

DRAFT SCIENTIFIC OPINION

Scientific Opinion on the evaluation of allergenic foods and food ingredients for labelling purposes¹

EFSA Panel on Dietetic Products, Nutrition and Allergies (NDA)^{2,3}

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ABSTRACT

Following a request from the Food Safety Authority of Ireland, the EFSA Panel on Dietetic Products, Nutrition and Allergies (NDA) was asked to deliver a scientific opinion on the evaluation of allergenic foods and food ingredients for labelling purposes. In view of the request, the NDA Panel decided to update its previous opinions relative to food ingredients or substances with known allergenic potential listed in Annex IIIa of 2003/89/EC, as amended. These include cereals containing gluten, milk and dairy products, eggs, nuts, peanuts, soy, fish, crustaceans, molluscs, celery, lupin, sesame, mustard and sulphites. The Opinion relates to IgE- and non IgE-mediated food allergy, to coeliac disease, and to adverse reactions to sulphites in food, and does not address non-immune mediated adverse reactions to food. It includes information on the prevalence of food allergy in unselected populations, on proteins identified as food allergens, on cross-reactivities, on the effects of food processing on allergenicity of foods and ingredients, on methods for the detection of allergens and allergenic foods, on doses observed to trigger adverse reactions in sensitive individuals, and on the approaches which have been used to derive individual and population thresholds for selected allergenic foods. © European Food Safety Authority, 2014

KEY WORDS

21 food allergy, prevalence, allergens, methods of detection, eliciting dose, thresholds, food labelling

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22 SUMMARY

23 Following a request from the Food Safety Authority of Ireland, the EFSA Panel on Dietetic Products,
24 Nutrition and Allergies (NDA) was asked to deliver a scientific opinion on the evaluation of allergenic
25 foods and food ingredients for labelling purposes.

26 In view of the request, the NDA Panel decided to update its previous opinions relative to food
27 ingredients or substances with known allergenic potential listed in Annex IIIa of Dir 2003/89/EC, as
28 amended, which include cereals containing gluten, milk and dairy products, eggs, nuts, peanuts, soy,
29 fish, crustaceans, molluscs, celery, lupin, sesame, mustard and sulphites. In this context, EFSA
30 launched a procurement project (CT/EFSA/NDA/2012/02) to review published data on the prevalence
31 of food allergy in Europe and to gather prevalence data on food allergy in the general (unselected)
32 population. Prevalence data on allergies to foods not included in Annex IIIa will be reported whenever
33 available.

34 The present Opinion relates to IgE- and non IgE-mediated food allergy, to coeliac disease, and to
35 adverse reactions to sulphites in food, and does not address non-immune mediated adverse reactions to
36 food. For each food ingredient or substance listed in Annex IIIa, it includes information on the
37 prevalence of food allergy in unselected populations, on proteins identified as food allergens, on cross-
38 reactivities, on the effects of food processing on allergenicity of foods and ingredients, on methods for
39 the detection of allergens and allergenic foods, and on doses observed to trigger adverse reactions in
40 sensitive individuals.

41 Immune-mediated adverse reactions to foods manifest with clinical signs and symptoms of variable
42 severity and duration, which may affect different organs and systems. Anaphylactic reactions to food
43 are IgE-mediated and may occur at any age. Non IgE-mediated food allergy includes a wide range of
44 diseases, including atopic dermatitis, protein-induced enterocolitis and eosinophilic esophagitis.

45 A careful family and clinical history are the basis for diagnosis of food allergy. Food diaries, skin
46 prick tests (SPT), allergen specific IgE measurements, food elimination diets and food challenges are
47 part of the standard protocol for the diagnosis of food allergy. A positive SPT indicates sensitisation to
48 the tested food, but it is not diagnostic of food allergy. Allergen-specific serum IgE antibodies
49 similarly denote sensitisation to a particular food, but are not diagnostic without a clinical history or
50 food challenge. The use of atopy patch tests for the diagnosis of food allergy is controversial. Other
51 available tests have no current role in the diagnosis of food allergy. Diagnosis is confirmed by
52 exclusion of the suspected food and the subsequent amelioration of symptoms, and by the recurrence
53 of symptoms on re-introduction of the offending food, ideally in double-blind placebo-controlled food
54 challenges, provided that the initial symptoms were not life threatening. Dietary avoidance of specific
55 allergenic foods in combination with nutritional advice is the mainstay of management in IgE- and non
56 IgE-mediated food allergy. Food allergic individuals may occasionally outgrow their allergy later in
57 life.

58 There is a need for standardisation of allergenic foods and preparations for diagnostic use including
59 oral challenge studies, and of derived allergens for SPT, as well as for standard testing protocols in
60 order to facilitate epidemiological and other multicentre studies on allergic reactions to foods.

61 The prevalence of food allergy in Europe is uncertain. Using food challenges as a criterion for
62 diagnosis, the prevalence of food allergy in Europe has been estimated to be between 3 and 4 %, both
63 in children and adults. There are insufficient objective data to conclude on time trends with respect to
64 the prevalence of food allergy in Europe. About 75 % of allergic reactions among children are due to
65 egg, peanut, cows' milk, fish and various nuts. About 50 % of allergic reactions among adults are due
66 to fruits of the latex group and of the *Rosaceae* family, vegetables of the Apiaceae family, and various
67 nuts and peanuts. Anaphylactic reactions have been reported to foods not included in Annex IIIa.

68 Geographical variation in the prevalence of food allergy is due to differences in genetic regional and
69 local factors, like pollen exposure or differences in food habits. Extrapolations of prevalence data on
70 specific food allergies from a single European country to the entire European population are of limited
71 accuracy due to differences in exposure to the offending foods and eating habits.

72 Owing to the development of proteomics, spectroscopic methods and gene cloning, allergenic proteins
73 can be well characterised. They have been classified into families based on their sequence and three-
74 dimensional (3D) structure. However, although common structural features of proteins and their
75 biological activity have been tentatively related to their antigenicity, it is not possible to predict the
76 allergenicity of a protein based on these two parameters only. Immunological and clinical data are also
77 required to classify a protein as a food allergen.

78 Cross-reactivity occurs when IgE antibodies originally triggered against one antigen also bind a
79 different antigen. Not all cross-reactivities identified *in vitro* are of clinical significance, and although
80 most clinical cross-reactions are mediated by IgE antibodies, T cells may also be involved. However,
81 *in vitro* cross-reactivity testing can help understanding allergenicity to multiple foods, as well as
82 improving diagnosis and management of food allergy.

83 The allergenic activity of a food may decrease, remain unchanged, or even increase by food
84 processing. Considering the multiplicity of the allergenic proteins contained in a whole food and that
85 different proteins may be differently affected by the same treatment, the impact of food processing on
86 the structural and allergenic properties of allergenic foods/ingredients is difficult to predict. In
87 addition, the extent to which allergenic proteins are modified during food processing depends on the
88 type of process and its conditions, the structure of the proteins, and the composition of the matrix.
89 Although the effects of different (technological and cooking) treatments on the IgE-binding capacity
90 of several allergens have been investigated, less information is available on the effects of processing
91 on clinical reactivity.

92 The majority of kits commercially available for routine food allergen analysis rely on immunological
93 methods. ELISA methods are the most widely used because they are sensitive and specific for the
94 detection of allergenic proteins, and easy to use. However, commercial kits for quantitative analyses
95 use different extraction buffers and calibration procedures, differ in the quality of the antibodies used,
96 and the results vary among commercial brands and batches. Major limitations include matrix effects,
97 insufficient extraction of the protein, insufficient specificity due to cross-reactions, and insufficient
98 reproducibility of results. The use of incurred samples may help to improve the reliability of the
99 method when analysing processed foods.

100 Mass spectrometry, in combination with techniques such as 2D-SDS-PAGE or chromatography for the
101 preliminary separation of proteins and with allergen databases for their subsequent identification, is a
102 reliable tool for the detection of known allergens and for the identification of new immunoreactive
103 proteins. MS methods for quantitative analysis based on specific standard peptides or stable isotope
104 labelling are not yet suitable for analyses of large numbers of samples, but can confirm results
105 obtained otherwise.

106 DNA methods allow detection of the allergenic food rather than of the allergenic protein and are
107 complementary to immunological assays. DNA is generally more stable than proteins and thus suitable
108 for analysis of processed foods. The extraction and amplification procedures are well established. Both
109 end-point and real-time PCR allow simultaneous multiple analyses. Whenever ELISA kits are not
110 available or not specific for the analysis of a specific allergenic food/ingredient (e.g. celery), DNA
111 analysis becomes the method of choice. Real-time PCR may provide quantitative results and allows
112 multiplexed analysis. Commercial kits are available.

113 The main problem for the quantification of allergens by immunological or DNA based methods is the
114 unavailability of certified reference materials (CRM). Reference materials developed by different
115 producers are commercially available for the most important food allergens, but the results obtained

116 may not be comparable. To the Panel's knowledge, a CRM for the detection of food allergens by
117 immunological methods has only been developed for peanuts. For milk and egg, two reference
118 materials are commonly used.

119 Current clinical, epidemiological and experimental data do not allow determining safe allergen
120 threshold levels that would not trigger adverse reactions in a sensitised consumer. Different threshold
121 probability distributions and eliciting doses (usually ED₀₁, ED₀₅ or ED₁₀, at which 1 %, 5 % or 10 % of
122 the allergic population is likely to react when exposed to that level of allergenic ingredient) have been
123 estimated for few allergenic foods/ingredients, which vary among publications depending on the
124 decisions made by expert committees regarding the amount and characteristics of the challenge studies
125 used, the distribution models applied, and the approach followed to derive population thresholds.
126 Considering that most clinicians exclude from the challenge studies those patients having the most
127 severe reactions and that the reliability of these thresholds has not been tested prospectively in real life
128 conditions yet, the Panel considers that thresholds derived for populations and risk management
129 purposes cannot be used by individuals to manage their allergy, unless they are aware of their own
130 (individual) threshold levels under various conditions.

131 Coeliac disease is a life-long autoimmune systemic disorder triggered by gluten and similar cereal
132 storage proteins present in wheat, rye and barley. Its prevalence is estimated to be 0.5-1 %. A gluten-
133 free diet is the conventional treatment. The limit values of 20 and 100 mg/kg of gluten in "gluten-free"
134 and "very low gluten" foods, respectively, help managing the diet of most coeliac patients efficiently.

135 Labelling of foods containing sulphiting agents in concentrations > 10 mg/kg or 10 mg/L is mandatory
136 in the EU, which was based on the limit of detection (LOD) of the analytical methods available at the
137 time. Many very sensitive and reliable methods are now available for analysis of sulphites in foods,
138 with LODs well below 10 mg/kg. However, minimal doses eliciting adverse reactions to sulphites
139 have not been systematically assessed and the lowest concentration of sulphites able to trigger a
140 reaction in a sensitive person is unknown.

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566 BACKGROUND AS PROVIDED BY THE FOOD SAFETY AUTHORITY OF IRELAND

567 A certain proportion of the population (1-3 % of adults and 4-6 % of children)⁴ suffer adverse health
568 consequences as a result of the consumption of particular foods or food ingredients. Such
569 hypersensitive responses can manifest themselves in various ways, and can be broadly categorised as
570 immune-mediated food allergies or non-immune-mediated food intolerances. The classical food
571 allergy results in a hyper-immune response that is mediated by IgE antibodies, the best known, and
572 potentially most serious of which is peanut allergy. Food intolerances are often more difficult to
573 characterise as they can be caused by non-proteinaceous food components (lactose for example),
574 unlike true allergies which are generally the result of a reaction to one or more individual protein
575 components.

576 EU food law⁵ stipulates that the inclusion of certain allergenic food ingredients in a foodstuff must be
577 indicated on the packaging so that vulnerable consumers are protected from inadvertent consumption.
578 Regulatory authorities across the EU expend considerable resources in sampling and testing foodstuffs
579 to ensure the integrity of food allergen labelling within their jurisdiction. However, effective risk
580 management is hampered by a lack of information on the clinical thresholds applicable to the various
581 allergens as well as variation in risk assessment and management strategies adopted across the EU.

582 The 2004 opinion of the EFSA Scientific Panel on Dietetic Products, Nutrition and Allergies relating
583 to the evaluation of allergenic foods for labelling purposes⁶ is a substantial scientific report that
584 provides details about the main foods and food ingredients that cause allergic or intolerance reactions
585 among EU consumers. The report was the first compiled by EFSA dealing specifically with food
586 allergy and intolerance, and the first at EU level since the Scientific Committee on Food report of
587 1995.

588 Though the information within those reports remains valid, a number of developments have occurred,
589 and further information has become available that could be of benefit to risk assessors and risk
590 managers dealing with food allergies and intolerances in the EU. EU-funded research on a
591 multidisciplinary project called EuroPrevall was completed in 2009, and examined “The prevalence
592 cost and basis of food allergy in Europe”. Many EU Member States have developed methods and
593 procedures for the assessment and management of food allergies and intolerances within their own
594 jurisdiction. DNA-based testing methods have been used successfully in the detection of misleading
595 food labelling and food fraud. While the use of DNA-based analytical methods in food allergy testing
596 could bring increased sensitivity and reliability compared to immunological methods such as ELISA,
597 the risk of disproportionate regulatory activity could result in a greater use of precautionary “May
598 Contain....” labels, which would not benefit allergy sufferers.

599 In conclusion, the EFSA report of 2004 remains a valuable scientific document, but could be enhanced
600 by a review of the scientific and other information that has been generated in the seven years since it
601 was adopted. A considered assessment by EFSA of new scientific information could assist in
602 developing a harmonised approach to protecting vulnerable consumers in the EU.

603 TERMS OF REFERENCE AS PROVIDED BY THE FOOD SAFETY AUTHORITY OF IRELAND

604 With the benefit of experience gained since 2004 and based on the allergens listed in the annex of
605 Commission Directive 2007/68/EC except for lactose, as the specific issue of lactose thresholds in

⁴ Opinion of the Scientific Panel on Dietetic Products, Nutrition and Allergies on a request from the Commission relating to the evaluation of allergenic foods for labelling purposes (Request N°EFSA-Q-2003-016) (adopted on 19 February 2004).

⁵ Directive 2003/89/EC of the European Parliament and of the Council of 10 November 2003 amending Directive 2000/13/EC as regards indication of the ingredients present in foodstuffs. OJ L 308, 25.11.2003, p. 15-18.

⁶ Directive 2003/89/EC of the European Parliament and of the Council of 10 November 2003 amending Directive 2000/13/EC as regards indication of the ingredients present in foodstuffs. OJ L 308, 25.11.2003, p. 15-18.

⁶ Opinion of the Scientific Panel on Dietetic Products, Nutrition and Allergies on a request from the Commission relating to the evaluation of allergenic foods for labelling purposes (Request N°EFSA-Q-2003-016) (adopted on 19 February 2004).

606 lactose intolerance and galactosaemia has been already assessed in a recent opinion of EFSA⁷, the
607 Food Safety Authority of Ireland requests that EFSA provides a scientific opinion on:

- 608 • The prevalence of each allergy in the European Union.
- 609 • Recommendations for threshold concentrations of each allergen in food that would provide an
610 acceptable level of protection for at-risk consumers;
- 611 • The suitability, or otherwise, of qualitative and quantitative DNA-based tests (PCR) for the
612 detection and quantification of food allergens in comparison with immunological (e.g. ELISA)
613 or other methods.

614

⁷ EFSA Panel on Dietetic Products, Nutrition and Allergies (NDA); Scientific Opinion on lactose thresholds in lactose intolerance and galactosaemia. EFSA Journal 2010;8(9):1777. [29 pp.]. doi:10.2903/j.efsa.2010.1777. Available online: www.efsa.europa.eu/efsajournal.htm

615 ASSESSMENT

616 1. Introduction

617 In view of the request from the Food Safety Authority of Ireland, the NDA Panel decided to update its
 618 previous opinions (EFSA, 2004, 2005a, 2005b) relative to food ingredients or substances with known
 619 allergenic potential listed in Annex IIIa of 2003/89/EC, as amended. In this context, EFSA launched a
 620 procurement project (CT/EFSA/NDA/2012/02) on literature searches and reviews related to the
 621 prevalence of food allergy in Europe to gather prevalence data on food allergy in the general
 622 (unselected) population (University of Portsmouth, 2013). EFSA is aware of the EU-funded
 623 multidisciplinary Integrated Project EuroPrevall, launched in June 2005, which was designed to
 624 estimate the prevalence of food allergy and exposure to known or suspected risk factors for food
 625 allergy across Europe in adults and children. However, prevalence data from that project have not
 626 been published yet and are not available to EFSA.

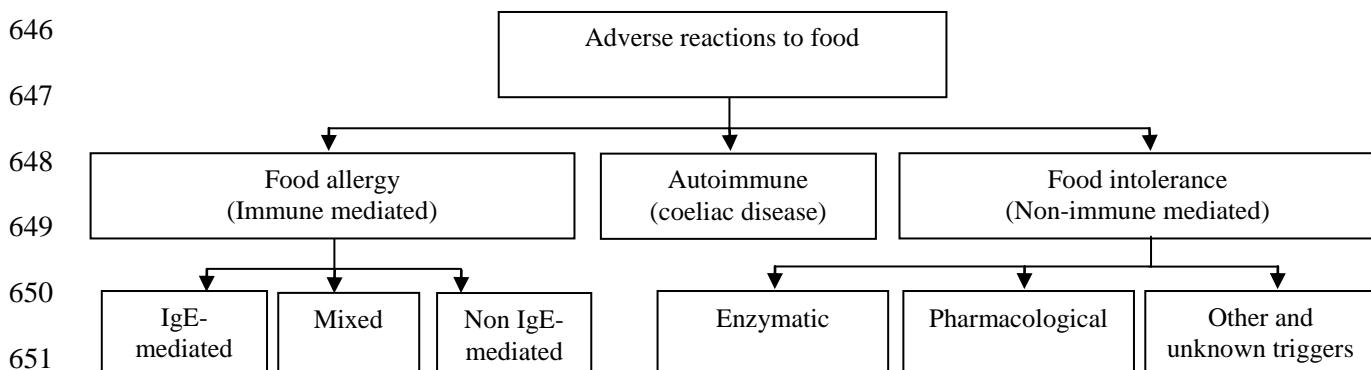
627 2. Classification of adverse reactions to foods and definition of terms

628 In this Opinion, the terms **allergenic food** and **allergenic ingredient** will be used for substances listed
 629 under Annex IIIa depending on whether they are considered as such or as part of complex foodstuffs,
 630 being aware that lactose and sulphites are not food allergens and that gluten may induce both food
 631 allergy and coeliac disease. The term **allergen** will be restricted to proteins or peptides responsible for
 632 the allergenicity of allergenic foods/ingredients, whereas **total protein** refers to the amount of protein
 633 within an allergenic food/ingredient, regardless of whether it is allergenic or not, and not to the amount
 634 of a specific allergen.

635 Adverse reactions to food have been classified into different groups on the basis of the pathogenetic
 636 mechanism (Figure 1). They include immunologically mediated reactions, which may be mediated
 637 either by IgE antibodies or other immunological pathways (non IgE-mediated), and non-
 638 immunological responses (food intolerance), which are dependent on enzyme deficiencies,
 639 pharmacological reactions or, in the majority of cases, arise by unknown mechanisms (Ortolani, 1995;
 640 Dupont, 2011; Sicherer and Leung, 2011; Vickery et al., 2011; Waserman and Watson, 2011).

641 Hypersensitivity describes an adverse clinical response where the exact nature of the underlying
 642 pathophysiology is unknown. Occasionally this term is used more broadly to describe all adverse
 643 reactions to food, including immunologically-mediated diseases and food intolerances. In this
 644 Opinion, the term ‘food hypersensitivity’ will not be used due to its ambiguity.

645 **Figure 1:** Classification of adverse reactions to food



653 **Food allergy** is defined as an adverse health effect arising from a specific immune-mediated response
 654 that occurs reproducibly on oral exposure to a given food (Boyce et al., 2011), which can be mediated
 655 by food-specific immunoglobulin class E (IgE) antibodies or not.

656 **Immune, IgE-mediated food allergies** may result in rapid-onset of severe reactions (usually < 2
 657 hours) and may manifest with a variety of signs and symptoms that can involve the digestive,
 658 respiratory, cardiovascular or cutaneous organ systems (Boyce et al., 2011). The severity of reactions
 659 varies from mild (e.g. hives) to severe (e.g. anaphylaxis).

660 **Atopy** is a familial tendency to produce IgE antibodies in response to allergens, usually proteins, and
 661 to develop typical symptoms such as asthma, rhinoconjunctivitis, or eczema/dermatitis (Johansson et
 662 al., 2001). The term “atopic march” has been used to describe the natural history and sequential
 663 progression to these atopic disorders.

664 **Immune, non IgE-mediated food allergies** more commonly affect only the gastrointestinal tract in a
 665 subacute or chronic way. They are typically delayed in onset and occur two to 48 hours after ingestion
 666 of the offending food(s). The primary disorders in this category include food protein-induced
 667 enterocolitis, food protein-induced proctitis/proctocolitis and enteropathy, which in a majority of cases
 668 resolve before adolescence. Enteropathy resulting from cows’ milk is one of the better-understood non
 669 IgE-mediated food allergies. Although eosinophilic gastrointestinal disorders (including eosinophilic
 670 esophagitis and eosinophilic gastroenteropathy) are typically listed under this category, a high number
 671 of cases are caused by IgE-mediated responses (Guandalini and Newland, 2011).

672 **Celiac disease** is an autoimmune adverse reaction to food triggered by the ingestion of gluten and
 673 related to prolamins found in wheat, barley and rye.

674 **Non-immune mediated adverse reactions to food** (also called food intolerances) encompass
 675 disorders such as lactose intolerance (due to lactase non-persistence), other disorders of digestive-
 676 absorptive processes, toxic (food poisoning) and pharmacologic reactions (also called pseudo-allergic
 677 reactions) due to the release of histamine or tyramine after consumption of specific foods (Guandalini
 678 and Newland, 2011).

679 The present Opinion relates to IgE- and non IgE-mediated food allergy, to coeliac disease, and to
 680 adverse reactions to sulphites in food, and does not address non-immune mediated adverse reactions to
 681 food.

682 **3. Clinical symptoms of food allergy**

683 Immune-mediated adverse reactions to foods manifest with clinical signs and symptoms of variable
 684 severity and duration, which may affect different organs and systems (Table 1).

685 **Table 1:** Common clinical features of food allergy

Organ system	Clinical features
Skin	Atopic dermatitis Urticaria Angioedema Pruritus Erythema
Gastrointestinal tract	Oral allergy syndrome Vomiting Gastro-oesophageal reflux disease Abdominal pain Diarrhoea Enteropathies Infantile colic Constipation

Failure to thrive	
Respiratory tract	Asthma
	Rhinitis
	Cough
Eyes	Conjunctivitis
Generalised (systemic)	Anaphylaxis (with all its complications, including cardiovascular symptoms and generalised collapse)

686

687 IgE-mediated allergic reactions to food are represented by well-defined clinical features. For an
 688 allergic reaction to take place, a two-step process is required. First, the capacity to respond with an
 689 allergic reaction when exposed to the particular allergen must be established. This requires an immune
 690 response to take place, in which the immune system responds with IgE antibody production against the
 691 allergen. This phase is called the induction phase, or sensitisation. Once an individual has become
 692 sensitised to a particular allergen, the individual may develop a symptomatic allergic reaction when
 693 exposed again to the allergen in question. This is called the provocation or triggering phase.

694 The food allergic nature of some clinical syndromes such as migraine, attention deficit hyperactivity
 695 disorder, and irritable bowel syndrome is still controversial. There is some published evidence that, in
 696 a small proportion of individuals, exposure to certain foods or preservatives may be the underlying
 697 trigger (Carter et al., 1993; McCann et al., 2007).

698 **3.1. Skin**

699 **3.1.1. Urticaria and angioedema**

700 Urticaria is an intensely itchy rash, which results from inflammation and leakage of fluid from the
 701 blood into superficial layers of the skin in response to various mediators. Synonyms are “hives” or
 702 “nettle rash”. Angioedema is the presence of fluid in subcutaneous tissues, particularly in the face, and
 703 in the sub mucosa of eyes, lips, and sometimes tongue and throat. Urticaria can be acute (lasting for
 704 less than six weeks) or chronic. In childhood, urticaria is more commonly of the acute type. Chronic
 705 urticaria seems to be only rarely associated with food allergy (Zuberbier et al., 2004).

706 Urticaria due to food ingestion generally occurs within hours of ingestion, and often fades within three
 707 hours. Initial localised symptoms of itching and burning progress to erythema and urticaria. Immune
 708 (IgE)-mediated contact urticaria to foods is common and may progress to more widespread urticaria,
 709 angioedema, and eventually anaphylaxis. Rarely, urticaria and angioedema can be induced by exercise
 710 soon after eating a food, such as wheat, shellfish, nuts, or celery, whereas neither the food nor the
 711 exercise alone causes any reaction.

712 **3.1.2. Atopic dermatitis**

713 Atopic dermatitis is an extremely pruritic form of chronic inflammatory skin disease usually
 714 presenting in early infancy and sometimes persisting in adulthood. Atopic dermatitis represents the
 715 first clinical allergic manifestation in children who later develop asthma and, subsequently, allergic
 716 rhinitis. This progression is often named atopic march (Spergel and Paller, 2003). Patients with atopic
 717 dermatitis have usually high specific IgE levels and positive skin prick test (SPT) for several allergens,
 718 and a genetic predisposition (i.e. if one parent is atopic there is a 20-40 % probability of a child
 719 developing this condition and, if both parents are atopic, a 50-80 % probability). Epidemiological
 720 studies are beginning to identify genes involved in atopic predisposition (Walley et al., 2001;
 721 Weidinger et al., 2008; Genuneit et al., 2009). For example, filaggrin gene defects have recently been
 722 identified as a major risk factor for the development of atopic dermatitis. These skin barrier defects
 723 increase the risk of early onset, severe and persistent forms of atopic dermatitis and concomitant
 724 asthma (Marenholz et al., 2006; Worth and Sheikh, 2010).

725 Other characteristic features of atopic dermatitis are ichthyosis, keratosis pilaris, white
 726 dermographism, atopic folds, orbital darkening, anterior sub-capsular cataracts and keratoconus. Acute
 727 atopic dermatitis is an acute rash represented by an erythematous, papulovesicular eruption. Chronic
 728 dermatitis is characterised by lichenification, excoriation and dyschromic lesions.

729 In infants, atopic dermatitis may be difficult to distinguish from seborrhoeic dermatitis. The acute rash
 730 is typical of the first (infantile) stage up to two years of age. This eczematous lesion is highly pruritic
 731 and usually involves both cheeks and the extensor part of the extremities. Lesion of the scalp and
 732 wheal formation may also be associated. The second (childhood) stage, from two to 12 years, is
 733 characterised by papular lesions and rash that occur in the flexural areas, such as the antecubital and
 734 popliteal ones, hands and feet (Rudikoff and Lebwohl, 1998). The third (adult) stage is characterised
 735 by diffuse lichenification in facial areas such as the periorbital and perioral areas. Chronic lesions and
 736 remission periods may characterise the life of older atopic patients. Atopic dermatitis can be divided
 737 into two distinct variants: the extrinsic, allergic form, which occurs with sensitisation towards foods or
 738 aeroallergens and high levels of total IgE antibodies; and the intrinsic, non-allergic variant, with low
 739 levels of IgE antibodies, in which no sensitisation to foods or aeroallergens can be detected.

740 The diagnosis of atopic dermatitis is based on well-accepted international criteria, and takes into
 741 consideration different clinical and laboratory parameters, such as the kind of skin manifestation and
 742 distribution, age of onset, frequency of relapses, association with other atopic diseases, total serum
 743 IgE, specific IgE, and blood eosinophilia, among others. On this basis, it is also possible to distinguish
 744 between the intrinsic and the extrinsic forms of atopic dermatitis. The standard for diagnosis of
 745 immune-mediated reactions to food in chronic atopic dermatitis is a double-blind placebo-controlled
 746 food challenge (DBPCFC) (Fleischer, 2008) complemented by a reliable scoring system such as
 747 SCORAD (Hanifin and Rajka, 1980; Dermatitis, 1993).

748 Several clinical studies have addressed the role of food allergy in atopic dermatitis demonstrating the
 749 significant effect of food elimination on the improvement of the lesions (Niggemann et al., 1999;
 750 Burks, 2003; Greenhawt, 2010). Egg allergy is the most frequent trigger of severe atopic dermatitis in
 751 children (Sampson, 1997; Heine, 2006), and egg, together with milk, peanut, soy and wheat, account
 752 for about 90 % of food allergy in children with atopic dermatitis. The underlying role of food allergy
 753 in the development of atopic dermatitis is more evident in young patients with severe disease. Patients
 754 who are allergic to peanuts, tree nuts, fish and shellfish are less likely to outgrow their food-related
 755 atopic dermatitis (Skolnick et al., 2001).

756 **3.2. Gastrointestinal tract**

757 Adverse reactions affecting the gastrointestinal tract range from mild oral discomfort after allergen
 758 exposure to severe diarrhoea and failure to thrive. Any part of the gastrointestinal tract can be involved
 759 and the clinical features may occur alone or together as part of a syndrome. Whereas the oral allergy
 760 syndrome is the consequence of IgE-mediated immune reactions, the remaining gastrointestinal
 761 symptoms described in this section are mostly non IgE-mediated or mixed.

762 **3.2.1. Oral allergy syndrome**

763 Oral allergy syndrome (OAS) is an IgE-mediated immediate type allergic reaction characterised by
 764 symptoms within several minutes after contact with food, involving the mouth and the pharynx (Amlot
 765 et al., 1987; Ortolani et al., 1988). The direct contact of the offending food triggers oral and
 766 pharyngeal itching, oral papule or blisters, lip irritation and swelling, labial angioedema, and glottis
 767 oedema. In some instances, these symptoms are followed by a more complex clinical picture involving
 768 several organs, and may lead to life-threatening reactions like anaphylactic shock (Ortolani et al.,
 769 1993). Indeed, OAS can be classified into four grades depending on the extent to which other organs
 770 are involved and on whether systemic reactions occur. Local oral symptoms are most commonly
 771 experienced, while the more severe forms are rare (Ballmer-Weber et al., 2000; Rodríguez et al., 2000;
 772 Ballmer-Weber et al., 2001). OAS-induced reactions may rarely spread to cause extra-intestinal
 773 symptoms or anaphylaxis (Webber and England, 2010).

774 OAS's underlying pathophysiology may play a role in the clinical presentation and outcome,
 775 depending on whether the cross-reactive protein is a heat-labile pathogenesis-related protein 10 (PR-
 776 10), a partially labile profilin, or a relatively heat-stable lipid transfer protein (LTP). OAS is frequently
 777 associated to selectively labile allergens contained in fresh fruits and vegetables, so that standard
 778 diagnostic procedures must include exposure to fresh fruits and raw vegetables. Oral symptoms are
 779 less frequent in patients allergic to foods of animal origin such as milk, eggs, fish, and shrimp (Amlot
 780 et al., 1987; Helbling et al., 1999; Schafer et al., 2001; Sugita et al., 2007).

781 **3.2.2. Vomiting and gastro-oesophageal reflux disease**

782 Vomiting is a common feature of allergic reactions to food (Hill et al., 1984; Heine, 2006). It may
 783 result from dysmotility induced by inflammation of the oesophagus and stomach mucosa. The
 784 inflammatory response may cause bleeding, with blood in the vomit. Gastro-oesophageal reflux
 785 disease can occur as an adverse reaction to food, particularly in children, with or without development
 786 of eosinophilic esophagitis (Moon and Kleinman, 1995; Ireland-Jenkin et al., 2008; Dalby et al.,
 787 2010).

788 **3.2.3. Diarrhoea and enteropathies**

789 The passage of frequent loose stools can result from impaired absorption of nutrients and water, from
 790 intestinal secretion of fluid as part of an inflammatory response, or from a combination of both. Food
 791 protein induced enterocolitis syndrome (FPIES) is a severe systemic reaction to food proteins,
 792 typically occurring within four hours after food ingestion mainly in young infants, but can also occur
 793 in older children and adults (Nowak-Wegrzyn and Muraro, 2009).

794 In infancy and childhood, adverse reactions to food proteins may cause severe diarrhoea ultimately
 795 leading to failure to thrive (Savilahti, 2000; Walker-Smith and Walker, 2003).

796 The major feature of enteropathies is a loss of the normal structure of the intestinal mucosa, which
 797 reduces its mucosal digestive and absorptive function (Kuitunen et al., 1975; Walker-Smith, 1992;
 798 Vighi et al., 2008). In young children, transient enteropathies to cows' milk, soya, eggs, and other
 799 foods may occur. Enteropathy in the context of celiac disease is discussed in section 13.

800 Allergic eosinophilic gastroenteropathy is a rare disease, which comprises a spectrum of conditions
 801 characterised by eosinophilic inflammation of the gastrointestinal wall. They predominantly affect
 802 infants and young children, but may occur at any age (Kelly, 2000). Any part of the gastrointestinal
 803 tract can be affected and the symptoms and signs reflect the site and extent of the damage. Loss of
 804 blood and exudation of serum into the intestinal lumen may result. Involvement of the stomach or
 805 oesophagus may present with vomiting. Damage to the small intestine and colon can cause significant
 806 loss of endogenous protein and nutrients as well as impaired digestion and absorption (Maloney and
 807 Nowak-Wegrzyn, 2007; Oh and Chetty, 2008).

808 The causes and mechanisms of these conditions are not well understood (Lieberman and Chehade,
 809 2012). Some cases are associated with atopic clinical features and food specific IgE and skin prick
 810 tests to milk allergens, but others do not have these features (Moon and Kleinman, 1995; Bischoff,
 811 2010).

812 **3.2.4. Infantile colic**

813 Infantile colic affects approximately 7 %-20 % of babies (Lucassen et al., 2001). Its aetiology is
 814 unknown and is likely to be multifactorial. Some cases could be attributed to adverse reactions to
 815 foods, such as cows' milk or proteins excreted in maternal breast milk (Drug and Therapeutics
 816 Bulletin, 2013)

817 **3.2.5. Constipation**

818 Up to 10 % of children with cows' milk allergy may suffer from constipation. Constipation due to
819 other food items has been described (Kiefte-de Jong et al., 2010). The underlying mechanisms and
820 exact diagnostic criteria of allergy-related gastrointestinal motility disorders have not been established
821 (Iacono et al., 1998; Heine, 2008; El-Hodhod et al., 2010).

822 **3.3. Respiratory tract**

823 **3.3.1. Asthma**

824 Asthma is a reversible obstruction of the small airways associated with constriction of the airways,
825 mucus production and inflammation. Asthma may occur as a manifestation of a food allergic reaction.
826 It may sometimes be the dominating symptom, but is often associated with eczema, urticaria, pollen-
827 food allergy syndrome, or gastrointestinal symptoms. Asthmatic symptoms may constitute an
828 important part of a generalised anaphylactic reaction. Deaths from anaphylactic reactions are more
829 often caused by respiratory problems than by hypotension and circulatory failure. Further, asthmatics
830 who are also food allergic are at a higher risk of developing the most severe anaphylactic reactions to
831 food.

832 Heiner syndrome is a rare pulmonary hypersensitivity syndrome, likely to be cows' milk sensitive,
833 which affects primarily young children and is characterised by pulmonary hemosiderosis, diarrhoea,
834 anaemia and poor growth (Moissidis et al., 2005).

835 The foods triggering allergic asthma are similar to the general allergic prevalence pattern observed in
836 the community. Comorbidities related to environmental allergens need to be considered when
837 evaluating individuals with a history of food related asthma (Rancé and Dutau, 2002). Most reactions
838 to sulphites are characterised by bronchospasm, occasionally severe, which can occur within minutes
839 after ingestion of sulphite-containing foods.

840 **3.3.2. Laryngeal oedema**

841 Laryngeal oedema, swelling of the mucosa of the larynx, is often seen as part of an anaphylactic
842 reaction to food, and may lead to airways obstruction and, in the worst case, to respiratory arrest
843 (Summers et al., 2008).

844 **3.3.3. Rhinitis**

845 Rhinitis is manifested as an inflammation of the nasal mucosa, which gets swollen and itchy. The
846 condition is often accompanied by clear watery nasal secretion, and by nasal obstruction. Allergic
847 rhinitis has also been reported as a symptom of food allergy, although less frequently than asthmatic
848 symptoms (Oehling et al., 1992). Symptoms suggestive of rhinitis were reported in a number of pollen
849 allergic infants with cows' milk and egg allergy (Balatsouras et al., 2011). Symptoms of rhinitis have
850 also been reported to occur in response to food challenges (Pelikan and Pelikan-Filipek, 1987).

851 **3.4. Eyes**

852 The main form of allergic reaction in the eyes is conjunctivitis, where the surface of the eyes and the
853 inner side of the eyelids get red, swollen and itchy. Conjunctivitis and rhinitis often, but not always,
854 accompany each other, and conjunctivitis tends to occur less frequently than rhinitis. Conjunctivitis in
855 pollen-sensitised individuals has been reported in connection with the intake of specific food items,
856 although less frequently than asthmatic symptoms (Oehling et al., 1992; Kurosaka et al., 2011).

857 **3.5. Generalised symptoms – anaphylaxis**

858 Anaphylaxis is an acute, potentially life-threatening and sometimes fatal condition, which involves the
859 cardiovascular system, the respiratory tract, the mouth, the pharynx and the skin, singly or in
860 combination (Yunginger et al., 1988; Bock and Atkins, 1990; Sampson, 2006). Major cell types

861 involved through the secretion of vasoactive mediators are eosinophils, mast cells and basophils.
862 Reactions can be triggered both by IgE and IgG (IgG1 > IgG4) antibodies, depending on the cell type
863 involved (Tsujimura et al., 2008).

864 The initial symptoms often involve the skin or the oropharynx. Symptoms in the mouth region include
865 oedema, tingling and pruritus of the lips, oral mucosa and pharynx. Skin symptoms may be urticaria or
866 more diffuse erythema, angioedema and pruritus. Respiratory symptoms include bronchospasm,
867 cough, stridor, dyspnoea and wheezing, and may be mistaken as worsening of pre-existing asthma.
868 Oedema of the larynx induces cough, and difficulties with talking, breathing and swallowing.
869 Respiratory function may be severely compromised. Anaphylactic shock may consist of
870 cardiovascular collapse and severe fall of the blood pressure, cardiac arrhythmia and, in the worst
871 case, cardiac arrest. In some cases, the initial manifestation of an anaphylactic reaction may be loss of
872 consciousness. The symptoms, their sequence and their severity may vary from one episode to the
873 other and from one individual to another. In fatal food-induced anaphylaxis, initial symptoms
874 commonly develop within 3 to 30 minutes and severe respiratory symptoms within 20 to 150 minutes
875 of exposure (Sampson and James, 1992; Pumphrey and Gowland, 2007). Some reactions may,
876 however, show a bi-phasic course and be mild at their start (Stewart and Ewan, 1996). Exercise-
877 triggered food-induced anaphylactic reactions may occur several hours after food intake. Asthmatic
878 subjects who are also food allergic are at a higher risk of developing the most severe anaphylactic
879 reactions to food (Gonzalez-Perez et al., 2010; Calvani et al., 2011).

880 The diagnosis of anaphylaxis in retrospective studies has been difficult due to the wide spectrum of
881 clinical presentations. The criteria proposed by the US National Institute of Allergy and Infectious
882 Diseases and the Food Allergy and Anaphylaxis Network are useful for the diagnosis of anaphylaxis
883 in the emergency department (Sampson, 2006; Campbell et al., 2012).

884 Anaphylactic reactions to food are IgE-mediated. Peanut, tree nuts, fish and crustaceans (in adults) and
885 milk and egg (in children) are the most common foodstuffs reported to induce anaphylaxis in Europe
886 (Hourihane et al., 1996; Sampson, 2000; Jarvinen, 2011; Silva et al., 2012). In addition, a quite
887 unusually high frequency of food-dependent exercise-induced anaphylaxis has been reported in
888 relation to allergic reactions to cereal products (Dohi et al., 1991; Palosuo et al., 1999). However, a
889 number of other food allergens may also cause anaphylactic reactions.

890 **3.6. Conclusion**

891 Immune-mediated adverse reactions to foods manifest with clinical signs and symptoms of variable
892 severity and duration, which may affect different organs and systems. Anaphylactic reactions to food
893 are IgE-mediated and may occur at any age. Non IgE-mediated food allergy includes a wide range of
894 diseases, including atopic dermatitis, protein-induced enterocolitis and eosinophilic esophagitis.

895 **4. Diagnosis of food allergy**

896 **4.1. Clinical diagnosis**

897 The diagnosis of immunological adverse reactions to food and food ingredients depends on clinical
898 insight, suspicion, and acumen in interpreting the history and clinical examination of the patient.
899 Diagnosis of food allergy is often difficult because of the variable and subjective nature of the
900 symptoms and the lack of objective clinical signs (Boyce et al., 2011; Dupont, 2011; National Institute
901 for Health and Clinical Excellence, 2011). Guidelines and protocols for the clinical diagnosis of food
902 allergy have been published (Boyce et al., 2011; Dupont, 2011; National Institute for Health and
903 Clinical Excellence, 2011).

904 The patient's history, and particularly the temporal relationship between exposure and reaction, is key
905 for diagnosis. A family history of atopy will increase the suspicion of immune-mediated adverse
906 reactions to food. However, the lack of a family history does not exclude the diagnosis or an allergic
907 cause of clinical symptoms. Investigation of any patient with clinical suspicion of anaphylaxis of

908 unknown cause for possible underlying food allergy has been recommended. Important information in
909 relation to the causal role of a foodstuff in the development of symptoms can be derived from the
910 resolution of such symptoms when the offending foodstuff is eliminated from the diet.

911 **4.2. Specific diagnostic tests**

912 Diagnostic procedures for allergic disease of the gastrointestinal tract in childhood have been detailed
913 by several professional bodies and expert reports (Wershil et al., 2002; Bachert and van Cauwenberge,
914 2003; Lieberman and Sicherer, 2010; Burks et al., 2011; National Institute for Health and Clinical
915 Excellence, 2011; Caubet and Sampson, 2012).

916 Tests commonly used for the diagnosis of food allergies are described below.

917 **4.2.1. Food challenges**

918 The diagnosis of IgE-mediated and other immunologically mediated adverse reactions to food can
919 only be confirmed by exclusion of the suspected food and the subsequent amelioration of symptoms,
920 and by the recurrence of symptoms on re-introduction of the offending food.

921 The offending food can be given in open challenges (subjects are aware of being challenged with the
922 offending food, no use of placebo), in single-blind placebo controlled challenges (SBPCFC; subjects
923 are unaware of whether the offending food or a placebo are given), or in double blind placebo
924 controlled food challenges (DBPCFC; both subjects and investigators are unaware of whether the
925 offending food or a placebo are given). Results from open label food challenges (OFC) are more
926 difficult to interpret than results from SBPCFC (i.e. difficult to attribute delayed or subjective
927 symptoms to the ingestion of the offending food in the absence of placebo). DBPCFCs are costly,
928 time-consuming and difficult to perform. Oral food challenges are sometimes rejected by patients or
929 their parents and by health professionals, as there is a risk of severe reactions in highly sensitised
930 individuals unless appropriate measures are taken. However, DBPCFCs are the gold standard because
931 all subjective bias are removed.

