specifications for enzymes; Commission  
187 recommendation on the presence of deoxynivalenol, zearalenone, ochratoxin A, T-2 and HT-2 and  
188 fumonisins in products intended for animal feeding, maximum levels for residual solvents used in  
189 veterinary drugs ([VICH guidance GL18](#))).

190 Analytical data on the impurities should be provided for at least three production batches. If an  
191 application for an additive covers different manufacturing methods or origins/sources, data from at  
192 least three batches should be provided for each. Certificates of analysis indicating the analytical values  
193 should be provided; statements of compliance alone are not considered sufficient. The limits of  
194 detection (LOD) and quantification (LOQ) of the analytical methods should be given.

195 Any substance produced via fermentation should be free of antimicrobial activities relevant to the use  
196 of antibiotics in humans or animals. In addition the absence of production organisms in the additive  
197 should be confirmed. For fermentation products in which the production strain has genes conferring  
198 antibiotic resistance and for products produced with GMMs, the absence of the DNA from the  
199 production strain in the final product should be demonstrated. For details on how to perform this  
200 assessment, please refer to the [Guidance on microbial characterisation](#).

201 As a guide the following should be considered as minimum requirements:

- 202 • for microorganisms: microbiological contamination (at least *Salmonella*, enterobacteriaceae,  
203 total yeasts and filamentous fungi, *Bacillus cereus* for bacilli) and, depending on the  
204 fermentation media and excipients, mycotoxins,<sup>1</sup> lead, mercury, cadmium and arsenic.
- 205 • for fermentation products (not containing microorganisms as active agents): in addition to the  
206 above, the extent to which spent growth medium is incorporated into the final product should  
207 also be indicated. For products consisting of or produced by Gram negative bacteria, levels of  
208 lipopolysaccharides (LPS) should be analysed in the final product. If the production strain is  
209 known to be able to produce toxic compounds, the analysis should cover such compounds  
210 (see [Guidance on microbial characterisation](#)).

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<sup>1</sup> The selection of mycotoxins for analysis should be made according to the different matrices, where appropriate.

- 211 • for plant derived substances: microbiological and botanical contamination, mycotoxins, dioxins  
212 and the sum of dioxin and dioxin-like PCBs, pesticides,<sup>2</sup> lead, mercury, cadmium and arsenic.
- 213 • for animal derived substances: microbiological contamination, lead, mercury, cadmium and  
214 arsenic.
- 215 • for mineral substances, including compounds of trace elements: lead, mercury, cadmium ,  
216 arsenic and fluorine, dioxins and the sum of dioxin and dioxin-like PCBs.
- 217 • for products produced by chemical synthesis and processes: all chemicals used in the  
218 synthetic processes and any intermediate products remaining in the final product shall be  
219 identified and their concentrations given.

## 220 2.1.5. Physical state of each form of the product

221 For liquid formulations, data on vapour pressure, specific weight and, where the additive is intended  
222 to be used in water, (pH dependent) solubility or dispersability should be provided.

223 For solid formulations density, bulk density, dusting potential should be provided for at least three  
224 batches of each formulation of the additive. For applications covering multiple sources of the additive,  
225 these data should cover a representative range of the materials under application.

226 Dusting potential should be measured following recognised methods, e.g., rotating drum (Stauber-  
227 Heubach, DIN 55992, EN 15051) or continuous drop methods (EN 15051) and expressed in mg/m<sup>3</sup> air.  
228 When an occupational exposure limit is set or where there is a known or suspected toxicity after  
229 inhalatory exposure, the concentration of the active substance in the dust and particle size of the  
230 dust should be measured, preferably by laser diffraction, means or medians should be  
231 expressed in relation to volume, to allow an exposure estimation to be made.

232 If the nature of the additive allows the possibility of the presence of nanoparticles initially a particle  
233 size analysis of the additive by laser diffraction should be made. Should this indicate that more than 1  
234 % of particles below 1 µm are present, this fraction should be further characterised by scanning  
235 electronic microscopy (wet method). Results should be expressed as a proportion of total number of  
236 particles. It should be clearly indicated if the product is a nanomaterial as defined by European  
237 legislation.<sup>3</sup>

## 238 2.2. Characterisation of the active substance(s)/agent(s)

### 239 2.2.1. Description

240 A qualitative description of the active substance or agent should be given. This should include purity  
241 and origin of the substance or agent, plus any other relevant characteristics.