932 **4.2.2. Measurement of specific serum IgE antibodies**

933 Allergen-specific serum IgE antibodies denote sensitisation to a particular food, but do not provide
934 information about the occurrence or the severity of allergic reactions following oral exposure to that
935 food. The radioallergosorbent test (RAST) is being increasingly replaced by quantitative
936 immunochemical tests for the determination of food-specific serum IgE antibodies. The clinical
937 sensitivity and specificity of these tests for the diagnosis of food allergy vary according to the
938 conditions in which they are used. Depending on the incriminated food, high levels of specific IgE
939 antibodies are a good indication as to prevent oral provocation tests in highly sensitised patients
940 (Rancé et al., 2002; Bernard et al., 2003; Caubet and Sampson, 2012). However, up to 40 % of
941 individuals with significant allergen specific IgE levels may not experience any clinical symptoms
942 when challenged with this allergen (Boyce et al., 2011).

943 In order to confirm the specificity of the binding of serum IgE to the test food allergen, RAST tests are
944 sometimes complemented by inhibition studies where the IgE-binding capacity is inhibited by various
945 competitors that are related to the incriminated food. The lack of standardisation of RAST tests for the
946 determination of antibodies to dietary antigens and the lack of discrimination between high and low
947 affinity antibodies have made the quantitative evaluation and the comparison of different studies
948 difficult.

949 The Panel notes that there is a need for optimisation of antibody-based diagnostic tests to facilitate
950 both the interpretation of published studies and patient management.

951 **4.2.3. Skin prick test**

952 In cases of suspected IgE-mediated immunological reactions to food, a skin prick tests (SPT) may be
953 performed. A small amount of an allergen in solution is placed on the skin and then introduced into the
954 epidermis by gently pricking the skin surface. A positive reaction is manifested as the development of
955 a wheal, the diameter of which can be measured to grade the reaction. The diagnostic accuracy and
956 sensitivity of a SPT in suspected food allergies varies according to the possible offending food and is
957 slightly higher than measuring allergen-specific IgE. A positive SPT indicates sensitisation to the
958 tested food, but it is not diagnostic of food allergy. Negative reactions have a 95 % predictive value to
959 exclude IgE-mediated reactions. However, positive tests have only a 50-60 % positive predictive value
960 (Costa et al., 2011), although strong reactions to certain allergens indicate a higher likelihood of an
961 allergic reaction. SPTs are usually performed on the upper back or volar surface of the forearm. Skin
962 locations may vary in their reactivity and eczematous areas should be avoided (Cox et al., 2008).

963 In subjects with suspected OAS's, fresh food SPTs typically have the highest sensitivity (Fernandez-
964 Rivas et al., 2008; Webber and England, 2010). The presentation of allergens within the food matrix
965 during a SPT challenge has to be carefully considered, since it may have a marked effect on the
966 reactions experienced after allergen ingestion (Grimshaw et al., 2003).

967 **4.2.4. Labial and conjunctival challenges**

968 Labial and conjunctival food allergen challenges for diagnostic purposes have been performed mostly
969 in children (Rancé and Dutau, 1997; Krane Kvenshagen et al., 2010). However, these tests have not
970 been included into the routine diagnostic work-up owing to lack of standardisation, varying clinical
971 readouts, and absence of validation against prospective DBPCFC studies.

972 **4.2.5. Atopy patch test**

973 The atopy patch test identifies allergens, which may induce a non IgE-mediated (delayed
974 hypersensitivity) reaction. There are published guidelines for the performance of atopy patch test
975 (Turjanmaa et al., 2006). It involves the application of the allergen under an occlusive dressing for 48
976 hours onto a non-affected part of the patients' skin, and the results are read 20 min and 24 h after
977 removal of the occlusive dressing. The test has been proposed for patients affected by atopic dermatitis
978 and gastrointestinal food allergy (Liacouras et al., 2011). Confirmation of the result by food
979 elimination and subsequent food challenge is needed. However, the specificity and sensitivity of atopy
980 patch tests are still a matter of debate.

981 **4.2.6. Tests of respiratory function**

982 Tests of respiratory function are useful where respiratory signs and symptoms are present in
983 immunologically mediated adverse reactions to food. Such tests may include those for assessing
984 narrowing of the airways and/or inflammation (bronchopulmonary provocation) (Pierce et al., 2005;
985 Beydon et al., 2007), but are not specific of food allergy.

986 **4.2.7. Other tests in immune-mediated adverse reactions to food**

987 Measurements of IgG and IgG subclass antibodies against food antigens in serum have no role in the
988 routine diagnosis of food allergy and should not be the basis for exclusion of particular foods from the
989 diet (Hamilton, 2010).

990 Flow cytometric studies of peripheral blood mononuclear cells and IgE in faecal extracts may be used
991 as screening tools to identify groups of potentially food allergic patients, but their usefulness in the
992 diagnosis of food allergy in the individual remains to be demonstrated (Beyer and Teuber, 2005; Lock
993 and Unsworth, 2011). The cellular basophil activation test (BAT) (e.g. expression of basophil
994 activation markers such as CD63 and CD203c detected by flow cytometry) has also been proposed for
995 screening, although available technologies may be optimised and better standardised (Sicherer and
996 Sampson, 2013).

997 The measurement of IgE against specific components of allergens, named components-resolved
998 allergy diagnosis (Vieira et al., 2012) is not yet able to discriminate between sensitisation and
999 clinically relevant food allergy (Ebo et al., 2010b).

1000 ImmunocapsISAC is a blood test based on microchip technology to detect specific IgE antibodies to
1001 food and airborne allergens. It allows simultaneous measurement of specific antibodies to multiple
1002 allergen components in a single test and may allow analysis of sensitisation patterns more likely to be
1003 associated with recovery or persistence of allergic sensitisation. There is, however, the risk of over-
1004 diagnosis and misinterpretation of the complex results of such tests (Skamstrup Hansen and Poulsen,
1005 2010; Melioli et al., 2011).

1006 **4.3. Conclusion**

1007 A careful family and clinical history are the basis for diagnosis of food allergy. Food diaries, skin
1008 prick tests (SPTs), allergen specific IgE measurements, food elimination diets and food challenges are
1009 part of the standard protocol for the diagnosis of food allergy. A positive SPT indicates sensitisation to
1010 the tested food, but it is not diagnostic of food allergy. Allergen-specific serum IgE antibodies denote
1011 sensitisation to a particular food, but are not diagnostic without a clinical history or food challenge.
1012 The use of atopy patch tests for the diagnosis of food allergy is controversial. Other available tests
1013 have no current role in the diagnosis of food allergy. Diagnosis is confirmed by exclusion of the
1014 suspected food and the subsequent amelioration of symptoms, and by the recurrence of symptoms on
1015 re-introduction of the offending food, ideally in double-blind placebo-controlled food challenges,
1016 provided that the initial symptoms were not life threatening. The Panel notes that there is a need for
1017 standardisation of allergenic foods and preparations for diagnostic use, including oral challenge
1018 studies, and of derived allergens for SPT, as well as for standard testing protocols in order to facilitate
1019 epidemiological and other multicentre studies on allergic reactions to foods.

1020 **5. Management of food allergy**

1021 **5.1. Allergen avoidance**

1022 The mainstay of dietary management of food allergies is the exclusion of the offending allergenic food
1023 from the diet and the avoidance of inadvertent exposure under uncontrolled conditions of intake, i.e.
1024 travel, restaurant menus, unlabelled food sources (Eigenmann et al., 2008; Lack, 2008; Boyce et al.,
1025 2011; Burks et al., 2011). Mothers of exclusively breastfed food allergic infants (i.e. with clinical
1026 diagnosis of food allergy) are also advised to eliminate the offending foods from their diet, since
1027 breast milk may contain the allergen in amounts able to trigger an adverse reaction in their infants and
1028 maintain the underlying disease process (Machtinger and Moss, 1986).

1029 **5.2. Immunological approaches for the management of food allergies**

1030 **5.2.1. Specific oral tolerance induction**

1031 Systemic oral tolerance induction (SOTI) to proteins has been recognised for a long time and
1032 frequently demonstrated in biological experiments (Niggemann et al., 2006). The underlying
1033 mechanisms relating to oral tolerance induction and desensitisation procedures are still a matter of
1034 scientific investigation (Eigenmann et al., 2008). Advantages of SOTI could be an increased individual
1035 threshold dose for the offending food and thus a reduction of the risk of experiencing severe allergic
1036 reactions after inadvertent ingestion of the allergenic food.

1037 SOTI studies with the objective of increasing the minimal dose of an allergenic food eliciting an
1038 allergic reaction or even allowing a normal intake of that food have been performed in children
1039 allergic to peanut, milk or egg (Staden et al., 2007; Jones et al., 2009; Burks et al., 2012a). A number
1040 of study participants were able to increase their minimum eliciting dose after completing the DBPCFC
1041 protocol. Since it is unclear whether these therapies lead to immunological tolerance induction with
1042 continued allergen exposure or are a variation on (rush) desensitisation protocols, children were
1043 advised to continue the intake of the allergenic food at different time intervals. In one of these studies

1044 (Staden et al., 2007), 64 % of the treatment group had a good or at least partial response to SOTI while
1045 on treatment. Food challenges performed two months off treatment revealed that only 36 % continued
1046 to be tolerant to the allergenic food, a percentage comparable to that achieved in untreated control
1047 subjects.

1048 Desensitisation strategies involve rush desensitisation (Itoh et al., 2010) and administration of the food
1049 allergens after heating or denaturation. In individuals with egg allergy, egg allergens have been
1050 administered in cake, as boiled or scrambled eggs, egg powder, or separated in egg white and yolk
1051 (Burks et al., 2012a). The long-term efficacy, safety and cost-effectiveness of SOTI require further
1052 assessment (Fisher et al., 2011).

1053 The Panel notes that SOTI are not yet recommended in routine practice as means to induce tolerance
1054 in children with IgE-mediated food allergy.

1055 **5.2.2. Sublingual immunotherapy**

1056 Sublingual immunotherapy (SLIT) has been mainly applied to the treatment of allergic diseases
1057 triggered by environmental allergens (Larenas-Linnemann, 2009), and only rarely to food allergy (de
1058 Boissieu and Dupont, 2006). A combination of SOTI and sublingual immunotherapy in egg allergic
1059 children has been reported (Keet et al., 2012). This study suggests that SOTI is more effective in the
1060 treatment of egg allergy than SLIT but has potentially more serious side effects.

1061 **5.2.3. Immunological approaches under clinical development**

1062 A number of allergen specific and of allergen non specific immunotherapeutic approaches have
1063 reached the clinical trial stages (Nowak-Wegrzyn and Sampson, 2011). These include epicutaneous
1064 immunotherapy (Dupont et al., 2010) on the allergen specific side and anti-IgE therapy (Wang et al.,
1065 2010), Chinese herbal therapy (Srivastava et al., 2009), and anti-cytokine therapy (Straumann et al.,
1066 2010).

1067 **5.3. Conclusion**

1068 Dietary avoidance of specific allergenic foods in combination with nutritional advice is the mainstay
1069 of management in IgE- and non IgE-mediated food allergy. Close monitoring of growth of infants and
1070 children with food allergy is advised, as well as re-evaluation of food allergy at regular intervals to
1071 avoid unnecessary dietary restrictions. Regular pharmacological treatment of food allergic conditions
1072 is generally not recommended.

1073 **6. Epidemiology of food allergy**

1074 **6.1. Methodological considerations**

1075 Numerous publications reporting on the prevalence of food allergy are available. However, the
1076 reliability of the estimates and how these reflect the true prevalence of food allergy in the general
1077 population depend on the criteria used for the diagnosis of food allergy and on the selection of the
1078 study population. Differences in sample selection and diagnostic criteria may hamper the
1079 comparability of results among studies, as well as conclusions on time trends.

1080 The majority of studies rely on self-reported adverse reactions to food to calculate the prevalence of
1081 food allergy (Rona et al., 2007). They are easy to perform (generally based on questionnaires) and
1082 may include high numbers of subjects from the general (unselected) population. Such studies
1083 overestimate the prevalence of food allergy and do not differentiate between food allergy and non-
1084 immune reactions to food. However, they give an indication about the proportion of subjects who may
1085 follow dietary restrictions to avoid (and experience anxiety towards) the consumption of the
1086 “offending” food, regardless of whether they have food allergy or not (Soller et al., 2012).

1087 Positive SPTs and/or IgE-binding (sensitisation) to the offending food in subjects with self-reported
1088 adverse reactions may strengthen the suspicion of food allergy, particularly if combined with a
1089 convincing history of food allergy and diagnosis by a physician. Still, owing to poor positive
1090 predictive value of these tests, studies using these diagnostic criteria only overestimate the prevalence
1091 of food allergy and are usually conducted in selected population subgroups (subjects with self-reported
1092 food allergy or clinical diagnosis of food allergy), in which the prevalence of food allergy is expected
1093 to be higher than in the general population.

1094 Positive DBPCFCs are highly reliable for the diagnosis of food allergy. Nonetheless, studies using
1095 DBPCFC for diagnosis have been generally conducted in selected subjects with high suspicion of food
1096 allergy, exclude highly sensitised subjects, are difficult to perform, and are generally of small sample
1097 size. Open food challenges (OFC) are easier to perform, but the link between food ingestion and
1098 delayed or subjective symptoms is difficult to demonstrate in the absence of placebo.

1099 An accurate estimation of the incidence and prevalence of immune-mediated adverse reactions to
1100 foods and their time trends has also been hampered by serious discrepancies in the way the
1101 International Classification of Diseases (ICD) coding has been used to characterise and classify food
1102 allergic reactions across Europe (WHO (World Health Organisation), 1975), WHO, 2011⁸), and by the
1103 transition from ICD-9 to ICD-10.

1104 The Panel considers that population-based studies with a step-wise diagnostic approach and
1105 confirmation of food allergy using DBPCFC would be required to assess the prevalence of food
1106 allergies, but such studies have not been regularly conducted so far.

1107 In this Opinion, only prevalence data for the general population or for age-specific subgroups within
1108 the general (unselected) population, rather than data obtained in subjects selected based on their
1109 disease risk or disease condition, will be considered whenever available. Data obtained in European
1110 countries will be presented first. Prevalence data in Western countries like the US, Canada, and
1111 Australia-New Zealand are considered more relevant for Europe than data obtained in Asian or
1112 African countries, and only data from the former will be considered for time trends.

1113 **6.2. Prevalence**

1114 The prevalence of food allergies in developed and developing countries is uncertain. Between 2 % and
1115 35 % of subjects in different populations report a diagnosis of food allergy (Rona et al., 2007), and the
1116 prevalence of perceived food allergy is even higher (Roehr et al., 2004). For example, in the US, 5.3 %
1117 of adults report a physician-diagnosed food allergy, whereas about 10 % claim perceived food allergy
1118 (Vierk et al., 2007). Prevalence of food allergy in studies assessing clinical diagnosis is much lower
1119 (Penard-Morand et al., 2005).

1120 The discrepancy between prevalence of perceived and confirmed food allergy among children and
1121 adults has been reported in several studies (Zuberbier et al., 2004; Osterballe et al., 2005; Pereira et al.,
1122 2005; Venter et al., 2008; Pyrhonen et al., 2009) and a meta-analysis (Rona et al., 2007). When the
1123 diagnosis of food allergy is confirmed by a food challenge in unselected populations, the prevalence of
1124 food allergy in Europe has been estimated to be between 3 and 4 %, both in children and adults (Table
1125 2).

1126 Several studies indicate that 75 % of allergic reactions among children are due to a limited number of
1127 foods, namely egg, peanut, cows' milk, fish and various nuts. Among adults, fruits of the latex group
1128 (e.g. kiwi, banana), fruits of the *Rosaceae* family (e.g. apples, pears, prunes), vegetables of the
1129 *Apiaceae* family (e.g. carrot, celery), and various nuts and peanuts (Kanny et al., 2001; Sastre, 2010;
1130 Dupont, 2011; Gadermaier et al., 2011b) are responsible for 50 % of allergic reactions.

⁸ <http://www.who.int/classifications/icd/en/>

1131

Table 2: Reported prevalence of food allergy in European countries¹.

Country	Sample size	Age (years)	Diagnosis	Prevalence (%)	Reference
Denmark	486	3	OFC	2.3	(Osterballe et al., 2005)
	301	> 3		1	
	936	21-59		3.2	
Denmark	562	≤ 6	OFC or DBPCFC	3.6	(Eller et al., 2009)
Denmark	843	22	OFC	1.7	(Osterballe et al., 2009)
Germany	4093	All	DBPCFC	3.6	(Zuberbier et al., 2004)
		0-19		3.3	
		20-39		4.3	
		40-59		2.0	
		> 60		0.5	
Germany UK	284	0-17	DBPCFC SPT + history + DBPCFC SPT + history + OFC SPT + history + DBPCFC SPT + history + OFC	3.5	(Roehr et al., 2004) (Pereira et al., 2005)
	775	11		1.4	
				2.3	
				2.1	
	757	15		2.2	
UK	798	6	OFC and/or suggestive history + SPT DBPCFC, clinical diagnosis or suggestive history + positive SPT	2.5	(Venter et al., 2006a)
				1.6	
UK	969	1	Clear history and positive OFC/DBPCFC	2.7 (DBPCFC)- 3.0 (OFC)	(Venter et al., 2006b)
UK	891	3	DBPCFC + clear history OFC + clear history	2.9	(Venter et al., 2008)
				3.0	

1132

¹ Diagnosis of food allergy based on food challenges in unselected populations;

1133

DBPCFC = double-blind placebo-controlled food challenge; OFC = oral food challenge; SPT = skin prick test

1134

1135

6.3. Time trends

1136

There is evidence that the prevalence of atopy has increased over the last decades (Linneberg et al., 2000; Strannegard and Strannegard, 2001; Kosunen et al., 2002). With respect to food allergy, hospital admissions in the UK rose from 5 to 26 per million in adults from 1991 to 2004 and from 16 to 107 per million in children during the same period (Gupta et al., 2003; Gupta et al., 2007). However, at the beginning of the 90's, awareness to food allergy in the medical community was not as widespread as in the 2000's, and these trends can be explained by changes in perception and diagnostic practices over time. Increase in public awareness of food allergy with broader media attention should also be considered when interpreting these results.

1144

Due to the lack of repeated cross-sectional studies over time conducted with comparable methodologies, there are no objective data to conclude on time trends with respect to the prevalence of food allergy in Europe.

1147

6.4. Severe reactions/anaphylaxis

1148

Data on the prevalence of food anaphylaxis are to be taken with caution due to the lack of a universally accepted definition and the risk of misclassification, mostly due to selection bias based on hospital presentation.

1151 From national mortality registers, anaphylaxis fatalities from all causes were estimated to be 0.33
 1152 deaths per year per million in the UK between 1992 and 2003 (n = 202). The cause of fatal episodes of
 1153 anaphylaxis was reported to be “food or possible food” in 31 % of cases (n = 63), which corresponds
 1154 to approximately 0.1 deaths per year and per million (Pumphrey, 2004). Tree nuts and peanuts
 1155 contributed to 50 % of fatal food anaphylaxis in this study. A registry kept in the US recorded 31
 1156 individuals who died of food-induced anaphylaxis between 2001 and 2006. Subjects ranged from 5 to
 1157 50 years of age. Peanut accounted for 17 deaths, tree nuts for 8, milk for 4 and shrimp for 2. All
 1158 subjects for whom there are data had asthma (Bock et al., 2007).

1159 The prevalence of asthma in Europe varies from about 10 % to 20 % according to the International
 1160 Study of Asthma and Allergies in Childhood (ISAAC) (Pearce et al., 2007). Some studies suggest that
 1161 about 2 % of adults (Ozol and Mete, 2008) and about 6-8 % of children (Oehling and Cagnani, 1980;
 1162 Novembre et al., 1988) with asthma may show an asthmatic reaction on food challenge. Food allergy
 1163 has been found to be a major risk factor for severe asthma and life-threatening asthma episodes (Liu et
 1164 al., 2010a). Asthma is also present in nearly all people who have fatal anaphylactic reactions, and
 1165 severe asthma is a common manifestation of food allergy (Pumphrey, 2004; Bock et al., 2007).

1166 **6.5. Prevalence of allergy to foods not listed in Annex IIIa**

1167 Numerous foods have been reported to cause adverse reactions in sensitive individuals, but the
 1168 majority of prevalence studies rely on self-reported reactions (University of Portsmouth, 2013). The
 1169 relevance of prevalence data on food allergy from self-reported diagnosis is very limited for some
 1170 foods (e.g. strawberry, tomato) because food allergy (IgE- and non IgE-mediated) and food intolerance
 1171 due to the release of biogenic amines (e.g. histamine) have similar symptoms and clinical presentation,
 1172 and thus the differential diagnosis may be difficult.

1173 **6.5.1. Vegetables**

1174 Vegetables are often part of the category “other foods” in prevalence studies that rely on
 1175 questionnaire-based methods. Allergies to vegetables reported in Europe include, but are not limited
 1176 to, peas, spinach, eggplant and carrot.

1177 SPT plus clinical history was used by only two studies reporting prevalence of allergy < 1 % to carrot
 1178 and carrageen in adults in Germany (Zuberbier et al., 2004), and of 0 % to pea in 18 month Icelandic
 1179 children (Kristjansson et al., 1999). Seven studies used food challenges to confirm food allergy
 1180 (Zuberbier et al., 2004; Osterballe et al., 2005; Venter et al., 2006a; Gelincik et al., 2008; Venter et al.,
 1181 2008; Orhan et al., 2009; Mustafayev et al., 2012). With the exception of Zuberbier et al. (2004), who
 1182 reported a 1.8 % prevalence of challenge proven allergy to “vegetables” (n = 3156), prevalence rates
 1183 were < 0.5 %. In a study of 741 subjects (age range 5-60 years) randomly selected from rural and
 1184 urban areas, the prevalence of IgE-mediated eggplant (*Solanum melongena*) allergy based on SPT and
 1185 clinical history has been estimated to 0.8 % in India (Harish Babu et al., 2008). About 9.2 % of
 1186 subjects reported adverse reactions to ingestion of eggplant, but some of the adverse reactions reported
 1187 could be due to the pharmacological action of histamine and other non-protein components (tyramine,
 1188 serotonin) rather than to specific protein allergens.

1189 **6.5.2. Fruits**

1190 Fourteen studies reported on fruit allergies in unselected populations. The data were published from
 1191 1982 to 2012 and the age of the participants ranged from birth to 97 years (University of Portsmouth,
 1192 2013).

1193 **6.5.2.1. Citrus fruit**

1194 The highest rate of citrus fruit allergy, 11 %, was reported using a self-report method in a sample of 3
 1195 year old children in Finland (Kajosaari, 1982). Only two studies used food challenges, reporting a
 1196 prevalence of 2 % in 6 year olds in Finland (Kajosaari, 1982) and under 0.1 % of adults in Turkey
 1197 (Gelincik et al., 2008). Allergy to citrus fruits often results in mild symptoms (OAS), although reports

1198 of severe reactions including anaphylaxis are also available (Ebo et al., 2007; Tsiougkos and Vovolis,
1199 2013).

1200 **6.5.2.2. Strawberry**

1201 Strawberry allergy has been addressed in six studies. Similar to the pattern for citrus fruits, the highest
1202 rates were found in young Finnish children: 7 % at age 1, 4 % at age 2 and 7 % at age 3 years,
1203 however all were estimated using self-report methods (Kajosaari, 1982). Lower rates of self-reported
1204 strawberry allergy were found in adults in Turkey (0.7%), which translated to near 0 % prevalence
1205 when diagnosis was made using DBPCFC (Gelincik et al., 2008; Orhan et al., 2009).

1206 **6.5.2.3. Kiwi**

1207 Self-reported prevalence of allergy to kiwi fruit was 0.8 % in a sample of French children (Rancé et
1208 al., 2005). The prevalence of self-reported kiwi allergy in Turkey was 0.3 % in children, decreasing to
1209 0.1 % when a DBPCFC method was employed for diagnosis (Orhan et al., 2009). The same
1210 prevalence was found three years later in a similar population of children using OFC (Mustafayev et
1211 al., 2012).

1212 **6.5.2.4. Tomato**

1213 Tomato is consumed worldwide and notably in the Mediterranean countries. Consumption of raw
1214 tomatoes in Europe ranges between 5.9 g/day in the Netherlands to 112.5 g/day in Greece (Jenab et al.,
1215 2005). Clinical reactions to tomatoes are mostly local and mild (OAS), although severe reactions to
1216 tomato have also been reported (Zacharisen et al., 2002). The majority of tomato allergic patients
1217 develop symptoms after eating fresh tomato fruits and often tolerate consumption of processed tomato
1218 products (Pravettoni et al., 2009).

1219 Studies that evaluate the prevalence of tomato allergy in general population are scarce. Self-reported
1220 diagnosis of tomato allergy in children ranges between 0.01 % in Israel (Dalal et al., 2002) to 13.7 %
1221 in Sweden (Kristjansson et al., 1999). Lower rates were reported in Iceland within the same age group
1222 (3.1 %) (Kristjansson et al., 1999). Prevalence of self-reported allergy to tomato in adolescents and
1223 young adults in Sweden was 3.5 % (Marklund et al., 2004), similar to the prevalence found in
1224 Denmark in the same age category among patients sensitised to pollen (Osterballe et al., 2009). In
1225 adults, the prevalence of self-reported tomato allergy is between 0.1 % in Portugal (Falcao et al., 2004)
1226 and 0.4 % (Woods et al., 2001) including data from Europe, United States, Australia and New Zealand
1227 combined.

1228 The prevalence of sensitisation to tomato assessed by SPT has been estimated to be 0.01 % in children
1229 aged up to two years in Israel (Dalal et al., 2002), and 0.4 % in 2-14 years old in France (Rancé et al.,
1230 2005).

1231 The only study based on challenge tests was conducted in Denmark (Osterballe et al., 2005), where the
1232 prevalence of tomato allergy was estimated to be 1.2 % in an unselected population of children and
1233 adults and 5 % among pollen-sensitised participants.

1234 **6.5.3. Other foods**

1235 **6.5.3.1. Cocoa**

1236 There are few reports in the literature regarding allergic reactions to cocoa and no reports on
1237 anaphylaxis. IgE-mediated and non IgE-mediated reactions have been documented. In Turkey (Orhan
1238 et al., 2009), the prevalence of allergy to cocoa in children 6-9 years of age differed depending on the
1239 assessment method used. Prevalence of self-reported allergy was 3 %, decreasing to 0.5 % when
1240 assessed by SPT and history and down to 0.1 % when allergy was confirmed by DBPCFC. Similar
1241 prevalence rates have been reported for adults in the same country (Gelincik et al., 2008) and for the
1242 general population in Germany (Zuberbier et al., 2004).

1243 6.5.3.2. Buckwheat

1244 Buckwheat (*Fagopyrum esculentum*) is a common food triggering potentially fatal anaphylaxis in
1245 Asia, especially in Japan and Korea (Takahashi et al., 1998; Oh et al., 2004). Labelling of buckwheat
1246 is mandatory in Japan, possibly reflecting the higher prevalence of this allergy in Asia. Buckwheat is
1247 used in several types of Japanese noodles. In Europe, buckwheat flour is used in pancakes and crepes,
1248 but it is also a common ingredient in gluten-free products, to which coeliac patients are particularly
1249 exposed. Twenty-two documented cases of severe anaphylaxis associated with buckwheat were
1250 declared by the French Allergy Vigilance Network between 2002 and 2006 (Beaudoin, 2007). No
1251 prevalence data on buckwheat allergy in unselected European populations or in coeliac patients is
1252 available in the literature.

1253 6.5.3.3. Rice

1254 Rice (*Oryza sativa*) is a cereal widely consumed in the EU. Many studies describe immediate
1255 hypersensitivity reactions to rice. Rice ingestion may cause urticaria, bronchial asthma,
1256 rhinoconjunctivitis, food protein-induced enterocolitis syndrome and, occasionally, anaphylaxis
1257 (Goliš et al., 2013). Several rice allergens have been described, but only two, Ory s 1 (β -expansin)
1258 and Ory s 12 (profilin A), both isolated from rice pollen, have been well characterised and included in
1259 the International Union of Immunological Societies (IUIS) allergen database. The LTP Ory s 14 is
1260 relatively resistant to heat and acid and may be the cause of symptoms and cross-reactivity between
1261 rice and various plant foods, such as corn and peach (Asoro et al., 2007). No prevalence data on rice
1262 allergy in unselected European populations is available in the literature.

1263 **6.6. Conclusion**

1264 The prevalence of food allergy in Europe is uncertain. Using food challenges as a criterion for
1265 diagnosis, the prevalence of food allergy in Europe has been estimated to be between 3 and 4 %, both
1266 in children and adults. There are insufficient objective data to conclude on time trends with respect to
1267 the prevalence of food allergy in Europe. About 75 % of allergic reactions among children are due to
1268 egg, peanut, cows' milk, fish and various nuts. About 50 % of allergic reactions among adults are due
1269 to fruits of the latex group and of the *Rosaceae* family, vegetables of the *Apiaceae* family, and various
1270 nuts and peanuts. Anaphylactic reactions have been reported to foods not included in Annex IIIa.

1271 **7. Influence of environmental and individual factors in the distribution of food allergies**

1272 The occurrence of allergies in general, and of food allergies in particular, requires the susceptibility of
1273 the host and the exposure to the allergen. Geographical variations in the prevalence of food allergy are
1274 driven by genetic factors and further modified by regional or local factors, like pollen exposure or
1275 differences in food habits. Although little is known about the variability of genetic susceptibility
1276 among populations or the factors, which may modify allergic responses, extrapolation of prevalence
1277 data on specific food allergies from a single European country to the entire European population may
1278 be limited by differences in exposure to the offending foods and eating habits. Inter-country
1279 differences in reporting adverse reactions to foods have also been noted and likely attributed to
1280 cultural differences (Woods et al., 2001).

1281 **7.1. Environmental factors**

1282 **7.1.1. Food consumption**

1283 Some foods are more allergenic than others, i.e. they have a greater intrinsic capacity to induce
1284 allergic sensitisation and elicit allergic reactions in the general population. Examples of highly
1285 allergenic foods are milk, egg, fish and other seafood, peanuts and other nuts, soy, sesame seeds and
1286 celery. Other foods e.g. potatoes induce allergy more rarely, in spite of high levels of consumption.
1287 The amount of allergen consumed is considered an important determinant of food allergy, which in
1288 turn depends on the amount of a given allergenic food that is consumed on a regular basis (eating
1289 habits).

1290 7.1.1.1. Allergenic proteins in foods

1291 Common proteins that are present in large quantities in a food will have a greater probability of
1292 becoming allergens than proteins that are present in small quantities. Storage proteins of many nuts
1293 and seeds are an example. These proteins may account for half the weight of the seed or nut. The
1294 amount of some allergens in a food will depend on plant variety and growing conditions, and this may
1295 contribute to geographical variation of some food allergies, and to variation in the allergenicity of a
1296 given plant product (Codina et al., 2003).

1297 7.1.1.2. Eating habits

1298 In a geographic area where a certain food is commonly consumed, the risk of allergy to that food will
1299 generally be larger than in areas where that particular food is more rarely eaten. If a food is commonly
1300 eaten, not only will the induction of allergy to that food be more likely, but also allergic reactions will
1301 be more frequently triggered. The individual dose-response relationship between the consumption of a
1302 specific food and the development of sensitisation/allergy to that food is unknown. The individual
1303 dose-response relationship appears to be dependent on genetic and other individual factors.

1304 Despite uniformisation of diets notably in Western countries, regional differences in the type and
1305 amount of food allergies are clear. For example, prevalence rates of peanut allergy are higher in North
1306 America and UK than in Mediterranean countries. This is illustrated by the fact that the prevalence of
1307 peanut allergy is 10-fold higher in Jewish children living in the UK than in Jewish children living in
1308 Israel (Du Toit et al., 2008). Such differences in prevalence may be due to differences in the level of
1309 allergen exposure or food processing rather than to differences in genetic background (Lack, 2012).

1310 7.1.1.3. Introduction of food and breastfeeding

1311 Best practices in relation to maternal diet, breastfeeding, and time of introduction of solid foods in
1312 order to decrease the risk of atopic diseases in infants at risk (i.e. with at least one (in Europe) or at
1313 least two (in the US) first degree family member being allergic) have been a matter of debate (Greer et
1314 al., 2008).

1315 There is no evidence that maternal dietary restrictions during pregnancy play a significant role in
1316 preventing atopic disease (asthma, allergic rhinitis, food allergies or eczema) in infants (Kramer and
1317 Kakuma, 2012). Exclusive breastfeeding for at least four months decreases the incidence of eczema
1318 and cow's milk allergy in the first two years of life compared to intact cow's milk-based formulas in at
1319 risk infants (Zeiger, 2003; Greer et al., 2008; Boyce et al., 2011).

1320 In infants at risk who are not breastfed, early dietary intervention in the first four months of life with
1321 cow's milk protein hydrolysates (extensively hydrolysed casein or partially hydrolysed whey
1322 formulas) appear to reduce allergic manifestations significantly (Osborn and Sinn, 2006; Szajewska
1323 and Horvath, 2010). Most studies showing a preventive effect were mainly on atopic dermatitis
1324 (Szajewska and Horvath, 2010), but also on food allergy and early wheezing (Zeiger, 2003). A 10-year
1325 follow-up of the German Infant Nutritional Intervention (GINI) Study showed that feeding with a
1326 partially whey hydrolysed or an extensively hydrolysed casein formula may decrease the cumulative
1327 incidence of eczema but not asthma, allergic rhinitis, or sensitisation to common food allergens or
1328 aeroallergens in children at risk up to age of 10 years, compared to an intact cow's milk-based or an
1329 extensively hydrolysed whey formulas (von Berg et al., 2013). In this context, it is to note that no
1330 regulatory definition of the level of protein hydrolysis in formulas is available and that the extent to
1331 which a formula is declared to be hydrolysed does not imply an effect on the risk of developing
1332 allergy. The Panel considers that clinical studies are necessary to demonstrate the potential of each
1333 particular hydrolysed formula to prevent the occurrence of short and long-term clinical manifestations
1334 of food allergy in infants at risk who are not breastfed.

1335 Current evidence does not support feeding with a hydrolysed formula for the prevention of allergy
1336 compared with exclusive breastfeeding (Osborn and Sinn, 2006). Amino acid-based formulas, and

1337 intact or hydrolysed soy or rice formulas, have not been shown to prevent allergic diseases in
1338 intervention studies.

1339 It has been suggested that early complementary feeding (before four months) may reduce allergic
1340 sensitisation in children with a parental history of asthma or allergy (Joseph et al., 2011), and that
1341 dietary manipulation might affect the risk of developing food allergy and atopic manifestations such as
1342 asthma or eczema in infants at risk (Kumar et al., 2010). However, the evidence is insufficient to
1343 recommend the introduction of complementary feeding before four months for that purpose. In
1344 addition, there is no convincing scientific evidence that avoidance or delayed introduction of foods
1345 beyond 4-6 months reduces the risk of allergies in infants at risk (Greer et al., 2008). Concerns have
1346 been raised by the National Institute for Allergy and Infectious Diseases (NIAID) in relation to
1347 delaying the introduction of certain foods, which could result in inadequate nutritional intake, growth
1348 deficits and feeding problems (Boyce et al., 2011).

1349 **7.1.2. Food processing and preparation**

1350 Processing and preparing food may increase or decrease its allergenicity. The same raw product may
1351 be processed and prepared in different ways according to local traditions and socio-economical setting.
1352 Also, the food matrix may influence the likelihood of inducing an allergic reaction, its severity, and/or
1353 the time of the reaction after food ingestion (food matrix effect).

1354 **7.1.3. Other environmental factors modulating allergic reactions to food**

1355 The “hygiene hypothesis” suggests that the lack of early exposure to microorganisms increases the
1356 susceptibility to atopic diseases by modulating the development of the immune system (Prescott et al.,
1357 2010; Gourbeyre et al., 2011). However, while certain infections are suggested to be protective, other
1358 studies do not support this hypothesis, and some parasites (e.g. *Ascaris suum*) and respiratory viral
1359 infections have been associated with an increased risk of developing food allergy (Ben-Shoshan et al.,
1360 2012).

1361 **7.2. Individual factors**

1362 **7.2.1. Genetic background**

1363 A family history of food allergy is a major risk factor for the development of food allergy. For
1364 example, having a sibling with peanut allergy increases the risk of developing peanut allergy by five
1365 (Hourihane et al., 1996). A limited number of reports describe a significant association between
1366 specific food allergies and specific HLA types. Since some HLA types show distinct geographical
1367 variation, such variation could, in principle, contribute to the geographical variation of food allergy.
1368 However, the extent to which HLA types determine clinical allergic reactions to particular foods is
1369 uncertain. For example, HLA-DRB1, DQB1 and DPB1 have been associated with an increased
1370 frequency of peanut allergy (Boehncke et al., 1998; Howell et al., 1998). However, none of such
1371 associations were statistically significant after adjustment for multiple testing (Hong et al., 2009) and a
1372 genotypic association between the HLA class II alleles and peanut allergy in a cohort of sibling pairs
1373 discordant for peanut allergy could not be established (Shreffler et al., 2006).

1374 **7.2.2. Age and sex**

1375 The overall occurrence of food allergy changes with age (Osterballe et al., 2005; Pereira et al., 2005;
1376 Venter et al., 2006a; Venter et al., 2006b; Venter et al., 2008; Zuidmeer et al., 2008; Osterballe et al.,
1377 2009), and so do the specific allergies (Kagan et al., 2003; Rona et al., 2007; Zuidmeer et al., 2008).
1378 Egg and milk allergy are quite common among infants, but are often outgrown in early childhood.
1379 Conversely, shellfish allergy is more common among adults than children, while peanut allergy is
1380 more common among children than among adults. The age dependency of food allergy is partly due to
1381 the so-called “atopic march” and could also be in part explained by exposure factors. Milk
1382 consumption is high for small children, while shellfish is consumed more commonly by
1383 schoolchildren and adults.

1384 To which extent sex may determine the individual susceptibility to food allergy has not been
1385 systematically investigated. In adults, food allergy is somewhat more common in adult women
1386 (Schafer et al., 2001; Zuberbier et al., 2004). Of the first 250 cases reported to the Norwegian National
1387 Reporting System and Register of Severe Allergic Reactions to Food, the female to male ratio was
1388 about 3:2 (Løvik and Namork, 2004) in adults. The putative gender difference could be due to
1389 physiological differences or to differences in health-seeking behaviour. There is also little information
1390 on sex differences in food allergy in children, among which food allergy seems to be more common in
1391 males (Ben-Shoshan et al., 2012).

1392 **7.2.3. Socio-economic factors**

1393 Most (Metsala et al., 2010; Gupta et al., 2011), but not all (Victorino and Gauthier, 2009; Liu et al.,
1394 2010a) studies suggest an increased rate of food allergy in higher socio-economic populations, but
1395 evidence on the association is still controversial. The direct association between parental
1396 socioeconomic status and food allergy notably in children can be explained by the fact that mothers of
1397 high economic status consult a physician more often compared with mothers of lower socioeconomic
1398 status.

1399 The geographic remoteness from cities (rural areas) characterised by difficulties to access to primary
1400 and specialist medical care and sometimes important cost of transportation could also explain that
1401 socio economic advantage and residence in major cities may be considered as risk factors for
1402 childhood food allergy (Mullins et al., 2010). However, it remains possible that the association of a
1403 decreased risk of food allergy with a low socioeconomic status could be explained by confounding
1404 factors, such as mode of infant feeding and environmental conditions.

1405 **7.2.4. Ethnicity**

1406 Differences in the prevalence of food allergy among ethnic groups could be due to genetic differences
1407 (e.g. in the HLA system), different food habits, and possibly different prevalence of food allergy in the
1408 country of origin for immigrants. Information on the prevalence of food allergy in different ethnic
1409 groups is scarce. It appears that immigrants from less developed countries generally present fewer
1410 atopic diseases at the time they migrate to European countries. However, they gradually adapt to the
1411 new environment and, over a decade, they become more similar to the people grown up in the country
1412 of destination (Kalyoncu and Stalenheim, 1992). In the European Community Respiratory Health
1413 Survey (Tobias et al., 2001), immigrants as a group had similar levels of atopy as non-migrant
1414 Europeans.

1415 **7.2.5. Other individual factors**

1416 Food allergic reactions may be facilitated by physical exercise, alcohol intake, antibiotics, gastric
1417 acidity inhibitors, and non-steroid anti-inflammatory drugs (NSAIDs) in some individuals (Sicherer
1418 and Sampson, 2013).

1419 **7.3. Conclusion**

1420 The occurrence of food allergies requires susceptibility of the host and exposure to the allergen.
1421 Geographical variation in the prevalence of food allergy is due to differences in genetic regional and
1422 local factors, like pollen exposure or differences in food habits. Extrapolations of prevalence data on
1423 specific food allergies from a single European country to the entire European population are of limited
1424 accuracy due to differences in exposure to the offending foods and eating habits.

1425 **8. Characterisation of food allergens**

1426 **8.1. Introduction and nomenclature**

1427 Allergenicity of a given complex food might not be due to a single protein component, but to different
1428 proteins, which constitute the allergen repertoire of the food. The combination of food science and

1429 medical science allows defining the clinically relevant food allergens contained in different foods.
1430 Several food allergens have been isolated, purified and characterised.

1431 A systematic International Union of Immunological Societies (IUIS) Allergen Nomenclature has been
1432 established and adopted by WHO (King et al., 1994). The official site (<http://www.allergen.org>) lists
1433 all recognised allergens and isoforms and is regularly updated. By convention, allergens in the
1434 systematic IUIS nomenclature are designated by the first three letters of the genus, the first letter of the
1435 species name according to the Linnaean taxonomical system, and an arabic number reflecting the
1436 chronological order in which the allergen was identified and characterised (e.g. *Bos d[omesticus] 4*)
1437 (Chapman et al., 2007). The nomenclature defines also isoallergens and variants. Isoallergens are
1438 allergens from a single species, which share similar molecular size, identical biological function, and
1439 more than 67 % of the amino acid sequence (sequence identity). Isoallergens are denoted by the
1440 addition of two numeral suffixes to the allergen name (e.g. *Ara h 1.01*). A variant is an allergen which
1441 shows a limited number of amino acid substitutions in the isoallergen structure and is denoted with the
1442 addition of other two numbers to the allergen name (e.g. *Ara h 1.0101*). A gene encoding for a specific
1443 allergen is denoted in italics (e.g. *Ara h 1*). Natural allergens may be denoted by the prefix “n” to
1444 distinguish them from recombinant allergens, which are indicated by the prefix “r” (e.g. *nPru p 3 / rPru p 3*).
1445 The insertion of a synthetic peptide in an allergen structure is indicated with “s”, with the
1446 particular peptide residue indicated in parentheses after the allergen name (e.g. *sBet v 1.0101 (100-120)*).
1447

1448 The WHO/IUIS Allergen Nomenclature database uses the terms “major” and “minor” for allergens
1449 depending on whether more or less than 50 % of the allergic patients tested show allergen-specific
1450 IgE-binding to this allergen in a given test system, respectively. These terms do not refer to the ability
1451 of the allergen to trigger clinical allergic reactions or to their severity, i.e. clinical reactions may be
1452 similar whether they are triggered by major or minor allergens.

1453 A number of allergen databases which differ with respect to the number of molecules listed as
1454 allergens and to the type of information displayed have been reviewed (Brusic et al., 2003) (Gendel
1455 and Jenkins, 2006; Mari et al., 2006; Schein et al., 2007; Gendel, 2009). The Allergome database
1456 provides regular updates on allergens from publications in the scientific literature
1457 (<http://www.allergome.org/>). Not only allergenic molecules are reported but also allergenic sources,
1458 organisms and IgE-binding molecules, either causing clinical allergic reactions or not. The Protein
1459 family (Pfam) database (<http://pfam.sanger.ac.uk>) assigns sequences of clinically proven food
1460 allergens to protein families (Jenkins et al., 2005). AllFam merges the Allergome allergens database
1461 with data on the Pfam database (Radauer and Breiteneder, 2007) and contains all allergens with known
1462 sequences that can be assigned to at least one Pfam family
1463 (<http://www.meduniwien.ac.at/allergens/allfam/>). The Structural Database of Allergenic Proteins
1464 (SDAP) provides detailed structural data on allergens in the IUIS Nomenclature, including sequence
1465 information, Program Data Base files (PDB-files) and computational tools to analyse IgE epitopes
1466 (<http://fermi.utmb.edu/>). The Food Allergen Research and Resource Program (FARRP) is focused on
1467 food allergens, providing sequence similarity searches (<http://www.farrp.org/>), while the PROTALL,
1468 which includes only allergens derived from plants and clinical data (skin prick tests and provocation
1469 tests), has developed into the InformAll Database dedicated to all food allergens
1470 (<http://foodallergens.ifr.ac.uk>). The SWISS-PROT (<http://www.genscript.com/>) and the National
1471 Center for Biotechnology Information (NCBI) (<http://ncbi.nlm.nih.gov/>) databases include protein and
1472 nucleotide sequence information not restricted to allergens.

1473 In this Opinion, only food allergens listed in the IUIS database as of December 2013 will be
1474 mentioned and discussed in the sections dedicated to specific allergenic foods, unless otherwise
1475 specified.

1476 **8.2. General considerations on the structure of food allergens**

1477 The allergenicity of a protein is due to the IgE-binding epitopes that are widespread within the protein
 1478 molecule. Epitope mapping is the characterisation of all epitopes in an allergen molecule. Similar to
 1479 allergens, not all epitopes in a protein are recognised by all patients allergic to that protein: some
 1480 epitopes are immuno-dominant, while others are only recognised by few patients. Two types of
 1481 epitopes have been described depending on their structure: conformational epitopes, which are
 1482 associated to the secondary and tertiary structure of the protein, and linear/sequential epitopes, formed
 1483 by a continuous sequence of amino acid residues in the protein chain. Once the protein is denatured,
 1484 conformational epitopes are generally modified or destroyed, whereas linear epitopes are maintained.
 1485 The clinical significance of epitopes may depend on their structure and location within the molecule.
 1486 For example, short linear IgE-binding epitopes located in hydrophobic parts of allergenic proteins
 1487 could be used as markers of a persistent food allergy, i.e. to milk and to peanut (Chatchatee et al.,
 1488 2001).

1489 Although there are no common structural features which allow predicting the allergenic potential of a
 1490 protein, food allergens generally belong to protein families which have conserved structural features in
 1491 relation to their biological activity, have a globular compact structure stabilised by hydrogen and
 1492 disulphide bonds, and are often glycosylated, stable to processing, and resistant to proteolysis by
 1493 digestive enzymes.

1494 **8.3. Classification of food allergens based on their structural properties**

1495 Owing to the development of proteomics, spectroscopic methods and gene cloning, proteins have been
 1496 classified into families on the basis of their sequence and three dimensional (3D) structure. The
 1497 structural features and potential allergenicity of the most important allergen protein families have been
 1498 reviewed (Hoffmann-Sommergruber and Mills, 2009).

1499 The biological activity of a protein is related to its structure. Some allergens bind ligands, such as
 1500 metal ions, lipids and steroids. Others interact with bacterial or fungal membranes inducing a leakage,
 1501 thus protecting plants from microbial pathogens. Some enzymes are allergens, such as lysozyme,
 1502 cysteine proteases, transferrins, and arginine kinases. While in some cases allergenicity is strictly
 1503 related to the biological activity (e.g. proteolytic activity), in other cases it is not.

1504 The Panel notes that, although common structural features of proteins and biological activity have
 1505 been tentatively related to their antigenicity, it is not possible to predict the allergenicity of a protein
 1506 on the basis of these two parameters only (Breiteneder and Mills, 2005).

1507 **8.3.1. Allergens of plant origin**

1508 Both Pfam and AllFam databases classify plant allergens into four main families on the basis of
 1509 sequence homology, conserved 3D structures and function: the prolamin superfamily, the cupin
 1510 superfamily, profilins, and the Bet v 1 superfamily.

1511 The prolamin superfamily contains the largest number of plant food allergens: 2S seed storage
 1512 albumins, cereal seed storage proteins, cereal α -amylase/trypsin inhibitors and non-specific lipid
 1513 transfer proteins (nsLTPs). Prolamins were originally defined on the basis of their water/alcohol
 1514 solubility and of their content of proline and glutamine. Prolamins are characterised by a high content
 1515 in sulphur-containing amino acid residues and often consist of bundles of four α -helices stabilised by
 1516 disulphide bonds, involving eight well-conserved cysteine residues. The major role of 2S albumins is
 1517 to provide proteins to the developing seed. They also have a defensive role against pathogenic fungi.
 1518 Major allergens in tree nuts, sesame and mustard seeds belong to this family. Cereal seed storage
 1519 proteins are characterised by a high content in proline and glutamine. Cereal α -amylase and protease
 1520 inhibitors induce a certain resistance of plant tissues to insect pests and include allergens present in
 1521 wheat, barley, rice and corn (Pastorello et al., 2002b). The lipid transfer protein family comprises low
 1522 molecular weight monomeric proteins (around 7-9 kDa) involved in the synthesis of cutin, and thus

1523 have a protective role in the plant, and particularly in the fruit. They have a very compact and stable
 1524 tertiary structure constituted by the association of α -helices and loops stabilised by eight disulphide
 1525 bonds, which define a central cavity containing a lipid-binding site. Binding with hydrophobic ligands
 1526 also contributes to the stabilisation of the molecule. Lipid transfer proteins are frequent and potentially
 1527 severe allergens: they are one of the numerous defence protein families (also called pathogenesis-
 1528 related proteins) that are responsible for most of the allergic reactions to fruits from the *Rosaceae*
 1529 family.

1530 The cupin superfamily includes the major globulin storage proteins, which are the cause of most
 1531 allergic reactions to legumes and nuts. The name comes from their common architecture, consisting of
 1532 6-stranded β -sheets associated with α -helices which form a β -barrel cavity (Latin *cupa*, barrel) with a
 1533 binding site for a hydrophobic ligand (Breiteneder and Ebner, 2000). Subgroups in the cupin
 1534 superfamily have been defined depending on the number of cupin domains present in the protein.
 1535 Monocupins comprise the majority of cupin proteins, can be monomeric, dimeric or oligomeric, and
 1536 most are enzymes (e.g. dioxygenases). Germin and germin-like proteins (GLP) are oligomeric
 1537 monocupins ubiquitarious in plants (e.g. wheat and barley). They have a disc-shape homohexameric
 1538 structure organised as trimers of dimers. The globulin fractions of seed storage proteins, which can be
 1539 extracted with saline solutions, are 2-domain cupins. According to their sedimentation coefficient
 1540 determined by ultra centrifugation, globulins are divided in a smaller fraction, i.e. 7S/8S globulins
 1541 (called vicilins), and a bigger fraction i.e. 11S globulins (called legumins). 7S/8S globulins are
 1542 generally trimers with 50-60 kDa molecular weight (MW). Post-translational modifications such as
 1543 glycosylation often occur. 11S globulins consist of six subunits with a MW around 60 kDa and are
 1544 rarely glycosylated. Each subunit consists of a non-covalent association of two polypeptide chains. 7S
 1545 and 11S globulins have a relatively low sequence identity but a common 3D conformation. Globulins
 1546 are clinically relevant allergens in peanuts, soybean, lentils, walnut, hazelnut and sesame.

1547 Profilins are cytosolic proteins of 12 to 15 kDa exclusively found in flowering plants, such as peanut
 1548 (*Ara h 5*), apple (*Mal d 4*) and celery (*Api g 4*). They are folded in a compact globular structure of an
 1549 antiparallel β -sheet enclosed by α -helices on both sides. The high sequence conservation and the even
 1550 higher 3D structure similarity account for the strong serological cross-reactivity with other plant foods,
 1551 pollens and *Hevea latex*, which may be of variable clinical significance.

1552 The Bet v 1 superfamily comprises eight families, among which the “pathogenesis related proteins 10”
 1553 (PR 10), major latex proteins. These allergens are homologous of the major birch pollen allergen
 1554 Bet v 1 and are present in fruits of the *Rosaceae* family (e.g. apple, cherry, apricot, and pear) and
 1555 *Apiaceae* vegetables (e.g. celery, carrot). They are polypeptides of 154-160 amino acids with high
 1556 sequence similarity. The Bet v 1 homologous proteins contain a GXGXXG or a GXG motif,
 1557 responsible for binding of the phosphate group of oligonucleotides, and share a characteristic fold
 1558 formed by seven β -sheets surrounding a long C-terminal helix and two additional short helices
 1559 connecting two β -sheets and forming a large y-shaped hydrophobic cavity able to bind sterols, as
 1560 observed in structures obtained by X-ray crystallography. Because of their sequence and 3D
 1561 similarities, the Bet v 1 related proteins cross-react with allergens present in birch pollen, in particular
 1562 with Bet v 1, sometimes inducing severe allergic reactions.

1563 8.3.2. Allergens of animal origin

1564 Food allergens of animal origin, less numerous than allergens of plant origin, are classified in three
 1565 main structurally-related families: tropomyosins, EF-hand proteins and caseins.

1566 Tropomyosins are a family of closely related proteins present in muscle and other cells with a
 1567 regulatory role in muscle contraction. They contain a 7-amino acid repeat (heptad), with most isoforms
 1568 having a series of 40 continuous heptads. These proteins form a parallel α -helical coiled-coil dimeric
 1569 structure, which then bind head to tail to form a cable winding around the helix. Tropomyosins, which
 1570 are clinically relevant as food allergens, are present in molluscs and crustaceans.

1571 The EF-hand proteins present a helix-loop-helix motif characterised by a sequence of usually 12
 1572 amino acid residues, which form a loop flanked on both sides by a 12-residue α -helical domain. This
 1573 loop is capable of coordinating calcium or magnesium ions with different geometries. The same motif
 1574 is present in a large family of calcium binding proteins, such as parvalbumins, which have three EF-
 1575 hand motifs, two of which capable of binding calcium. Loss of calcium by thermal treatment induces
 1576 major conformational changes in the protein, with loss of conformational epitopes. However, the
 1577 remaining IgE-binding epitopes are sufficient to trigger allergic reactions in fish allergic subjects
 1578 (Lewit-Bentley and Rety, 2000).

1579 Caseins are mammalian proteins present in milk which bind calcium ions through the phosphoserine
 1580 or phosphothreonine residues of α S1-, α S2- and β -casein, forming nanoclusters in which amorphous
 1581 calcium phosphate is included, stabilised by κ -casein. Nanoclusters aggregate (ca. 1 000 molecules) to
 1582 form macrostructures, corresponding to the milk micelles.

1583 8.4. Stability of food allergens

1584 An important characteristic shared by the majority of allergens is stability, defined as the capacity to
 1585 maintain their native 3D structure upon thermal, chemical or enzymatic (proteases) treatment. No
 1586 single structural motif can account for the stability of a protein (Breiteneder and Mills, 2005).
 1587 However, structural features clearly related to stability include the β -barrel structure of cupins and the
 1588 presence of intra- and intermolecular disulphide bonds, which constrain the molecule in a rigid
 1589 scaffold not easily disrupted which can be eventually reformed in a different position following the
 1590 treatment. This type of covalent bond is found in the prolamin superfamily and in thaumatin-like
 1591 proteins (TLP).

1592 Although a number of allergens share a compact globular shape with a well-defined 3D structure,
 1593 others contain large regions of disordered structures. Such proteins are constituted by polypeptidic
 1594 chains with different secondary structures in equilibrium with each other, resembling unfolded or
 1595 partially unfolded proteins, and are called rheomorphic. On account of their flexibility, they are more
 1596 susceptible to hydrolysis by proteases, but do not undergo conformational changes and their epitopes
 1597 remain exposed even after thermal treatments. Caseins and the seed storage prolamins belong to this
 1598 group.

1599 Glycosylated allergenic proteins appear to be more resistant to proteolysis. N-glycosylation, which
 1600 usually occurs on asparagine residues in a specific three amino acid sequence (asparagine-any amino
 1601 acid-serine or threonine), can have a significant stabilising effect on a protein, as in the case of the 7S
 1602 globulin of pea. Hydroxyproline, serine and threonine can also be O-glycosylated, contributing to the
 1603 3D structure of the protein. Glycosylation plays a role in inducing cross-reactivity between pollen and
 1604 plant allergens.

1605 Under physiological conditions or following industrial treatments, food allergens with repetitive
 1606 structures form non-covalent aggregates, which are particularly stable to heat. Tropomyosin allergens
 1607 from shellfish and seed storage proteins belong to this category. Protein aggregates in foods may be
 1608 more allergenic than monomeric proteins due to the higher number of IgE epitopes they contain.

1609 8.5. Resistance of food allergens to *in vitro* digestion

1610 The digestibility of allergens *in vitro* has been studied either to provide a biochemical measure of their
 1611 physico-chemical stability under non-physiological conditions or to investigate the role of digestion on
 1612 their allergenic potential under simulated physiological conditions.

1613 The *in vitro* simulated gastric fluid (SGF) pepsin resistance test shows that there is a certain
 1614 correlation between resistance to proteolysis and allergenic properties of food allergens (Astwood et
 1615 al., 1996) (FAO/WHO, 2001; Codex Alimentarius Commission, 2003), although a cause-effect
 1616 relationship cannot be established owing to the variability of results obtained from digestibility studies
 1617 performed under different testing conditions (e.g. pH, protein/enzyme ratio, purity of the protein).

1618 Thus, resistance to proteolysis cannot be used as a parameter to predict the allergenicity of a protein,
1619 whereas the SGF test is commonly accepted to establish the chemical stability of the protein and for
1620 structure determination. A “simulated intestinal fluid” test with the use of trypsin and chymotrypsin as
1621 duodenal digestion enzymes is also available. A sequential treatment with SGF and the “simulated
1622 intestinal fluid” has been proposed to simulate the entire transit of food in the gastrointestinal tract
1623 (Mouécoucou et al., 2004).

1624 The digestibility of a protein depends on its structure, but also on the food matrix in which it is
1625 contained, which may hamper or favour the accessibility of digestive enzymes to the protein. Thus,
1626 more sophisticated static models aiming to mimic physiological conditions as closely as possible by
1627 the addition of other substances present *in vivo* which may affect digestibility, such as biosurfactants
1628 like phosphatidyl choline and bile salts, or food ingredients such as lipids and carbohydrates, have
1629 been developed. A standardised digestion protocol with and without addition of surfactants has been
1630 tested in a multi-laboratory trial in the course of the EuroPrevall EU-funded Project (Mandalari et al.,
1631 2009), showing consistency between laboratories for two allergenic milk proteins, β -casein and β -
1632 lactoglobulin.

1633 Dynamic *in vitro* digestion models, which take into account other factors affecting digestibility, such
1634 as mastication, gastrointestinal transit and peristalsis, have also been developed. The effects of
1635 different *in vitro* models on the stability of food allergens to digestion have been reviewed (Moreno,
1636 2007).

1637 The Panel notes that *in vitro* digestion tests should be combined with immunological assays in order to
1638 understand the interaction of peptides derived from proteolysis (or their aggregates) with the immune
1639 system.

1640 8.6. Physico-chemical characterisation of food allergens

1641 8.6.1. Extraction, isolation and purification

1642 The first step in the structure characterisation of a food allergen is the extraction of the protein from
1643 the food matrix in suitable amounts to allow the characterisation of the structure by spectrometric and
1644 spectroscopic methods, as well as the verification of its IgE-binding capacity. Cloning techniques can
1645 be used to obtain sufficient amounts of protein, as long as the identity of the recombinant product with
1646 the native protein is confirmed. Extraction methods vary according to the nature of allergens e.g.
1647 incubation of the raw material in a buffer at different pH plus centrifugation, extraction protocols to
1648 eliminate concurrent extraction of contaminants (phenols), use of salting out methods and defatting
1649 procedures to eliminate undesired sugars and lipids.

1650 Purification of the protein is obtained by monodimensional electrophoretic separation on Sodium
1651 Dodecyl Sulphate-PolyAcrylamide Gel-Electrophoresis (SDS-PAGE) or by chromatographic methods,
1652 using different columns according to the nature of the protein. Ion-exchange chromatography as well
1653 as preparative Reversed-Phase High Performance Liquid Chromatography (RP-HPLC) are efficiently
1654 used according to the inherent polar/apolar character of the protein. Size-Exclusion Chromatography
1655 (SEC), also called gel-filtration, allows the exclusion of contaminants and other proteins on the basis
1656 of their different size or MW relative to a porous matrix (swollen gel) with pores of a particular size
1657 (mesh). Affinity chromatography is a very specific tool for protein purification, which relies on the
1658 affinity of a ligand (e.g. specific antibody) immobilised in the column for the particular allergen, but
1659 requires previous knowledge about the nature of the allergen to be purified.

1660 Methods for the isolation and purification of food allergens have been described in detail elsewhere
1661 (Pastorello and Trambaioli, 2001a).

1662 **8.6.2. Allergen identity and identification of epitopes**

1663 Sequencing and/or physicochemical methods are used to verify the identity of the purified allergen
 1664 (Harrer et al., 2010).

1665 The molecular characterisation of an allergen starts with the determination of its MW by Mass
 1666 Spectrometry (MS). The spectrometric techniques involve two types of ionisation: Matrix Assisted
 1667 Laser Desorption Ionisation (MALDI) and Electrospray Ionisation (ESI). The former involves gas-
 1668 phase ionisation of the intact protein and is usually coupled to a Time Of Flight (TOF) analyser to
 1669 generate protein mass fingerprinting. MALDI-TOF analysis is currently applied in the “top-down”
 1670 strategy for the identification of intact proteins. ESI-MS is considered the preferred ionisation method
 1671 for proteomics and is mostly coupled to ion traps (IT) and triple quadrupoles (QqQ). Tandem mass
 1672 spectrometry (MS/MS), in the most commonly performed “bottom-up” direction, allows sequencing
 1673 on proteolytic peptides obtained by previous digestion with enzymes. The resulting fragments can be
 1674 compared with databases for unequivocal identification of the peptides and of the protein. Details on
 1675 the use of Mass Spectrometry for the qualitative/quantitative analysis of food allergens are given in
 1676 section 11.

1677 The Edman degradation method allows identifying amino acid residues and their sequence starting
 1678 from the N-terminal residue. The unequivocal identification of a known protein may be achieved by
 1679 combining Edman degradation data on the amino acid sequence of the N-terminal region with the
 1680 exact molecular mass of the entire molecule obtained by MS or High Resolution-MS (HR-MS).

1681 Identification of epitopes is important for the characterisation of food allergens. Sequential epitopes
 1682 may be identified by an ELISA system with the use of patient sera (PEP-SCAN). Conformational
 1683 epitopes are best characterised by the phage display technique, in which libraries of randomised short
 1684 peptides are fused to the coat proteins of filamentous phages and examined with sera of allergic
 1685 patients. Another method consists of replacing each amino acid, one by one, with a different amino
 1686 acid in the IgE-binding epitope or by inducing mutations in the IgE-binding epitope with amino acid
 1687 substitution or deletion.

1688 **8.6.3. Three-dimensional structure**

1689 Knowledge of the 3D structure of an allergen is needed to gain information on the surface of the
 1690 protein, to evidentiate the epitopes and to evaluate potential cross-reactivities. The 3D structure of an
 1691 allergenic protein in the solid state may be assessed by X-rays crystallography, and in solution by
 1692 Nuclear Magnetic Resonance (NMR) spectroscopy and Circular Dichroism (CD). In most cases the
 1693 allergen was obtained by recombinant techniques because of the amount of protein needed to perform
 1694 crystallisation trials or NMR studies (ca. 5-20 mg).

1695 X-ray crystallography operates by diffraction of monochromatic X-rays by protein crystals. From the
 1696 diffraction pattern, the electron density map of the molecule is converted by a Fourier Transform
 1697 algorithm and the protein sequence is fitted into atomic coordinates. Crystal structures of some food
 1698 allergens have been elucidated (e.g. peanut allergens Ara h 1, Ara h 2, Ara h 6, wheat profilin Tri a 12,
 1699 peach Pru p 3, celery Api g 1, cherry Pru av 1) and can help understanding cross-reactivity.

1700 NMR spectroscopy allows studying the structure of a protein allergen in solution (also in water) under
 1701 similar conditions to those present in food matrices. The most useful magnetic nuclei for that purpose
 1702 are those of ¹H, ¹³C, ¹⁵N and ³¹P, which can be used alone (homonuclear NMR) or in combination
 1703 (heteronuclear NMR). High Resolution spectrometers (600-1100 MHz) are required. The
 1704 monodimensional homonuclear (1D) 1H-NMR provides information about structured and non-
 1705 structured parts of the protein (Alessandri et al., 2012). Bidimensional (2D) NMR allows
 1706 characterising the conformation and is suitable for small proteins and peptides. Tridimensional (3D)
 1707 NMR can be used to determine the structure of larger proteins, but requires an isotopically (¹³C and
 1708 ¹⁵N) labelled protein and a high concentration of the protein. The Nuclear Overhauser Enhancement
 1709 (NOE) allows determining the inter-proton distances and provides a 3-D model. In addition, NMR can

1710 give information on the dynamics of the protein (e.g. the flexibility of the protein in a disordered
1711 structure) by measuring the relaxation times T₁ and T₂. While protein structures obtained in the solid
1712 state are static, those obtained in solution by NMR are dynamic and also depend on the interactions
1713 with the solvent.

1714 CD is a valuable tool to investigate the secondary and tertiary structure of proteins, which has also
1715 been used to characterise allergens. The method is based on the different absorption of the polarised
1716 light by chiral and by achiral molecules immersed in a rigid chiral environment. The chiral centre in
1717 proteins is represented by the Cα atom of the amino acid adjacent to the peptide bonds, which absorb
1718 below 240 nm (far UV) with maxima and minima related to the conformations of the angle bonds of
1719 the adjacent groups. α-Helix, β-sheet, β-turn, and random coil structures show characteristic patterns
1720 of positive and negative bands. Other chromophores such as aromatic amino acid side chains and
1721 disulphide bonds allow obtaining information on the tertiary structure of the protein.

1722 Fourier Transform Infrared (FTIR) spectroscopy can confirm the folding of the protein and allow
1723 useful comparison with known allergens and monitor eventual conformational changes or aggregation
1724 following cooking or technological treatments. Indeed a secondary structure change can be visualised
1725 by a shift of the frequency and/or intensity of the longitudinal amide I vibration, as well as transitions
1726 from α-helices and intra- to inter-chain β-sheets can account for protein aggregation. Aggregation of
1727 the protein can be detected also by atomic force microscopy and fluorescence.

1728 **8.7. Immunological characterisation of food allergens**

1729 Characterisation of food allergens requires immunological data. Immunological tests include IgE-
1730 binding assays, such as the Enzyme Linked Immunosorbent Assay (ELISA). The CAP system, a
1731 fluoreszyme immune assay, which is completely automated, is most currently used on account of its
1732 high sensitivity and specificity, as is the SDS-PAGE followed by immunoblotting with IgE-containing
1733 human sera.

1734 The Panel notes that the IgE-binding capacity of a protein is related to its antigenicity (i.e. the ability
1735 to induce the synthesis of specific IgE antibodies), and not necessarily to its allergenicity upon
1736 ingestion (i.e. the ability to induce immune-mediated clinical reactions).

1737 **8.8. Conclusion**

1738 Following the development of proteomics, spectroscopic methods and gene cloning, allergenic
1739 proteins can be well characterised. They have been classified into families on the basis of their
1740 sequence and three dimensional (3D) structure. However, although common structural features of
1741 proteins and their biological activity have been tentatively related to their antigenicity, it is not
1742 possible to predict the allergenicity of a protein on the basis of these two parameters only.
1743 Immunological and clinical data are required to classify a protein as a food allergen.

1744 **9. Cross-reactivities**

1745 Cross-reactivity occurs when IgE antibodies originally triggered against one antigen also bind a
1746 different antigen. Not all cross-reactivities identified *in vitro* are of clinical significance, and although
1747 most clinical cross-reactions are mediated by IgE antibodies, T cells may also be involved (Bohle et
1748 al., 2003). However, *in vitro* cross-reactivity testing can help understanding allergenicity to multiple
1749 foods, as well as improving diagnosis and management of food allergy.

1750 Cross-reactions occur among proteins with high sequence homology and/or with similar structural
1751 features or common epitopes. Both linear and conformational epitopes may induce cross-reactivity.
1752 The Structural Database of Allergenic Proteins (SDAP) (<http://www.fermi.utmb.eu/SDAP>) can be
1753 used to predict the likelihood of cross-reactivity among proteins on the basis of sequence homology
1754 and the presence or absence of particular amino acids in non-contiguous positions.

1755 In order to assess the potential IgE cross-reactivity of a protein with a known allergen, an identity
1756 > 35 % over an 80-amino acid window is currently recommended for further testing. Sequences are
1757 then compared to an allergen database using alignment tools, such as Fast All (FASTA) (Pearson and
1758 Lipman, 1988) or the Basic Local Alignment Search Algorithm (BLAST) (Altschul et al., 1990).
1759 Computational methods for assessing potential cross-reactivity among proteins have been reported and
1760 discussed in detail (EFSA, 2010).

1761 Panallergens, usually classified as minor allergens, are homologous molecules that originate from a
1762 multitude of organisms and cause IgE cross-reactivity between evolutionary unrelated species (Hauser
1763 et al., 2010). Profilins, polcalcins (calcium binding proteins), nsLTP and Bet v 1 homologues are
1764 considered as panallergens.

1765 Pollen allergens (e.g. birch pollen, mugwort) cross-react with LTP, ubiquitous in plants, and with
1766 profilins. Frequent cross-reactions are observed between birch pollen and hazelnut, apple, and more
1767 generally fruits of the *Rosaceae* family. Allergens belonging to the Bet v 1 superfamily present a
1768 highly conserved structure, similar surface amino acid residues and the same main chain
1769 conformations (Jenkins et al., 2005). The so called “pollen-food allergy syndrome” usually manifests
1770 as oral allergy syndrome (OAS). Cross-reactions are also observed between pollen of *Compositae*
1771 (mugwort) and celery.

1772 Other examples of cross-reactivity include those between latex and fruits, dust mite and shrimp
1773 tropomyosin, and mold and spinach. All foods belonging to the latex group (e.g. chestnut, walnut,
1774 kiwi, banana, avocado) have defence proteins (chitinases) with a common “hevein” domain that is
1775 present in the latex prohevein and accounts for most cross-reactivities.

1776 IgE antibodies interact *in vitro* with N-glycans, i.e. carbohydrate moieties linked to proteins
1777 (asparagine). α (1-3)-fucose and β (1-2)-xylose are considered the major cross-reactive carbohydrate
1778 determinants (CCDs) in plants (Andersson and Lidholm, 2003). N-glycans may be shared by pollen,
1779 plants and insects, but these are different from N-glycans present in mammalian proteins. There is
1780 evidence that N-glycans may contribute to the allergenic potential of some foods (e.g. celery) (Bublin
1781 et al., 2003). However, the biological role of CCDs in triggering clinical symptoms is matter of debate
1782 (Jin et al., 2008).

1783 *In vitro* techniques do not allow distinguishing between dual sensitisation (i.e. synthesis of IgE against
1784 proteins in two different foods) and cross-reactivity (i.e. synthesis of IgE against proteins in one food,
1785 which also bind proteins in a second food). In cross-reactions to several foods, different allergens may
1786 be causing cross-reactivity between different pairs of foods, as observed with snail, mite and shrimp
1787 (van Ree et al., 1996a). Moreover, profilins from certain species have been shown to induce cross-
1788 reactive IgE antibodies (birch, celery and latex), whereas others induce species-specific IgE (Radauer
1789 and Breiteneder, 2006).

1790 9.1. Conclusion

1791 Cross-reactivity occurs when IgE antibodies originally triggered against one antigen also bind a
1792 different antigen. Not all cross-reactivities identified *in vitro* are of clinical significance, and although
1793 most clinical cross-reactions are mediated by IgE antibodies, T cells may also be involved. However,
1794 *in vitro* cross-reactivity testing can help understanding allergenicity to multiple foods, as well as
1795 improving diagnosis and management of food allergy.

1796 10. Effects of food processing on allergenicity

1797 Food and food ingredients undergo different treatments to improve their palatability, to inactivate
1798 pathogenic microorganisms and/or to destroy toxins. Food is processed at home, in restaurants and
1799 institutional settings, and by the food industry.

1800 The structure and chemical properties of proteins are influenced by food processing techniques. Major
1801 modifications include protein unfolding and aggregation, proteolysis, glycosylation and glycation,
1802 solubility and pH effects, and networking to gel formation, which may alter its allergenic potential
1803 (Paschke, 2009). The extent to which proteins are modified during food processing depends upon the
1804 process conditions, the nature of the protein and the composition of the matrix.

1805 The allergenic activity of a complex food may decrease, remain unchanged, or even increase by food
1806 processing. Considering the multiplicity of the allergenic structures contained in a whole food and that
1807 different proteins may be differently affected by the same treatment, the impact of food processing on
1808 the structural and allergenic properties of food allergens is difficult to predict (Mills et al., 2009). In
1809 addition, the effects of processing on the IgE-binding capacity of allergens do not necessarily predict
1810 the allergenicity of the modified food in the allergic patient population.

1811 This section provides an overview of the most common methods of food processing and their effects
1812 on the allergenic potential of foods. Most studies available report on the IgE-binding capacity of
1813 processed foods rather than on their allergenicity, whereas systematic investigations on the effects of
1814 food processing on allergenicity under controlled conditions are scarce. The specific alterations
1815 induced by processing on foods/ingredients included in Annex IIIa of the Directive 2003/89/EC (as
1816 amended) are reported in the dedicated sections.

1817 **10.1. Thermal processing**

1818 Significant alterations in protein structure do occur during heat treatments. The nature and extent of
1819 such changes depend on the temperature and duration of the thermal processing, as well as on the
1820 intrinsic characteristics of the protein and the physicochemical conditions of its environment (e.g. pH,
1821 matrix composition). Typically, loss of tertiary structure is followed by (eventually reversible)
1822 unfolding, loss of secondary structure (55-70 °C), cleavage of disulphide bonds (70-80 °C), formation
1823 of new intra-/inter-molecular interactions, rearrangements of disulphide bonds (80-90 °C) and
1824 formation of aggregates (90-100 °C) (Davis and Williams, 1998). These modifications reflect a
1825 progressive passage to a disorganised structure with denaturation of the protein that adopt an unfolded,
1826 random-coil conformation. Other chemical modifications of the protein may also occur at high
1827 temperatures (100-125 °C and higher), e.g. formation of covalent bonds between the lysine residues
1828 and other constituents of the food matrix, leading to various adducts. In thermal treatments the
1829 conformational epitopes responsible for allergenicity are generally destroyed, whereas the linear
1830 epitopes may be maintained and others, hidden in the native conformation, may become surface
1831 exposed. In addition, thermal processing (e.g. Maillard reaction) can generate new immunologically
1832 reactive structures (neoallergens) as well as destroy existing epitopes (Davis and Williams, 1998).

1833 The structure of the protein strongly influences its stability and hence its modification upon heating.
1834 Roasting (i.e. 140 °C for 40 min) reduces the allergenicity of Cor a 1.04 (a major hazelnut allergen)
1835 and of hazelnuts by approximately 100-fold (Hansen et al., 2003). In contrast, IgE-binding to Ara h 1
1836 (a major peanut allergen) increases approximately by 90-fold in roasted vs. raw peanuts (Maleki et al.,
1837 2000). The explanation is that Cor a 1 belongs to the Bet v 1 superfamily of plant food allergens,
1838 which are generally thermolabile, whereas Ara h 1, a seed storage globulin, may form trimers upon
1839 roasting.

1840 An opposite effect of wet vs. dry heating on the allergenic potential of Ara h 1 and Ara h 2 has been
1841 reported (Mondoulet et al., 2005). Whereas roasting (dry heating at high temperature) increases the
1842 allergenic potential of peanuts, boiling (wet heating at lower temperature, < 100 °C) and frying (wet
1843 heating at high temperature, 120 °C) decrease it. Dietary and cooking habits may thus explain in part
1844 the geographical differences observed in the prevalence of peanut allergy, i.e. peanut allergy seems to
1845 be rare in countries where peanuts are eaten boiled or fried (e.g. China) than in Western countries
1846 where peanuts are mostly eaten roasted (Beyer et al., 2001).

1847 Allergens belonging to the prolamin superfamily have an inherently stable structure characterised by
 1848 the presence of several cysteine residues forming three or four intramolecular disulphide bridges,
 1849 which induce a constrained folded structure. These proteins are particularly stable to thermal and
 1850 chemical treatments. Allergens exhibiting such stability are the Brazil nut allergen Ber e 1, the sesame
 1851 allergen Ses i 1, and the non specific LTP from apple Mal d 3. Other examples of "heat stable"
 1852 allergens are milk proteins and the prolamin seed storage proteins from wheat, which form gluten. The
 1853 type of thermal treatment (e.g. autoclaving, blanching, microwave heating, dry roasting) does not seem
 1854 to decrease the allergenicity of stable proteins, such as those present in cashew nut seeds
 1855 (Venkatachalam et al., 2008).

1856 Nevertheless, the consequences of thermal treatment on allergenicity are generally unpredictable.
 1857 Some allergenic foods are described as heat stable (e.g. milk, egg, fish, peanuts and products thereof),
 1858 while others are considered partially stable (e.g. soybean, cereals, celery, tree nuts and their products)
 1859 or heat labile (fruits of the *Rosaceae* family and carrots) (Besler et al., 2001).

1860 **10.2. Enzymatic hydrolysis**

1861 Enzymatic hydrolysis is the most common process industrially used to reduce the allergenicity of a
 1862 protein. For example, proteases to reduce the allergenic potential of soybean, actinase to reduce the
 1863 allergenicity of rice, as well as trypsin and chymotrypsin, are used for producing hydrolysed formulas.

1864 The type and degree of hydrolysis depend on the primary structure of the protein, but also on its
 1865 secondary/tertiary structure and on post-translational modifications (e.g. glycosylation). The sites of
 1866 hydrolysis depend on the specificity of the proteolytic enzymes utilised, whereas the degree of
 1867 hydrolysis is related to the working conditions. Most proteolytic treatments generate partial hydrolysis,
 1868 so that not all epitopes are destroyed (Asero et al., 2000). Moreover, proteolysis can destroy some
 1869 epitopes, but it can also unmask linear epitopes that were buried into the three-dimensional native
 1870 structure and/or located in hydrophobic domains of the protein, becoming available for IgE-binding.
 1871 Some peptides resulting from partial hydrolysis are still allergenic because they contain the epitope
 1872 and/or may form allergenic aggregates. For example, treatments of hazelnut with trypsin or elastase, of
 1873 soybeans with proteases and of wheat with bromelain decrease the likelihood of triggering allergic
 1874 reactions in sensitised individuals consuming these foods, whereas enzyme-mediated proteolysis does
 1875 not destroy IgE-binding epitopes in peanut and peach (Paschke, 2009).

1876 **10.3. Fermentation**

1877 A decreased IgE-binding capacity of β -lactoglobulin was observed in fermented milk and yogurt (Ehn
 1878 et al., 2004). In these highly pasteurised products, the protein is partially hydrolysed by the enzymatic
 1879 activity of the starter culture, which may destroy some epitopes. IgE-binding may also be prevented by
 1880 the protein gel structure and other aggregates. Fermentation with lactic acid bacteria (*Lactobacillus*
 1881 *helveticus* and *Streptococcus thermophilus*) also decreased the IgE-binding capacity of α -lactalbumin
 1882 and β -lactoglobulin in skim milk (Bu et al., 2010). No clinical data on the effects of milk fermentation
 1883 on allergenicity are available.

1884 Fermentation of soy and products thereof with bacteria and yeast (e.g. *Lactobacillus plantarum*,
 1885 *Bifidobacterium lactis*, *Saccharomyces cerevisiae*) generally reduces the IgE-binding capacity of soy
 1886 allergens (up to 89 %). All the commercial soy-containing products tested (e.g. yogurt, miso, tempeh)
 1887 show very low immunoreactivity (Song et al., 2008). However, allergenicity was retained in a soy
 1888 sauce, a fermented product containing both wheat and soy (Hefle et al., 2005).

1889 **10.4. High Pressure Processing**

1890 The High Hydrostatic Pressure (HHP) processing of food allows homogeneity of treatment through
 1891 the food product and shows a variety of effects on food allergens depending on the protein structures,
 1892 the type of epitopes involved (conformational vs. linear) and the processing conditions. HHP may
 1893 reduce immunoreactivity of a protein by destroying conformational epitopes and other mechanisms.
 1894 In HHP treated rice grains in distilled water, the reduced amount of allergenic proteins in rice was

1895 attributed to the release of these proteins from the grains into the aqueous solution (Estrada-Girón et
1896 al., 2005). In soy sprouts obtained from HHP treated seeds, the reduced immunoreactivity was
1897 explained by a higher availability of the HHP treated proteins for enzymatic hydrolysis during
1898 germination (Peñas et al., 2011).

1899 **10.5. Preservation**

1900 Methods commonly used to preserve safety, nutritional value and organoleptic properties of foods
1901 include control of pH, salting, smoking, and addition of spices and antioxidants. Little is known about
1902 the effects of long storage of preserved food products on allergenicity.

1903 **10.5.1. Effect of pH**

1904 The effect of pH on immunoreactivity has been studied in protein extracts from unprocessed and
1905 processed foods, where changes in the solubility of proteins resulting from the process-induced
1906 modifications must be considered. Denaturation of the protein may induce loss of conformational
1907 epitopes, increased accessibility of previously hidden epitopes, or the burying of previously exposed
1908 epitopes by unfolding/refolding/aggregation of the protein. A combined effect of pH changes and
1909 heating can induce partial hydrolysis of the protein, eventually destroying linear epitopes and
1910 decreasing allergenicity. In such experiments, interactions between the allergen and the food matrix
1911 have not been considered.

1912 Cashew nut allergens Ana o 1, Ana o 2 and Ana o 3 were examined in a range of pH (1-13) in relation
1913 to different processes (autoclaving, blanching, microwave heating and dry roasting, and γ -irradiation).
1914 The three allergens were stable over the tested pH range in any process, except at the extreme pHs 1
1915 and 13 (Venkatachalam et al., 2008). The IgE-binding capacity of Ara h 1, Ara h 2 and Ara h 3 was
1916 reduced after treatment at pH 1 with acetic acid or commercial vinegar (Kim et al., 2012).

1917 **10.5.2. Other preservation treatments**

1918 Some preservation methods have been used to reduce the allergenicity of foods, but only data in
1919 relation to their effects on the IgE-binding capacity of allergens are available at present. Pulsed
1920 ultraviolet light (PUV) treatment reduced the IgE-binding capacity of peanut extracts and liquid
1921 peanut butter (Chung et al., 2008b), while γ -irradiation had no effect. Ultrasound treatments have been
1922 reported to reduce the IgE-binding capacity of shrimp proteins (Li et al., 2006). Ultrafiltration was also
1923 used to reduce the IgE-binding capacity of peach juice and nectar by partially removing the offending
1924 proteins (Brenna et al., 2000).

1925 **10.6. Multiple treatments**

1926 Whereas any single treatment is unlikely to effectively reduce or abolish the allergenicity of a food,
1927 the IgE-binding capacity of proteins could be extensively reduced by combining two or more
1928 treatments, although the effect of treatment combination on the allergenic potential of a food is again
1929 unpredictable.

1930 The IgE-binding capacity of eggs and products thereof can be decreased about 100 times by
1931 combining enzymatic and thermal treatments (Hildebrandt et al., 2008). Also the susceptibility of
1932 ovalbumin to proteolysis by pepsin was increased by the simultaneous application of HHP and
1933 enzymatic treatments, leading to lower IgE-binding capacity of the hydrolysates (López-Exposito et
1934 al., 2008). However, despite the absence of intact protein, hydrolysates maintained IgE- and IgG-
1935 binding capacity on account of the formation of long hydrophobic peptides, which retained sequential
1936 epitopes. In contrast, the IgE-binding capacity of almond, cashew nut and walnut proteins remained
1937 stable after γ -irradiation (1-25 kGy) even when combined with common thermal processing methods,
1938 including autoclaving, dry roasting, blanching, oil roasting and microwave heating (Su et al., 2004).

1939 **10.7. Conclusion**

1940 The allergenic activity of a complex food may decrease, remain unchanged, or even increase by food
 1941 processing. Considering the multiplicity of the allergenic structures contained in a whole food and that
 1942 different proteins may be differently affected by the same treatment, the impact of food processing on
 1943 the structural and allergenic properties of allergenic foods/ingredients is difficult to predict. In
 1944 addition, the extent to which allergenic proteins are modified during food processing depends on the
 1945 type of process and its conditions, the structure of the proteins, and the composition of the matrix.
 1946 Although the effects of different (technological and cooking) treatments on the IgE-binding capacity
 1947 of several allergens have been investigated, less information is available on the effects of processing
 1948 on clinical reactivity.

 1949 **11. Methods for the detection of allergens and allergenic ingredients in food**

1950 Reliable methods for the detection and quantification of food allergens are necessary in order to ensure
 1951 compliance with food labelling legislation. Different approaches have been designed to detect the
 1952 presence of allergenic ingredients in food products depending on the allergen to be detected, the food
 1953 matrix, and the technological treatments applied, so that no single method fits all purposes.

1954 The choice of the method requires first the identification and selection of the target analytes. Several
 1955 methods target a specific allergenic protein or a number of allergenic proteins present in the food
 1956 (direct analysis), whereas others target the DNA as a marker of the allergenic ingredient (indirect
 1957 analysis). Several reviews on qualitative and quantitative methods for the analysis of food allergens
 1958 are available (Poms et al., 2004a; van Hengel, 2007; Kirsch et al., 2009; Monaci and Visconti, 2010;
 1959 Sancho and Mills, 2010).

1960 Analysis of proteins is commonly performed by immunological (notably ELISA) and physico-
 1961 chemical methods (in particular mass spectrometry). Analysis of DNA is based on the amplification of
 1962 specific DNA fragments by means of the polymerase chain reaction (PCR) and on the use of specific
 1963 primers, which identify the sequence of the food ingredient to be amplified. PCR methods are
 1964 commonly used for detecting the presence of allergenic ingredients in foods (Table 3).