242 Data to establish the identity of the active substance(s) should be provided using analytical methods  
243 with adequate characteristics of selectivity, sensitivity, accuracy and precision.

244 An overview of the natural occurrence of the active substance(s) in materials used as feed/food  
245 should be provided.

#### 246 2.2.1.1. Chemical substances

247 Chemically defined substances should be described by generic name, chemical name according to  
248 International Union of Pure and Applied Chemistry (IUPAC) nomenclature, other generic international  
249 names and abbreviations and the Chemical Abstract Service (CAS) number and the European  
250 INventory of Existing Commercial chemical Substances number (EINECS) and EC number, if

<sup>2</sup> Residues specified under the undesirable substances directive (Directive 2002/32/EC) and any other pesticide residues of potential concern to target animals and/or consumer safety.

<sup>3</sup> Regulation (EU) No 1169/2011 of the European Parliament and of the Council of 25 October 2011 on the provision of food information to consumers, amending Regulations (EC) No 1924/2006 and (EC) No 1925/2006 of the European Parliament and of the Council, and repealing Commission Directive 87/250/EEC, Council Directive 90/496/EEC, Commission Directive 1999/10/EC, Directive 2000/13/EC of the European Parliament and of the Council, Commission Directives 2002/67/EC and 2008/5/EC and Commission Regulation (EC) No 608/2004 OJ L 304, 22.11.2011, p. 18–63

251 available. The structural and molecular formula, the openSMILES notation and molecular weight must  
252 be included. Where relevant, the isomeric forms should be given. Information on structurally related  
253 substances should be included, when appropriate.

254 For chemically defined compounds used as flavourings, the FLAVIS number in connection with  
255 relevant chemical group should be included.

256 For additives of plant origin the characterisation should include the scientific name of the plant of  
257 origin and its botanical classification (family, genus, species, if appropriate subspecies). The parts of  
258 the plant used to obtain the active substance(s) (e.g., leaves, flowers, seeds, fruits, tubers) should be  
259 indicated. The identification criteria and other relevant aspects of the plants should be indicated. For  
260 complex mixtures of many compounds obtained by an extraction process, it is recommended to follow  
261 the relevant terminology such as essential oil, absolute, tincture, extract and related terms widely  
262 used for botanically defined flavouring products to describe the extraction process. Reasonable efforts  
263 should be made to identify and quantify all components of the mixture. A marker compound should be  
264 selected which will allow the additive to be identified in the different studies. Information on the  
265 variability in composition of comparable products should be provided. This could be done by reference  
266 to published literature.

267 For natural products of non-plant origin, an equivalent approach to the above may be used.

268 Mixtures in which the constituents cannot be described by a single chemical formula and/or not all of  
269 them can be identified shall be characterised by constituent(s) contributing to its activity. A marker  
270 compound should be selected which will allow the additive to be identified in the different studies.

271 For clays data on elemental and mineralogical composition as well as information on the structure  
272 should be provided by appropriate methods (e.g., atomic absorption spectrophotometry, X-Ray  
273 diffraction, differential thermal analysis).

274 For enzyme and enzyme preparations, the number and systematic name proposed by the  
275 International Union of Biochemistry (IUB) in the most recent edition of "[Enzyme Nomenclature](#)" shall  
276 be given for each declared activity. For activities not yet included, a systematic name consistent with  
277 the IUB rules of nomenclature shall be used. Trivial names are acceptable provided that they are  
278 unambiguous and used consistently throughout the dossier, and they can be clearly related to the  
279 systematic name and IUB number at their first mention.

280 When the active substance is supplied by a third party, the requirements/specifications (e.g. purity  
281 and impurities) set by the applicant should be provided.

282 For chemical substances produced by fermentation, the microbial origin should also be described (see  
283 2.2.1.2).

#### 284 **2.2.1.2. Microorganisms**

285 The name and taxonomic classification of each microorganism should be provided (genus, species,  
286 subspecies (if appropriate)), according to the latest published information in the International Codes  
287 of Nomenclature (ICN). Microbial strains shall be deposited in an internationally recognised culture  
288 collection having acquired the status of International Depositary Authority under the Budapest Treaty  
289 (preferably in the European Union) and maintained by the culture collection for the authorised life of  
290 the additive. A certificate of deposition from the collection, which shall specify the accession number  
291 under which the strain is held, must be provided.