1965 **Table 3:** Commonly used methods for food allergen analysis

Physico-chemical methods	Analysis of Proteins		Analysis of DNA PCR-Mediated Methods
	Immunological methods		
(1DE/2DE) SDS-PAGE	ELISA		End-point PCR
HPLC	Immunoblotting		Real Time PCR
Capillary electrophoresis	RIE		PCR-ELISA
Mass spectrometry	LFD		DNA Biosensors
	Dipsticks		DNA Microarrays
	Dot-blot		
	Protein Biosensors		
	Protein Microarrays		

1966 ELISA = enzyme linked immunosorbent assay; HPLC = high performance liquid chromatography; LFD = lateral flow
 1967 devices; PCR = polymerase chain reaction; RIE = rocket immuno electrophoresis; SDS-PAGE = sodium dodecyl sulphate-
 1968 polyacrylamide gel-electrophoresis.

1969

1970 Any given analytical method needs a well-defined reference material and a reliable method of
 1971 recovery. The usual criteria of sensitivity, specificity, accuracy and precision (repeatability,
 1972 reproducibility) have to be fulfilled. Cross-reactivity, matrix effects and food processing should also
 1973 be considered (Poms and Anklam, 2004). When investigating the effects of food processing on
 1974 allergen detection, incurred samples (i.e. to which the allergen of interest has been added before

1975 processing) are preferred to spiked samples (i.e. to which the allergen of interest has been added after
1976 processing).

1977 **11.1. Detection of allergens without previous separation of proteins**

1978 The extraction procedure (using solvents and buffers) is a critical initial step in food allergen analysis,
1979 which strongly impacts recovery and performance of the subsequent detection system. Extractability
1980 of the proteins depends on their isoelectric point and their polar/apolar nature, the pH and the
1981 temperature of the extraction solvent, the presence of eventual interferents in the food, the food matrix
1982 and the production process, so that there is no universal solvent/buffer suitable to extract all proteins.
1983 The use of a mixture of chaotropic agents (thiourea/urea) and detergents (e.g. CHAPS), though
1984 improving solubility, may induce denaturation of the protein and hamper its detection by antibodies.

1985 **11.1.1. Immunological methods**

1986 Immunological methods utilise antibodies for the recognition of specific allergenic proteins and can be
1987 performed either directly on a protein mixture or with previous separation of the proteins. The former
1988 methods include Enzyme Linked Immunosorbent Assays (ELISA), Lateral Flow Devices (LFD),
1989 Dipsticks, Rocket Immuno Electrophoresis (RIE), Dot-immunoblotting (Dot-blot), Protein
1990 Microarrays and Protein Biosensors, which are rapid screening methods. The latter methods involve
1991 preliminary separation by mono- or bidimensional gel electrophoresis (1DE or 2DE SDS-PAGE),
1992 HPLC or capillary electrophoresis (CE) of the proteins, followed by immunoblotting.

1993 **11.1.1.1. Enzyme Linked Immunosorbent Assay**

1994 The most frequently used allergen detection technique is the Enzyme Linked Immunosorbent Assay
1995 (ELISA), which allows the detection of known allergens by using specific antibodies. “Sandwich” and
1996 “Competitive inhibition ELISA” are commercially available, with both direct and indirect detection.

1997 In sandwich ELISA assays the antigen present in the food sample is captured by a specific antibody
1998 immobilised on a solid surface forming an Ab-Ag complex. The complex reacts with a second analyte-
1999 specific antibody which is conjugated to an enzyme, forming a “sandwich”, and the enzyme reacts
2000 with a specific substrate developing a colour. The concentration of the Ab-Ag complex, measured by
2001 the absorbance of the coloured product, is directly proportional to the amount of allergen present in the
2002 sample (direct detection). A calibration curve built with proper standards provides quantitative results.

2003 In competitive ELISA assays the antigen bound to the solid phase competes with the food antigen
2004 present in the sample for binding to an analyte-specific antibody. If no second enzyme-labelled,
2005 analyte-specific antibody is available, an enzyme-labelled species-specific antibody is used (indirect
2006 detection). The absorption of the coloured product formed after addition of the substrate is inversely
2007 proportional to the concentration of the analyte.

2008 ELISA methods have been automated allowing high throughput and routine analysis with a limited
2009 amount of reagents. They are fast and relatively easy to handle. The recovery and selectivity depend
2010 on the protein extraction solvent, which may affect the Ag-Ab binding. The sensitivity is generally
2011 good, with a limit of detection/limit of quantification (LOD/LOQ) in the range of 0.07-1.5 mg/kg
2012 depending on the allergenic ingredient and food matrix. An overview of the most recently published
2013 ELISA methods for most allergenic foods/ingredients in Annex IIIa of Directive 2003/89/EC, as
2014 amended, is available (Monaci and Visconti, 2010). The results are reproducible for the same ELISA
2015 kit and food matrix, whereas results from different kits may diverge depending on the specificity of
2016 the antibody and the reference material. Antibodies present in the kit may cross-react with other
2017 proteins or other food matrix components, leading to false positive results. Monoclonal antibodies are
2018 directed to a single epitope of a particular allergen and are very selective. Polyclonal antibodies
2019 recognise multiple epitopes, are more tolerant to slight protein modifications, and thus preferred for
2020 testing allergens eventually modified by technological processes. Among the ELISA kits
2021 commercially available for the detection of food allergens (Schubert-Ullrich et al., 2009), only few

2022 have been validated by the Association of Analytical Communities (AOAC) International
2023 (Mermelstein, 2008).

2024 Very important for the quantification of allergens is the availability of certified reference materials
2025 (CRM). Reference materials developed by different producers are commercially available for most
2026 major food allergens, but the results obtained may not be comparable. To the Panel's knowledge, only
2027 a peanut test material has been produced by the Institute for Reference Materials and Measurements
2028 (IRMM) IRMM-481, containing five different varieties of peanuts. For egg detection, egg powder
2029 from the National Institute of Standards and Technology (NIST) (NIST RM-8445) and for milk the
2030 NIST fat-free milk powder (NIST RM-1549), are available though not certified.

2031 ELISA has been combined with other techniques, such as Inductively Coupled Plasma Mass
2032 Spectrometry (ICP-MS), in order to increase the sensitivity and the precision of the assay (Careri et
2033 al., 2007b). In ELISA-ICP-MS, the secondary antibody is labelled with a stable isotope (europium), so
2034 that the Ag-Ab1-Ab2 complex can be quantified by MS. The method was able to detect low amounts
2035 of peanuts (down to approximately 2 mg/kg) in a cereal-based matrix.

2036 11.1.1.2. Lateral Flow Devices and Dipsticks

2037 Lateral Flow Devices (LFDs) and Dipsticks are simplified versions of ELISAs for which also
2038 sandwich and competitive formats are available. They are inexpensive, quick, portable, and do not
2039 require particular skills, but are only qualitative or semi-quantitative (LOD about 1 mg/kg). In LFD
2040 the sample flows along a polyvinylidene difluoride (PVDF) membrane by capillarity to reach a line
2041 where the antibody has been adsorbed, giving rise to a coloured Ab-Ag complex. Dipsticks are based
2042 on the same principle but do not have a mobile phase moving up the strip. A number of LFDs and
2043 Dipsticks are commercially available for most allergenic foods/ingredients in Annex IIIa of Directive
2044 2003/89/EC (Schubert-Ullrich et al., 2009).

2045 11.1.1.3. Rocket Immuno Electrophoresis

2046 In Rocket Immuno Electrophoresis (RIE) antibodies are incorporated in the gel covering an
2047 electrophoresis plate. The protein extract is allowed to flow on the plate where the proteins are
2048 separated according to their electrophoretic mobility. Precipitation of the Ag-Ab complex occurs from
2049 the beginning of migration, so that the allergen detected appears as a rocket shape. The method is
2050 semi-quantitative and not suitable for routine analysis (LOD = 2.5-30 mg/kg) (Besler et al., 2002).

2051 11.1.1.4. Dot-immunoblotting

2052 This technique is a simplified version of the Western blotting in which proteins are not separated. The
2053 sample extract is spotted on a nitrocellulose or PVDF membrane as a dot and incubated with an
2054 enzyme-labelled allergen specific antibody. Upon binding with the target antigen, a coloured spot is
2055 observed after the enzyme-substrate interaction. Dot-blot is a qualitative/semi-quantitative test
2056 (2.5 mg/kg for peanut) suitable for preliminary screening purposes (Blais and Phillippe, 2000).

2057 11.1.1.5. Protein Microarrays

2058 Immunoassays for allergen testing, in particular microarrays, allow a large number of allergens to be
2059 tested simultaneously for diagnostic purposes. The most common protein microarrays for food
2060 allergens are the antibody microarrays, where antibodies raised against known allergens are
2061 immobilised by microprinting or microstructuring processes to form a patterned surface on the chip
2062 (e.g. a glass slide or a well array) allowing the recognition and quantification of allergens in food
2063 samples in the microliter to nanoliter range (Seidel and Niessner, 2008). Detection can be achieved by
2064 different techniques and data analysis is performed with software for image processing. Analytical
2065 flow-through microarray platforms for quantification of allergens by sandwich ELISA have also been
2066 developed. The platform consists of a fluidic system for sample introduction, a reagent supply, a flow
2067 cell, a microarray and a detection system.

2068 “Multiplexed” format immunoassays allow simultaneous detection of several analytes with less
2069 amount of sample and reagents and lower cost with respect to conventional single-analyte
2070 immunoassays. However, allergens present in the food sample are not separated previously and may
2071 have a different accessibility to antibodies.

2072 **11.1.1.6. Protein biosensors**

2073 Biosensors are based on an integrated receptor-transducer device able to provide semi- or quantitative
2074 signals and provide a novel approach for allergen detection. The receptor is generally an antibody
2075 raised against an allergenic protein, which is immobilised on a sensor chip surface. The recognition
2076 event is converted by a transducer into a signal, which is detected by various physico-chemical
2077 techniques, e.g. Surface Plasmon Resonance (SPR). Several SPR-platforms and kits for allergenic
2078 proteins are commercially available and have been reported to be quite sensitive (LOD in the range 1
2079 to 10 mg/kg) (Yman et al., 2006). They require short time of analysis and may be suitable for
2080 automation.

2081 **11.1.1.7. Expression of the results on food allergens obtained by immunological methods**

2082 The European standard EN 15633-1 (CEN, 2009), relative to the research on food allergens by
2083 immunological methods, requires that results are expressed as total amount of allergenic ingredient per
2084 kg of food (mg/kg), or as total amount of protein per kg of food, using an appropriate factor which
2085 allows conversion to the amount of allergenic ingredient per kg of food. However, conversion factors
2086 are difficult to calculate when the composition of the food is not well known and the amount of protein
2087 per kilogram of food may change according to the origin of the ingredient and following technological
2088 treatments or cooking. LODs and LOQs are mostly expressed in the literature as mg of allergenic
2089 protein/kg of food or as mg/L in the case of liquid foods or as ng/mL of buffer solution.

2090 **11.1.2. Detection of allergens with previous separation of protein**

2091 Separation of proteins is a key point for the detection of allergens. The most commonly used protein
2092 separation method is the sodium dodecylsulfate polyacrylamide gel electrophoresis (SDS-PAGE) in 1-
2093 or 2-dimensional electrophoresis (1DE/2DE). 1D-SDS-PAGE separates the proteins according to their
2094 molecular mass relatively to the migration of standard protein markers (Pastorello and Trambaioli,
2095 2001a). 2DE is the combination of two electrophoretic techniques based on isoelectric focusing (IEF),
2096 which separates the proteins according to their isoelectric point followed by SDS-PAGE (De Angelis
2097 et al., 2010).

2098 Once the proteins of a food extract have been separated, they are transferred onto a membrane of
2099 nitrocellulose or of a hydrophobic polymer (e.g. polyvinylidene difluoride, PVDF) by blotting (or
2100 printing). The detection of the immobilised allergens is performed by incubation with an antibody
2101 solution, usually a human allergic individual serum or with antibodies raised against the allergens in
2102 animals. The antibodies specific to the immobilised allergens are then detected by incubation of the
2103 blot with an enzyme labelled second antibody, followed by the addition of the enzyme substrate. In
2104 order to confirm the identity of each immunoreactive protein, the spot obtained by 2DE is excised
2105 from the gel, digested by proteolytic enzymes (e.g. trypsin) into peptides, and subjected to mass-
2106 spectrometric (MS) analysis.

2107 2D-SDS-PAGE is an extremely powerful tool for separating proteins with similar molecular mass, it is
2108 most important for research studies, but it suffers from significant drawbacks. Solubilisation of the
2109 proteins with chaotropic agents and detergents may modify the isoelectric point (pI) and immuno-
2110 detectability. There can be spots overlapping/too many spots (low dynamic range). A concentrated
2111 antibody solution, usually human serum (individual sera) or antibodies raised against animals, is
2112 necessary. Variations gel to gel may be relevant. There is a need for confirmation and identification of
2113 the protein off-line (by Mass Spectrometry). A skilful operator is required and it is labour intensive
2114 and time consuming. It has also some limitations for hydrophobic and alkaline proteins. It is not
2115 quantitative and not suitable for routine analysis.

2116 Improvements in sensitivity, accuracy and precision have been obtained with the introduction of the
2117 fluorescence-based in gel electrophoresis (2D-DIGE), which eliminates gel to gel variation in protein
2118 migration, hindering computer assisted comparison of spot patterns, thus allowing resolution and
2119 identification of spots corresponding to isoforms or to process modified allergens (Chassaigne et al.,
2120 2009).

2121 Other separation techniques are also available for the separation of proteins, such as liquid
2122 chromatography (LC) (Heick et al., 2011a), capillary electrophoresis (CE), and field-flow
2123 fractionation (FFF) (Reschiglian and Moon, 2008). LC is advantageous owing to its separation power,
2124 ease of automation and routine coupling with various detection techniques, in particular mass
2125 spectrometry (MS). In conclusion, LC techniques, despite their lower resolution power relatively to
2126 2D-SDS-PAGE, show a higher dynamic range and are suitable to automation. In connection with
2127 Mass Spectrometry, they are becoming the methods of choice for the identification and quantification
2128 of proteins.

2129 **11.1.3. Detection of allergens by Mass Spectrometry**

2130 Mass Spectrometry (MS) methods are available for the detection and unambiguous identification of
2131 food allergens on account of their specificity and sensitivity (Monaci and Visconti, 2009). Two
2132 strategies are available for the analysis of proteins by MS: the “bottom up” and the “top down”
2133 approach.

2134 11.1.3.1. The “bottom up” approach

2135 In the “bottom up” approach the protein is digested with one or more proteolytic enzymes in gel, in
2136 solution or in a column, and the peptides obtained are separated by chromatography (shotgun
2137 proteomics). Protein identification may be achieved by peptide mass fingerprinting (PMF) or by
2138 peptide fragment fragmentation (PFF) of one or more peptides by tandem-MS (MS/MS or MS²),
2139 which allows the sequencing and identification of each single peptide. In order to identify the allergen,
2140 the peptide spectra are scanned against specific protein-sequence databases using statistical tools.

2141 Different instruments are available to perform MS/MS: quadrupoles (Q), tandem time of flight (TOF²),
2142 ion traps (ITs) and Fourier Transform Ion-Cyclotron Resonance (FT-ICR). Quadrupole-TOF (Q-TOF)
2143 and TOF² are the most suitable to identify and quantify proteins, including modified allergens in
2144 processed foods. These MS instruments may be coupled to different ion sources (e.g. matrix-assisted
2145 laser desorption ionisation (MALDI) or surface-enhanced laser desorption ionisation (SELDI), which
2146 can be coupled with TOF analysers; electron spray ionisation (ESI), which is coupled to ion traps (ITs)
2147 and triple quadrupoles (QqQ)).

2148 An advantage of the “bottom-up” approach is the low LOD because peptides are more efficiently
2149 separated than proteins and can be detected by the more sensitive MS instruments. However, a
2150 limitation is the necessity of using a preliminary enzymatic digestion process, which might be non
2151 exhaustive, thus preventing the identification and quantification of the protein. Depending on the
2152 extraction method, digestion process, separation method and MS technique used, the LODs are in the
2153 order of 1-5 mg/kg, although a LOD of 0.2 mg/kg and a LOQ of 0.5 mg/kg have been obtained in
2154 some cases.

2155 11.1.3.2. The “top-down” approach

2156 The “top-down” approach is an emerging strategy, which involves gas-phase ionisation of the intact
2157 protein and mass measurements of the ions obtained by fragmentation with high resolution MS.
2158 MALDI-TOF analysis is currently applied in the “top-down” strategy for the identification of intact
2159 proteins, and in particular small proteins. Lysozyme, previously extracted from cheese using
2160 immunocapture with magnetic particles covered with the specific antibody, was detected at a level of
2161 5 mg/kg level with a method based on MALDI-TOF (Schneider et al., 2010a). However, MALDI-TOF
2162 may not be appropriate to detect small protein modifications resulting from food processing.

2163 11.1.3.3. Quantification of allergens by Mass Spectrometry

2164 MS is suitable for quantification of allergenic proteins provided that reference materials are available.
 2165 A method based on HPLC-MS (triple quadrupole) has been developed for the detection of milk
 2166 seroproteins (α -lactalbumin and β -lactoglobulin A and B) in fruit juices. The LOD and the LOQ were
 2167 estimated at 1 and 4 mg/L, respectively (Monaci and van Hengel, 2008).

2168 MS is also suitable for the quantification of allergens at the level of peptides by using isotopically
 2169 labelled synthetic peptides: the simultaneous detection and quantification of allergenic proteins from
 2170 five foods (cashew nut, hazelnut, almond, walnut and peanut) was obtained by monitoring five
 2171 biomarker peptides by LC-LIT-MS/MS, with LODs from 5 to 50 mg/kg (Bignardi et al., 2010).

2172 Methods involving stable isotopes can be used for quantification at the peptide level. Tagging by light
 2173 (^{12}C) and heavy (^{13}C -labelled) tags and using isotopically labelled synthetic peptides allow achieving
 2174 relative or absolute quantification, respectively. The so-called label-free absolute quantification
 2175 (AQUA) method based on signal intensity has become available only recently. The reference peptide
 2176 incorporates ^{13}C and ^{15}N isotopes in one of its amino acids, obtaining an expected mass difference
 2177 with respect to the endogenous peptide. The selected peptide must be unique to the protein of interest
 2178 and quantitatively obtained by complete enzymatic digestion of the native protein, since the
 2179 concentration of the protein is deduced from the concentration of the peptide. The MS method most
 2180 suitable for this analysis is the triple quadrupole in the Multiple Reaction Monitoring mode (MRM),
 2181 for which several variants have been reported but only few dedicated to allergenic proteins (e.g. of
 2182 peanut and casein). Quantitative methods for allergen analysis have been reviewed (Kirsch et al.,
 2183 2009). The LODs and LOQs are generally expressed in the literature as mg/kg, but often as pg of the
 2184 allergenic protein/mg of food or as ng/mL, and in few cases as absolute values (pg).

2185 **11.2. Detection of allergenic ingredients by DNA analysis**

2186 Indirect methods based on the detection of specific DNA sequences for the allergenic protein or the
 2187 allergenic food of interest may be used whenever direct methods for the detection of allergenic
 2188 proteins fail (e.g. foods containing low amounts of protein, processed foods with extensive
 2189 modification of native proteins). DNA-methods for allergen detection (Poms et al., 2004; Monaci and
 2190 Visconti, 2010) and their applicability to several allergenic foods (Demmel et al., 2008; Scaravelli et
 2191 al., 2008) have been extensively reviewed.

2192 DNA extraction from lipophilic matrices (e.g. fats and oils) with low amounts of DNA, from complex
 2193 matrices containing surfactants and emulsifiers, and from matrices containing compounds, which may
 2194 prevent DNA amplification (e.g. polyphenols, which are PCR inhibitors) can be particularly difficult.
 2195 DNA extraction methods include precipitation (e.g. with cetyltrimethylammonium bromide (CTAB)),
 2196 resin binding methods, and magnetic particles (Pafundo et al., 2011).

2197 **11.2.1. PCR**

2198 DNA methods are based on the amplification of specific DNA fragments by means of the Polymerase
 2199 Chain Reaction (PCR) which, by using specific oligonucleotides serving as primers, amplifies only the
 2200 DNA originating from the offending food. DNA may be amplified by end-point PCR, which is
 2201 qualitative (i.e. it detects the presence of a specific DNA sequence), or by real-time PCR, which is
 2202 quantitative, provided that an adequate reference material is used. The targeted DNA sequences are
 2203 not necessarily located in the genes encoding for the allergenic protein, and thus the analysis detects
 2204 genomic DNA of the offending ingredient but does not necessarily indicate the presence of the protein,
 2205 which is responsible for the allergic response. Several PCR kits are commercially available.
 2206 Restriction site analysis, DNA sequencing, or hybridisation with probes based on oligonucleotides or
 2207 their peptide analogues PNA (Rossi et al., 2006) can be used to confirm the detection of the correct
 2208 amplified sequence (amplicon).

2209 In end-point-PCR, after amplification, gel electrophoresis either as slab or as CE is used for routine
 2210 separation of DNA. Compared with conventional slab gel electrophoresis, CE is more sensitive and

2211 provides correct size information with improved resolution. Instrumentation with chip-like
2212 multichannel CE is available for this purpose. HPLC analysis can also be performed (Germini et al.,
2213 2005).

2214 Real-time PCR requires more expensive equipment, but allows amplification and detection of DNA in
2215 “real time”. In the most common set up of real-time PCR, the DNA is amplified in the presence of a
2216 specific oligonucleotide probe carrying a reporter dye and a quencher dye at the two extremities of the
2217 strand. The probe hybridises to the single strand DNA in the region limited by the two primers to be
2218 amplified. Being the quencher and the reporter relatively close, the fluorescence is suppressed. During
2219 amplification, the polymerase (a 5'-exonuclease) cleaves the probe, displacing reporter and quencher
2220 from the new copy strands, so that fluorescence is switched on. The number of cycles required to
2221 increase fluorescence above a standard predefined line correlates with the amount of the PCR product.

2222 The quantitative analysis of DNA by PCR (qPCR) depends on the availability of reference materials
2223 and on the knowledge of the genomic sequences. Standard materials are provided together with the
2224 commercially available kits or are produced “in house”. No certified reference materials (CRMs) are
2225 available.

2226 DNA methods available for the detection of several allergenic foods/ingredients and their respective
2227 LOD (0.1-100 mg/kg) have been reviewed (Monaci and Visconti, 2010). With few exceptions where
2228 the correlation between the amount of DNA and the amount of allergenic protein present in a food has
2229 been determined (Scaravelli et al., 2009), the DNA measured cannot be directly correlated to the
2230 amount of the allergenic protein but rather to the amount of allergenic ingredient in foods.

2231 11.2.1.1. Multiplex PCR methods

2232 Multiplex PCR methods based on different approaches have been developed for the simultaneous
2233 determination of several allergens. These systems save time and resources, but must be carefully
2234 designed and validated. In general, the amplification of a specific single sequence, both in end-point
2235 PCR and in real-time PCR, is considered more reliable on account of the higher specificity.

2236 A duplex real-time PCR assay for the simultaneous detection of sesame and hazelnuts in spiked food
2237 down to 0.005 % of both sesame and hazelnut has been developed (Schoringhumer et al., 2009), as
2238 well as a qualitative duplex real-time PCR method for the simultaneous detection of lupin and soy
2239 mitochondrial DNA with a LOD of 2.5 mg/kg in processed food (Gomez Galan et al., 2011). The
2240 simultaneous detection of hazelnuts and peanuts down to 50 pg DNA has been obtained after PCR
2241 amplification on a peptide nucleic acid (PNA) microarray (Rossi et al., 2006).

2242 Two tetraplex qPCR were developed for the simultaneous detection of eight allergenic foods (peanut,
2243 hazelnut, celery, soy, egg, milk, almond and sesame), with specificity and sensitivity in the range of
2244 0.01 % (Köppel et al., 2010). Two quantitative hexaplex real-time PCR systems for the detection and
2245 quantification of 12 allergenic ingredients in foods became available thereafter. The first system
2246 simultaneously determines DNA of cashew, peanut, hazelnut, celery, soy, and mustard, whereas the
2247 second determines DNA of milk, egg, almonds, sesame, pistachio and walnut (Köppel et al., 2012).
2248 The two tests showed good specificity and a LOD of at least 0.1 % for all allergenic ingredients in
2249 mixed foods. Quantification on a weight to weight base was not possible in the absence of reference
2250 materials. However, the two multiple PCR systems are suitable as screening tool in routine analysis.

2251 Another six-plex qPCR able to detect cashew, hazelnut, peanut, walnut, almond and sesame has been
2252 developed (Pafundo et al., 2010). The LOD of the template DNA is 5 pg for almond, peanut and
2253 hazelnut and 0.5 pg for cashew, walnut and sesame.

2254 A multiplex ligation-dependent probe amplification (MLPA) method for the detection of different nuts
2255 (peanut, cashew, pecan, pistachio, hazelnut, macadamia nut, almond, walnut and brazil nut) and
2256 sesame has been described (Ehlert et al., 2009). The technique does not amplify the target sequences,
2257 but rather the products resulting from the ligation of bipartite hybridisation probes. Ligation-mediated

2258 amplification offers many advantages over traditional qPCR in terms of specificity and reproducibility
2259 and may be extended to further targets of interest. The method is specific and sensitive, allowing the
2260 simultaneous detection of nuts and sesame seeds in the lower mg/kg range. The LOD for single
2261 allergenic ingredients in different food matrices was 5 mg/kg. Quantification was not possible, due to
2262 the lack of appropriate reference materials.

2263 A quantitative 10-plex competitive MLPA method for the detection of eight allergenic ingredients
2264 (sesame, soy, hazelnut, peanut, lupin, gluten, mustard and celery) with an internal positive control
2265 (IPC) is available (Mustorp et al., 2011). Amplicons were easily separated by CE. The sensitivity is
2266 high: the LODs varied from approximately 5 to 400 gene copies depending on the allergenic
2267 ingredient. For spiked foods the LODs were of the same order of magnitude or higher than those
2268 obtained with qPCR.

2269 Optical thin-film biochips for multiplex visible detection of eight allergenic ingredients (celery,
2270 almond, oat, sesame, mustard, lupin, walnut and hazelnut) in foods have been developed on the basis
2271 of two tetraplex PCR systems (Wang et al., 2011b). The PCR fragment targets are recognised by the
2272 biochip by enzymatic conversion of the nucleic acid hybrids to molecular thin films. The mass
2273 contributed by the thin film alters the interference pattern of light on the biochip surface, giving rise to
2274 a visible colour change on the chip surface. The absolute LOD was measured only for sesame (0.5 pg
2275 DNA); the practical LOD for sesame concentration in a blended mixture was 0.001 %, the lowest
2276 value observed so far.

2277 A DNA microarray on a digital versatile disk (DVD) has been developed for the simultaneous
2278 detection of hazelnut, peanut and soybean in food (Tortajada-Genaro et al., 2012). The method is
2279 versatile, specific and sensitive, with a LOD of 1 µg/g, and is particularly suitable for screening.

2280 11.2.1.2. PCR-ELISA

2281 PCR-ELISA is a combination of the highly specific DNA-methodology and the ELISA assay. A
2282 specific DNA segment of an allergenic food is amplified and then hybridised to an oligonucleotide
2283 probe labelled with a specific protein. The protein is recognised by a specific antibody carrying an
2284 enzyme, which in the presence of a substrate develops a colour. The concentration of DNA is
2285 proportional to the absorbance of the coloured solution (Holzhauser et al., 2002). Although some kits
2286 are available on the market, this method is complex and seldom used.

2287 11.2.2. DNA Microarrays

2288 The main feature of the microarray technology is the simultaneous detection of multiple analytes in
2289 one sample. Microarrays based on oligonucleotides (Bettazzi et al., 2008) or their analogs
2290 complementary to the DNA of several allergens have been developed (Rossi et al., 2006), but few are
2291 commercially available. The specific probes are immobilised on a solid surface by different techniques
2292 and recognise the complementary fluorescently labelled PCR amplicons. The resulting fluorescent
2293 spots are read with a fluorescence scanner at the proper wavelength. The method is qualitative and
2294 useful for a rapid screening.

2295 11.2.3. DNA Biosensors

2296 DNA-based biosensors are in rapid development. An electrochemical DNA sensor was developed for
2297 peanut allergen Ara h 1 detection with a LOD of 0.35×10^{-15} M. A Surface Plasmon Resonance
2298 Imaging (SPRI)-based biosensor using an immobilised PNA probe as receptor was able to detect non-
2299 amplified genomic soy DNA down to 41×10^{-21} M (D'Agata et al., 2010). DNA biosensors are used for
2300 research rather than for routine analyses.

2301 11.2.4. Expression of the results on allergenic ingredients obtained by DNA analysis

2302 The European Standard EN 15634-1:2009 relative to the detection of allergenic ingredients in
2303 foodstuffs by molecular biology methods based on DNA analysis establishes that LODs must be

expressed as the number of copies of DNA equivalent to a total quantity of the allergenic ingredient per kg of food (mg/kg). The equivalence is based on reference materials certified by the EU. In most cases standard materials have been produced in different laboratories independently by means of genomic DNA purified and quantified "in situ". The more recent European Standard EN 15842 (CEN, 2010) provides general considerations on the validation of the methods of the detection (immunochemical, DNA analysis and chromatography) of allergens and allergenic ingredients in foodstuffs. LODs are expressed in the literature in different ways: as number of copies of DNA, as absolute pg of DNA detected, as pg DNA/mg of food, or as percentage of the allergenic ingredient in food (% w/w) when the value is referred to a spiked or incurred preparation. When using biosensors, the LOD is expressed as molarity (M).

11.3. Detection of allergens and allergenic ingredients in processed foods

Technological processes and cooking generally affect the structure of the proteins, whereas DNA is more resistant to technological treatments (i.e. DNA is cleaved only at high temperatures or at acidic pH). Food processing also modifies the food matrix (e.g. disruption of structure and cells, gelification, generation of new intermolecular interactions among the components, aggregated/disaggregated assemblies and other supramolecular structures). Thus the extractability of the protein/DNA from processed food may be either easier or more difficult than from raw materials.

As for proteins, reduced detection of allergens from processed foods can be due to either poor extraction efficiency or to reduced accessibility to the epitopes in the immunological assay. Several buffers and extraction modes have been considered depending on the nature of the protein (hydrophilic/lipophilic) and of the matrix (Chassaigne et al., 2007). Ultrasonic and microwave extraction increased protein homogenisation and allergen extractability from a soybean meal and from roasted almonds (Albillos et al., 2011). The performance of available extraction kits for DNA analysis strongly depends on the food matrix (Pafundo et al., 2011).

Food processing may hamper the detectability of food allergens by immunological analysis. For example, the milk protein β -lactoglobulin is a globular compact protein, which is thermo-labile and resistant to degradation by digestive proteases. Heat treatments induce denaturation of the protein (i.e. loss of its 3D and 2D structure), which becomes undetectable by antibodies raised against the native form and thus by immunological methods. However, the denatured β -lactoglobulin keeps most of its allergenic potential because linear epitopes are recognised by IgE of allergic patients (Negroni et al., 1998). Moreover, heat treatment followed by sharp cooling (i.e. tempering) decreased the detectability of casein and β -lactoglobulin by commercial ELISA kits in a dark chocolate matrix, whereas it did not affect the detectability of peanut and egg (Khuda et al., 2012a).

The effect of heat treatment on the detection of peanut was investigated by using three real-time PCR methods and two ELISA kits (Scaravelli et al., 2009). A comparison was made between the two methods on both peanut kernels and peanut containing cookies baked under different conditions. A detrimental effect of the processing temperature/time on the detection of peanut was observed with either method. The performance of both methods was similar. The same trend was observed with roasted peanuts. In this case, the variability was higher between the two ELISA kits, which targeted two different proteins (Ara h 1/Ara h 2), than among the three PCR methods.

The use of incurred samples is preferable to the use of spiked samples to assess the effects of food processing on food allergens (Monaci and Visconti, 2010).

11.4. Conclusion

Screening (qualitative), quantitative and confirmatory methods are available for the detection of food allergens.

The majority of kits commercially available for routine food allergen analysis rely on immunological methods. ELISA methods are the most widely used because they are sensitive and specific for the

2351 detection of allergenic proteins and easy to use. However, commercial kits for quantitative analyses
2352 use different extraction buffers and calibration procedures, differ in the quality of the antibodies used,
2353 and the results vary among commercial brands and batches. Major limitations include matrix effects,
2354 insufficient extraction of the proteins, insufficient specificity owing to cross-reactions, and insufficient
2355 reproducibility of results. The use of incurred samples may help to improve the reliability of the
2356 method when analysing processed foods.

2357 Mass spectrometry, in combination with techniques such as 2D-SDS-PAGE or chromatography for the
2358 preliminary separation of the proteins and with allergen databases for their subsequent identification,
2359 is a reliable tool for the detection of known allergens and for the identification of new immunoreactive
2360 proteins. MS methods for quantitative analysis based on specific standard peptides or stable isotope
2361 labelling are not yet suitable for analyses of large numbers of samples, but can confirm results
2362 obtained otherwise.

2363 DNA methods allow detection of the allergenic food rather than of the allergenic protein and are
2364 complementary to immunological assays. DNA is generally more stable than proteins and thus suitable
2365 for analysis of processed foods. The extraction and amplification procedures are well established. Both
2366 end-point and real-time PCR allow simultaneous multiple analyses. Whenever ELISA kits are not
2367 available or not specific (e.g. celery), DNA analysis becomes the method of choice. Real-time PCR
2368 may provide quantitative results and allows multiplexed analysis. Commercial kits are available.

2369 The main problem for the quantification of allergens by immunological or DNA based methods is the
2370 unavailability of CRMs. Reference materials developed by different producers are commercially
2371 available for most major food allergens, but the results obtained with different kits may not be
2372 comparable. To the Panel's knowledge, a CRM for the detection of food allergens by immunological
2373 methods has only been developed for peanuts (IRMM-481). For milk and egg, two reference materials
2374 are commonly used, NIST RM-1549 and NIST RM-8445, respectively.

2375 **12. Determination of thresholds for allergenic foods/ingredients**

2376 **12.1. Introduction and terminology**

2377 The notion of determining threshold levels for allergenic foods below which sensitised consumers are
2378 not at risk of developing allergic reactions has attracted much attention from regulatory bodies,
2379 consumer associations and industry throughout Europe.

2380 The no-observed adverse-effect level (NOAEL) is the highest tested dose of an allergenic food, which
2381 does not trigger an adverse reaction in an allergic individual. The terms individual threshold, lowest
2382 observed adverse effect level (LOAEL) and minimal observed eliciting dose (MOED) or minimum
2383 eliciting dose (MED) have been used to describe individual allergen exposure levels below which an
2384 allergic individual is unlikely to react. The MOED/MED used for allergenic foods are similar to the
2385 LOAEL used for chemicals (Spanjersberg et al., 2007; Blom et al., 2013). However, the terms
2386 individual threshold and MOED/MED, which are occasionally used interchangeably, have different
2387 meanings.

2388 A MOED is defined as an individual's lowest level of exposure at which an 'objective' allergic
2389 reaction has occurred and below which an objective adverse effect is not expected in that individual,
2390 although 'subjective' allergic symptoms, such as abdominal pain, headaches, tingling sensation in the
2391 throat and similar could occur at lower dose levels (FDA (Food and Drug Administration), 2006;
2392 Taylor et al., 2009a). MED is the lowest tested dose of an allergen triggering allergic symptoms in an
2393 individual, whether "objective" or "subjective". In this context, the term allergic reaction is restricted
2394 to IgE-mediated adverse effects occurring usually within two hours after administration of the
2395 offending allergenic food. The true (rather than tested) individual minimum eliciting dose for an
2396 allergenic food, which is the individual threshold, lies between the NOAEL and the MED by
2397 definition.

2398 Both MOED and MED are often combined in statistical models to derive population threshold curves
 2399 (Blom et al., 2013) and calculate population-based eliciting doses (ED) at which a specified percent of
 2400 the allergic population is likely to react.

2401 **12.2. Determination of thresholds for an individual**

2402 Standardised DBPCFCs are the standard for analysis of individual threshold levels of an allergenic
 2403 food in food allergic patients (Sicherer et al., 2000a; Bahna, 2003). However, the selection of subjects,
 2404 the doses of allergen tested and the interpretation of the results vary from investigator to investigator.
 2405 Variability is particularly related to the scoring of the patient's subjective and objective symptoms and
 2406 their severity. In addition, most clinicians exclude from challenge studies those patients likely to have
 2407 the most severe reactions (i.e. highly sensitised individuals and those with history of anaphylaxis)
 2408 based on the individual's history (Taylor et al., 2002).

2409 Doses of the allergenic food/ingredient reported to trigger adverse reactions in controlled studies range
 2410 from micrograms to milligrams, and sometimes grams (Wensing et al., 2002b). It is not always stated
 2411 whether the doses reported relate to the administered allergenic protein equivalent or to the allergenic
 2412 food/ingredient. In some studies, the allergenic food is not administered in the form that it is usually
 2413 eaten (e.g. freeze dried, introduced as flour, or modified in other ways) (Hourihane et al., 1997).
 2414 Although such food preparations are necessary to fulfil strict DBPCFC criteria, they may affect the
 2415 LOAELs and MEDs for a particular allergenic food that are derived from that specific study
 2416 (Grimshaw et al., 2003).

2417 Variables affecting the determination of individual MEDs in DBPCFCs are listed in Table 4.

2418 **Table 4:** Variables affecting minimal eliciting dose levels

Variables
Severity of the allergic condition
Symptoms used as the clinical read-out system (subjective vs. objective symptoms and their associated severity)
Administration protocols, challenge conditions and food preparations
Raw versus processed food
Food matrix and allergen content of challenge foods
Total amount of administered dose and time frame
Reproducibility (false positives and negatives)
Co-factors (for example exercise, alcohol, medication)
Patient population (geographical distribution of underlying sensitisation rates for cross-reacting allergens; genetic background)

2419
 2420 Most DBPCFCs conducted in food allergic patients have been designed for diagnostic purposes rather
 2421 than to establish individual thresholds for an allergen (Hourihane et al., 1997; Wensing et al., 2002a;
 2422 Moneret-Vautrin and Kanny, 2004). In some cases, the gap between the NOAEL and the MED can be
 2423 considerable depending on the dose intervals used, and these studies did not provide a scientific basis
 2424 for setting a NOAEL or for recommending acceptable levels of intake of the allergenic food/ingredient
 2425 for an individual (Morisset et al., 2003a). In some other cases, either the MED (e.g. allergic
 2426 individuals not reacting to the higher tested dose) or the NOAEL (e.g. allergic individuals reacting to
 2427 the first tested dose) cannot be established. A statistical methodology, the interval censoring survival
 2428 analysis (ICSA), has been used to determine individual thresholds taking account of these
 2429 uncertainties in order to derive population threshold curves (Taylor et al., 2009a).

2430 **12.3. Determination of thresholds for a population**

2431 Three different approaches have been proposed to derive thresholds for allergenic food/ingredients at a
 2432 population level (Madsen et al., 2009): (i) the traditional risk assessment using the NOAEL and

2433 uncertainty factors, (ii) the Bench Mark Dose (BMD) and Margin of Exposure (MoE) approach, and
2434 (iii) probabilistic models.

2435 In traditional toxicological risk assessment, experimental studies testing different doses of a substance
2436 are generally used to determine the NOAEL, and then uncertainty factors (often between 100-1000)
2437 are applied to account for extrapolation from animals to humans and to account for inter-individual
2438 human variation in order to derive threshold levels for populations (Calabrese and Baldwin, 1994;
2439 Pelekis et al., 2003; Madsen et al., 2009). Such values are then compared to exposure levels in the
2440 population to characterise the risk of adverse health effects associated to the consumption of that
2441 substance. The ensuing risk is generally a point estimate resulting from the worst-case value for each
2442 variable (NOAEL, health outcome and exposure levels). In food allergy, the level of exposure to
2443 allergenic foods/ingredients, which may trigger adverse allergic reactions in susceptible individuals, is
2444 extremely variable, so that setting population thresholds for allergenic foods/ingredient using a
2445 traditional toxicological risk assessment approach is not appropriate.

2446 The BMD approach has also been used in toxicology safety assessment. Rather than using a single
2447 data point from a single study, all experimental data as available are fitted in a distribution curve to
2448 calculate the BMD lower limit (BMDL), which is divided by the estimated intake in a population to
2449 derive a Margin of Exposure (MoE). Different margins of exposure can be calculated using the same
2450 BMD distribution for different exposure scenarios. However, the MoE does not describe the likelihood
2451 that an adverse reaction will occur in the allergic population.

2452 A probabilistic approach for food allergy risk assessment has been proposed (Spanjersberg et al.,
2453 2007; Madsen et al., 2009) and tested for some allergenic foods (van Bilsen et al., 2011; Allen et al.,
2454 2013; Blom et al., 2013; Remington, 2013; Taylor et al., 2013). This approach estimates the
2455 distribution of intake of an allergenic food in a given population (from food consumption and the
2456 concentration of the allergenic ingredient in foodstuffs) and the threshold probability distribution for
2457 that allergenic food in the same population (from individual MEDs and LOAELs reported in, or
2458 calculated from, DBPCFCs). By comparing the threshold distribution to the distribution of
2459 consumption of the allergenic food, the probability of an allergic reaction occurring upon exposure to
2460 an allergenic food expressed as mg of total protein is calculated. Factors to convert mg of total protein
2461 into mg of allergenic food/ingredient are available (Remington, 2013; Taylor et al., 2013). The graphic
2462 statistical display allows the definition of the eliciting dose (usually ED₀₁, ED₀₅ or ED₁₀) at which a
2463 particular percentage (1 %, 5 % or 10 %) of the allergic population is likely to react when exposed to
2464 that level of allergenic ingredient (Madsen et al., 2009). The reliability of the estimates depends on the
2465 type, quality, and amount of data used, particularly to describe the lower end of the distribution; on the
2466 extent to which the sample used to derive the MED distribution is representative of the overall allergic
2467 population; and on whether food consumption data from dietary surveys reflects consumption patterns
2468 in the allergic consumer.

2469 Different threshold probability distributions and eliciting doses have been estimated for few allergenic
2470 foods depending on the amount and characteristics of the challenge studies used (e.g. age and gender
2471 of subjects, challenge materials, geographical region, discrete versus cumulative trigger doses), on the
2472 distribution models applied (e.g. log-logistic, log-normal or Weibull), and on the approach followed to
2473 derive population thresholds (BMD versus probabilistic models) (Eller et al., 2012; Allen et al., 2013;
2474 Remington, 2013; Taylor et al., 2013). Estimated EDs for each allergenic food/ingredient as mg of
2475 total protein are depicted in Appendix A. For example, the type of challenge studies included in the
2476 distribution (e.g. food challenges for immunotherapy, threshold-finding or diagnostic purposes) had an
2477 impact on the ED₀₁ and ED₀₅ estimated for milk (Allen et al., 2013). The challenge material used
2478 significantly affected population threshold doses for egg (cooked or raw whole egg vs. raw egg white),
2479 whereas the age of the sample population used to calculate the individual threshold curves
2480 significantly affected population threshold doses for hazelnut (Allen et al., 2013; Taylor et al., 2013).
2481 The scarcity of data available did not allow an independent assessment of the effect of geographical
2482 region on estimated eliciting doses for populations for any allergenic food/ingredient. Moreover, to
2483 offset the lack of data from oral food challenges for some allergenic foods/ingredients, data were

2484 sometimes extracted from both published and unpublished studies (Allen et al., 2013; Taylor et al.,
2485 2013). Threshold doses estimated for the same allergenic food/ingredient and expressed as mg of total
2486 protein notably varied from one group of investigators (Eller et al., 2012) to another (Allen et al.,
2487 2013; Remington, 2013; Taylor et al., 2013).

2488 The lowest reference doses (mg of protein) which have been proposed by others for labelling purposes
2489 based on estimated ED₀₁ for objective symptoms, 95 % lower CIs of the ED₀₅, or both, depending on
2490 the allergenic ingredient, are for mustard (0.05 mg) and egg (0.03 mg), followed by milk and hazelnut
2491 (0.1 mg) and by peanuts and sesame seeds (0.2 mg). Higher reference doses have been proposed for
2492 soy and wheat (1 mg), cashew (2 mg), lupin (4 mg), and the highest for shrimp (10 mg) (Allen et al.,
2493 2013; Taylor et al., 2013).

2494 The Panel notes that, at present, there is no standard method to calculate population thresholds across
2495 allergenic food/ingredients, and that decisions on the sources of data (occurrence and intake data,
2496 studies used to derive individual thresholds) and that the distribution models and approaches chosen to
2497 derive population thresholds have been based on expert judgement, the underlying basis of which are
2498 not fully transparent (e.g. use of unpublished data) and therefore not reproducible. The Panel also
2499 notes the high variability among various population thresholds estimated for a same allergenic
2500 food/ingredient, and that the accuracy of these thresholds has not been tested yet prospectively in real
2501 life conditions (i.e. percentage of the allergic population actually reacting to a given dose of the
2502 allergenic ingredient when consumed in different food matrices and eating occasions relative to the
2503 percentage of the allergic population estimated to react from population threshold curves).

2504 **12.4. Prediction of individual sensitivity**

2505 Specific IgE levels and results of skin prick tests are only loosely related to the likelihood and severity
2506 of allergic reactions. In addition, several attempts to use these tests for the prediction of individual
2507 thresholds and/or the severity of allergic reactions in allergic individuals have yielded conflicting
2508 results (Eigenmann and Sampson, 1998; Boyano Martinez et al., 2001; Niggemann et al., 2001;
2509 Sampson, 2001; Morisset et al., 2003a; Osterballe and Bindslev-Jensen, 2003).

2510 Thresholds derived for populations and risk management purposes (e.g. which assume a particular
2511 risk, margin of safety, or a percentage of the population reacting to a particular dose of allergenic
2512 food/ingredient) cannot be used by individuals to manage their food allergy, unless they are aware of
2513 their own (individual) threshold levels under various conditions, e.g. food matrix, ingestion in
2514 combination with exercise or medications.

2515 **12.5. Conclusion**

2516 Current clinical, epidemiological and experimental data do not allow determining safe allergen
2517 threshold levels that would not trigger adverse reactions in a sensitised consumer. Different threshold
2518 probability distributions and eliciting doses (usually ED₀₁, ED₀₅ or ED₁₀, at which 1 %, 5 % or 10 % of
2519 the allergic population is likely to react when exposed to that level of allergenic ingredient) have been
2520 estimated for few allergenic foods/ingredients, which vary among publications depending on the
2521 decisions made by expert committees regarding the amount and characteristics of the challenge studies
2522 used, the distribution models applied, and the approach followed. Considering that most clinicians
2523 exclude from the challenge studies those patients having the most severe reactions and that the
2524 reliability of these thresholds has not been tested prospectively in real life conditions yet, the Panel
2525 considers that thresholds derived for populations and risk management purposes cannot be used by
2526 individuals to manage their allergy, unless they are aware of their own (individual) threshold levels
2527 under various conditions.

2528 In the remaining sections of this Opinion dedicated to specific allergenic foods/ingredients, minimal
2529 (observed) eliciting doses for individuals reported in challenge studies, rather than estimated
2530 thresholds for populations, will be considered.

2531 **13. Coeliac disease**

2532 **13.1. Background**

2533 Coeliac disease is an autoimmune systemic disorder triggered by gluten in genetically susceptible
2534 individuals. It is a life-long disease with permanent gluten intolerance and is characterised by the
2535 presence of a variable combination of gluten-dependent clinical manifestations, coeliac disease-
2536 specific antibodies, HLA-DQ2 or HLA-DQ8 haplotype, and a small intestinal mucosal lesion
2537 (enteropathy) (Marsh, 1992; Collin et al., 1994; Fasano and Catassi, 2001; Tack et al., 2010; Husby et
2538 al., 2012; Ludvigsson et al., 2013).

2539 Coeliac disease is strongly associated with HLA-DQ2 and DQ8 (Marsh, 1992; Sollid, 2002; Schuppan
2540 et al., 2009). Gluten peptides are presented by DQ2- and DQ8- positive antigen-presenting cells to
2541 immunocompetent cells of small intestinal lamina propria. Tissue transglutaminase, which has also
2542 been identified as the important autoantigen in coeliac disease, is released and it may potentiate
2543 antigen presentation by deamidating or cross-linking gluten peptides (Schuppan et al., 2009). As a
2544 result, T cell activation, cytokine production, mucosal inflammation and destruction evolve. As a
2545 secondary event, production of humoral antibodies to the autoantigen transglutaminase and to
2546 gluten/gliadin peptides occurs. Immune pathophysiology of coeliac disease involves innate and
2547 adaptive immunity. The mechanisms are different from IgE-mediated food allergy. As a consequence,
2548 time course and clinical manifestations of the reactions are different.

2549 Coeliac disease has a wide range of clinical presentations in all age groups. The most severe cases may
2550 present with diarrhoea and cachexia; less severely affected patients may present with malabsorption
2551 resulting in weight loss and, in children, with failure to thrive. However, the disease may present with
2552 more insidious symptoms. In some childhood cases, impaired weight or height gain, or delayed
2553 puberty may be the only clinical evidence of illness. In adults, infertility, osteoporosis, iron deficiency
2554 or other deficiency syndromes may be the only clinical manifestation. Clinical manifestations and
2555 enteropathy are responsive to elimination of the trigger by a gluten-free diet except for a few cases of
2556 refractory coeliac disease (Meresse et al., 2009; Tack et al., 2010).

2557 The diagnosis of coeliac disease relies on a combination of typical symptoms and a small intestinal
2558 biopsy indicating enteropathy which responds to a gluten-free diet, on the demonstration of
2559 autoantibodies against endomysium and tissue transglutaminase as well as against deamidated gliadin
2560 peptides, and on the demonstration of the DQ2/DQ8 haplotype (Volta and Villanacci, 2011; Husby et
2561 al., 2012). Coeliac disease can also be diagnosed in the absence of symptoms.

2562 Coeliac disease can be associated with other autoimmune diseases, with type 1 diabetes mellitus and
2563 with IgA deficiency. First degree relatives of coeliac patients have a 10 % risk to develop coeliac
2564 disease themselves. Underestimation and unawareness of the diagnosis is still common.

2565 In some patients where coeliac disease had been excluded, non-coeliac gluten sensitivity has been
2566 suggested (Biesiekierski et al., 2011). However, this entity has not been well defined, and there are no
2567 reliable and accepted diagnostic criteria.

2568 **13.2. Epidemiology**

2569 **13.2.1. Prevalence**

2570 World-wide, the prevalence of coeliac disease based on the clinical diagnosis, classical gastrointestinal
2571 symptoms and enteropathy formerly appeared to be about 1:3 000 (Fasano and Catassi, 2001).
2572 However, this classical picture with abdominal distension, steatorrhoea and deficient growth has
2573 become rare. Oligosymptomatic forms (patients with anaemia, retarded puberty, dental anomalies, oral
2574 ulcers, infertility, abdominal pain, constipation, short stature, arthritis, neurological and psychiatric
2575 complaints) have become more predominant (Collin et al., 1999; Husby et al., 2012; Ludvigsson et al.,
2576 2013). Considering the classical picture, oligosymptomatic and even asymptomatic forms together, the

2577 overall prevalence is estimated to be as high as 0.5-1 % in Europe and the Western World (Fasano and
 2578 Catassi, 2001; Rubio-Tapia et al., 2009; Virta et al., 2009).

2579 **13.3. Proteins identified to trigger coeliac disease**

2580 Gluten is defined as the rubbery dough forming protein that remains when wheat flour is washed to
 2581 remove starch (Stern et al., 2001; Wieser and Koehler, 2008). Gluten is characterised by a unique high
 2582 content of glutamin and proline. It consists of glutenin and gliadin, two seed storage proteins, which
 2583 have been shown to trigger the pathophysiological and clinical features of coeliac disease. Glutenin is
 2584 a high-molecular protein fraction insoluble in alcohol. The alcohol-soluble gliadins contain mainly
 2585 monomeric low-molecular proteins. Gliadins belong to a group of plant storage proteins rich in proline
 2586 (prolamins). Gliadins contain repetitive peptide units such as QPQPFPPQQPY and PQQPFQ. These
 2587 repetitive peptide units are contained in α , γ , ω subtypes of gliadin, which have all been shown
 2588 to elicit the disease. A 33-mer peptide (LQLQPSTQPQLPYPQPQLPYPQPQLPYPQPQPF) was the
 2589 first primary initiator of the inflammatory response in coeliac disease identified (Shan et al., 2002).

2590 Wheat, rye and barley contain coeliac-active prolamins, whereas maize, rice, millet and sorghum do
 2591 not. Oats contains low amounts of the prolamin type avenin. Wheat, rye and barley have been
 2592 established to trigger coeliac disease, whereas maize, rice and buckwheat were found not to be
 2593 harmful. Toxicity of oats has been questioned. Uncontaminated oats seem to be safe in the vast
 2594 majority of patients of all ages (Janatuinen et al., 2002; Lundin et al., 2003; Holm et al., 2006;
 2595 Kemppainen et al., 2007), although oat cultivars may show variable toxicity depending on the
 2596 presence of specific peptide sequences with higher or lower immunogenicity (Comino et al., 2011;
 2597 Real et al., 2012). Yet, oats is commonly contaminated with other cereals containing gluten (mostly
 2598 barley).

2599 Different gluten peptides have been shown to elicit coeliac effects, both at the intestinal epithelia and
 2600 immunocompetent cells level (van de Wal et al., 1998; Anderson et al., 2000; Shan et al., 2002; Vader
 2601 et al., 2002; Koning et al., 2005; Bodd et al., 2012). At the level of T cell reactivity, stimulatory gluten
 2602 peptides have been identified up to the molecular level. There is a diverse repertoire of gluten peptides
 2603 eliciting a coeliac response, including immuno-dominant T cell stimulatory peptides rich in proline
 2604 residues (Arentz-Hansen et al., 2002; Vader et al., 2002; Molberg et al., 2005).

2605 **13.4. Possible effects of food processing on coeliac “toxicity” of gluten**

2606 Food processing generally does not affect coeliac “toxicity” of gluten. For example, partial hydrolysis
 2607 and enzymatic peptic-tryptic degradation of gluten do not affect coeliac-triggering properties since the
 2608 important peptide units are unaffected. Heat treatment (baked products) does not change coeliac
 2609 “toxicity” either, whereas complete acid hydrolysis abolishes toxicity. All food technology processes,
 2610 however, affect extractability and detectability of gluten, which are important in any attempts to
 2611 measure gluten quantitatively in food (Stern et al., 2001; Hischenhuber et al., 2006).

2612 **13.5. Detection of gluten in food**

2613 The existing methods of the detection for gluten in foods have been recently reviewed (Haraszsi et al.,
 2614 2011; Diaz-Amigo and Popping, 2012).

2615 **13.5.1. Immunological methods**

2616 **13.5.1.1. ELISA**

2617 A number of rapid and sensitive monoclonal and polyclonal sandwich ELISA kits are commercially
 2618 available for gluten analysis. However, the results obtained with such kits are often non comparable,
 2619 since they target different gluten components and differ in antibody specificity, extraction conditions
 2620 and matrix effects (Immer and Haas Lauterbach, 2010; van Eckert et al., 2010; Diaz-Amigo and
 2621 Popping, 2013).

2622 The Association of Analytical Communities and the Codex Alimentarius Commission (Codex
2623 Alimentarius Commission, 2006, 2008) have endorsed two different sandwich ELISA for gluten
2624 analysis in foods, which are reported to be suitable to quantify native and heat treated gluten. The
2625 former, based on the 401/21 mAb (Skerritt and Hill, 1991), mainly binds to ω -gliadin and glutenin
2626 subunits and is now obsolete. The latter, based on the mAbs R5 (Valdes et al., 2003), recognises
2627 potential coeliac-toxic epitopes occurring repeatedly in α/β -, γ - and ω -gliadin fractions which are
2628 conserved in wheat, barley and rye varieties. The method uses a “cocktail extraction method”, i.e. a
2629 disaggregating agent (guanidine hydrochloride) and a reducing agent (2-mercaptoethanol) in
2630 combination (García et al., 2005) that is able to solubilise gluten aggregates and has an acceptable
2631 repeatability and low LOD (1.5 mg/kg). However, both methods present limitations in quantifying
2632 barley proteins in gluten-free foods, such as oats, which is often contaminated with barley (Kanerva et
2633 al., 2006). The ELISA kit based on ω -gliadin underestimates the amount of barley prolamin, whereas
2634 the R5 antibody overestimates it. In the latter case, the problem may be overcome by using a hordein
2635 standard. A detailed comparison between the two methods has been published (Thompson and
2636 Mendez, 2008).

2637 Deamidation is an industrial way to modify the protein structure for increasing solubility and gluten
2638 functionality that affects the accuracy of detection and quantification by immunoassays. In a model
2639 system (gluten treated with 0.1 M HCl at 100 °C for 2 h), deamidation decreased the recognition of the
2640 antibody R5 by 600 times when analysed by the sandwich method and 125 times by the competitive
2641 format, while it abolished completely the recognition by the ω -gliadin based antibody (Kanerva et al.,
2642 2011). A new monoclonal antibody mAb PQQ3B4 binding both gliadins and glutenin subfractions
2643 was selected, able to detect either native or modified prolamins to similar degrees from wheat and
2644 other cereals and proposed as a promising candidate for improved gluten quantification (Tranquet et
2645 al., 2012).

2646 For gluten analysis in hydrolysed products (such as syrup and beer), competitive ELISA systems are
2647 more suitable than sandwich ELISAs because they allow detecting smaller peptide fragments (Haraszi
2648 et al., 2011). Some commercial assays, based on different antibodies, are available. A competitive
2649 ELISA assay based on the mAb R5 in combination with an efficient and compatible extraction
2650 (UPEX) solution is able to detect gluten in heat-treated and hydrolysed foods with a LOD of 0.36 µg/L
2651 and a LOQ of 1.22 µg/L for gliadins, respectively (Mena et al., 2012). For the same assay, a calibrator
2652 with a 1:1 mixture of hydrolysed gliadin from the Prolamin Working Group (PWG) and purified
2653 prolamins from rye and barley has also been used (Haas-Lauterbach et al., 2012).

2654 PWG gliadin is a well-characterised material which has been proposed (and generally accepted) as a
2655 reference material for gluten (van Eckert et al., 2006), although it is specific for gliadin only and has
2656 some limitations for gluten analysis (Diaz-Amigo and Popping, 2013).

2657 13.5.1.2. Lateral flow devices and dipsticks

2658 LFDs and dipsticks for rapid and sensitive qualitative detection of gluten are available (Immer and
2659 Haas Lauterbach, 2010).

2660 13.5.1.3. Biosensors

2661 A number of biosensors for detecting gliadin contamination in gluten-free foods have been developed
2662 but are not yet commercially available. Two electrochemical biosensors have been described (Nassef
2663 et al., 2008; Nassef et al., 2009). One uses an antibody raised against the immunodominant epitope of
2664 gliadine with a LOD of 5.5 µg/L. The second is based on the adsorption of anti-gliadin Fab fragments
2665 on gold surfaces. Detection of gliadin was evaluated by impedance (LOD = 0.42 mg/L) and
2666 amperometry (LOD = 3.29 µg/L).

2667 A quartz crystal microbalance biosensor incorporating gold nanoparticles was able to detect gliadin
2668 with a LOD of 8 µg/kg (Chu et al., 2012). Another biosensor used anti-gliadin antibody-conjugated
2669 immunomagnetic beads (IMBs) as the capture reagent to extract gliadin from food and fluorescence-

2670 dye-loaded immunoliposomal nanovesicles (IMLNs) to form a fluorescent sandwich complex (Chu
2671 and Wen, 2013). The polyclonal antibody showed a slight cross-reactivity with barley and rye. The
2672 LOD for gliadin was 0.6 mg/L, consistent with those obtained with the mAbs R5-based sandwich
2673 ELISA kit.

2674 **13.5.2. Mass Spectrometry**

2675 Although MS has widely contributed to assess the structure of gluten, its use for the quantitative
2676 determination of gluten in food faces several challenges. Gluten proteins are not soluble in the salt
2677 buffers typically used for protein characterisation and have few sites for tryptic hydrolysis. However,
2678 by using LC-MS/MS it was possible to detect and quantify relevant wheat gluten peptides in food
2679 products with a LOD of 1-30 pg/mg and a LOQ of 10-100 pg/mg (Sealey-Voysner et al., 2010).

2680 Gluten proteins in red wines fined with gluten, in which gluten could not be identified by
2681 immunological methods, were isolated by precipitation with potassium dodecyl sulphate (KDS) and
2682 analysed by LC-MS/MS. Wheat gluten proteins were detected down to 1g/hL of commercial wheat
2683 gluten (Simonato et al., 2011). An LC-ESI-MS/MS method was applied (Weber et al., 2009a) to detect
2684 and identify gluten in beer from different sources. In contrast with the most common ELISA assays,
2685 this MS method allowed to discriminate wheat proteins from barley proteins.

2686 By using a proteomic approach, the prolamin proteins present in purified hordeins, wort and beer were
2687 characterised and their relative amounts were quantified (Colgrave et al., 2012). MRM-MS was used
2688 as a robust and sensitive methodology to detect gluten hordein in beer. A comparison between ELISA
2689 and MS methods in the MRM mode for measuring hordein in beer (Tanner et al., 2013) showed that
2690 MS was more reliable than ELISA, as ELISA recognises only some epitopes of hordeins whereas MS
2691 measures peptides that are specific and unique, allowing quantification of hordein isoforms. Several
2692 beers manufactured with barley were found to contain unforeseen wheat proteins.

2693 Although MS methods are more reliable than ELISAs for gluten analysis, they are mostly used as
2694 confirmatory methods at present and are more suitable for regulatory agencies and research
2695 laboratories than for screening and routine analysis.

2696 **13.5.3. DNA based methods**

2697 Several end-point and real-time PCR methods allow verifying the absence of wheat, barley and rye
2698 DNA in "gluten-free" products. A quantitative competitive PCR system using gel electrophoresis for
2699 the simultaneous detection of wheat, barley and rye in gluten-free food based on amplification of a
2700 non-coding region of the chloroplast *trnL* gene does not discriminate among the three cereals
2701 (Dahinden et al., 2001). The absolute LOD was 20 pg of DNA for wheat and 2 pg of DNA for rye and
2702 barley. A PCR method targeting the glutenin gene to detect wheat DNA in a number of raw and heat
2703 processed foods had a LOD of 21.5 pg of DNA (Debnath et al., 2009). A real-time PCR method for
2704 the specific detection of wheat, rye, barley and oats could discriminate among the four cereals, but no
2705 quantitative results were provided (Sandberg et al., 2003).

2706 Another real-time PCR method for qualitative and quantitative detection of the rye (*Secale cereale* and
2707 *Triticosecale*) content in raw materials and processed foods was developed using a SYBR® Green
2708 detection system and a TaqMan® fluorogenic probe (Terzi et al., 2004). It was possible to detect 1 %
2709 of rye in a rye-rice model mixture. The SYBR® Green detection method was judged to be more
2710 precise than the TaqMan®.

2711 A sensitive qPCR system employing the fluorescent dye SYBR® Green for wheat contamination in
2712 gluten-free food had a LOQ of 20 pg DNA/mg (Mujico et al., 2011). This DNA based method was
2713 more sensitive than the mAbs R5-based sandwich ELISA kit, able to detect wheat below the LOQ of
2714 the ELISA (< 1.5 mg/kg).

2715 The performances of ELISA and PCR methods for the determination of gluten in different foods were
2716 evaluated by proficiency testing (Scharf et al., 2013). Although test kit-specific differences were
2717 observed for the ELISA kits, both ELISA and PCR methods showed reliable results for the
2718 determination of gluten and wheat in food.

2719 **13.6. “Gluten-free” food products and diets**

2720 A gluten-free diet excluding wheat, rye, barley and oats (because oats is commonly contaminated by
2721 other grains) is the conventional cornerstone treatment for the management of coeliac disease. Owing
2722 to individual variation and clinical heterogeneity of coeliac patients, it is difficult to find an acceptable
2723 value for trace amounts of gluten in foods which could be tolerated by the majority of coeliac patients
2724 (Stern et al., 2001; Hischenhuber et al., 2006).

2725 The usual daily intake of gluten is 15-20 g in the adult European population. In coeliac patients any
2726 effort has to be undertaken to exclude gluten/gliadin from the diet. Early studies have shown that
2727 100 mg of gliadin per day were able to induce coeliac specific histological lesions in children (Catassi
2728 et al., 1993). After a long-term dietary survey using naturally gluten-free products and also wheat
2729 starch-based products with reduced gluten content (Collin et al., 2004), a level of 100 mg/kg gluten
2730 was proposed for the dietary management of coeliac patients. Daily consumption of flour, which
2731 differs between European countries (range 10-500 g), needs to be considered.

2732 In a low-dose double-blind placebo-controlled challenge trial carried out in Italy (Catassi et al., 2007),
2733 where 0, 10 or 50 mg of gluten were given to coeliac patients daily for 90 days, 50 mg gluten per day
2734 produced measurable damage to the small-intestinal mucosa. To keep gluten intake < 50 mg/day,
2735 which was considered to be safe for most coeliac patients, a limit of 20 mg/kg gluten in foods was
2736 proposed. This figure took into account high regional consumption of wheat substitutes, thus allowing
2737 a 'safe margin' according to dietary habits of patients (Catassi et al., 2007).

2738 Based on these observations, Codex Alimentarius adopted in 2008 a revised codex standard for foods
2739 for special dietary uses addressed to persons intolerant to gluten (Codex Alimentarius Commission,
2740 2008). “Gluten-free” foods were defined as dietary foods consisting of or made only from one or more
2741 ingredients which do not contain wheat, rye, barley, or oats, and the gluten content does not exceed 20
2742 mg/kg of the food as sold or distributed to the consumer. In addition, the standard defined foods
2743 specially processed to reduce the gluten content to a level above 20 up to 100 mg/kg as foods
2744 consisting of one or more ingredients from wheat, rye, barley, oats or their crossbred varieties which
2745 have been specially processed to reduce the gluten content to these levels.

2746 Based on this, the European Commission has issued a Regulation⁹ concerning the composition and
2747 labelling of foods suitable for people intolerant to gluten, where the terms "gluten-free" (not exceeding
2748 20 mg/kg) and "very low gluten" (not exceeding 100 mg/kg) are set. It applies from 1 January 2012.

2749 Although the labelling of "gluten-free" and "very low gluten" foods is helpful for the dietary
2750 management of most coeliac patients, questions regarding low-dose and long-term gluten sensitivity,
2751 and the testing and toxicity of glutenin and gluten hydrolysates remain open. New standards, new
2752 methods of detection of gluten-related proteins in foods, and new therapies for coeliac disease are
2753 likely to be developed in the future (FDA (Food and Drug Administration), 2006; Donnelly et al.,
2754 2011; Sollid and Khosla, 2011).

2755 **13.7. Conclusion**

2756 Coeliac disease is a life-long autoimmune systemic disorder triggered by gluten and similar cereal
2757 storage proteins present in wheat, rye and barley. Its prevalence is estimated to be 0.5-1 %. Coeliac
2758 disease is under-diagnosed due to its various clinical manifestations. Diagnosis relies on a combination

⁹ Commission Regulation (EC) No 41/2009 of 20 January 2009 concerning the composition and labelling of foodstuffs suitable for people intolerant to gluten, OJ L 16, 21.1.2009, p. 3-5.

2759 of typical symptoms, presence of enteropathy responding to a gluten-free diet, demonstration of
2760 coeliac-specific antibodies and of the HLA DQ2/DQ8 haplotype. A gluten-free diet excluding wheat,
2761 rye, barley and oats (because commonly contaminated with other grains) is the conventional treatment
2762 for the management of coeliac disease. Methods for gluten analysis are available for the control of
2763 “gluten-free” products. ELISA methods, which are most frequently applied, present some analytical
2764 drawbacks. Mass spectrometry has not been used yet for quantification of gluten, whereas DNA-based
2765 methods are useful tools to detect eventual contaminations. The limit values of 20 and 100 mg/kg of
2766 gluten in “gluten-free” and “very low gluten” foods, respectively, help managing the diet of most
2767 coeliac patients efficiently.

2768 **14. Allergy to cereals containing gluten**

2769 **14.1. Background**

2770 The term “cereal” indicates any kind of plant producing grains, which are milled in order to obtain
2771 edible flour. It follows that “cereals” do not belong to a single botanical family, though the majority
2772 are from the grass family, named *Poaceae* or *Gramineae* (wheat, spelt wheat, rye, barley, oats, rice,
2773 maize, millet, sorghum, tef). Some belong to the family of *Polygonaceae* (buckwheat), and others to
2774 the family of *Amaranthaceae* (quinoa).

2775 From the grass family, rice (*Oryza sativa*) (Yamakawa et al., 2001), maize (*Zea mays*) (Pastorello et
2776 al., 2000; Scibilia et al., 2006; Weichel et al., 2006), millet (*Panicum milliaceum*) (Bohle et al.,
2777 2003), sorghum (*Sorghum bicolor*) and teff (*Eragrostis tef*) are non gluten-containing cereals which
2778 may induce food allergy. From the non-grass family, buckwheat (*Fagopyrum esculentum*) (Heffler et
2779 al., 2011) and quinoa (*Chenopodium quinoa*) are plants used as cereals.

2780 Only some cereals (wheat, spelt wheat, rye, barley, oats, khorasan wheat or their hybridised strains)
2781 contain gluten, which is defined as the rubbery dough-forming protein that remains when wheat flour
2782 is washed to remove starch. Gluten consists of the seed storage proteins glutenin and gliadin (see
2783 section 13). Only cereals containing gluten have been included in Annex IIIa of Directive 2000/13/EC.
2784 Nevertheless, allergies to cereals are not only elicited by gluten proteins, but also by other proteins
2785 present in gluten-containing and in gluten-free cereals. The focus of this chapter is restricted to cereals
2786 containing gluten, following Annex IIIa.

2787 Cereals are a major source of food in all parts of the world and account for 72 % of the protein in the
2788 human diet. World production of all cereal grains is about 1 600 billion tonnes annually. Wheat is the
2789 leading cereal grain, representing about one-third of the world cereal production, followed by rice and
2790 maize. Nearly two-thirds of the wheat produced is used for food. Wheat is consumed in different
2791 forms, all of which involve some degree of processing: products such as breakfast cereals are obtained
2792 from the whole kernel, but most of the wheat is milled into flour for baking. About 6 % of wheat
2793 undergoes industrial processing into gluten and starch, which are used in food as protein enrichment
2794 and as thickening agents, respectively.

2795 IgE-mediated allergic reactions to cereals were first described as occupational diseases caused by the
2796 inhalation of cereal flour by bakers or millers (“baker’s asthma”). Cereals can also induce immediate
2797 or delayed clinical reactions after ingestion (food allergy). The severity of symptoms varies from mild
2798 to severe (Armentia et al., 2002; Scibilia et al., 2006; Tatham and Shewry, 2008). Oral allergy
2799 syndrome, urticaria, flare-up of atopic dermatitis, respiratory and gastrointestinal symptoms,
2800 eosinophilic gastroenteropathy, non-coeliac gluten sensitivity and even anaphylaxis, which can also be
2801 induced by exercise, have been described in relation to cereal ingestion. The gluten-containing cereals
2802 described as causing IgE-mediated reactions are wheat, rye, barley, and oats.

2803 **14.2. Epidemiology**

 2804 **14.2.1. Prevalence**

 2805 **14.2.1.1. Europe**

2806 Population-based studies investigating the prevalence of cereal allergies in unselected populations are
 2807 scarce (Table 5). The most commonly mentioned allergies are related to wheat and, to a lesser extent
 2808 allergies related to barley, rye and oats (University of Portsmouth, 2013). It is sometimes difficult to
 2809 differentiate between prevalence of cereal allergy (IgE-mediated and non IgE-mediated) and
 2810 prevalence of cereal-related intolerances (including gluten sensitivity). In some cases, clinical
 2811 symptoms of food allergy to cereals can be similar to those observed in food intolerance, particularly
 2812 in children, and studies relying only on questionnaire-based methods are generally not reliable to
 2813 differentiate between food allergy and food intolerance in relation to gluten-containing cereals.

2814 **Table 5:** Estimated prevalence (%) of allergy to gluten-containing cereals in unselected European
 2815 populations by type of cereal, age group and method of diagnosis.

	Cereals	Wheat	Barley	Rye	Oat
All ages					
Self-reported	-	0.9	-	-	-
Clinical history and sensitisation	-	0.9	1.7	0.9	1
Young children (≤ 3 years)					
Self-reported	0.2-2.3	0.8-2.1	1.3-1.8	-	-
Sensitisation	-	0-0.2 (SPT)	-	-	-
Clinician diagnosed	0.9-1.1	0.3-2.4	1.3-2	-	-
Clinical history and sensitisation	0	-	-	-	-
Clinical history and FC	-	0-0.4	-	-	-
Children /adolescents (> 3-17 years)					
Self-reported	1.5	0.2-1.5	1.8	-	-
Sensitisation	-	0.3-1.2 (SPT) 4 (IgE)	-	-	-
Clinician diagnosed	2	0.3-3.4	2.7	-	-
Clinical history and sensitisation	-	1.3	-	-	-
Clinical history and FC	-	-	-	-	-
Adults/elderly (≥ 18 years)					
Self-reported	-	0.8	-	-	-
Sensitisation	-	2.8-13.9 (SPT) 2.8-5.5 (IgE)	-	7.3-11.1 (SPT) 0-2.8 (IgE)	-
Clinical history and FC	-	0	-	-	-

2816 FC = Food challenge; SPT = skin prick test; IgE = allergen specific IgE

2817

 2818 **Cereals**

2819 Three studies evaluated self-reported allergy to grains or cereals in Europe, but only one (Pyrhonen et
 2820 al., 2009) detailed the cereals consumed (oats, maize, rice, millet and buckwheat). No data were
 2821 available in adult populations. The estimated prevalence of cereal allergy ranged from 0.2 % at 18
 2822 months in Norway (Eggesbo et al., 1999) to 2.3 % at one year in Finland (Pyrhonen et al., 2009).
 2823 Based on clinician's diagnosis, the estimated prevalence of cereal allergy was 1.1 % at one year, 0.9 %
 2824 at two years and 2 % at three and four years in Finland (Pyrhonen et al., 2009). These two studies

2825 examined IgE- and non IgE-mediated cereal allergies. Self-reported allergy to cereals was estimated at
2826 1.2 % in young children in Sweden (Kristjansson et al., 1999). However, when the diagnosis was
2827 based on clinical history of food allergy and positive SPTs, this figure was zero.

2828 *Wheat*

2829 Several studies assessed the prevalence of self-reported wheat allergy (IgE- and non IgE-mediated). In
2830 young children (≤ 3 years), prevalence ranged from 0.8 % in Sweden (Ostblom et al., 2008a) to 2.1 %
2831 in Finland (Pyrhonen et al., 2009). The lowest prevalence (0.2 %) of self-reported wheat allergy was
2832 found in a group of 7-13 year olds in Greece (Zannikos et al., 2008). For the same age category (> 3 -
2833 17 years), the highest prevalence was reported in France at 1.5 % (Touraine et al., 2002). Clinician-
2834 diagnosed wheat allergy was assessed in two studies, in which 0.3 % of one and eight year olds in
2835 Sweden (Ostblom et al., 2008b) and 2.4 % and 3.4 % of two and four year olds in Finland (Pyrhonen
2836 et al., 2009), respectively, were diagnosed with wheat allergy by a clinician.

2837 Sensitisation determined via positive SPT was zero for one and three year olds in the UK (Venter et
2838 al., 2008), and 13.9 % in adults in Hungary (Bakos et al., 2006). The prevalence of wheat allergy
2839 based on a positive SPT and clinical history was estimated to be 0.9 % for all ages combined in
2840 Germany (Zuberbier et al., 2004). Based on positive IgE levels and clinical history, only one study
2841 (Ostblom et al., 2008b) reported a prevalence of 1.3 % among four year olds in Sweden.

2842 Using a combination of history and SPT and/or OFC and DBPCFC, the prevalence of wheat allergy
2843 was 0.4 % in one year olds, 0.3 % in two year olds, 0.2 % in three year olds and 0.3 % in six year olds
2844 in the UK (Venter et al., 2006a; Venter et al., 2008). Based on clinical history and positive
2845 OFC/DBPCFC, no cases of confirmed wheat allergy were found in children < 3 years (n = 486), three
2846 years (n = 111), and > 3 years (n = 301), or in adults (n = 936), in Denmark (Osterballe et al., 2005).

2847 *Rye, barley and oats*

2848 In Finnish children, the prevalence of IgE- and non IgE-mediated clinician diagnosed allergy to barley
2849 and rye was 1.9 % in children one to four years of age (Pyrhonen et al., 2009). Sensitisation rates
2850 based on positive SPT and IgE levels to rye were between zero and 11.1 % in adults in Hungary
2851 (Bakos 2006). In a German population combining all ages, prevalence of allergy was estimated to be
2852 2.2 %, 1.2 %, and 1.2 % for barley, rye and oats, respectively, based on a clinical history and positive
2853 SPTs (i.e. IgE-mediated allergy only) (Zuberbier et al., 2004). There are no studies available using
2854 food challenges to confirm diagnosis.

2855 **14.2.1.2. Outside Europe**

2856 Sensitisation rates to wheat in adults have been estimated to be 2.2 % in Australia based on positive
2857 SPTs (Woods et al., 2002) and 1.4 % in Japan based on serum IgE levels (Morita et al., 2012). When
2858 sensitisation rates were combined with clinical history, prevalence of wheat allergies was estimated to
2859 be zero in Australia and 0.2 % in Japan in the same studies. In the US the prevalence of wheat allergy
2860 in 0-3 year olds was 0.2 % when using food challenges (Bock, 1987) considering both IgE- and non
2861 IgE-mediated allergy.

2862 **14.2.2. Natural history**

2863 Wheat allergy resolves frequently by adolescence (Keet et al., 2009; Kotaniemi-Syrjanen et al., 2010).

2864 In Finland, 28 children diagnosed with wheat allergy (median age 21 months) were tested annually by
2865 OFC (Kotaniemi-Syrjanen et al., 2010). Wheat was tolerated by 59 % of the children by the age of
2866 four years, by 69 % by the age of six, by 76 % by the age of eight, by 84 % at the age of 10, and by
2867 96 % by the age of 16 years. Sensitisation to gliadin (SPT wheal size at least 5 mm) was significantly
2868 associated with a slower achievement of tolerance and an increased risk of asthma.

2869 In the US, 103 children (median age 19 months) with a symptomatic reaction to wheat and a positive
 2870 IgE test result were studied. Resolution of wheat allergy was determined on food challenge results.
 2871 Resolution rates were 29 % by four years, 45 % by six, 56 % by eight, 62 % by 10, 65 % by 12 and
 2872 70 % by 14 years. In this referral population, higher wheat IgE levels were associated with an
 2873 increased risk for persistence. However, 20 % of children with wheat IgE level > 100 kU/L outgrew
 2874 their wheat allergy (Keet et al., 2009).

2875 No data are available on the natural history of food allergy in relation to other gluten-containing
 2876 cereals.

2877 **14.2.3. Time trends**

2878 Two studies on the prevalence of self-reported wheat allergy were conducted in Finland in 1980 and
 2879 2001 using similar methodology. Both IgE- and non IgE-wheat allergies were considered. At one year
 2880 of age, self-reported allergy to wheat was estimated at 1 % in 1980 (Kajosaari, 1982) and at 2.1 % in
 2881 2001 (Pyrhonen et al., 2009). At two years of age, self-reported allergy to wheat was 1 % in 1980
 2882 (Kajosaari, 1982) and 2 % in 2001 (Pyrhonen et al., 2009). These two studies relied on questionnaire-
 2883 based methods, and thus do not allow concluding about time trends of wheat allergy.

2884 **14.2.4. Severe reactions/anaphylaxis**

2885 Wheat may trigger severe anaphylactic reactions in children with wheat allergy (Cianferoni and
 2886 Muraro, 2012). In Japan, wheat is often reported among the top three foods responsible for food-
 2887 induced anaphylaxis. Wheat also appears to be the third trigger of food-induced anaphylaxis in
 2888 children after milk and eggs (Imamura et al., 2008). In Europe, anaphylaxis to wheat seems to be less
 2889 frequent than in Asia (Panesar et al., 2013).

2890 Wheat has been also reported to be an important triggering factor for food-dependent exercise-induced
 2891 anaphylaxis (FDEIA) (Morita et al., 2007) and is considered the most frequent cause of FDEIA in
 2892 Japan (Aihara et al., 2001).

2893 **14.2.5. Factors affecting the prevalence of cereal allergy**

2894 In children, IgE-mediated wheat allergy is associated with birch pollen sensitisation and the
 2895 development of allergic rhinoconjunctivitis later in childhood (Kotaniemi-Syrjanen et al., 2010). The
 2896 timing of initial exposure to cereal grains and family history may also modify the risk of wheat allergy
 2897 (Poole et al., 2006).

2898 Some cereal allergic subjects would only develop symptoms if they exercise within a few hours after
 2899 cereal ingestion. This condition usually results in anaphylactic reactions and is denoted as wheat-
 2900 dependent exercise-induced anaphylaxis (WDEIA). In adults and adolescents, anaphylactic reactions
 2901 to wheat are most often food-dependent exercise-induced anaphylaxis.

2902 **14.3. Identified allergens**

2903 **14.3.1. Cereals containing gluten**

2904 Cereals containing gluten (wheat, rye, barley and to a lesser extent oats) are neighbours in the grass
 2905 family and show similarities in chemical composition, functional properties and allergenic potential of
 2906 their seed storage proteins (Battais et al., 2008; Tatham and Shewry, 2008). Identified allergens (IUIS
 2907 database) are shown in Table 6.

2908 **Table 6:** Allergens in cereals containing gluten

Common name/ Scientific name	Allergen	Biochemical name	Superfamily/family	Molecular weight^a (kDa)
Wheat <i>Triticum aestivum</i>	Tri a 12 Tri a 14	profilin ns-LTP 1	profilin prolamин	14 9

	Tri a 18	agglutinin isolectin 1	hevein-like domain	-
	Tri a 19	ω -gliadin	prolamин	65
	Tri a 25	thioredoxin	-	-
	Tri a 26	HMW glutenin	prolamин	88
	Tri a 36	LMW glutenin GluB3-23	prolamин	40
	Tri a 37	α -purothionin	-	12
Rye	Sec c 20	γ -secalin	-	70
<i>Secale cereale</i>				
Barley	Hor v 12	profilin	profilin	14
<i>Hordeum vulgare</i>	Hor v 15	α -amylase inhibitor BMAI-1 precursor	prolamин	14.5
	Hor v 16	α -amylase	-	-
	Hor v 17	β -amylase	-	-
	Hor v 20	γ -hordein 3	-	34
Oats ¹	N.A.	avenin	-	-
<i>Avena sativa</i>				

2909 HMW = high molecular weight; LMW = low molecular weight; N.A. = not assigned; ¹ Not in the IUIS database; ^a MW (SDS-
 2910 PAGE)
 2911

2912 Wheat is the prominent cereal to cause allergies in humans. Wheat grain proteins are traditionally
 2913 divided into four classes on the basis of their solubility (Osborne): water-soluble albumins (15 % of
 2914 the total), salt-soluble globulins (5 %), 70 % ethanol-soluble prolamins (seed storage proteins rich in
 2915 prolin and glutamin), which include gliadins (40 %) and acid- or alkali-soluble glutenins (40 %).
 2916 Glutenins and gliadins are the constituents of gluten, which is responsible for the baking quality of
 2917 wheat flour. A more recent classification of wheat storage proteins is based on molecular
 2918 characteristics rather than on solubility: high molecular weight prolamins, corresponding to high
 2919 molecular weight-glutenin subunits (about 88 kDa); sulphur-poor prolamins, corresponding to ω -
 2920 gliadins (65 kDa); and sulphur-rich prolamins, comprising low molecular weight-glutenin subunits, α -
 2921 , β - and γ -gliadins (31-45 kDa).

2922 The allergenic potential of cereal proteins was first demonstrated in wheat flour. Gliadin, the antigenic
 2923 protein of wheat also triggering coeliac disease, was identified as the major allergen involved in
 2924 wheat-dependent exercise-induced anaphylaxis (WDEIA) of adults and in immediate allergy to
 2925 ingested wheat in children (Palosuo et al., 1999; Varjonen et al., 2000; Palosuo et al., 2001a; Battais et
 2926 al., 2003; Battais et al., 2005; Denery-Papini et al., 2011). In these clinical studies, the 65 kDa ω -
 2927 gliadin, a 40 kDa α -gliadin, γ -gliadins and also LMW and HMW glutenin subunits have shown
 2928 clinical allergenic potential and IgE-binding using patients' sera. Non-gluten proteins from wheat, such
 2929 as an α -amylase/trypsin inhibitor and lipid transfer proteins (LTP), cupins and profilins, have been
 2930 identified as important B cell epitopes in wheat allergy (Sander et al., 2011).

2931 Typical primary sequences found in gliadins triggering wheat allergy are QQIPQQQ and related
 2932 sequences (Matsuo et al., 2004); PQQPFP, QQFPGQQQQ and similar peptides from gliadin and
 2933 glutenin (Denery-Papini et al., 2011). These sequences are similar to those triggering coeliac disease.

2934 Tissue transglutaminase, an intestinal enzyme locally activated during exercise, is able to cross-link ω -
 2935 5 gliadin-derived peptides causing a marked increase in IgE-binding, which may explain the role of
 2936 this gliadin in WDEIA (Palosuo et al., 2003).

2937 **14.3.2. Other proteins from wheat, rye and barley**

2938 A non-gluten wheat protein fraction involved in baker's asthma contains water/salt soluble albumins
 2939 and globulins. To this group belong the α -amylase inhibitors. The same group of non-gluten proteins
 2940 has been recognised by sera from patients selected on the basis of history and positive SPT or IgE-

2941 binding, and by sera from patients undergoing oral open or double-blind challenges (Pastorello et al.,
 2942 2007; Battais et al., 2008).