292 For all microorganisms, whether used as product or as production strain, the origin shall be provided  
293 and any history of strain development should be indicated. It should be clearly stated whether the  
294 microorganism is genetically modified or not within the meaning of the legislation (Directive  
295 2001/18/EC). For GMMs, the genetic modification should be described.

296 For additives not consisting of and neither containing GMMs but for which GM microorganisms have  
297 been used as a production strain, the applicant must provide a qualitative method of detection and  
298 evidence demonstrating that there is no presence of recombinant DNA in the additive.

299 For details on how to address the above, refer to the [Guidance on microbial characterisation](#).

#### 300 **2.2.2. Relevant properties**

### 301 **2.2.2.1. Chemical substances**

302 Description of physical and chemical properties shall be given. Dissociation constant, pKa, melting  
303 point, boiling point, density, vapour pressure, specific optical rotation, (pH dependent) solubility in  
304 water and in organic solvents,  $K_{ow}$  and  $K_d/K_{oc}$  and any other relevant physical properties should be  
305 provided, as appropriate.

### 306 **2.2.2.2. Microorganisms**

#### 307 *Toxins and virulence factors*

308 Toxins or virulence factors should be demonstrated to be absent or of no concern in microorganisms  
309 used as additives or as production strain.

#### 310 *Antibiotic production and antibiotic resistance*

311 Microorganisms used as additives or as a production strains, should be free of antibiotic activity or  
312 should not be capable of producing antibiotic substances that are relevant as antibiotics in humans  
313 and animals.

314 Microorganisms used as feed additives or as production strain should not add to the pool of  
315 antimicrobial resistance genes already present in the gut bacterial population or otherwise increase  
316 the risk of transfer of antimicrobial resistance. Consequently, all strains of bacteria should be tested  
317 for susceptibility to antibiotics in use in human and veterinary medicine.

318 For details on how to address the above, refer to the [Guidance on microbial characterisation](#).

## 319 **2.3. Manufacturing process, including any specific processing** 320 **procedures**

321 To define the critical points of the process that may have an influence on the purity and impurities of  
322 the active substance/agent(s) or the additive, a detailed description of the manufacturing process  
323 should be given.

### 324 **2.3.1. Active substance(s)/agent(s)**

325 A detailed description of the production process (e.g. chemical synthesis, fermentation, cultivation,  
326 extraction from organic material or distillation and downstream purification steps) used in the  
327 production of the active substance(s)/agent(s) of the additive should be submitted, if appropriate  
328 supported by a flowchart. The use of any antimicrobial substances during the production process  
329 should be declared. The composition of the fermentation/cultivation media shall be provided.

330 For GMMs used as source of additives and grown under contained conditions, Directive 2009/41/EC  
331 applies.

### 332 **2.3.2. Additive**

333 A detailed description of the manufacturing process of the additive should be submitted. The key  
334 stages in the preparation of the additive including the point(s) of introduction of the active  
335 substance(s)/agent(s) and other components, and any subsequent process steps affecting the  
336 additive should be provided, if appropriate supported by a flowchart.

## 337 **2.4. Physical-chemical and technological properties of the additive**

### 338 **2.4.1. Stability**

339 Stability is generally measured by the analytical follow-up of the active substance(s) (e.g.,  
340 mg/kg)/agent(s) (e.g., CFU/kg) or its activity (e.g., units of catalytic activity/kg) or effects (e.g., pellet  
341 durability) during time. When the additive contains more than one active substance/agent, stability  
342 should be assessed for each of the active substance(s)/agent(s). If specific effects are claimed for a  
343 particular form of the additive (e.g., chelation) the stability of that specific form of the additive should

344 be followed. For some chemical mixtures/extracts, stability may be assessed by monitoring the  
345 concentration of one or more appropriate marker substances. Data should include at least one  
346 observation at the beginning and one at the end of the storage period.

347 Stability studies are normally not required for mineral-based additives.

#### 348 **2.4.1.1. Shelf-life of the additive**

349 Data should be produced which allows a realistic estimate of the shelf-life of each formulation of the  
350 additive to be made. This should be based on studies performed under the recommended storage  
351 conditions, which should be specified. Data should be provided from at least three batches of the  
352 additive.