2943 Other cereal allergens have been described which are not included in the IUIS database. Two proteins
 2944 of 20 kDa and 47 kDa, respectively, were identified as specific food allergens of wheat. These proteins
 2945 were recognised by sera from patients with oral sensitisation to wheat confirmed by DBPCFC and no
 2946 evidence of grass pollen allergy according to history or skin prick test results. Grass-sensitised
 2947 patients, on the contrary, did not have IgE antibodies that bound to these fractions (Jones et al., 1995).

2948 Wheat 15 kDa α -amylase inhibitor, the major allergen in baker's asthma, is able to sensitise not only
 2949 by inhalation, but also via the gastrointestinal route, as suggested by the IgE-binding of sera from five
 2950 atopic children with positive DBPCFC for wheat (James et al., 1997). Armentia et al. (Armentia et al.,
 2951 2002) confirmed the finding that cereal allergens (wheat, barley and rye) were able to sensitise by
 2952 inhalation or by ingestion. Similar proteins were involved in both routes (e.g. 11-16 kDa α -amylase
 2953 inhibitor), judged by detection of cereal IgE-binding components (SDS-PAGE). Clinical significant
 2954 reactivity was observed in children and adults in this study. Water-insoluble allergens (gluten,
 2955 prolamins) were not investigated.

2956 An allergen of the same molecular weight as wheat α -amylase inhibitor (16 kDa) recognised by the
 2957 sera of atopic patients positive for wheat CAP-RAST and open challenge has been identified
 2958 (Simonato et al., 2001a). That allergen was bound to a lesser extent by sera from non-atopic patients
 2959 who had negative CAP-RAST for wheat despite a positive open challenge. These patients recognised
 2960 some proteins of the gluten fraction, such as the 42 kDa protein. α -Amylase inhibitor was confirmed
 2961 to be the most important wheat allergen in WDEIA. Other important allergens were LTP and LMW
 2962 glutenin subunits (Pastorello et al., 2007; Bouchez-Mahiout et al., 2010). LMW glutenins behaved as
 2963 independent allergens, partly sharing common epitopes with ω -5 gliadins. Primary sequences of non-
 2964 gluten wheat allergens were dissimilar from gluten peptides (e.g. QARSQSDRQS for LTP1) (Denery-
 2965 Papini et al., 2011).

2966 Durum wheat (*Triticum durum*), einkorn (*Triticum monococcum*), emmer (*Triticum dicoccum*), spelt
 2967 wheat (*Triticum spelta*) and khorasan wheat (*Triticum turgidum polonicum*) are other wheat species
 2968 sharing antigenic potential with bread wheat (*Triticum aestivum*). The same applies to hybrids, such as
 2969 triticale. Rye and barley also share epitopes with wheat (Armentia et al., 2002).

2970 **14.4. Cross-reactivities**

2971 Since almost all cereals belong to the *Gramineae* family, high degree of IgE cross-reactivity exists
 2972 between allergens from cereal seeds and allergens from grass pollen (Sutton et al., 1982; Walsh et al.,
 2973 1987; Sander et al., 1997; Palosuo et al., 2001b). Only two wheat specific allergens (20 and 47 kDa)
 2974 did not cross-react with homologous grass pollen allergens (Jones et al., 1995). *In vitro* cross-
 2975 reactivity has little clinical significance, since few grass pollen-allergic patients also have food allergy
 2976 to cereals. The route of sensitisation may explain differences in clinical reactivity to the same
 2977 allergens. For example, "baker's asthma" is a disease in which cereal allergens cause symptoms only
 2978 when inhaled, and not when ingested.

2979 As to the cross-reactivity among different cereal grains, cross-reaction between a 65 kDa gliadin, a
 2980 70 kDa secalin from rye and a γ -3 hordein from barley was demonstrated in WDEIA (Palosuo et al.,
 2981 2001b). Although food allergy to cereals is often due to monosensitisation (Jones et al., 1995), 20 % of
 2982 patients with cereal allergy demonstrate clinical reactivity (DBPCFC) to more than one cereal grain.

2983 **14.5. Effects of food processing on allergenicity**

2984 Wheat is usually consumed after heat treated and allergenicity survives thermal treatment (baking,
 2985 cooking). Also complex fermentation processes, hydrolysis and deamidation are used for processing of
 2986 cereals (Battais et al., 2008; Tatham and Shewry, 2008).

2987 In one study (Simonato et al., 2001b) on the effect of bread baking on the *in vitro* digestibility and
 2988 immunogenicity of wheat proteins, the wheat allergen α -amylase inhibitor was shown to be destroyed
 2989 by heating, whereas prolamins were thermostable. The *in vitro* digestibility of bread crumb and crust
 2990 was much lower than that of unheated dough, probably due to the formation of aggregates, cross-
 2991 linking and of Maillard products, which were inaccessible to the proteolytic enzymes. However, the
 2992 IgE-binding capacity remained unaltered in bread crumbs and even increased in the crust,
 2993 demonstrating the maintenance or the formation of new epitopes. Deamidation of gliadin was shown
 2994 to reduce IgE-binding (Kumagai et al., 2007).

2995 Proteolytic enzymatic treatments as well as microwave heating were shown to decrease
 2996 immunoreactivity of wheat flour, but also to affect its rheological properties, making it unsuitable for
 2997 product development (Susanna and Prabhakar, 2011).

2998 Immunoreactivity of wheat bread made from wheat flour fermented with Lactobacilli was shown to be
 2999 reduced by 20-60 %, depending on the antibody used for detection (Leszczyńska et al., 2012).

3000 Anaphylaxis can also be elicited by wheat isolates used in the bakery and meat industry after treatment
 3001 by acid, heat and enzymes (Leduc et al., 2003; Pelkonen et al., 2011). In WDEIA, reactivity to
 3002 hydrolysed wheat, barley and rye proteins was high (Snégaroff et al., 2006).

3003 **14.6. Detection of allergens and allergenic ingredients in food**

3004 The detection of cereal allergens in food is more complicated than the detection of gluten due to the
 3005 multiplicity of cereal proteins involved. Analytical techniques which have been applied to characterise
 3006 wheat proteins include mono- and two-dimensional electrophoresis (Mamone et al., 2005), HPLC
 3007 (Wieser et al., 1998), CE (Di Luccia et al., 2009) and MS (Weber et al., 2009a). PCR-based methods
 3008 allow detecting the genomic DNA of the cereal, and occasionally of the gene encoding for a specific
 3009 allergen (Zeltner et al., 2009). Integration of different technologies is advised to overcome the
 3010 methodological difficulties intrinsic to cereal based materials. The gluten proteins gliadin and glutenin,
 3011 which are also implicated in wheat allergy, can be detected with the same methods used for gluten
 3012 (section 13.5). Specific methods for the detection of non gluten cereal allergens are scarce.

3013 **14.6.1. Immunological methods**

3014 1D- and 2D-PAGE coupled to Western blotting, ELISA with monoclonal or polyclonal antibodies,
 3015 and LFDs have been used for detecting wheat allergens. ELISA kits and LFDs targeting different
 3016 proteins, mainly wheat gliadin, are commercially available (Immer and Haas Lauterbach, 2010; Diaz-
 3017 Amigo and Popping, 2012, 2013). The two sandwich ELISA developed for gluten analysis in foods
 3018 may be used to detect wheat, barley and rye allergenic proteins in foods. The ELISA kit based on the
 3019 mAb R5 (Valdes et al., 2003) actually detects prolamins from wheat, barley and rye, the accuracy
 3020 depending on the food matrix, whereas the ELISA kit based on a mAb 401/21 (Skerritt and Hill, 1991)
 3021 reacts mainly with HMW glutenin and ω -5 gliadin.

3022 A double antibody sandwich ELISA (DAS-ELISA) based on anti-gliadin IgE as capture antibody and
 3023 a biotinylated monoclonal antibody as detecting antibody, was developed for detection of gliadin in
 3024 foods (Gujral et al., 2012). The method was able to detect gliadin in wheat, barley and rye with a LOD
 3025 of 4 ng/mL gliadin in buffer, equivalent to 0.8 mg/kg in foods. The PWG gliadin proposed as
 3026 reference material for gluten (van Eckert et al., 2010) can also be used for quantifying prolamins in
 3027 gluten-containing cereals.

3028 **14.6.2. Mass spectrometry**

3029 MALDI-TOF and ESI-MS have been used to characterise cereal proteins for quality assessment,
 3030 tracking of commercial frauds or searching technological properties, but also for identifying allergens.
 3031 MALDI-TOF has been applied to detect cereal proteins through the accurate measurement of MW,
 3032 while liquid chromatography coupled to ESI-MS (LC-ESI-MS) allows identifying the proteins.

3033 Although a number of cereal allergenic proteins have been characterised using MS methods (Tatham
 3034 and Shewry, 2008; Cunsolo et al., 2012), the quantification of cereal allergens by MS remains,
 3035 however, a challenging task.

3036 Wheat gliadins ($\alpha/\beta/\gamma/\omega$ -gliadin) and HMW glutenin were identified by MALDI-TOF MS and
 3037 nanoESI-MS/MS with preliminary 2DE and chymotryptic digestion (Mamone et al., 2005). MALDI-
 3038 TOF/TOF MS allowed identification of serpin, α -amylase inhibitor, γ -gliadin and LMW glutenin in
 3039 wheat after extraction of total wheat flour proteins, separation by 2DE and tryptic digestion (Akagawa
 3040 et al., 2007).

3041 Salt-soluble wheat extracts were separated by 1DE- and 2DE-PAGE and immunoblotting using sera of
 3042 patients with allergy to ingested wheat. Proteins recognised by IgE separated on 2DE were analysed
 3043 by MALDI-TOF and Q-TOF and those separated on 1DE were analysed by LCQ^{DECA} nLC-MS/MS IT.
 3044 Wheat α -amylase inhibitors, β -amylase, and prolin, as well as barley α -amylase/trypsin inhibitor
 3045 precursor, and β -amylase, were identified (Sotkovsky et al., 2008).

3046 LMW and HMW glutenins, α - and γ -gliadins, and β -amylase can be detected by both MALDI-TOF
 3047 MS (previous 2DE) and nanoLC-MS/MS (Mamone et al., 2009). HMW-glutenin subunits were
 3048 detected by MALDI TOF MS and RP-HPLC/nESI-MS/MS in durum wheat (Muccilli et al., 2011;
 3049 Lagrain et al., 2013). A heterotetrameric α -amylase inhibitor (ETI) was detected by MALDI-TOF MS
 3050 in a hulled wheat emmer as an assembly of proteins highly similar to that found in durum wheat
 3051 (Capocchi et al., 2013).

3052 The beer proteome has been extensively investigated mainly for characterisation and quality control
 3053 purposes. In these proteomic studies, several cereal allergenic proteins were detected. Different
 3054 strategies were adopted for protein separation prior to MS analysis. Among 30 proteins from *Hordeum*
 3055 *vulgare*, α -amylase inhibitors, γ -hordein and a globulin from *Triticum aestivum* were identified by
 3056 using isoelectric focusing in solution followed by 2DE (Konecna et al., 2012). In another study, the
 3057 Proteo-Miner-like fractionation step was incorporated with combinatorial peptide ligand libraries
 3058 (CPLL) designed for beer proteins before gel electrophoresis (Fasoli et al., 2010). γ -Hordein 3 and a
 3059 fragment of the α -amylase inhibitor were among the 20 proteins identified in beer. A gel-free shotgun
 3060 proteome analysis of beer was performed with preliminary separation of proteins from polypeptides by
 3061 size exclusion chromatography (SEC) (Picariello et al., 2012). Protein mixtures were reduced and
 3062 alkylated, and the tryptic digests were analysed by μ HPLC/ESI-MS/MS. The allergenic γ -hordein 3
 3063 and α -amylase inhibitor were found to be present along with peptides derived from α -amylase
 3064 inhibitor and β -amylase. A number of hordein derived peptides that encrypt gluten-like sequence
 3065 motifs were also detected.

3066 14.6.3. DNA based methods

3067 A number of real-time PCR systems for the detection and quantification of DNA of gluten-containing
 3068 cereals has been described and several kits are commercially available. Two independent real-time
 3069 PCR assays based on TaqMan® probes targeting γ -hordein and acetyl-CoA carboxylase sequences
 3070 and suitable for the identification and quantification of barley and wheat have been described
 3071 (Hernandez et al., 2005). The absolute LOD for both wheat and barley was 1 genome copy and the
 3072 absolute LOQ was 10 genome copies, corresponding to approximately 50 and 150 pg of template
 3073 DNA for wheat and barley, respectively. The methods were applied successfully to highly processed
 3074 solid foods (bread, cakes, biscuits) but were not suitable to detect barley and wheat in beer, refined oils
 3075 or soluble extracts of cereals due to the paucity of DNA present in these products. Another real-time
 3076 PCR assay based on TaqMan® probes allowed detecting 2.5 mg/kg of wheat in vegetal food matrices
 3077 and 5 mg/kg of wheat in meat products (Zeltner et al., 2009). A more sensitive qPCR system
 3078 employing the fluorescent dye SYBR® Green was developed to detect wheat contamination in gluten-
 3079 free foods with a LOQ of 20 pg DNA/mg of food (Mujico et al., 2011).

3080 Specific detection and quantification of common wheat-derived DNA was also achieved by a real-time
 3081 PCR assay targeting the ALMT1 gene, an aluminium-activated malate transporter (Vautrin and Zhang,
 3082 2007). The absolute LOD and LOQ were 2 and 20 haploid genome copies of common wheat,
 3083 respectively. A duplex real-time PCR for detection and quantitation of wheat- and barley-derived
 3084 DNA targeting the gene PKABA1 used minor groove binding probes to distinguish between the two
 3085 cereals (Ronning et al., 2006). The assay was specific and allowed simultaneous detection of wheat
 3086 and barley in food samples with absolute LODs of 5 PCR forming units (PFU) (corresponding to
 3087 1.8 DNA copies) for wheat and 10 PFU (1.8-16 DNA copies) for barley.

3088 **14.7. Minimal (observed) eliciting doses**

3089 Oral challenges with different doses of wheat have been performed in clinical studies. However, only
 3090 few patients with convincing history of wheat anaphylaxis have been challenged orally (Hischenhuber
 3091 et al., 2006). In children with atopic dermatitis, 20 % showed a positive DBPCFC for wheat with
 3092 doses ranging from 0.4 to 10 g of food (Sicherer et al., 2000a). In another study of 38 children and 41
 3093 adults with wheat allergy, 2.5 % of children reacted to doses less than 10 mg of wheat flour (single
 3094 blind challenge). A MOED was not given by the authors (Moneret-Vautrin et al., 2003).

3095 In a study conducted in Japan (Ito et al., 2008), 35 children sensitised to wheat underwent an OFC
 3096 with noodles containing wheat flour (2.6 %) in stepwise increasing amounts (0.1, 1, 2, 5, 10 and 20-
 3097 50 g). Twenty one patients reacted to the challenge. Children with a convincing history of wheat
 3098 allergy were not challenged because of the high risk for anaphylaxis. One subject had a severe allergic
 3099 reaction to 2.6 mg of wheat protein (MOED, first dose tested), whereas two reacted to 26 mg.

3100 Adult subjects with suspected wheat allergy (convincing clinical history) were recruited in Italy
 3101 ($n = 24$) and Denmark ($n = 3$) and underwent a DBPCFC with wheat flour (Scibilia et al., 2006). A
 3102 minimum starting dose of 100 mg raw wheat flour was administered, followed by 500 mg, then 1 g,
 3103 and 1.5 g; the last dose was then doubled (3 g, 6 g, 12 g) until symptoms were reported/observed or
 3104 until the entire test meal was eaten. The cumulative dose schedule was 100 mg, 600 mg, 1.6 g, 3.1 g,
 3105 6.1 g, 12.1 g, and 25 g. Doses were administered at 20-min intervals. The same dose schedule was
 3106 used for cooked wheat DBPCFC in patients who had a positive result to raw wheat. The MED was
 3107 100 mg of raw (three patients, first dose tested) and cooked (two patients) wheat flour.

3108 The lowest reported MED/MOED in paediatric patients undergoing OFCs with wheat flour is 2.6 mg
 3109 of wheat protein (first dose tested). The lowest reported MED/MOED in adult patients undergoing
 3110 DBPCFC is 100 mg of wheat flour. However, doses of wheat triggering allergic reactions in sensitive
 3111 individuals may be lower because highly sensitive patients have been excluded from the challenge
 3112 studies available, where participants already reacted to the first dose tested.

3113 **14.8. Conclusion**

3114 IgE-mediated allergy to cereals is caused both by inhalation of cereal flour (baker's asthma) and by
 3115 ingestion of cereal-based products (food allergy). Cereal-induced IgE-mediated food allergy is well-
 3116 documented. DBPCFC studies have been performed confirming that cereals are able to elicit
 3117 anaphylactic reactions. Wheat is the gluten-containing cereal most often reported to induce cereal
 3118 allergy, compared to barley, rye, and oats. Prevalence of wheat allergy based on clinical history and
 3119 positive FCs is as low as 0.4 % in young children. Wheat allergy frequently resolves during
 3120 adolescence. Cereal seed storage proteins (gluten), but also non-gluten components, such as albumins
 3121 and globulins, α -amylase inhibitor and lipid transfer proteins, are clinically relevant allergens.
 3122 Immunological methods used for detection of gluten may be used for the detection of cereal gliadin
 3123 and gliadin. Specific and sensitive PCR methods are available. MS methods have been extensively
 3124 utilised for the identification of the allergenic proteins, but no limits of detection have been provided.
 3125 The effect of food processing on allergenicity, including heat resistance of single allergens, is
 3126 conflicting. The lowest reported MED/MOED in paediatric patients undergoing OFCs with wheat
 3127 flour is 2.6 mg of wheat protein. The lowest reported MED/MOED in adult patients undergoing
 3128 DBPCFC is 100 mg of wheat flour. However, doses of wheat triggering allergic reactions in sensitive

3129 individuals may be lower because highly sensitive patients have been excluded from the challenge
3130 studies available, where participants already reacted to the first dose tested.

3131 **15. Allergy to milk and dairy products**

3132 **15.1. Background**

3133 Milk is a liquid substance secreted by the mammary glands of females of all mammal species to
3134 support their offsprings' nutritional needs. Milk and dairy products are a source of proteins, fat,
3135 minerals, and vitamins and play a key role in human nutrition (Darewicz et al., 2011).

3136 Milk allergy is an adverse immunological response to milk proteins of different mammalian species,
3137 particularly cow, goat and ewe, seen mainly in the paediatric age. It can be broadly divided into IgE-
3138 and non IgE-mediated disease, or mixed, involving other immunoglobulins, immune complexes and/or
3139 cell-mediated mechanisms. These differ in clinical presentation, diagnostic testing, and prognosis
3140 (Berni Canani et al., 2008). IgE-mediated reactions are characterised by an acute onset of symptoms
3141 generally within two hours after ingestion of or exposure to milk protein-containing food. IgE-
3142 mediated reactions to food typically involve the skin, gastrointestinal tract, and respiratory tract and
3143 may also include systemic reactions (anaphylactic shock). Non IgE-mediated immunological reactions
3144 (e.g. cell mediated) include food-protein-induced enterocolitis, proctocolitis, and enteropathy
3145 syndromes. These conditions primarily affect infants or young children who present with
3146 gastrointestinal symptoms, such as vomiting, abdominal cramps, diarrhoea, and occasionally blood in
3147 the stools, often associated with failure to thrive or poor weight gain. Examples of food allergy
3148 comorbidities with mixed IgE- and non IgE-mediated causes include eosinophilic oesophagitis and
3149 atopic dermatitis (Burks et al., 2012b).

3150 **15.2. Epidemiology**

3151 **15.2.1. Prevalence**

3152 **15.2.1.1. Europe**

3153 Forty studies have assessed the prevalence of cow's milk allergy (CMA) in Europe. The studies were
3154 from Denmark, Estonia, France, Finland, Germany, Greenland, Hungary, Iceland, Ireland, Italy,
3155 Norway, Portugal, Spain, Sweden, The Netherlands, Turkey and the United Kingdom. Data were
3156 published between the years 1982 and 2012 and included all age groups (University of Portsmouth,
3157 2013).

3158 Self-reported prevalence of CMA in young children (≤ 3 years) ranged from 2 % in Finland
3159 (Kajosaari, 1982) to 7.5 % in Norway (Eggesbo et al., 1999) at one year, from 4 % (Ostblom et al.,
3160 2008b) to 6.8 % (Pyrhonen et al., 2009) at two years, and from 1.3 % (Kilgallen and Gibney, 1996) to
3161 5.9 % (Pyrhonen et al., 2009) at three years of age. Self-reported prevalence of CMA at one year was
3162 4.5 % in Sweden (Ostblom et al., 2008b) and 5.3 % in Ireland, where reported adverse reactions to
3163 dairy products were 4-4.7 % at the same age (Kilgallen and Gibney, 1996). The highest self-reported
3164 prevalence was 10.8 % in Iceland at 18 months of age (Kristjansson et al., 1999). Studies in the same
3165 population subgroup (young children up to 3 years) which used other questionnaire-based methods
3166 (e.g. diagnosis by a physician) reported similar figures. In older children, the prevalence of clinician-
3167 diagnosed CMA was reported to be 1.8 % at eight years in Sweden (Ostblom et al., 2008a). In adults,
3168 prevalence based on self-reported diagnosis of food allergy ranged between 1.8 % (Schafer et al.,
3169 2001) in Germany to 3.3 % (Osterballe et al., 2009) in Denmark. Self-reported prevalence in the UK at
3170 all ages combined was 2.7 % (Young et al., 1994).

3171 In young children, the prevalence of positive SPT to cow's milk proteins (CMP) was between zero in
3172 Estonia (Julge et al., 2001) and 0.9 % in Norway (Ro et al., 2012), whereas in older children it ranged
3173 from 0.2 % at seven years in the UK (Roberts et al., 2005) to 3.9 % at five to six years in Germany
3174 (Schafer et al., 1999). Higher sensitisation rates were generally observed in adults, ranging from 2.3 %

3175 in Germany (Schafer et al., 1999) to 14.7 % in Hungary (Bakos et al., 2006). Based on serum specific
 3176 IgE, sensitisation rates were between 4.8 % (Ro et al., 2012) and 25.8 % (Julge et al., 2001) in young
 3177 children and between 8 % (Ostblom et al., 2008a) and 23.2 % (Julge et al., 2001) in older children,
 3178 with lower rates generally reported for adults, ranging from 1 % in Finland (Isolauri et al., 2004) to
 3179 13.9 % in Hungary (Bakos et al., 2006).

3180 Prevalence of CMA was generally lower when sensitisation tests were combined with a clinical
 3181 history. Prevalence based on clinical history plus a positive SPT was 0.3 % and 0.6 % in Iceland and
 3182 Sweden at 18 months, respectively (Kristjansson et al., 1999), 0.4 % at six to nine years in Turkey
 3183 (Orhan et al., 2009), and 0.1 % in the overall population combining all ages (Zuberbier et al., 2004).
 3184 Prevalence of CMA based on a clinical history plus a positive serum specific IgE was only reported in
 3185 Turkey (0.2 %) in a category of age between 8-18 months (Kucukosmanoglu et al., 2008), and in
 3186 Sweden (1.8 %) at four years of age (Ostblom et al., 2008b).

3187 Few studies used food challenges to confirm diagnosis of allergy to CMP. Based on OFC, prevalence
 3188 of CMA in Denmark was reported to be 1 % at one year, 0.5 % at two years, 0.3 % at three years and
 3189 0.2 % at five and 10 years (Host et al., 2002). Similar figures were reported for the same country some
 3190 years later (Eller, 2009): 0.4 % at six months of life, 1.1 % at 18 months, 0.7 % at three years, and
 3191 zero cases at 6 years. A prevalence of 0.3 % at 8-18 months was found in Turkey (Kucukosmanoglu et
 3192 al., 2008), whereas higher rates (4.7 %) were reported in Finland in children < 34 months (Saarinen et
 3193 al., 1999). When the diagnosis was based on DBPCFC, prevalence ranged between 0.1 % in Turkey
 3194 (six to nine years) and 0.6 % (three years) in Denmark (Osterballe et al., 2005). Values for adults were
 3195 within that range (0.3 %) in the only study available (Osterballe et al., 2005).

3196 15.2.1.2. Outside Europe

3197 In Western countries outside Europe, the prevalence of self-reported CMA in children up to 18 months
 3198 ranged from 2.2 % in Canada (Soller et al., 2012) and 6.1 % in Australia (Osborne et al., 2011) to
 3199 13.1 % in the US (Bock, 1987). Similar figures were reported for adults in these countries: 1.9 % in
 3200 Canada (Soller, 2012), 1.9-4.8 % in Australia (Woods et al., 2002), and 10.5 % in the US (Greenhawt
 3201 et al., 2009).

3202 Using a method which combined history of CMA, SPT and food challenges to determine a diagnosis
 3203 of “probable or confirmed” CMA (both IgE- and non IgE-mediated), prevalence rates of CMA in the
 3204 US were much lower (Bock, 1987): about 5 % in one year old children, 0.2 % at two years, and zero at
 3205 3 years. In the same country, prevalence of CMA was reported to be 0.3 % (Liu et al., 2010a) in young
 3206 children and adolescents, 0.4 % in the overall population combining all ages (Liu et al., 2010a), and
 3207 1.4 % in adults (Vierk et al., 2007) for IgE-mediated reactions only.

3208 15.2.2. Natural history

3209 CMA can develop from the neonatal period and peaks during the first year of life, tending to remit in
 3210 childhood. Reaction to cow’s milk proteins (CMP) occurred at an average of 1.67 ± 1.67 days after
 3211 initial exposure (Elizur et al., 2012).

3212 In the 1990s, a Danish birth cohort study reported that more than 50 % of children outgrow their CMA
 3213 at 1 year of age. However, subsequent studies have reported a longer duration of CMA, with tolerance
 3214 developing in half of cases within the two years following diagnosis (Elizur et al., 2012). Referral
 3215 studies indicate that 80 % of patients achieve tolerance within three to four years. A prospective study
 3216 conducted in the United States showed that CMA resolved in 154 (52.6 %) subjects at a median age of
 3217 63 months in a cohort of 293 children aged three to 15 months at baseline (Wood et al., 2013).

3218 Children with delayed reactions became tolerant faster than those with immediate reactions. Children
 3219 with higher risk of persistence had respiratory symptoms at onset, severe atopic dermatitis,
 3220 sensitisation to linear epitopes of CMA, and sensitisation to multiple foods and to respiratory
 3221 allergens. A larger wheal diameter at SPT with fresh milk, high levels of specific IgE (especially to

3222 casein), and antibody binding to other ingested and inhaled allergens, have been associated to longer
3223 duration of CMA (Skripak et al., 2007; Fiocchi et al., 2008). Low milk-specific IgE levels correlate
3224 with earlier onset of tolerance and a 99 % reduction in specific IgE concentrations for more than 12
3225 months translates into a 94 % likelihood of achieving tolerance to cow's milk protein within that
3226 period (Shek et al., 2004). Tolerance of CMP correlates with reduced concentrations of specific IgE
3227 and IgG1.

3228 **15.2.3. Time trends**

3229 There are no data on time trends regarding CMA in Europe.

3230 The prevalence of challenge-proven CMA in China was 1.6 % and 3.5 % in 1999 and 2009,
3231 respectively, among children from birth up to two years (Hu et al., 2010). Before one year, the
3232 prevalence was 1.3 % in the same country (Chen et al., 2011).

3233 **15.2.4. Severe reactions/anaphylaxis**

3234 Patients with CMA develop gastrointestinal symptoms in 32 to 60 % of cases, skin symptoms in 5 to
3235 90 %, and anaphylaxis in 0.8 to 9 % of cases. This frequency of anaphylaxis is the main concern in
3236 many CMA studies. CMA has been reported to be responsible for up to 42 % of hospital admissions
3237 because of food induced anaphylaxis in childhood (Berni Canani et al., 2012) and up to 13 % of fatal
3238 food induced anaphylaxis (Bock et al., 2007).

3239 **15.2.5. Factors affecting prevalence of milk allergy**

3240 CMA is the most frequent milk allergy and it is often the first step of the allergic march.

3241 In a review, nearly one third of children with atopic dermatitis were diagnosed with CMA after
3242 elimination diet and oral food challenge, and about 40 to 50 % of children less than a year of age with
3243 CMA also had atopic dermatitis (Fiocchi et al., 2010). The maintenance of tolerance in atopic patients
3244 is associated with persistently elevated milk-specific IgG4 antibody concentrations (Ruiter et al.,
3245 2007). In a prospective cohort study (Wood et al., 2013), low milk-specific IgE level (< 2 kU/L), SPT
3246 size (< 5 mm), and severity of atopic dermatitis were the baseline characteristics of patients with
3247 CMA, which were most predictive of resolution of their allergy. A smaller eliciting dose at oral food
3248 challenge also correlates with the duration of CMA.

3249 **15.3. Identified allergens**

3250 Milk from different ruminant species (e.g. cow, buffalo, sheep, goat), but also human milk, contains
3251 similar proteins regarding structural, functional and biological properties and its composition changes
3252 during lactation.

3253 CMP are very heterogeneous regarding structure and function, and this heterogeneity is further
3254 increased by genetic polymorphisms or post-translational modifications (e.g. phosphorylation,
3255 glycosylation), which may affect their IgE-binding capacity and allergenicity (Malik et al., 1988;
3256 Bernard et al., 2000).

3257 Cows' milk contains about 30-35 g of proteins per litre. The action of chymosin (rennin), or the
3258 acidification of the milk to pH 4.6, allows obtaining two fractions: lactoserum (whey) and coagulum
3259 (casein), which contain about 20 % and 80 % of the CMP, respectively. Cow's milk allergens are
3260 listed in Table 7.

3261 Whey contains essentially globular proteins, mostly β -lactoglobulin (BLG) (whose homologue is not
3262 present in human milk), α -lactalbumin (ALA), and lactoferrin (LF), which are synthesised in the
3263 mammary gland, while other proteins, such as bovine serum albumin (BSA) or immunoglobulins (Igs)
3264 come from the blood. In the coagulum, the casein (CAS) fraction comprises four proteins coded by
3265 different genes carried on the same chromosome: α S1-, α S2-, β -, and κ -caseins. Owing to the great

variability observed in human IgE response, no single protein or protein structure accounts for a major part of milk allergenicity. Studies on large populations of allergic patients show that most are sensitised to BLG, CAS, ALA, BSA, LF, and Igs (EFSA, 2004). Polysensitisation to several proteins is observed in about 75 % of patients with CMA (Goldman et al., 1963a; Goldman et al., 1963b; Restani et al., 1995; Docena et al., 1996; Wal, 2002). CAS and BLG, as well as ALA, are major allergens, and sensitisations to these proteins are closely linked. However, all CMP appear to be allergenic. About 35-50 % of milk allergic patients are sensitised to CMP present in very low quantities, such as BSA, Igs, and especially LF (Fiocchi et al., 2010) and, occasionally, only these CMP (e.g. lactoferrin) are responsible for the clinical symptoms observed. Sensitivity to BSA appears to be independent of other CMP (Wal et al., 1995).

In IgE-mediated allergy, circulating antibodies recognise specific molecular regions on the antigen surface (epitopes), which are classified according to their specific amino acid sequence (sequential or linear epitopes) or the folding and conformation of their protein chains (conformational epitopes). Subjects with transient milk allergy produce IgE antibodies primarily directed at conformational epitopes (dependent on the protein tertiary structure), whereas those with persistent allergy also produce IgE antibodies against sequential epitopes, which are heat stable (Cooke and Sampson, 1997; Chatchatee et al., 2001; Vila et al., 2001; Busse et al., 2002; Jarvinen et al., 2002). Greater IgE epitope diversity and higher IgE affinity are associated with more severe milk allergy (Wang et al., 2010).

Table 7: Cow's (*Bos domesticus*) milk allergens

Allergen	Biochemical name	Concentration (g/L)	Molecular weight ²	pI ³
Whey proteins		~ 5.0		
Bos d 4	α-lactalbumin	1-1.5	14.2	4.8
Bos d 5	β-lactoglobulin	3-4	18.3	5.3
Bos d 6	bovine serum albumin	0.1-0.4	67.0	4.9-5.1
Bos d 7	immunoglobulin	0.6-1.0	160.0	-
Bos d Lactoferrin	Lactoferrin ¹	0.09	80.0	8.7
Caseins		~ 30		
Bos d 8		20-30		
Bos d 9	α _{s1} -casein	12-15	23.6	4.9-5.0
Bos d 10	α _{s2} -casein	3-4	25.2	5.2-5.4
Bos d 11	β-casein	9-11	24.0	5.1-5.4
N.A.	γ ₁ -casein ¹		20.6	5.5
N.A.	γ ₂ -casein ¹	1-2	11.8	6.4
N.A.	γ ₃ -casein ¹		11.6	5.8
Bos d 12	κ-casein	3-4	19.0	5.4-5.6

¹ www.allergome.org; ² MW (SDS-PAGE); ³ isoelectric point; N.A = not assigned

15.3.1. Whey allergens

Whey allergens include ALA, BLG, BSA, bovine Igs and lactoferrin.

ALA (Bos d 4) is a monomeric globular protein of 123 amino acid residues with four disulphide bridges and a MW of 14.2 kDa. It is a regulatory component of the enzymatic system of galactosyl transferase responsible for the synthesis of lactose. It possesses a high affinity binding site for calcium, and this binding stabilises its secondary structure. The complete amino acid sequence of bovine ALA shows extensive homology with hen's egg white lysozyme but also with human ALA (EFSA, 2004). The role of ALA in milk allergy is controversial and prevalence data across studies vary between zero and 80 % of patients reacting to this protein (Besler et al., 2002).

BLG (Bos d 5), the most abundant cow's milk whey protein, occurs naturally in the form of a 36 kDa dimer in many other species but is not present in human milk. Each subunit is a polypeptide of 162 amino acid residues, and the molecule contains two disulfide bridges and one free cysteine. This structure is responsible for the main physicochemical properties and for the interaction with casein

3299 during heat treatments. BLG is relatively resistant to acid and enzymatic hydrolysis. The tertiary
 3300 structure of BLG is known. It belongs to the lipocalin family and is considered a retinol-binding
 3301 protein. Lipocalins have a high allergenic potential and several allergens of animal origin belong to
 3302 this family. They share a well-conserved sequence homology in their N-terminus moiety, where
 3303 tryptophan at position 19 is always present. Crystallographic studies revealed a very similar folding,
 3304 called β barrel structure, with the same arrangements of eight (or 10) antiparallel β sheets (EFSA,
 3305 2004). There are two main isoforms of this protein in cow's milk, the genetic variants A and B, which
 3306 differ only by two point mutations at amino acids 64 and 118. The prevalence of allergic subjects
 3307 reacting to this protein is between 13 and 76 % (Restani et al., 2009).

3308 BSA (Bos d 6) can bind water, fatty acids, hormones, bilirubin, drugs, as well as calcium, potassium,
 3309 and sodium. Its main function is the regulation of the colloidal osmotic pressure in blood (Fiocchi et
 3310 al., 1995). The tertiary structure of BSA is stable, and its 3D conformation is well documented. The
 3311 protein contains three homologous domains (I to III) and consists of nine loops linked by 17 covalent
 3312 disulphide bridges. Most of the disulphide bonds are well protected in the core of the protein and are
 3313 not easily accessible to the solvent. BSA is involved in other allergies such as beef. It correlates with
 3314 the clinical features of lip oedema, urticaria, cough and rhinitis. It accounts for between zero and 88 %
 3315 of sensitisation events, while clinical symptoms occur in up to 20 % of patients (Martelli et al., 2002).
 3316 Bovine IgGs (Bos d 7) are seldom triggering clinical symptoms in CMA.

3317 15.3.2. Casein allergens

3318 The four casein allergens are collectively known as Bos d 8. Each individual casein (α S1-, β -, α S2-
 3319 and κ -casein) represents a well-defined chemical compound but they cross-link to form ordered
 3320 aggregates (micelles) which are in suspension in lactoserum. The proportion of different caseins in
 3321 micelles is relatively constant (ca. 37 %, 37 %, 13 % and 13 %, respectively) but their distribution
 3322 within the micelles is not uniform. The micelles have a central hydrophobic part and a peripheral
 3323 hydrophilic layer where major sites of phosphorylation containing phosphoserine residues are present,
 3324 responsible for the calcium binding and transfer properties of caseins. α S1-, α S2-, β -, and κ -casein
 3325 have little primary structure homology and their functional properties also differ (e.g. α S1-, α S2-, and
 3326 β -casein appear to be calcium-sensitive, while κ -casein is not). However, the four caseins display
 3327 common features, which differ from other CMP. Another group, the γ -caseins, are present in very low
 3328 quantities in milk and are by-products of β -casein proteolysis.

3329 Caseins are phosphorylated proteins with a loose and highly hydrated tertiary structure, which are not
 3330 significantly affected by severe heat treatments, but are susceptible to proteinases and exopeptidases.
 3331 Multi-sensitisations to the different caseins most often occur in patients sensitised to the whole casein
 3332 fraction (Bernard, 1999). The composite allergen Bos d 8 exhibit limited sequential homology. In spite
 3333 of this, polysensitisation of many casein fractions is usually observed, perhaps due to cross-
 3334 sensitisation through some common or closely related epitopes. Sensitisation is particularly frequent
 3335 against α -casein (100 %) and κ -casein (91.7 %) (Restani et al., 1995). Several studies have identified
 3336 α S1-casein as a major allergen inducing strong immediate or delayed allergic reactions (Ruiter et al.,
 3337 2006). α S1-Casein was found to contain both conformational and sequential IgE epitopes
 3338 (Schulmeister et al., 2009).

3339 15.4. Cross-reactivities

3340 The sequence similarity (expressed in percentages) between milk proteins from different mammalian
 3341 species is shown in Table 8. The greatest homology is between cow's, sheep's and goat's milk
 3342 proteins as Bos (oxen), Ovis (sheep), and Capra (goat) that are genera belonging to the *Bovidae* family
 3343 of ruminants. The proteins in their milks consequently have less structural similarity with those from
 3344 the *Suidae* (pig), *Equidae* (horse and donkey), and *Camelidae* (camel and dromedary) families and
 3345 also with those in human milk. It is noteworthy that the milks of camels and dromedaries (as well as
 3346 human milk) do not contain BLG.

3347 Due to high sequence homology, there are frequent cross-reactions between milk proteins from
 3348 different species. Clinical reactions to milk from different species are similar. Sequence homology in
 3349 caseins range between 80 and > 90 %, so high IgE cross-reactivity between ewes', goats' and cows'
 3350 milk casein occurs in most patients with CMA (Dean et al., 1993; Spuergin et al., 1997; Bernard,
 3351 1999; Restani et al., 1999). However, the IgE response may also be species-specific, with clinical
 3352 manifestations occurring after consumption of sheeps' and/or goats' cheese but not of cows' milk or
 3353 other dairy products (Wuthrich and Johansson, 1995). In a subject with CMA, the risk of an allergic
 3354 reaction to goat's milk is up to 92 %, to donkey's up to 17 %, and to horse's up to 4 % (Sicherer,
 3355 2001; Jarvinen and Chatchatee, 2009).

3356 Allergy to sheep's milk can also evolve into allergy to cow's milk. Mare's and donkey's milks have
 3357 proved sometimes useful to some patients, but uncertainties remain about chemical composition and
 3358 hygienic control. The same considerations apply to *Camelidae* (camel and dromedaries) milks, which
 3359 could represent an alternative to cow's milk for allergic subjects because of their low sequence
 3360 homology with cow's milk and the absence of BLG, provided that problems related to availability and
 3361 technological processing to avoid new sensitisation are adequately addressed (Restani et al., 2002).

3362 Adverse reactions to soy have been reported in milk allergic patients fed with soy-based formulae as
 3363 cows' milk substitutes. A 30 kDa glycinin-like protein from soybean that cross-reacts with cows' milk
 3364 casein has been isolated and partially sequenced (Rozenfeld et al., 2002).

3365 **Table 8:** Sequence homology between mammalian milk proteins (in percentage, relative to cow's
 3366 milk proteins)

Protein	Goat	Ewe	Buffalo	Sow	Mare	Donkey	Dromedary	Human
ALA	95.1	97.2	99.3	74.6	72.4	71.5	69.7	73.9
BLG	94.4	93.9	96.7	63.9	59.4	56.9	Absent	Absent
BSA	–	92.4	–	79.9	74.5	74.1	–	76.6
α ₁ CAS	87.9	88.3	-	47.2	-	-	42.9	32.4
α ₂ CAS	88.3	89.2	-	62.8	-	-	58.3	-
β CAS	91.1	92.0	97.8	67.0	60.5	-	69.2	56.5
κ CAS	84.9	84.9	92.6	54.3	57.4	-	58.4	53.2

3367 - = Allergen is not present in the Swiss-Prot DataBank; ALA = α-lactalbumin; BLG = β-lactoglobulin; BSA = bovine
 3368 serum albumin; CAS = casein.

3369 **15.5. Effects of food processing on allergenicity**

3370 The structure and properties of CMP and the structure and location of their IgE-binding epitopes, and
 3371 particularly of linear epitopes, need to be considered while interpreting the impact of food processing
 3372 on milk allergenicity.

3373 Milk may undergo extensive processing (e.g. thermal treatment), also by novel processes such as high-
 3374 pressure treatment, extrusion or ultrasound, which can significantly alter structural characteristics of
 3375 milk allergens and thereby increase or attenuate their antigenic potential (Maleki and Hurlburt, 2004).

3376 **15.5.1. Heat treatment**

3377 Cow's milk is usually marketed after it has been subjected to technological process, usually
 3378 pasteurisation, which reduces potential pathogen load (70-80 °C for 15-20 s). Ultra-high temperature
 3379 (UHT) processing with flash heating (at 135-145 °C for 0.5-4 s), and evaporation for the production of
 3380 powdered infant formula (dry blending or wet mixing-spray drying process), have a minor or no effect
 3381 on the allergenic potential of CMP.

3382 Comparative studies have shown no difference in antigenicity between raw and heated milks (Werfel
 3383 et al., 1997). However, in some cases, the aggregation of new protein polymers capable of binding
 3384 specific IgE has been demonstrated. After boiling BSA at 100 °C for 10 min, dimeric, trimeric, and
 3385 higher polymeric forms increased and all maintained their IgE-binding properties (Restani et al.,
 3386 2004). The persistence of allergenicity in heat-treated milk is clinically confirmed by the fact that, in
 3387 some children, CMA develops after the ingestion of heat-treated milk. Domestic heating processes can
 3388 only modify conformational epitopes, which might lose their binding capacity to a specific IgE
 3389 antibody, while sequential epitopes maintain their allergenic potential even after heating (Sampson,
 3390 2004). CMP contain both types of epitopes. Even though a slight reduction of antigenicity can be
 3391 observed in whey proteins, which experience a limited unfolding of their globular structure upon
 3392 heating, insignificant alterations in binding properties are reported with caseins, which have mainly
 3393 linear and thermostable epitopes.

3394 Vigorous heating (such as that used for certain sterilisation processes; 121 °C for 20 min), but also the
 3395 less drastic pasteurisation process, could increase milk allergenicity by enhancing uptake of peptides
 3396 by Peyer's patches in the intestine (Roth-Walter et al., 2008). Furthermore, CMP can be oxidised
 3397 during industrial treatment, resulting in the formation of modified/oxidised amino acid residues,
 3398 particularly in BLG, which may be responsible for the development of new immunologically reactive
 3399 structures.

3400 The effects of heat treatment on the antigenicity of ALA and BLG in whey protein isolate (WPI) were
 3401 studied via *in vitro* competitive ELISA inhibition tests with rabbit serum (Bu et al., 2009). The
 3402 antigenicity of ALA and BLG increased with increasing temperature from 50 to 90 °C. However, the
 3403 antigenicity of both proteins decreased remarkably above 90 °C. When treated at 120 °C for 20 min,
 3404 the antigenicity of ALA decreased by 25 % compared with the untreated sample.

3405 Boiling milk for 10 minutes reduces the SPT response in patients who react to BSA and BLG, whereas
 3406 wheel diameter remains the same in those sensitised to caseins (Norgaard et al., 1996).

3407 One study evaluated whether patients with CMA could tolerate extensively heated (baked) milk
 3408 products in 100 children undergoing food challenges with heated milk (Nowak-Wegrzyn and Fiocchi,
 3409 2009). Sixty-eight children (68 %) tolerated the extensively heated milk, 23 reacted to the heated milk,
 3410 and 9 tolerated both the heated and the unheated milk. Heated milk-tolerant subjects had significantly
 3411 smaller SPT wheels, lower milk-specific and casein specific IgE, and lower IgE/IgG4 ratios to casein
 3412 and BLG compared with the heated milk-reactive subjects.

3413 **15.5.2. Enzymatic hydrolysis**

3414 Enzymatic hydrolysis of CMP reduces their allergenicity. However, specific IgE from patients with
 3415 CMA may recognise enzymatic digestion products of whey proteins (i.e. BLG and ALA) or CAS
 3416 (Fiocchi et al., 2010). Attempts have been made to classify formulas into partial and extensively
 3417 hydrolysed products according to the degree of protein fragmentation, but there is no agreement on the
 3418 criteria on which to base this classification.

3419 **15.5.3. Fermentation**

3420 Lactic acid bacteria (LAB) have a complex proteolytic system consisting of proteinases, peptidases
 3421 and peptide transport systems that contribute to milk protein degradation during fermentation
 3422 (Bertrand-Harb et al., 2003; El-Ghaish et al., 2011a). Specific LAB strains have proteolytic activity

3423 against some antigenic proteins, such as ALA, BLG, α S1-casein and β -casein, and may decrease their
 3424 IgE binding capacity (Tzvetkova et al., 2007; El-Ghaish et al., 2011b). However, the degradation of
 3425 antigenic proteins by LAB does not always lead to significant changes in their IgE-binding capacity
 3426 (Ehn et al., 2005; Kleber et al., 2006), and reductions in protein IgE-binding capacity do not always
 3427 correlate with less allergenicity (Jedrychowski, 1999).

3428 The evidence available indicates that the extent to which milk proteins are hydrolysed and the peptide
 3429 pattern generated may depend on the LAB strain used and on fermentation conditions. It also indicates
 3430 that proteolytic degradation of antigenic proteins is not always associated with reductions in their IgE
 3431 binding properties and their allergenicity, as some epitopes may be broken down in the process, while
 3432 others previously buried may become accessible.

3433 **15.5.4. Combined treatments**

3434 Hydrolysed infant formulas are produced from caseins or whey proteins by a combination of heat
 3435 treatments and enzymatic hydrolysis (Restani et al., 2006). Allergenicity of milk may be decreased by
 3436 enzymatic treatment with proteases followed by ultrafiltration, which removes the remaining high
 3437 molecular weight peptides and the residual protein.

3438 Another attempt to reduce allergenicity involves the use of proteolysis combined with high pressure.
 3439 Different authors have shown increased fragmentation of BLG if proteolysis occurs after or during the
 3440 application of high pressure (Peñas et al., 2006a). The partial ineffectiveness of proteolysis under
 3441 ordinary atmospheric conditions may be due to the inability of enzymes to reach epitopes that are less
 3442 exposed. However, thermal denaturation can also induce the formation of aggregates with greater
 3443 resistance to hydrolytic attack, as is the case with BLG (Restani et al., 2006).

3444 Small clinical studies have reported controversial results with hydrolysed formulas, depending on the
 3445 enzymes used and on the degree of hydrolysis. The incidence of reported adverse effects in allergic
 3446 infants fed partially or extensively hydrolysed milk (either casein or whey) formulas in tertiary care
 3447 centres range around 45-65 % and 3-6 %, respectively (Giampietro et al., 2001; Caffarelli et al., 2002;
 3448 Fiocchi et al., 2010).

3449 **15.6. Detection of allergens and allergenic ingredients in food**

3450 Several analytical methods have been developed to determine the presence of milk and of milk-
 3451 derived allergens in foods. Immunological methods, in particular ELISA, are commonly used, which
 3452 may provide semi-quantitative/quantitative results. The milk powder NIST SRM 1549 has been used
 3453 as reference material. DNA-based methods consisting of the PCR amplification of oligonucleotidic
 3454 sequences specific for the allergenic ingredient are rarely used for the detection of milk traces in food
 3455 products owing to the relatively low DNA content (Tregot and van Hengel, 2010). Separation
 3456 techniques, such as 2D-electrophoresis, CE, and HPLC, eventually coupled to mass spectrometry, are
 3457 also successfully used.

3458 **15.6.1. ELISA**

3459 Numerous ELISA kits for the detection of milk-derived allergens are commercially available, with
 3460 sensitivity down to 1 mg/kg (Poms et al., 2004a). Most test kits are based on competitive ELISA and
 3461 detect BSA, casein, BLG or whey proteins with LODs between 0.1 and 5 mg/kg. Monoclonal and
 3462 more suitable polyclonal antibodies have been used against either BLG or casein.

3463 An inter-laboratory study was performed in order to validate an ELISA kit based on a rabbit
 3464 polyclonal antibody for the quantitative determination of BLG in foods (Stumr et al., 2009). The LOD
 3465 was 0.07 mg BLG/kg and the LOQ was 0.22 mg BLG/kg.

3466 Lateral flow devices and dipsticks, which are used for rapid screening, are commercially available.
 3467 The former detect casein and whey residues in food products down to 0.12 mg/kg (Schubert-Ullrich et
 3468 al., 2009).

3469 Antibodies are also used in combination with biosensors and other detection technologies. Surface
 3470 plasmon resonance (SPR) was used as a label-free technology for the simultaneous quantification of α -
 3471 , β - and κ -casein in raw and heat-treated dairy products (Dupont and Muller-Renaud, 2006). The
 3472 LODs were of 870, 85 and 470 ng/mL, respectively. A resonance-enhanced absorption (REA)
 3473 biosensor with a direct immunoassay on a chip, in which the read-out antibody was labelled with
 3474 monodisperse colloid gold clusters, was used for detecting BLG in processed milk (Hohensinner et al.,
 3475 2007). A very good sensitivity (LOD of 10 ng/L) for casein was obtained with a localised surface
 3476 plasmon resonance (LSPR) immunosensor based on a gold-capped nanoparticle substrate on which
 3477 anti-casein antibodies were immobilised (Minh Hiep et al., 2007).

3478 In several foods, e.g. fermented dairy products, linear epitopes can be hydrolysed though retaining the
 3479 allergenic potential. Epitopes released from the parent proteins tend to be underestimated or to escape
 3480 the most commonly utilised sandwich ELISA-based tests (de Luis et al., 2007). In similar cases,
 3481 competitive ELISA tests can be successful in detecting as low as 5 mg/kg of "hidden" milk-derived
 3482 peptides in complex foods (Monaci et al., 2006).

3483 **15.6.2. Capillary electrophoresis and mass spectrometry**

3484 Capillary electrophoresis (CE) with a laser-induced fluorescence detector has been efficiently used for
 3485 the detection of ALA, BLG and BSA (Veledo et al., 2005).

3486 MS has been used for identifying and characterising CMP and as confirmatory method to support
 3487 ELISA results. The so-called "bottom-up" approach, which involves the enzymatic (tryptic) digestion
 3488 of the protein, followed by a LC-MS/MS, is generally used. The method is not suitable for quantitative
 3489 determinations, unless the digestion step is perfectly reproducible and a standard marker peptide is
 3490 available. A confirmatory method based on LC/selected reaction monitoring (SRM)-MS/MS was
 3491 developed and validated for the quantification of milk traces in foods (Lutter et al., 2011). Tryptic
 3492 peptides of BLG and β -, α S2-, and κ -casein were selected as markers for quantification. Internal
 3493 standard peptides containing isotopically labelled amino acids were used for quantification. LOD
 3494 values were 0.2-0.5 mg/kg.

3495 A similar procedure was followed for the determination of α - and β -casein, ALA and BLG in foods
 3496 (Ansari et al., 2011). After tryptic digestion of the four proteins, several peptides were identified by
 3497 LC-MS/MS. Seven of these peptides were synthesised and used for calibration of the LC-MS/MS
 3498 system. The peptides were determined down to 1 ng/mL in food samples.

3499 An HPLC-QpQ-MS method is available for the detection of whey proteins (ALA and BLG A and B)
 3500 in fruit juices. The method does not involve a digestion step, but is based on the detection of selected
 3501 fragment ions used as markers. The LOD and the LOQ were estimated at 1 and 4 μ g/mL, respectively
 3502 (Monaci et al., 2011).

3503 **15.6.3. Detection of CMP in wine**

3504 Several reports regard the detection of CMP used as fining agents in wine by ELISA. A sandwich
 3505 ELISA was used for the detection of residual casein in wine with an LOD of 8 ng α -casein/mL wine
 3506 (Rolland et al., 2008). α - and β -caseins in fined wines were detected at 0.2 μ g/mL (Weber et al.,
 3507 2009b). A commercial ELISA kit for the detection of caseinates (LOD of 0.28 mg/kg; LOQ of
 3508 0.76 mg/kg) has been validated in an inter-laboratory trial (Restani et al., 2012).

3509 MS has also been used for the detection of residual CMP in wine. An LC/high resolution (HR)MS
 3510 method has been developed for the analysis of milk proteins in incurred cookies and white wine spiked
 3511 with milk powder and caseinate, respectively (Monaci et al., 2011). The method is based on the
 3512 identification of peptides in the tryptic digest of proteins using HPLC coupled to MS using the
 3513 Orbitrap analyser. On account of the high mass accuracy and resolution provided by the Orbitrap, it
 3514 was possible to identify four peptides as markers of casein using accurate values of the mass/charge
 3515 ratio (m/z) of their ions. LODs ranged from 39 to 51 μ g/mL, and referred to the amount of protein

3516 initially added to the wine. The HR-MS-based method has been further developed to detect
 3517 simultaneously milk and egg proteins in wine by using isotopically labelled (15N-valine-containing)
 3518 peptides of ovalbumin and α S1-casein. LODs were in the range of 0.4 and 1.1 μ g/mL. One study
 3519 (Tolin et al., 2012a) revealed the presence of residual milk allergens in commercial wines by LC-
 3520 MS/MS.

3521 15.7. Minimal (observed) eliciting doses

3522 There are several reports documenting severe allergic reactions to very low amounts of CMP. CMPs
 3523 (including BLG) are excreted through breast milk and have been reported to induce severe allergic
 3524 reactions in breast-fed infants at concentrations of about 5 ng/mL (from 0.5 to 50 ng/mL) in breast
 3525 milk (Axelsson et al., 1986; Machtiner and Moss, 1986; Host and Samuelsson, 1988; Sorva et al.,
 3526 1994). Fatal anaphylaxis occurred after ingestion of a meal sausage containing the amount of cow's
 3527 milk equivalent to 60 mg of casein (Kjelkevik et al., 1997). Frozen desserts containing trace amounts
 3528 of whey proteins (9 μ g/mL) triggered anaphylaxis in a three year old boy (Laoprasert et al., 1998). A
 3529 fatal reaction has been documented with inhaled milk proteins in a dairy shop (Barbi et al., 2004).

3530 Data are also available from DBPCFCs in CMA subjects. Most studies have been conducted
 3531 exclusively in children (Hill et al., 1984; Host and Samuelsson, 1988; Baehler et al., 1996; Patriarca et
 3532 al., 2002; Fiocchi et al., 2003b; Devenney et al., 2006; Flinterman et al., 2006b; Morisset et al., 2007;
 3533 Staden et al., 2007; Longo et al., 2008; Skripak et al., 2008; Caminiti et al., 2009; Orhan et al., 2009),
 3534 few in children and adults (Morisset et al., 2003a; Lam et al., 2008), and only one in adults (Norgaard
 3535 and Bindslev-Jensen, 1992). Studies were variable in size, challenge protocol and type of food tested.
 3536 The total number of patients showing objective symptoms during the DBPCFC in a given study
 3537 ranged from two to 60. The lowest MOEDs also varied widely among studies, ranging from 3.3 to
 3538 1 815 mg of total protein (Remington, 2013).

3539 More recent studies in children with CMA report similar results. In a population of 633 children
 3540 referred consecutively to a tertiary Centre in Germany for the evaluation of suspected CMA through
 3541 an OFC, 10 % experienced reactions already at the first dose (0.1 mL of milk, equivalent to 3 mg of
 3542 proteins). Of these, 4 % experienced a severe reaction (Rolinck-Werninghaus et al., 2012). In another
 3543 study conducted in the Netherlands, 38 (33 %) of 224 consecutive children undergoing a DBPCFC
 3544 owing to suspected CMA reacted to the challenge. In three children, a reaction was observed after the
 3545 first dose (18 mg of total protein), whereas more than 50 % of subjects reacted at doses > 100 mg of
 3546 total protein (Dambacher et al., 2013). In a tertiary Centre in the Netherlands, where 93 children were
 3547 challenged through a DBPCFC with CMP, 5 % reacted to the first dose of 0.2 mg of protein (Blom et
 3548 al., 2013).

3549 In paediatric patients with IgE-mediated CMA, minimal eliciting doses (MED) for subjective
 3550 symptoms have been reported to be, on average, two to six times lower than for objective symptoms
 3551 (Blom et al., 2013).

3552 Concerns have been raised against the possibility of children with CMA reacting to lactose following
 3553 incidents after inhalation of lactose-containing drugs, possibly because of contamination with CMP.
 3554 However, no single case of an adverse reaction to lactose ingestion has been reported among children
 3555 with CMA, and a prospective study on the allergenicity of whey-derived lactose investigated by
 3556 serology and DBPCFC did not document such reactions (Fiocchi et al., 2003a). Indeed, some products
 3557 intended for use by milk-allergic children may contain lactose (Fiocchi et al., 2010), and elimination
 3558 of lactose from the diet of children with CMA is not warranted.

3559 15.8. Conclusion

3560 Milk is a common cause of allergic reactions in childhood. Most CMPs, even those present at low
 3561 concentrations, are potential food allergens. Prevalence of CMA in unselected European populations
 3562 using food challenges to confirm diagnosis has been estimated to be around 1 % in children and 0.5 %
 3563 in adults. Heat treatments can decrease or increase the allergenicity of CMPs depending on the

3564 temperature and duration of the treatment. Fermentation and hydrolytic processes may decrease
3565 allergenicity depending on the microorganisms used and the reaction conditions. ELISA and MS
3566 techniques are available for the detection of CMPs in food products. Data available from case reports
3567 or DBPCFCs do not allow deriving a level of exposure which could be safe for most milk-allergic
3568 consumers, since the amount of CMPs, which may trigger allergic reactions in sensitive individuals
3569 varies widely. The lowest reported MOED in milk allergic patients undergoing DBPCFC was 200 µg
3570 of milk protein. Since this was the first dose tested, allergic reactions to lower doses cannot be
3571 excluded.

3572 **16. Allergy to eggs**

3573 **16.1. Background**

3574 Female animals of many species, including birds, reptiles, amphibians and fish lay eggs, but hen's
3575 eggs are the most frequently consumed by man. Egg products are used widely by the food industry.
3576 Properties such as binding, emulsification, coagulation and adhesion are important for the production
3577 of a large number of food products, such as dairy, confectionary, beverages, ready prepared meals,
3578 cakes, icings, custard fillings and frozen bakery products.

3579 In Western countries, egg allergy is one of the most frequent allergies in childhood together with milk
3580 and peanut (Eggesbo et al., 2001; Nwaru and Sheikh, 2012). Clinical symptoms include anaphylactic,
3581 immediate (IgE-mediated) and delayed immunological reactions that can affect all organ systems of
3582 the body. The skin, the gastrointestinal and respiratory tracts are typically involved.

3583 **16.2. Epidemiology**

3584 Self-reported diagnosis of egg allergy is usually based on parental perception of the allergic disease.
3585 Due to the relatively good prognosis of egg allergy, highest prevalence rates are observed between one
3586 and three years of age.

3587 **16.2.1. Prevalence**

3588 **16.2.1.1. Europe**

3589 The prevalence of egg allergy in unselected European populations has been assessed in 17 countries
3590 (35 studies), including Denmark, Estonia, Finland, France, Germany, Greece, Greenland, Hungary,
3591 Iceland, Ireland, Italy, Norway, Portugal, Spain, Sweden, Turkey and the UK. Studies were published
3592 between 1980 and 2012 (University of Portsmouth, 2013)

3593 Self-reported prevalence of egg allergy at one year ranged from 1.5 % in Norway (Eggesbo et al.,
3594 1999) to 6 % in Finland (Kajosaari, 1982). At two years, the range was between 3 % (Eggesbo et al.,
3595 1999; Ostblom et al., 2008a), 2008) and 7 % (Kajosaari, 1982) and between 2 % (Kilgallen and
3596 Gibney, 1996) and 9 % (Kajosaari, 1982) at three years of age. When the diagnosis was made by a
3597 physician, the prevalence at one year of age ranged from 1.9 % in Italy (Frongia and Bellamo, 2005)
3598 and Finland (Pyrhonen et al., 2009) to 2.6 % in Sweden (Ostblom et al., 2008a). In older children (> 6
3599 years), the self-reported prevalence of egg allergy ranged between 1 % (Kajosaari, 1982) and 2.1 %
3600 (Zannikos et al., 2008) except in two studies conducted in Turkey in 2010 (Mustafayev et al.,
3601 2012) and Spain in 2000 (Martínez-Gimeno et al., 2000), where the prevalence was 5.6 % and 13 %,
3602 respectively. In adults, the range was between 0.4 % in Germany (Schafer et al., 2001) and 2 % in
3603 Turkey (Gelincik et al., 2008).

3604 Some studies report on sensitisation rates assessed by SPT and/or specific IgE levels. In young
3605 children (≤ 3 years), the prevalence of positive SPT to eggs ranged from 1.4 % (Venter et al., 2008) in
3606 the UK to 5.2 % (Julge et al., 2001) in Estonia. Lower sensitisation rates were reported for older
3607 children, ranging from zero (Julge et al., 2001; Ronchetti et al., 2008) to 2.8 % (Schafer et al., 1999)
3608 (Ro et al., 2012), and for adults, between 0.4 % to 1.9 % (Schafer et al., 2001), except in Hungary,

3609 where 7.3-11.1 % of adult subjects were sensitised to egg yolk as assessed by positive SPT. Higher
 3610 sensitisation rates were observed when specific IgE levels were used for diagnosis. In younger
 3611 children, sensitisation rates were between 4.2 % and 20.6 % (Julge et al., 2001), whereas in older
 3612 children ranged between 0.4 % (Krause et al., 2002) and 22.7 % (Julge et al., 2001). In adults the
 3613 figures were zero to egg yolk (Bakos et al., 2006) and 2.8 % to egg white.

3614 Prevalence of egg allergy was generally lower when sensitisation tests were combined with clinical
 3615 history. When SPT was used, prevalence was 1.5 % in 18 month olds in Sweden (Kristjansson et al.,
 3616 1999), 0.1 % in adults in Turkey (Gelincik et al., 2008), and 0.2 % in the overall German population
 3617 combining all ages (Zuberbier et al., 2004). When serum specific IgE was used, the highest prevalence
 3618 was observed in Sweden (0.6 %) (Ostblom et al., 2008b) and the lowest in Turkey (0.1 %) (Gelincik et
 3619 al., 2008). Studies performed in eight European centres are in keeping with these sensitisation rates
 3620 (Burney et al., 2013).

3621 Studies providing data about the prevalence of egg allergy based on clinical history and confirmed by
 3622 food challenges (OFC or DBPCFC) are limited. In Denmark (Eller et al., 2009), prevalence of egg
 3623 allergy was estimated to be 0.2 % at six months of life, 2.6 % at 18 months and 2.3 % at three years,
 3624 decreasing to 0.6 % at six years. Lower rates (1.6 %) were reported in the same country at three years
 3625 of age using DBPCFC instead of OFC. In other countries, prevalence of egg allergy among children
 3626 six years of age based on OFC was 0.1 % in Turkey (Orhan et al., 2009) and 1 % in Finland
 3627 (Kajosaari, 1982).

3628 In adults, challenge proven egg allergy data come from two studies, both reporting 0.1 % prevalence
 3629 in Denmark (Osterballe et al., 2005) and Turkey (Gelincik et al., 2008). The same prevalence rate was
 3630 reported in Germany for all ages combined based on clinical history and DBPCFC (Zuberbier et al.,
 3631 2004).

3632 16.2.1.2. Outside Europe

3633 In an Australian study (Osborne et al., 2011) amongst 2 079 children 11-15 months of age, the
 3634 prevalence of any sensitisation to raw egg white was 16.5 %. The prevalence of challenge-proven raw
 3635 egg allergy was 8.9 %, 80.3 % of which could tolerate baked egg. These figures are much higher than
 3636 those reported in Europe, the reason(s) for which are unclear.

3637 The prevalence of challenge-proven egg allergy in China was 2.9 % and 5 % in 1999 and 2009,
 3638 respectively, among children from birth up to two years (Chen et al., 2011). A rate of 2.5 % was also
 3639 reported in another study (Hu et al., 2010) conducted in the same country.

3640 16.2.2. Natural history

3641 Egg allergy is frequently outgrown in later life. Resolution rates vary among studies, probably owing
 3642 to differences in patient selection and methods used to assess egg allergy. In a retrospective chart
 3643 review in North America, ~ 40 % and ~ 70 % of egg allergic children with clear clinical history of an
 3644 IgE-mediated allergic reaction to egg ingestion or egg specific IgE > 2 kU/L had developed tolerance
 3645 to concentrated egg at 10 and 16 years of age, respectively (Savage et al., 2007). In Spain, 50 % of 42
 3646 children with egg allergy developed tolerance at around four years of age and only 26 % remained
 3647 allergic at five years (Montesinos et al., 2010), whereas egg allergy tended to resolve in 55 % of 58
 3648 egg allergic children patients in the first six years of life (Boyano-Martinez et al., 2002). A high level
 3649 of egg-specific IgE was correlated to egg allergy persistence (Savage et al., 2007; Caubet et al., 2011).
 3650 In an Australian study of 130 challenge proven egg allergic children, egg allergy resolved by two
 3651 years in 66 %. The resolution of egg allergy was lower in children with baked egg allergy at one year
 3652 of age than in children with baked egg tolerance (13 % vs. 56 %, respectively) (Peters et al., 2013).

3653 **16.2.3. Time trends**

3654 Based on self-reported diagnosis of egg allergy, two cross sectional studies were carried out in
3655 1980/2001 and in 1995/2005 in Finland and the UK, respectively. In both cases methodologies used
3656 and age groups studies were similar, and thus it is possible to compare prevalence rates over time.

3657 In Finland (Kajosaari, 1982; Pyrhonen et al., 2009), prevalence of egg allergy reported by parents of
3658 young children was higher in 1980 than in 2001 at all ages. Prevalence of self-reported egg allergy in
3659 1980 and 2001 was 6 % and 2.7 % in one year olds, 7 % and 4 % in two-year olds, and 9 % and 3.6 %
3660 in three-year olds, respectively. Conversely, self-reported prevalence of egg allergy increased from
3661 0.7 % to 3 % between 1995 and 2005 in the UK in 15 year-old adolescents (Emmett et al., 1999;
3662 Pereira et al., 2005).

3663 Owing to the high risk of bias of questionnaire based methods for the diagnosis of food allergy and
3664 based on the available data, the Panel notes that there is no evidence for a change in the prevalence of
3665 egg allergy in Europe over the timeframe assessed.

3666 **16.2.4. Severe reactions/anaphylaxis**

3667 Severe life-threatening events and fatal anaphylaxis to egg in children are less common than to peanut
3668 or milk. Over the 15-year period between 1990 and 2005, six series of food-related anaphylaxis in
3669 children from four different countries (UK, USA, Sweden and Germany) have been published,
3670 recording 31 deaths and 132 life-threatening reactions (Sampson et al., 1992; Allen et al., 2007;
3671 Pumphrey and Gowland, 2007). The triggers of fatal reactions were egg 7 %, milk 17 %, peanut 48 %
3672 and peanut or tree nut 62 %. Both fatalities to egg occurred in young children (three months and two
3673 years).

3674 **16.2.5. Factors affecting prevalence of egg allergy**

3675 The onset of egg sensitisation is related to the introduction of eggs in the diet, although there seems to
3676 be other routes (prenatally through the placenta, skin, and respiratory route by inhalation).

3677 Although egg allergy is among the most common food allergy in infants and young children,
3678 environmental risk factors specific for egg allergy remain largely unknown. Egg sensitisation at one
3679 year of age is predictive of asthma in later life (Kulig et al., 1998; Tariq et al., 2000). IgE antibodies
3680 against egg proteins are associated with a higher risk of developing asthma at the age of three years
3681 (Nickel et al., 1997). A high level of egg specific IgE was correlated to egg allergy persistence. It is
3682 unclear whether continued exposure to cooked eggs induces immunological changes associated with
3683 tolerance induction in egg allergic children (Lemon-Mule et al., 2008), or whether the introduction of
3684 heated/baked egg into the infants' diet from four to six months of age may modify the development of
3685 egg allergy and sensitisation (Fleischer et al., 2013).

3686 **16.3. Identified allergens**

3687 Major allergens of the eggs of *Gallus domesticus* are known, characterised and classified as Gal d 1-6
3688 by the IUIS (Table 9). However, major allergen sources are still unassigned and their relevance in
3689 human egg allergy is still unknown (Mine and Zhang, 2002; Amo et al., 2010).

3690

3691

Table 9: Hen's (*Gallus domesticus*) egg allergens.

Fraction	Allergen	Biochemical name	Concentration	Molecular weight ^a
Egg white	Gal d 1	ovomucoid	11 %	28
	Gal d 2	ovalbumin	54 %	44
	Gal d 3	ovotransferrin	13 %	78
	Gal d 4	lysozyme C	3.5 %	14
Egg yolk	Gal d 5	serum albumin (α -livetin)	48 %	69
	Gal d 6	YGP42	-	35 ^b

 3692 ^aMW (SDS-PAGE); ^bkDa

3693 Clinically relevant egg allergens have been identified both in the egg white and the egg yolk fractions.
 3694 Based on SPT, RAST assays and CRIE, the most common egg allergens are ovomucoid, ovalbumin
 3695 and lysozyme (Mine and Yang, 2008).

3696 Ovomucoid (Gal d 1), one of the major egg allergens for clinical reactions (Bernhisel-Broadbent et al.,
 3697 1994), is a highly glycosylated protein containing 186 amino acids which exhibits trypsin inhibitory
 3698 activity. The molecule consists of three structurally independent domains, has nine intramolecular
 3699 disulphide bridges, and displays 20-25 % of carbohydrates entities (Kato et al., 1987). Specific IgE to
 3700 Gal d 1 in hen's egg white appears to be a risk factor for persistent egg allergy and indicates that
 3701 neither raw nor heated egg is likely to be tolerated (Caubet et al., 2011).

3702 Ovalbumin (Gal d 2) is a phosphoglycoprotein comprising 54 % of egg's white total protein content.
 3703 Its complete sequence of 385 amino acids has been determined (Nisbet et al., 1981). Ovotransferrin
 3704 (Gal d 3) displays an N domain and a C domain, belongs to the transferrin protein family and has iron-
 3705 scavenging properties (Li-Chan and Nakai, 1989). Lysozyme (Gal d 4) is a glycosidase containing
 3706 four disulfide bonds with bacteriolytic activity. It is used in the food industry to maintain product
 3707 quality and reduce the incidence of spoilage.

3708 Serum albumin (α -livetin; Gal d 5) is involved in the bird egg syndrome and sensitisation is most
 3709 likely to occur via inhalation (Jacobsen et al., 2008). It consists of 589 amino acid residues and is
 3710 homologous to mammalian serum albumins (47 and 44 % identity to human and bovine albumins,
 3711 respectively). The protein has one potential glycosylation site and 35 cysteine residues.

3712 Gal d 6 is the newly identified yolk glycoprotein YGP42, a fragment of VTG-1, which has been
 3713 described in monosensitised egg allergic patients (Amo et al., 2010). It is heat resistant but sensitive to
 3714 pepsin digestion. The VTG-derived proteins are the major yolk components. Cleavage of VTG-1 and
 3715 VTG-2 produces apolipovitellins and phosvitins, which are components of the water-insoluble yolk
 3716 granular lipoproteins. The C-terminal part of VTGs gives rise to yolk glycoproteins YGP40 and
 3717 YGP42, which are major components of the yolk plasma (Mann and Mann, 2008).

3718 IgE antibodies of egg allergic children directed against conformational structures seems to indicate an
 3719 earlier recovery from disease compared with those children who have developed IgE antibodies
 3720 against linear epitopes (Jarvinen et al., 2007; Leonard et al., 2012).

3721 16.4. Cross-reactivities

3722 Clinical cross-reactivities of primary egg allergic individuals are generally restricted to other avian
 3723 eggs, although primary sensitisations to duck and goose eggs without sensitisation to hens' eggs have
 3724 been reported (Añíbarro et al., 2000). Hens' egg white immunologically cross-reacts with egg white
 3725 from turkey, duck, goose and seagull (Langeland, 1983). The level of cross-reactivity is related to the
 3726 extent of sequence homology of the shared protein, and a homology of around 50 % is required in
 3727 most instances to allow IgE-binding and trigger adverse reactions (Ferreira et al., 2004). All egg
 3728 whites contain moieties able to bind human IgE antibodies of patients with allergy to hens' egg white.
 3729 Several cross-reacting proteins in egg white were also detected in egg yolks and to some extent in

3730 chicken sera and meat. Individuals who react to chicken meat are generally sensitised to chicken
3731 serum albumin. Occasionally, patients with allergies to chicken and other avian meats are able to eat
3732 eggs without symptoms (Cahen et al., 1998; Añíbarro et al., 2000). The probability of cross-reactions
3733 is likely to be affected by interspecies relationships (Kelso et al., 1999) and possibly by different
3734 chicken breeds (Egger et al., 2011).

3735 **16.4.1. Bird-egg syndrome**

3736 Patients with allergy to egg yolk may also present respiratory symptoms caused by bird exposure at
3737 home (Szepfalusi et al., 1994; Quirce et al., 2001). The identified cross-reacting allergens include α -
3738 livetin (Gal d 5), which is partially heat-labile. Incubation of pooled sera from patients with bird-egg
3739 syndrome with bird feather extracts led to complete blocking of IgE-binding to allergens in egg yolk
3740 and bird feather extracts. Serum from patients with egg white allergy did not react with allergens in
3741 egg yolk or bird feather extract (Szepfalusi et al., 1994).

3742 **16.4.2. Birds' nest allergy**

3743 Anaphylaxis after ingestion of edible nests of *Collocazia* species, used in Chinese cuisine (Ou et al.,
3744 2001), has been reported. Immunochemical characterisation of a putative 66 kDa allergen revealed
3745 homology with the egg white allergen ovoinhibitor, a serine protease inhibitor (Goh et al., 2000; Goh
3746 et al., 2001).

3747 **16.5. Possible effects of food processing on allergenicity**

3748 A number of studies have explored the effects of different food processing methods, such as heat
3749 treatments, enzymatic proteolysis, irradiation, or high-pressure treatments, on the allergenicity of egg
3750 in food products (Mine and Yang, 2008).

3751 **16.5.1. Thermal processing**

3752 Thermal processing is often undertaken to enhance flavour, consistency and microbiological safety,
3753 rather than to reduce allergenicity. When egg white is subjected to heat, its globular proteins change in
3754 structure and conformation. Ovalbumin, the most abundant protein in egg white, unfolds completely
3755 when heated in a solution of pH 10 (Van Kleef, 1986). The unfolded, randomly coiled ovalbumin
3756 molecules are mainly cross-linked via covalent disulphide cross-links.

3757 Effects of heating (and chemical denaturation procedures) on the IgE-binding capacity (Mine and
3758 Zhang, 2002; Manzocco and Nicoli, 2012; Shin et al., 2013) and allergenicity (Koplin et al., 2010;
3759 Burks et al., 2012a) of major egg allergens have been described. Many egg allergic individuals react to
3760 cooked and raw eggs (Langeland, 1982a, 1982b). However, some individuals react only to raw eggs
3761 and tolerate cooked eggs (Kemp, 2007; Burks et al., 2012a). These individuals often exhibit lower
3762 egg-specific IgE levels (Boyano Martinez et al., 2001).

3763 Heating and freeze drying can reduce the allergenicity of egg for some patients (Urisu et al., 1997;
3764 Nowak-Wegrzyn and Fiocchi, 2009), but this process does not reliably prevent IgE-binding or clinical
3765 reactions, probably because the major allergen ovomucoid is heat stable.

3766 **16.5.2. Enzymatic treatments**

3767 Enzymatic proteolysis may reduce the allergenicity of egg allergens by targeting sequential epitopes
3768 (Wal, 2003). During enzymatic hydrolysis, the functional properties of egg proteins, such as foaming
3769 and gelling, are usually lost. The IgE-binding capacity of egg was reduced by applying a combination
3770 of thermal treatments and enzymatic hydrolysis, while maintaining flavour and texturising properties
3771 (Hildebrandt et al., 2008).

3772 **16.5.3. γ -Irradiation**

3773 Radiation technology has been explored in a number of studies for the modification of egg allergens
3774 (Seo et al., 2007). Treatment doses up to 3 kGy are applied to ensure a bacteriological quality for
3775 liquid, frozen, or dehydrated egg white preparations. γ -Irradiation > 10 kGy may alter the structure of
3776 ovalbumin and decrease its IgE-binding capacity. γ -Irradiation in combination with heat treatment
3777 may reduce the IgE-binding properties of ovomucoid (Kim et al., 2002).

3778 **16.5.4. Egg-derived products used in food processing**

3779 **16.5.4.1. Egg lysozyme and lecithin**

3780 Egg lysozyme (E1105) is used by the food industry as bactericide to prevent the growth of anaerobic
3781 bacteria and in the preparation of medications (Fremont et al., 1997). Egg lysozyme in medications,
3782 including vaccines, has been reported to trigger adverse reactions in egg allergic individuals at doses
3783 in the mg and μ g range (Ledesma Benitez et al., 2007; Perez-Calderon et al., 2007; Artesani et al.,
3784 2008).

3785 Egg lecithin is used as emulsifier (E322), although it is increasingly replaced by soy lecithin (Gultekin
3786 and Doguc, 2013). The possibility of residual allergenicity in food products manufactured using egg
3787 lecithin has been reported in a DBPCFC (Palm et al., 1999). Any white egg proteins present in
3788 pharmaceutical products may potentially trigger anaphylactic reactions.

3789 **16.5.4.2. Wine clarification**

3790 Egg white is commonly used in the clarification of wines throughout the world. In view of the variable
3791 use of egg-derived fining agents and processes, the presence of fining agents in the finished product is
3792 variable. Following Commission Regulation (EU) 1266/2010¹⁰ and the expiration of the temporary
3793 exemption from labelling, wine labels must state whether egg products have been used in the fining
3794 process as of July 2012.

3795 **16.6. Detection of allergens and allergenic ingredients in food**

3796 **16.6.1. Immunological methods**

3797 **16.6.1.1. ELISA**

3798 The most frequent methods used for egg allergen analyses are based on ELISA techniques preferably
3799 targeting ovalbumin and ovomucoid.

3800 An ELISA assay based on polyclonal antibodies specific to whole egg proteins (Yeung et al., 2000)
3801 with a LOD of 0.2 mg/kg and a sandwich ELISA assay which used ovalbumin and dehydrated egg
3802 white solids as antigens with a LOD of 1 mg/kg (Hefle et al., 2001) have been developed and applied
3803 to numerous foods. Two more sensitive indirect competitive ELISA are now available for the
3804 detection of both native and denatured ovomucoid in hen's egg white with LODs of 0.041 ng/mL (Li
3805 et al., 2008) and 30 ng/mL (Dong-Hwa et al., 2010) in processed foods.

3806 Many ELISA kits with variable performance and inherent limitations are commercially available
3807 (Schubert-Ullrich et al., 2009; Shoji, 2009). ELISA kits may target total egg protein, egg white
3808 proteins, ovomucoid, ovalbumin, or ovomucoid and ovalbumin together, with LODs from 0.08 to
3809 0.6 mg/kg and LOQs between 0.3 and 1 mg/kg. False positive and false negative results can be
3810 expected as a consequence of several factors, such as matrix effects or cross-reactivity between
3811 ovalbumin and other avian eggs, such as pheasant, goose, duck or quail, probably owing to the high
3812 sequence homology.

¹⁰ Commission Regulation (EU) No 1266/2010 of 22 December 2010 amending Directive 2007/68/EC as regards labelling requirements for wines. OJ L 347, 31.12.2010, p. 27-28.

3813 Most important are the effects of thermal processes on the detectability of egg allergens, on account of
3814 the reduced recognition of the modified native protein by antibodies and/or the decreased solubility of
3815 the proteins. Three commercial ELISA kits were evaluated and found to be highly affected by heat
3816 treatments (Fu et al., 2010). The underestimation was attributed to changes in the immunoreactivity of
3817 residual proteins rather than to differences in the amount of protein extracted. The effects of
3818 processing on the accuracy and precision of five ELISA commercial kits were tested for the
3819 simultaneous presence of peanut, egg and milk in incurred dark chocolate (Khuda et al., 2012a) and
3820 sugar cookies (Khuda et al., 2012b). The effect on accuracy and precision of ELISA kits was found to
3821 depend more on the heating conditions than on the type of matrix. Tempering (46 °C for 4 h) had no
3822 significant effect on the detection of egg in chocolate, whereas baking (190 °C for 25-30 min)
3823 negatively affected the recovery and variability of egg proteins in sugar cookies when using all five
3824 ELISA kits. Similar results were obtained in baked cookies incurred in a non-wheat flour matrix using
3825 two commercial ELISA test kits and flow citometry as detection methods (Gomaa and Boye, 2013).
3826 No recoveries were obtained for egg proteins under some thermal treatments.

3827 The solubilisation of egg allergenic proteins is a critical issue to be tackled when using immunoassays.
3828 The addition of sodium dodecyl sulphate (SDS) and 2-mercaptoethanol (2-ME) to the extraction
3829 buffer greatly improved the extraction of proteins from raw eggs, boiled eggs and fried noodles, as 2-
3830 ME acts as a reducing agent cleaving the disulphide bonds and SDS acts as surfactant (Watanabe et
3831 al., 2005). An ELISA assay for egg proteins employing this extraction method used an anti-SDS-
3832 ovalbumin antibody rather than an anti-native ovalbumin antibody to allow recognition of the
3833 denatured protein. The method was applied to incurred processed samples allowing high recoveries
3834 and was validated in a collaborative study (Matsuda et al., 2006).

3835 The reference material NIST RM-8445 (spray-dried whole egg) for allergen detection is available.
3836 Another egg reference material NIST RM-8415 (egg powder), used for nutritional studies, was found
3837 unsuitable for allergen detection immunoassays owing to the low solubility of the proteins. Several
3838 commercial ELISA kits were evaluated for the analysis of egg spiked with NIST RM 8445 in wheat
3839 flour (raw) and egg containing cookies (Diaz-Amigo, 2010). An incurred reference material for the
3840 analysis of egg allergens in baked foods is also available (Dumont et al., 2010).

3841 16.6.1.2. Lateral flow devices and dipsticks

3842 Lateral flow devices which provide fast qualitative data are commercially available, with LODs from
3843 0.5 to 5 mg/kg. A dipstick assay based on a non-competitive ELISA format, where the antibody was
3844 directly spotted on a nitrocellulose membrane and the detection performed with an antibody coupled to
3845 peroxidase, was also developed, with a LOD of > 20 µg/kg egg proteins in food (Baumgartner et al.,
3846 2002).

3847 16.6.1.3. Biosensors

3848 Several optical-based biosensors have been described for the detection of ovalbumin. Polymer brush
3849 modified cap-shaped gold nanoparticles have been used as sensing elements using localised SPR with
3850 a LOD of 100 nM (Anraku et al., 2007). The target of this sensor being sugars, specificity for
3851 ovalbumin needs to be demonstrated.

3852 An optical resonance enhanced absorption (REA)-based immunochip sensor in direct and sandwich
3853 assay formats using antibodies functionalised with gold nanoparticles has been proposed as a rapid
3854 colorimetric method for detecting ovalbumin and ovomucoid in foods (Maier et al., 2008). The
3855 biosensor gave reproducible and selective results with a LOD of 1 ng/mL, enabling high-throughput
3856 screening.

3857 An optical planar waveguide array platform has also been developed for the detection of multiple
3858 allergens, including ovalbumin, using fluorescence sandwich immunoassays with a LOD of 25 pg/mL
3859 in buffer and of 1.3 ng/mL (13 ng/g) in pasta (Shriver-Lake et al., 2004).

3860 A label-free voltammetric immunosensor, based on the ovalbumin antibody immobilised on
 3861 carboxyphenyl modified graphene has been used for the detection of ovalbumin in the concentration
 3862 range between 1 pg/mL and 0.5 mg/ mL with a LOD of 0.83 pg/mL in phosphate buffer saline (PBS)
 3863 buffer (Eissa et al., 2013).

3864 **16.6.2. Mass spectrometry**

3865 An LC-ESI-MS/MS method was compared with commercial ELISA kits for the detection of
 3866 ovalbumin in egg white, whole egg and incurred (with egg white powder) pasta before and after
 3867 heating (Azarnia et al., 2013). Protein extraction was performed with the buffers recommended by the
 3868 ELISA kits producers. Several peptides were selected following tryptic digestion of the protein, none
 3869 of which was detected by MS in cooked samples or by the ELISA kits in all incurred pasta samples.
 3870 This shows that both MS and ELISA methods are affected by matrix, processing and extraction
 3871 conditions.

3872 A multi-method for the detection of seven allergenic foods (egg, milk, soy, hazelnut, peanut, walnut
 3873 and almond) based on LC-QPQ-MS/MS implied extraction of the allergenic proteins from the food
 3874 matrix (incurred reference bread material baked with a standard recipe), digestion with trypsin and
 3875 selection of the marker peptides. Peptides were separated by HPLC and analysed in the MRM mode,
 3876 with a LOD of 50 µg/g (Heick et al., 2011a). This method was found to be superior for the detection of
 3877 egg allergens to the commercial ELISA kits (Heick et al., 2011b).