#### 353 **2.4.1.2. Stability of the additive used in premixtures and feedingstuffs**

354 Stability studies in feedingstuffs are not required for silage additives and flavouring compounds.

355 The stability of the additive at the recommended inclusion level should normally be studied in  
356 feedingstuffs manufactured and stored under practical conditions and, if relevant, in premixtures. The  
357 quantitative and qualitative composition of the premixtures or the feedingstuffs used for the studies  
358 should be given. When different formulations exist likely to impact on the stability of the additive, then  
359 each formulation should be separately assessed.

360 For those additives intended to have an effect in feed, data provided should cover a representative  
361 range of feedingstuffs (generally at least three) relevant to the use of the additive. The assessment of  
362 stability in feed may be done by the maintenance of the effects. Duration of stability studies in  
363 feedingstuffs should reflect the technological role of the additive. For those additives in which the  
364 effect is dependent on a modification of the chemical structure of the active substance (e.g.,  
365 antioxidants) degradation products should also be identified and further assessed, if necessary.

366 For other additives, stability studies in feedingstuffs and premixtures should be of at least three and  
367 six months' duration, respectively. When the additive is intended to be incorporated via a premixture,  
368 stability should be tested in one typical premixture containing trace elements. Stability studies in  
369 feedingstuffs should reflect the diversity of rations for different animal species (generally at least  
370 three). When relevant, stability in feedingstuffs should be determined in both mash and further  
371 processed feed (e.g., pelleted or extruded) and should allow an assessment of the influence of the  
372 processing.

#### 373 **2.4.1.3. Stability of the additive in water**

374 The stability of the additive intended to be distributed via water for drinking should be studied at the  
375 recommended inclusion level and under conditions simulating practical use (e.g., water temperature,  
376 time) for a minimum duration of 48 h. These data should also take into consideration the presence of  
377 excipients that could trigger growth of contaminating microorganisms.

378 For those silage additives intended for application through an aqueous suspension/solution, short term  
379 stability (48 h) should be demonstrated.

### 380 **2.4.2. Homogeneity**

381 Homogeneity studies are not required for silage additives, flavouring compounds, colourings which  
382 add or restore colour to feed or those that colour ornamental birds or fish and for those additives  
383 intended to have an effect in feed for which efficacy has been demonstrated.

384 For the other additives, the capacity for homogeneous distribution of the feed additive in premixtures,  
385 feedingstuffs or water should be demonstrated, as appropriate. As a guide, the content of the additive  
386 should be analysed in a minimum of ten sub-samples from a single batch (of the premixture or  
387 feedingstuff) and the coefficient of variation calculated. If homogeneity is demonstrated in the final  
388 feedingstuff, there is no need to demonstrate homogeneity in premixtures. For those additives  
389 intended to be distributed via the water for drinking, homogeneity studies are not required provided  
390 that the additive is soluble/miscible at its proposed concentration of use.

### 391 **2.4.3. Other characteristics**

392 Any other relevant characteristics should be described.

#### 393 **2.4.4. Physico-chemical interactions in feed**

394 Physico-chemical incompatibilities or interactions that could be expected in feed with feed materials,  
395 carriers, other approved additives, or medicinal products must be documented.

396 For clays and other substances that act by binding and for all 'substances for reduction of the  
397 contamination of feed by mycotoxins', evidence must be provided that the use of the additive under  
398 the proposed conditions of use does not interfere with the analytical determination of mycotoxins in  
399 feed.

400 The applicant should ensure that there is physico-chemical and biological compatibility between the  
401 components of the preparation which is placed on the market and used as defined in Regulation (EU)  
402 No 2015/327.

### 403 **2.5. Conditions of use of the additive**

#### 404 **2.5.1. Proposed mode of use in animal nutrition**

405 The animal species or categories, age group or production stage of animals for which the additive is  
406 intended to be used should be indicated.

407 The use and level of inclusion (as recommended, minimum or maximum concentration) in feed  
408 materials, complete feedingstuffs (containing 12% moisture) or water for drinking should be defined,  
409 as appropriate. If a particular use in complementary feedingstuffs or feed materials for some animal  
410 species or categories is intended, the (daily) dose should be proposed and justified. For some  
411 additives it may be more appropriate to propose a use level per head (or unit body weight) and day.  
412 In such cases, the corresponding value expressed per kg complete feed should be estimated.

413 For additives intended to be used in water for drinking, the concentrations in water can be derived  
414 from the proposed use level in feed, considering that for poultry, pigs and rabbits, the water intake  
415 would be 2-3 times higher than feed intake (in dry matter). For ruminants and horses, the conversion  
416 of feed concentration to water concentration should be done on the basis of the daily ration.  
417 Concentrations of an additive cannot be consistently extrapolated from feed to water in ruminants  
418 using a fixed ratio of feed to water intake. However, these concentrations can be converted to  
419 amounts of a daily dose which can then be equally administered in a part of feed or water for  
420 drinking.

421 The duration of administration and any withdrawal period should be indicated.

422 Possible contra-indications or restrictions in the handling or use of the additive should be mentioned.

#### 423 **2.5.2. Information related to user safety**

##### 424 **2.5.2.1. Chemical substances**

425 A material safety data sheet formatted in accordance with the requirements of Regulation (EC) No  
426 1907/2006<sup>4</sup> must be provided. If necessary, measures for the prevention of occupational risks and  
427 means of protection during manufacture, handling, use and disposal should be proposed. All other  
428 related provisions or assessments should be provided.

##### 429 **2.5.2.2. Microorganisms**

430 A classification according to Directive 2000/54/EC should be submitted. For microorganisms not  
431 classified in group 1 in this Directive,<sup>5</sup> information should be provided to customers to allow them to

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<sup>4</sup> Regulation (EC) No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC. OJ L 396, 30.12.2006, p. 1.

<sup>5</sup> In practice, in the absence of any entries under group 1, this information would be required for all microorganisms.

432 take the relevant protection measures for their workers, as defined in Article 3 (2) of the said  
433 Directive.

#### 434 **2.5.2.3. Labelling requirements**

435 Without prejudice to the labelling and packaging provisions laid down in Article 16 of Regulation (EC)  
436 No 1831/2003, any specific labelling requirements and, where appropriate, specific conditions for use  
437 and handling (including known incompatibilities and contraindications) and instructions for proper use  
438 should be indicated.

### 439 **2.6. Methods of analysis and reference samples**

440 Methods of analysis to determine the active substance/agent in the additive itself and in premixtures  
441 and feedingstuffs as appropriate should be submitted. These should be suitable for the official control  
442 of the feed additive. If there are residues of concern, a method of analysis of the active substance  
443 and/or its metabolites (including the marker residue) in the relevant tissues/products should be  
444 provided.

445 These methods will be evaluated by the European Union Reference Laboratory (EURL). Details of the  
446 requirements are specified in Regulation (EC) No 429/2008. Applicants should refer to the [guidance](#)  
447 [provided by the EURL](#).

448 Methods to determine the identity and the characteristics of the additive (composition of the additive,  
449 impurities, physical and chemical properties) should be internationally recognised or otherwise fully  
450 described.

451

452 **References**

453 EFSA Panel on Additives and Products or Substances used in Animal Feed (FEEDAP), 2016. Statement  
454 on the establishment of guidelines for the assessment of additives from the functional group  
455 'substances for reduction of the contamination of feed by mycotoxins'. EFSA  
456 Journal 2010;8(7):1693. [8 pp.]. doi:10.2903/j.efsa.2010.1693

457

458 **Glossary**

459 Active agent: Any microorganism intended to be used as a feed additive that provides the  
460 intended effect.

461 Active substance: Any substance or mixture of substances intended to be used as a feed additive  
462 that provides the intended effect.

463 Feed additive: Substances, microorganisms or preparations other than feed materials and  
464 premixtures which are intentionally added to feed or water in order to perform  
465 one or more functions mentioned in Article 5.3 of Regulation (EC) No  
466 1831/2003.

467 Formulation: The formulation is the final presentation of the feed additive intended to be  
468 placed in the market. A formulation is the mixture of the active  
469 substance(s)/agent(s) (or preparations) with other ingredients in order to  
470 standardise the additive, improve its properties or modify its safety or efficacy.

471 Preparations: A combination of the active substance with technological additives and other  
472 substances or products which are incorporated to maintain the integrity of an  
473 active substance but are not intended to perform a function in the feed in which  
474 the preparation is to be incorporated.

475 Specification: Set of requirements to be satisfied by all batches of a feed additive. This usually  
476 includes a minimum content of the active substance(s)/agent(s). It could also  
477 include maximum levels for certain impurities set on safety grounds.