3878 **16.6.3. Detection of lysozyme in dairy products**

3879 An indirect inhibition ELISA for the specific detection of lysozyme in hen's egg white with a LOD of
 3880 0.264 µg/mL (Vidal et al., 2005) and a competitive ELISA to quantify the amount of lysozyme in
 3881 cheese using a commercially available monoclonal antibody (Schneider et al., 2010b), with a LOD of
 3882 2.73 ng/mL, have been described.

3883 A rapid chemoluminescent immunoassay based on bacterial magnetic particles conjugated to an
 3884 antibody in a fully automated system was also used to detect lysozyme with a LOD of 10 ng/mL (Sato
 3885 et al., 2001), as well as an on-line coupled capillary isotachophoresis-capillary zone electrophoresis
 3886 (CITP-CZE) method, which allowed a good separation, with a LOD of 0.25 µg/mL and a LOQ of
 3887 1 µg/mL (Kvasnička, 2003).

3888 Lysozyme is used in cheese to prevent blowing by *Clostridium tyrobutyricum*. Lysozyme was
 3889 efficiently detected and quantified in milk and cheese (LOQ 0.8 mg/kg) by using a RP-HPLC in
 3890 connection with a fluorescence detector (Pellegrino and Tirelli, 2000), whereas a commercial ELISA
 3891 kit was unsuitable for the detection of lysozyme in cheese on account of the low recovery owing to the
 3892 interaction between lysozyme and other proteins in cheese and of matrix interferences during the
 3893 immunological reactions (Kerkaert et al., 2010)

3894 Lysozyme was found to remain unaltered during ripening (up to 24 months) of a hard-type cheese by
 3895 using SELDI-TOF/MS (Dragoni et al., 2011). Another method which combines immunocapture
 3896 purification and MALDI-TOF-MS analysis was also developed for the detection of lysozyme in
 3897 cheese samples (Schneider et al., 2010a), with a LOD of 5 mg/kg lysozyme in cheese.

3898 **16.6.4. Detection of egg products in wine**

3899 Egg white proteins are used as fining agents in wines. Lysozyme may also be added to wines as a
 3900 stabiliser for its antimicrobial activity. By applying a competitive ELISA assay to laboratory-fined
 3901 wines, lysozyme was detected in the range of approximately 0.01-0.06 mg/L and dried egg white at
 3902 0.2 mg/L (Weber et al., 2007). A specific sandwich ELISA was established using commercially
 3903 available monoclonal and polyclonal antibodies for ovalbumin detection in wine with a LOD of
 3904 1 mg/L (Rolland et al., 2008). The method was applied to a panel of commercially available bottled
 3905 wines, where egg residues were detected in two red wines fined with whole eggs.

3906 In order to overcome the poor sensitivity of immunological methods in a matrix rich of interfering
 3907 substances like wine, a direct LC-MS/MS (nano-HPLC/ESI-Q-TOF) method for detecting residual egg
 3908 proteins (ovalbumin, ovomucoid and lysozyme) in red wine fined with a commercial egg white
 3909 preparation was developed (Tolin et al., 2012b). On the basis of three peptides taken as markers, it was
 3910 possible to unequivocally detect the presence of egg white in wines treated with the minimal dose
 3911 commonly adopted for red wine fining (5 g/hL). When the method was applied to a panel of
 3912 commercial red wines, the presence of egg proteins was demonstrated in some, with an estimated
 3913 minimal residual concentration of ovalbumin of about 0.1 µg/L (Tolin et al., 2012a).

3914 In order to achieve quantitative measurements of egg (ovalbumin and lysozyme) and milk (casein)
 3915 proteins in white wine by MS, a method based on HR-MS has been described (Monaci et al., 2013).
 3916 The method implies previous ultrafiltration of wine, tryptic digestion of the dialysed wine extracts and
 3917 LC/HR-MS. Tryptic peptides were selected as quantitative markers of the allergenic proteins.
 3918 Analyses were performed on wines fined with either caseinate or egg-white powder at concentrations
 3919 of 0.25 and 10 mg/L, respectively. LODs were 0.4 and 1.1 mg/L, respectively.

3920 **16.6.5. DNA-based methods**

3921 The limitations of DNA-based techniques for the detection of egg in foods relate to the low content of
 3922 DNA in eggs and to the fact that egg DNA cannot be distinguished from chicken DNA, which may
 3923 lead to misinterpretation of the data, obtained when analysing complex food mixtures.

3924 Two tetraplex qPCR were developed for the simultaneous detection of eight allergenic foods, among
 3925 which egg, with specificity and sensitivity in the range of 0.01 % (Köppel et al., 2010). Two
 3926 quantitative hexaplex real-time PCR systems for the detection and quantification of 12 allergenic
 3927 ingredients (among which eggs) in foods became available thereafter (Köppel et al., 2012). The two
 3928 tests showed good specificity and sensitivity (LOD of at least 0.01 % for all allergenic ingredients) in
 3929 mixed foods. The inherent sensitivity was lower for eggs owing to the low amount of DNA present.
 3930 However, the two multiple PCR systems are suitable as screening tools in routine analysis.

3931 **16.7. Minimal (observed) eliciting doses**

3932 Some egg allergic patients react to small (µg) amounts of egg (Wuthrich, 2000; Wuthrich and
 3933 Ballmer-Weber, 2001).

3934 A number of studies performed for different purposes (i.e. diagnostic, threshold-finding and
 3935 immunotherapy trials) have reported on MED/MOED following food challenges mostly in children
 3936 (Atkins et al., 1985; Caffarelli et al., 1995; Eggesbo et al., 2001; Knight et al., 2006; Staden et al.,
 3937 2007; Benhamou et al., 2008; Orhan et al., 2009; Blom et al., 2013), but also in adults and children
 3938 combined (Norgaard and Bindslev-Jensen, 1992; Morisset et al., 2003a) and in adults only (Unsel et
 3939 al., 2007). Studies vary in size, in the challenge protocol used and in the type of food preparation
 3940 tested (Taylor et al., 2013). The total number of patients showing objective symptoms during the
 3941 challenge in a given study ranged from one to 53. The lowest MOEDs also varied widely among
 3942 studies, ranging from 0.21 to 583 mg of total egg protein (Blom et al., 2013; Remington, 2013). Raw
 3943 egg white, whole raw egg and whole cooked (boiled, fried or baked) egg were tested in these studies.
 3944 Doses (as mg of total protein) of raw egg white eliciting allergic reactions were significantly lower
 3945 than doses of both raw and cooked whole egg, possibly owing to the higher proportion of egg
 3946 allergens in egg white protein.

3947 Hefle et al. (2003) used spray-dried whole egg (SDWE) to determine the individual threshold doses in
 3948 39 egg-allergic individuals. Most subjects did not react with objective symptoms to a cumulative dose
 3949 of 330 µg SDWE (150 µg egg protein), whereas one subject reacted to the first dose of 30 µg SDWE
 3950 (14 µg egg protein).

3951 Minimal doses reported to elicit objective symptoms in egg allergic individuals are variable depending
 3952 on the study population, challenge protocol and food matrix tested. The lowest reported MOEDs in

3953 egg allergic patients undergoing food challenges of 14 µg of egg protein could be even lower
3954 considering that the individual already reacted to the first challenge dose tested.

3955 **16.8. Conclusion**

3956 Egg proteins are frequent triggers of allergic reactions. Prevalence of challenge proven egg allergy in
3957 unselected populations is about 1.5-2.5 % in young children (< 3 years), whereas lower prevalence
3958 rates have been reported in older children and adults (from 0.1 % to 1 %). A number of egg allergens
3959 have been identified and characterised. Most egg allergic individuals exhibit IgE-binding to sequential
3960 epitopes of egg white. However, both egg white and egg yolk derived proteins have been described to
3961 trigger clinical allergic reactions. Heat denaturation and other food processing treatments do not
3962 reliably reduce the allergenicity of egg. A number of methods of detection are available, based on
3963 ELISA assays, MS and PCR technologies. Specific methods for the detection of lysozyme in dairy
3964 products and of egg products in wine based on these technologies have also been developed. Minimal
3965 eliciting doses of ingested egg proteins reported to trigger reactions in clinical studies range from few
3966 micrograms to milligrams. Most egg allergic individuals are likely to react to raw egg proteins in the
3967 low milligram level.

3968 **17. Allergy to nuts**

3969 **17.1. Background**

3970 Nuts include a wide variety of fruits or seeds of various species contained within a hard shell. These
3971 species do not form a taxonomic group. Almonds are not nuts, but are included in this section because
3972 specifically mentioned in Annex IIIa. Nuts are consumed in many forms, varying from raw seeds to
3973 roasted snacks. The intake of tree nuts, peanuts, and unspecified nuts consumed in the EU was
3974 2.23 g/d for the entire population. The mean intake of total nuts varied ~ 8-fold from Northern to
3975 Southern Europe, ranging from 0.61 g/d in Sweden to 4.83 g/d in Spain. Walnuts, almonds, pistachios,
3976 and hazelnuts are tree nut most consumed in Europe (Jenab et al., 2006). Nuts are known to trigger a
3977 wide range of allergic manifestations in sensitive individuals, ranging from OAS to anaphylaxis.

3978 Hazelnut belongs to the family of *Betulaceae*, a group of plants whose pollen is often responsible for
3979 respiratory symptoms. Brazil nut does not belong to the subclass of *Rosidae*, like hazelnut, English
3980 walnut, black walnut, almond, cashew nut, macadamia nut and Queensland nut, but to the subclass of
3981 *Asteridae*, like sesame seeds and *Apiaceae* (carrot and celery). Pecan nut (*Carya illinoinensis*) is
3982 closely related to walnut and belongs to the same family of *Juglandaceae*. The *Rosaceae* family
3983 includes almonds (*Prunus dulcis* or *Prunus amygdalus*), but also apple, pear and *Prunoideae* fruits
3984 (peach, apricot, plum and cherry). Cashew nut (*Anacardium occidentale*), pistachio (*Pistacia vera*)
3985 and mango belong to the same *Anacardiaceae* family. Chestnut (*Castanea sativa*) belongs to the
3986 *Fagaceae* family, together with trees such as oak and beech.

3987 **17.2. Epidemiology**

3988 **17.2.1. Prevalence**

3989 **17.2.1.1. Europe**

3990 Data on the prevalence of tree nut allergy in unselected European populations by type of nut, age
3991 group and method of diagnosis are depicted in Table 10. Prevalence data come from a number of
3992 different European countries (i.e. Finland, Germany, Greenland, Hungary, Iceland, Norway, Spain,
3993 Sweden, The Netherlands, Turkey and the UK), but geographical comparisons are difficult to make
3994 because country-based studies differ in the type of nut, age group and method of diagnosis
3995 investigated.

3996 **Table 10:** Estimated prevalence of tree nut and almond allergy in unselected European populations
 3997 by type of nut, age group and method of diagnosis.

	Tree nuts (unspecified)	Hazelnut	Walnut	Almond	Cashew nut	Brazil nut	Pistachio	Pecan nut
All ages								
Self-reported	1.7 %	-	-	-	-	-	-	-
Sensitisation	-	23 %	-	-	-	-	-	-
Clinical history and sensitisation	-	4.5 %	1.4 %	-	-	-	-	-
Clinical history and FC	-	2.2 %	1 %	-	-	-	-	-
Young children (≤ 3 years)								
Self-reported	0-2 %	-	-	0 %	-	-	-	-
Sensitisation	-	0.2 %	-	0.3 %	0.2 %	0.3 %	-	-
Clinician diagnosed	0.3-0.4 %	-	-	-	-	-	-	-
Clinical history and sensitisation	0 %	-	-	0 %	-	-	-	-
Children /adolescents (> 3-17 years)								
Self-reported	1.3-6.9 %	0.3-1.5 %	0.1-1.2 %	3.8 %	-	-	0.8 %	-
Sensitisation	-	0.1-0.4 %	0.1-4.5 %	0.5 %	0.4 %	0.5 %	-	0.2 %
Clinical history and sensitisation	-	0.1 %	-	-	0.1 %	-	-	-
Clinical history and FC	-	0-0.1 %	0-0.4 %	-	-	-	-	-
Adults/elderly (≥ 18 years)								
Self-reported	0.1 %	-	-	-	-	-	-	-
Sensitisation	-	0-11.3 %	3.7 %	0 %	-	-	-	-
Clinical history and sensitisation	-	0 %	-	-	-	-	-	-
Clinical history and FC	-	0 %	0 %	-	-	-	-	-

3998 FC = food challenge.

3999 The prevalence of self-reported allergy to any nut was 1.7 % in the general population (UK) (Young et
 4000 al., 1994), ranging from 0.1 % in adults (Turkey) (Orhan et al., 2009), to 1.3 % (The Netherlands)
 4001 (Brugman et al., 1998) and 6.9 % (Spain) (Martínez-Gimeno et al., 2000) in children.

4002 The prevalence of self-reported hazelnut allergy ranged from 0.3 to 1.5 % in children (Turkey) (Orhan
 4003 et al., 2009; Mustafayev et al., 2012). No data are available for other population subgroups or the
 4004 general population. Data on sensitisation rates are more abundant and somehow contradictory.
 4005 Although sensitisation rates based on positive SPT have been reported to be as high as 23 % in the
 4006 general German population (Zuberbier et al., 2004), lower rates have been observed in German
 4007 (11.3 %) (Schafer et al., 2001) and Hungarian adults (2.8-3.6 % by SPT; 0-9.2 % by specific IgE)
 4008 (Bakos et al., 2006), whereas sensitisation rates in children and adolescents were very low in Turkey
 4009 and the UK (0.1-0.4 %). Prevalence of hazelnut allergy based on positive clinical history plus
 4010 sensitisation (4.5 %) and on clinical history plus food challenge (2.2 %) was again higher in the
 4011 German general population than in 4-year old children in the UK (0.1 %; history plus SPT; (Tariq et
 4012 al., 1996) or in any specific population subgroup in Turkey, where the prevalence of hazelnut allergy
 4013 using food challenges was close to zero (Gelincik et al., 2008; Orhan et al., 2009; Mustafayev et al.,
 4014 2012).

4015 Some of the studies above have also assessed the prevalence of walnut allergy, which appears to be
 4016 even lower than the prevalence of allergy to hazelnuts. As for hazelnuts, prevalence of self-reported
 4017 allergy is low (0.1-1.2 %), but data are only available for Turkish children. Sensitisation rates based on
 4018 positive SPT were 3.7 % in Hungarian adults and 0.1-4.5 % in Turkish children. Prevalence of walnut
 4019 allergy based on positive clinical history plus sensitisation (1.4 %) and on clinical history plus food
 4020 challenge (1 %) in the German general population was again higher than in any specific population
 4021 subgroup in Turkey, where the prevalence of walnut allergy using food challenges was 0-0.4 %.

4022 Prevalence data from unselected European populations regarding almond allergy are available almost
 4023 exclusively for children and is limited to self-reported allergy and sensitisation rates, with or without
 4024 clinical history. Whatever the method used, prevalence was low in both adults and children. Self-
 4025 reported allergy ranged from 0 % in young children (Iceland and Sweden) (Kristjansson et al., 1999)
 4026 to 3.8 % in older children (Sweden) (Ostblom et al., 2008b), whereas sensitisation rates ranged from
 4027 0.3 to 0.5 % (UK) ((Roberts et al., 2005; Venter et al., 2008), respectively).

4028 Prevalence data for tree nuts other than hazelnut and walnut are scarce and almost limited to
 4029 sensitisation rates (positive SPT and/or specific IgE) in children. Sensitisation rates (positive SPT) to
 4030 cashew nut were 0.2 % in young children (UK) (Venter et al., 2006b) and 0.4 % in older children
 4031 (UK) (Roberts et al., 2005), whereas prevalence of cashew nut allergy in older children based on
 4032 clinical history and positive SPT was only 0.1 % (Turkey) (Tariq et al., 1996). Sensitisation rates to
 4033 Brazil nut in young and older children (0.3 and 0.5 %, respectively) and sensitisation rates to pecan
 4034 nuts in older children (0.2 %) were similar to cashew nut and were assessed in the same studies. The
 4035 only available data for pistachio referred to self-reported allergy in older children (0.8 %; Turkey)
 4036 (Mustafayev et al., 2012).

4037 17.2.1.2. Outside Europe

4038 Most studies on the prevalence of nut allergy in unselected populations conducted outside Europe
 4039 (mainly in the US and Canada) address allergy to unspecified nuts. Prevalence of self-reported allergy
 4040 to tree nuts in Canada was 1.2 % in the general population and 1.1 % in adults (Canada) (Ben-Shoshan
 4041 et al., 2010). Lower rates (0.6 %) were observed in Australian adults (Woods et al., 1998). In children,
 4042 prevalence of self-reported allergy was generally higher, ranging from 1.7 % in Canada (Ben-Shoshan
 4043 et al., 2010) to 4.7 % in Singapore (Shek et al., 2010).

4044 Data on prevalence of tree nut allergy based on clinical history or diagnosis by a clinician was quite
 4045 consistent across countries, ranging from 0.2-0.4 % in children and from 0.9 to 1.6 % in adults in the
 4046 US (Sicherer et al., 1999; Sicherer et al., 2003; Sicherer et al., 2010).

4047 Only one study reported on prevalence of allergy to a particular tree nut. Based on clinical history and
 4048 specific IgE concentrations, 2.2 % of six to eight year old Taiwanese were allergic to pistachio (Wan
 4049 and Chiu, 2012).

4050 No prevalence studies were available in unselected, non-EU populations where allergy to particular
 4051 tree nuts has been confirmed by challenge studies.

4052 17.2.2. Natural history

4053 Little is known about the natural history of nut allergy. Patients with diagnosed nut allergy are
 4054 generally advised to avoid eating nuts for the rest of their lives, but some patients outgrow their
 4055 allergy.

4056 The proportion of subjects who outgrow tree nut allergy was evaluated using nut challenges in a group
 4057 of children with a history of acute allergic reactions to nuts and evidence of nut-specific IgE or
 4058 positive nut-specific IgE level and no history of nuts ingestion (Fleischer et al., 2005). The authors
 4059 concluded that 8.9 % of children acquired oral tolerance (nine out of 101 with a history of prior nut
 4060 reactivity), but this level of resolution of nut allergy may be underestimated. It was also observed in

4061 the same study that none of the patients whose nut allergy resolved had a history of reacting to more
4062 than two different nuts, so patients with allergy to multiple nuts may be less likely to outgrow allergy.

4063 **17.2.3. Time trends**

4064 A random-calling telephone survey conducted across the United States in 1997, 2002, and 2008
4065 observed an increase in self-reported tree nut allergy in children (0.2 %, 0.5 %, and 1.1 %,
4066 respectively) (Sicherer et al., 1999; Sicherer et al., 2003; Sicherer et al., 2010). Prevalence rates of
4067 hospital admissions for food-induced anaphylaxis in Australia increased by 350 % between 1994 and
4068 2005, mostly in children below four years of age (11 cases per 100 000 population in 2005) and
4069 mostly due to peanut and tree nut anaphylaxis (39 % of all cases of anaphylaxis), whereas the increase
4070 in the frequency of admissions was more modest in older age groups and in relation to other allergies
4071 (Liew et al., 2009).

4072 A study on self-reported allergy to nuts (unspecified) conducted in Finland in 1980 (Kajosaari, 1982)
4073 showed a 2 % prevalence at 1 year of age, zero at two years, and 2 % at three years. A similar study
4074 conducted in 2001 (Pyrhonen et al., 2009) found a 0.8 % prevalence at one year of age, 2 % at two
4075 years, and 1.4 % at three years.

4076 Data from studies using oral food challenges on time trends for nut allergy are lacking.

4077 **17.2.4. Severe reactions/anaphylaxis**

4078 In comparison with other foods, allergic reactions to nuts seem to be particularly severe and are
4079 characterised by multi-systemic or respiratory symptoms. It has been estimated that nuts represent the
4080 triggering factor for about 1/3–1/4 of all anaphylactic reactions attributed to food consumption
4081 (Cianferoni and Muraro, 2012; Huang et al., 2012).

4082 Registers of anaphylaxis deaths are kept since 1992 in both the UK and the US. Out of the 37 food-
4083 induced fatalities reported from 1992 to 2000 in the UK, 10 were attributable to peanut, five to walnut
4084 and 10 to other non-specified nuts (Pumphrey, 2000). The next UK survey (up to 2006) reported 48
4085 additional deaths, from which nine were attributed to nuts (Pumphrey and Gowland, 2007). In the
4086 USA, 32 fatal cases were registered in the first period (up to 2000), 20 caused by peanut, three by
4087 walnut, two by Brazil nut, two by pecan nut, one by pistachio and two by non-specified nuts (Bock et
4088 al., 2001). In the next period (2001-2006), 31 additional cases were identified, eight of which were
4089 associated with tree nut consumption (Bock et al., 2007).

4090 An epidemiological study on the cases of anaphylaxis requiring emergency treatment in the Northwest
4091 of England reported 23 cases of reactions to nuts out of 172 total cases of anaphylaxis (Pumphrey and
4092 Stanworth, 1996). Another study reported 14 paediatric cases of severe food allergic reactions to nuts,
4093 especially cashew nut (seven cases) out of 55 severe non-fatal reactions recorded in the UK and
4094 Ireland from 1998 to 2000 (Macdougall et al., 2002).

4095 **17.2.5. Factors affecting prevalence of nut allergy**

4096 Allergy to one type nut is a risk factor for developing allergy to other type of nuts. Multiple
4097 sensitisations, assessed as positive SPT, was found in 19 % of sensitised children at the age of two
4098 years and 86 % of children at 5-14 years. Similarly, clinical reaction to multiple nuts was found in 2 %
4099 of children at two years and 47 % at 14 years (Clark and Ewan, 2003). Retrospective analysis of 201
4100 patients with peanut allergy showed that at the time of peanut allergy diagnosis, almost one-third of
4101 patients were sensitised to one or more tree nuts and became sensitised to an increasing number of tree
4102 nuts with advancing age (Fleischer, 2007).

4103 One randomised placebo-controlled double-blind study showed the prolonged effect of sublingual
4104 immunotherapy with a standardised hazelnut extract on clinical symptoms of hazelnut allergy (Enrique
4105 et al., 2008). However, these results were not confirmed in other studies (van Hoffen et al., 2011).

4106 **17.3. Identified allergens**

4107 The majority of nut allergens are seed storage proteins, such as vicilins, legumins and 2S albumins.
 4108 Other nut allergens are PR proteins (chitinases, Bet v 1 homologues, and LTP) and structural proteins
 4109 (profilins and oleosins). Profilins are panallergens (present in pollens, nuts, seeds, fresh fruit and other
 4110 vegetables). Additional proteins have recently emerged as allergens in tree nuts, including manganese
 4111 superoxide dismutase (MnSOD), 60S acidic ribosomal protein P2, and cytosolic small heat shock
 4112 protein.

4113 **17.3.1. Hazelnut**

4114 Hazelnut (pollen and non-pollen related) allergens are shown in Table 11.

4115 **Table 11:** Hazelnut (*Corylus avellana*) allergens

Allergen	Biochemical name	Superfamily/family	Molecular weight ^a
Cor a 1	PR-10	Bet v 1	17
Cor a 2	profilin	profilin	14
Cor a 8	ns-LTP 1	prolamin	9
Cor a 9	11S globulin (legumin-like)	cupin	40
Cor a 11	7S globulin (vicilin-like)	cupin	48
Cor a 12	oleosin	oleosin	17 ^b
Cor a 13	oleosin	oleosin	14-16 ^b
Cor a 14	2S albumin	prolamin	15-16 ^b

4116 ^a MW (SDS-PAGE); ^b kDa

4117 The hazelnut (*Corylus avellana*) allergen first identified (Cor a 1) binded IgE from 63 out of 65
 4118 patients with DBPCFC-confirmed OAS to hazelnut (Ortolani et al., 2000; Pastorello et al., 2002a). Cor
 4119 a 1 and Cor a 2 are homologues of the major birch pollen allergen Bet v 1 (Hirschwehr et al., 1992).
 4120 Cor a 2 shows high amino acid sequence identity (77-91 %) with other plant profilins and similar
 4121 tertiary structure (Radauer et al., 2006), and has been described as a food allergen in seven of 17
 4122 hazelnut allergic patients with concomitant birch pollen allergy (Lüttkopf et al., 2002a).

4123 Pollen-unrelated hazelnut allergy was initially described in adults from a Mediterranean region who
 4124 were sensitised to the LTP Cor a 8 (Schocker et al., 2000). It was demonstrated that the primary
 4125 sensitiser to Cor a 8 is the protein Pru p 3 from peach (*Prunus persica*) (Hartz et al., 2010). The
 4126 limited sensitisation potential of Cor a 8 seems to be explained by the rapid lysosomal degradation
 4127 during antigen processing and the lack of dominant T cell epitopes (Schulten et al., 2011).

4128 Cor a 14 represents a second member of the prolamin superfamily that is associated with hazelnut
 4129 allergy (Pastorello et al., 2002a; Garino et al., 2010). Cor a 14 bound IgE from 5 out of 15 patients
 4130 (Garino et al., 2010). Clinical, demographic and epidemiological data on sensitisation to Cor a 14
 4131 remain limited.

4132 Severe forms of hazelnut allergy are related to two allergens of the cupin superfamily: Cor a 9 (11S
 4133 legumin) and Cor a 11 (7S vicilin). Reactivity to Cor a 9 was demonstrated in 12 out of 14 patients
 4134 with systemic reactions (Beyer et al., 2002b) and in four out of seven patients with severe hazelnut
 4135 allergy (Hansen et al., 2009). Sensitisation to Cor a 9 can appear in very young infants prior to tree
 4136 pollen sensitisation and independently from sensitisation to its homologues in legumes like soy or
 4137 peanut (Verweij et al., 2011). IgE-binding to Cor a 11 was found in 31 of 65 adult patients with
 4138 hazelnut OAS (Lauer et al., 2004). Sensitisation to Cor a 11 was seen in 12 of 32 of children with
 4139 systemic reactions to hazelnut and only in one out of eight adult patients (Verweij et al., 2012).

4140 The role of Cor a 12 and Cor a 13, two oleosins identified as hazelnut allergens, remain to be
 4141 established (Akkerdaas et al., 2006).

4142 **17.3.2. Walnut**

4143 Walnut allergens are listed in Table 12. Only Jug r 1, Jug r 2 and Jug r 3 are reported in the IUIS
 4144 database.

4145 **Table 12:** Walnut allergens

Scientific name (common name)	Allergen	Biochemical name	Superfamily/family	Molecular weight^a
<i>Juglans regia</i> (English walnut)	Jug r 1	2S albumin	prolamин	14
	Jug r 2	vicilin	cupin	44
	Jug r 3	ns-LTP 1	prolamин	9
	Jug r 4	11S globulin	cupin	58
<i>Juglans nigra</i> (Black walnut)	Jug n 1	2S albumin	prolamин	15
	Jug n 2	vicilin	cupin	56

4146 ^aMW (SDS-PAGE)

4147

4148 The first allergen identified in English walnut (*Juglans regia*) was Jug r 1, a protein belonging to the
 4149 2S albumin family with its subunits joined by disulphide bridges (Teuber et al., 1998). The
 4150 recombinant walnut 2S albumin was found to be a major allergen, as it was recognised by 12 of 16
 4151 patients (75 %). This protein is similar to allergens present in Brazil nut, castor bean, cottonseed, and
 4152 mustard seed (Robotham et al., 2002).

4153 Teuber et al. (1999) identified a second major allergen in walnut, a recombinant protein belonging to
 4154 the vicilin-like protein family (Jug r 2). Jug r 2 binded IgE in sera from nine out of 15 walnut allergic
 4155 patients (60 %). Despite its high amino acid sequence identity (70 %) with peanut vicilin Ara h 1, this
 4156 allergen does not cross-react with homologous peanut proteins (Teuber et al., 1999).

4157 Two other major walnut allergens are Jug r 3, a lipid-transfer protein, and Jug r 4, an 11S legumin-like
 4158 globulin. Jug r 3 and Jug r 4 bound IgE in sera from 78 % (Pastorello et al., 2004) and from 57-65 %
 4159 of walnut allergic patients, respectively (Roux et al., 2003; Wallowitz et al., 2006a). Jug r 1 and
 4160 Jug r 3 appear to be the most potent allergens of walnut (Rangsithienchai et al., 2013).

4161 The information about black walnut (*Juglans nigra*) allergens is much more limited. Two recombinant
 4162 allergens were identified: Jug n 1, a 2S seed storage albumin, and Jug n 2, a vicilin seed storage
 4163 protein. The Jug n 1 and 2 were found to be 96 % and 97 % identical to Jug r 1 and 2, respectively.

4164 **17.3.3. Almond**

4165 Almond (*Prunus dulcis*) allergens include Pru du 3, Pru du 4, Pru du 5, and Pru du 6 (Table 13).

4166 Pru du 6, the most fully described almond allergen, is amandin, a legumin that forms 65-75 % of the
 4167 extractable proteins in almonds (Sathe et al., 2002). Pru du 6 is hexameric, and each polypeptide
 4168 comprises a large acidic α -chain and a small basic β -chain. The two chains are linked by a disulphide
 4169 bond. Two isoforms have been identified: Pru du 6.01 and Pru du 6.02. Pru du 6.01 was recognised by
 4170 50 % and Prud du 6.02 by 28 % of almond allergic patients (Willison et al., 2011).

4171 Pru du 3 consists of three isoallergens (Chen et al., 2008). It is usually accumulated in the outer
 4172 epidermal layers of plant organs and is thought to be responsible for the stronger allergenicity of the
 4173 peels in comparison to the inner layers of almonds (Costa et al., 2012b). Pru du 4 has \geq 90 % sequence
 4174 identity with profilins from a variety of plant sources, including apple, cherry, peach, orange and
 4175 melon, and is cross-reactive to ryegrass pollen profilins (Rodríguez-Perez et al., 2003; Tawde et al.,
 4176 2006).

4177 Pru du 5 is a 60S acidic ribosomal protein (Abolhassani and Roux, 2009). The biological function of
 4178 this protein is based on the successive addition of amino acid residues to a polypeptide chain during

4179 protein biosynthesis. It exhibits 81 % identity and 94 % homology with the protein ARP60S from
 4180 tomato, which may indicate possible cross-reactivity between them (López-Matas et al., 2011).

4181 Four other potential allergens have been identified: Pru du 1, Pru du 2, Pru du 2S albumin, and Pru du
 4182 γ -conglutin (Costa et al., 2012b).

4183 **Table 13:** Almond (*Prunus dulcis*) allergens

Allergen	Family	Superfamily/family	Molecular weight ^a
Pru du 3	ns-LTP 1	prolamin	9
Pru du 4	profilin	profilin	14
Pru du 5	60s acidic ribosomal prot. P2		10
Pru du 6	amandin, 11S globulin (legumin-like protein)	cupin	ca. 360

4184 ^aMW (SDS-PAGE)

4185 **17.3.4. Cashew nut**

4186 Allergens from cashew (*Anacardium occidentale*) are listed in Table 14 and include three major
 4187 allergens classified as seed storage proteins: Ana o 1, a 7S vicilin-like protein with homotrimer
 4188 subunits (Wang et al., 2002), Ana o 2, an 11S globulin member of the legumin family (Wang et al.,
 4189 2003), and Ana o 3, a 2S albumin (Robotham et al., 2005). Ana o 1, Ana o 2, and Ana o 3 have been
 4190 recognised by the serum of 50 % (Wang et al., 2002), 62 % (Wang et al., 2003), and 81 % (Robotham
 4191 et al., 2005) of patients with an allergy to cashew nut, respectively. The molecular structure of Ana o 2
 4192 closely resembles that of soybean Gy2 glycinin (Robotham et al., 2010). Ana o 3 is highly
 4193 homologous to the walnut allergen Jug r 1 (Wang et al., 2003).

4194 **Table 14:** Cashew nut (*Anacardium occidentale*) allergens

Allergen	Biochemical name	Superfamily/Family	Molecular weight ^a
Ana o 1	vicilin-like protein	cupin	50
Ana o 2	legumin-like protein	cupin	55
Ana o 3	2S albumin	prolamin	14

4195 ^aMW (SDS-PAGE)

4196 **17.3.5. Brazil nut**

4197 Brazil nut allergens are listed in Table 15.

4198 **Table 15:** Brazil nut (*Bertholletia excelsa*) allergens

Allergen	Biochemical name	Superfamily/family	Molecular weight ^a
Ber e 1	2S albumin (sulphur-rich)	prolamin	9
Ber e 2	11S globulin	cupin	29

4199 ^aMW (SDS-PAGE)

4200 Ber e 1, the first major allergen identified in Brazil nut (*Bertholletia excelsa*), is a sulphur-rich 2S
 4201 albumin seed storage protein. Its immunogenicity was tested using sera from nine patients showing
 4202 allergic reactions after ingestion of Brazil nut. Eight out of nine showed IgE-binding to the 2S albumin
 4203 (Nordlee et al., 1996). A subsequent study confirmed that Ber e 1 was the major allergen of Brazil nut,
 4204 as it was recognised by all 11 patients with documented history of anaphylactic shock or laryngeal
 4205 oedema after ingestion of the nut (Pastorello et al., 1998). Ber e 1 does not trigger an allergenic
 4206 response on its own, as other components of the lipid fraction are required (Mirotti et al., 2013).

4207 Another recognised Brazil nut allergen is Ber e 2, a 11S globulin legumin-like protein that showed
 4208 IgE-binding in 12 out of 27 Brazil nut sensitised patients (Beyer et al., 2002b).

4209 **17.3.6. Pecan nut**

4210 There are two pecan (*Carya illinoinensis*) allergens listed in the IUIS database (Table 16).

4211 **Table 16:** Pecan nut (*Carya illinoinensis*) allergens

Allergen	Biochemical name	Superfamily/family	Molecular weight (kDa)
Car i 1	2S albumin	prolamin	16
Car i 4	legumin	pupin	55.4 ^a

4212 ^a subunit of hexameric protein

4213 Car i 1 is a 2S albumin seed storage protein consisting of two subunits connected by a disulphide bond. IgE-binding to Car i 1 was shown in 22 out of 28 sera from patients with convincing histories of allergic reactions to pecan nut (Sharma et al., 2011b). In turn, Car i 4 is a hexameric legumin 11S seed storage protein. Each monomer consists of basic and acidic subunits linked by disulphide bonds. Car i 4 was bound by serum IgE from 16 out of 28 subjects allergic to pecan (Sharma et al., 2011a).

4218 **17.3.7. Pistachio**

4219 Pistachio (*Pistacia vera*) allergens are listed in table 17.

4220 **Table 17:** Pistachio (*Pistacia vera*) allergens

Allergen	Biochemical name	Superfamily/family	Molecular weight ^a
Pis v 1	2S albumin	prolamin	7
Pis v 2	11S globulin subunit	cupin	32
Pis v 3	vicilin	cupin	55
Pis v 4	MnSOD	-	25.7
Pis v 5	11S globulin subunit	cupin	36

4221 ^a MW (SDS-PAGE); MnSOD = manganese superoxide dismutase

4222 Pis v 1, which belongs to the 2S albumin family, shows structural similarity to cashew allergens with 64 % sequence identity to Ana o 3 and 48 % to Ana o 2 (Wang et al., 2003; Robotham et al., 2005). Pis v 2, a 11S globulin, has similar sequence homology with Jug r 4 of English walnut (50 %), Cor a 9 of hazelnut (47 %) and Ber e 2 of Brazil nut (46 %) (Beyer et al., 2002b; Wallowitz et al., 2006a). Nineteen out of 28 patients with pistachio allergy showed IgE-binding to Pis v 1 and 14 out of 28 (50 %) to Pis v 2 (Ahn et al., 2009).

4228 Pis v 3 is a 7S vicilin-like protein (Willison et al., 2008). Pis v 4 is a manganese superoxide dismutase (MnSOD)-like protein (Ayuso et al., 2007), and Pis v 5 is an 11S globulin acidic subunit (Ahn, 2007). They are all recognised as minor pistachio allergens, showing IgE-binding in 7 out of 19 patients (Willison et al., 2008), and 10 out of 25 patients (Noorbakhsh et al., 2010a), respectively.

4232 **17.3.8. Chestnut**

4233 European chestnut (*Castanea sativa*) allergens are shown in Table 18.

4234 **Table 18:** Chestnut (*Castanea sativa*) allergens

Allergen	Biochemical name	Superfamily/family	Molecular weight ^a
Cas s 1 ¹	PR-10	Bet v 1 (profilin)	22
Cas s 5	chitinase	(hevein-like domain)	-
Cas s 8	ns-LTP 1	LTP	12 – 13
Cas s 9	cytosolic heat shock protein	(heat shock protein)	17 ^b

4235 ¹ Not included in the IUIS database; ^a MW (SDS-PAGE); ^b kDa

4236 Cas s 5 is a chitinase, which contains an N-terminal domain with homology to the hevein-like domain
 4237 of rubber latex hevein. By analysing recombinant Cas s 5 with and without the N-terminal hevein-like
 4238 domain, it was shown that the majority of the Cas s 5-reactive IgE from patients with the latex-fruit
 4239 allergy syndrome was directed to this domain, though some evidence for reactivity with the C-terminal
 4240 catalytic domain was also found (Diaz-Perales et al., 1998). These findings explain why many
 4241 chestnut allergic individuals are also allergic to latex (Raulf-Heimsoth et al., 2007).

4242 Studies regarding other chestnut allergens are less common. Cas s 1 is a pollen protein, which shows
 4243 significant amino acid sequence similarity at the N-terminus with the major birch pollen allergen Bet v
 4244 1 and is antigenically closely related to it (Kos et al., 1993). Cas s 8, a member of the lipid transfer
 4245 protein (LTP) family, has 53 % identity to apple Mal d 3 and 50 % identity to peach Pru p 3 fruit
 4246 allergens (Lee et al., 2005; Sánchez-Monge et al., 2006). Six out of nine patients (66 %) sensitised to
 4247 chestnut but not to latex had positive SPT response to Cas s 8 (Blanco et al., 2006).

4248 Cas a 9 is useful to identify patients with systemic reactions, which are more common in children (De
 4249 Knop et al., 2011; Verweij et al., 2011).

4250 **17.3.9. Macadamia and Queensland nut**

4251 There are no designated allergens for macadamia (*Macadamia integrifolia*) and Queensland nut
 4252 (*Macadamia ternifolia*) to date although strong serum IgE-binding to a protein of 17.4 kDa from both
 4253 raw and roasted extracts of macadamia has been reported (Sutherland et al., 1999).

4254 **17.4. Cross-reactivities**

4255 **17.4.1. Cross-reactivity among nuts and between nuts and peanuts**

4256 Allergy to nuts is almost exclusively induced by non pollen-mediated food sensitisation. Only allergy
 4257 to hazelnut can be due to sensitisation to birch pollen or, less frequently, to mugwort pollen
 4258 (Hirschwehr et al., 1992; Caballero et al., 1997).

4259 Allergy to nuts is characterised by a high frequency of life-threatening anaphylactic reactions, so when
 4260 allergy to a single nut is demonstrated, the patient is often advised to avoid the entire nut group. It is
 4261 estimated that 20-50 % of peanut allergic patients are also allergic to tree nuts (Ewan, 1996; Sicherer
 4262 et al., 2003).

4263 It is still disputed if cross-reactivity between peanuts and tree nuts is related to taxonomic proximity or
 4264 rather results from structural homology of IgE-binding epitopes present in several tree nuts and
 4265 peanuts (Wallowitz et al., 2004; Maleki et al., 2011). Studies on cross-reactivity among nuts and
 4266 between nuts and peanuts include mainly *in vitro* studies, but there are some studies on IgE-binding
 4267 and clinical studies.

4268 Peanut-specific IgE antibodies that cross-react with tree nut allergens and may contribute to the
 4269 manifestation of tree nut allergy in peanut allergic subjects have been identified (de Leon et al., 2005).
 4270 The structurally related cross-reactivity between Ara h 3 and tree nut allergens such as Jug r 4 of
 4271 walnut, Cor a 9 of hazelnut, or Ana o 2 of cashew nut appears to be unrelated to the botanical origin of
 4272 the allergens and suggests that individuals allergic to peanut should avoid tree nuts, unless the allergy
 4273 status in relation to all other nuts is clarified (Barre et al., 2007; Ball et al., 2011).

4274 Cross-reactivities among tree nuts are mostly related to botanical family associations (Hasegawa et al.,
 4275 2009; Noorbakhsh et al., 2011), although some studies reported IgE cross-reactivity among nuts not
 4276 showing taxonomic relationship (de Leon et al., 2003). It has been proposed grouping of tree-nuts into
 4277 cross-reacting groups (Goetz et al., 2005). Walnut, pecan nut, and hazelnut, which are members of the
 4278 same botanical subclass, form a strongly cross-reactive group, whereas walnut and pecan nut, which
 4279 are members of the same *Juglandaceae* family, showed the strongest cross-reactivity. Also cashew

4280 and pistachio, which showed strong cross-reactivity, are both members of the botanical family
4281 *Anacardiaceae*.

4282 **17.4.2. Cross-reactivity between nuts and other foods**

4283 The major hazelnut allergen Cor a 1 was demonstrated to be cross-reactive with the birch pollen major
4284 allergen Bet v 1 (Hirschwehr et al., 1992). The 18 kDa allergens from hazelnut kernel and hazel pollen
4285 were cloned and tested using sera from 43 patients with positive DBPCFC to hazelnut (Lüttkopf et al.,
4286 2002b). Four recombinant variants of the major hazelnut allergen Cor a 1.04 were synthesised. These
4287 variants showed only 63 % identity and partial IgE cross-reactivity with the major hazel pollen
4288 allergen Cor a 1.01, but 85 % identity with the major birch pollen allergen Bet v 1. This suggests that
4289 the epitopes of hazelnut Cor a 1.04 are less related to hazel pollen than to birch pollen. The presence
4290 of specific IgE to Cor a 1 identifies patients with birch pollen allergy, which is more common among
4291 hazelnut-sensitised adults.

4292 In Northern Europe, where birch pollen allergy is common, most cases of hazelnut allergy develop
4293 secondarily to birch pollen allergy because of an immunological cross-reactivity between birch and
4294 hazelnut. In contrast, in Southern Europe, where birch pollen allergy is rare, hazelnut allergy is more
4295 often a primary allergy.

4296 The hazelnut profilin Cor a 2 also displays cross-reactivity with a birch pollen homologous allergen,
4297 but the clinical relevance of this immunological cross-reactivity seems, however, low (Hirschwehr et
4298 al., 1992; Wensing et al., 2002a).

4299 Latex-fruit syndrome represents a well known phenomenon of cross-reactivity caused by the presence
4300 of chitinase I both in latex (known as hevein Hev b 6.02; Hev b 11) and several food products
4301 (especially fruits), although other allergens may be involved. Chitinase I is present also in chestnut
4302 (Cas s 5) and shares common epitopes with natural latex antigens, which can explain sensitisation to
4303 chestnut in subjects allergic to latex (Blanco et al., 1999; Diaz-Perales et al., 1999; Sánchez-Monge et
4304 al., 2000; Blanco, 2003).

4305 Four important nut allergens belong to a family of lipid transfer proteins (LTP): Pru du 3 of almond,
4306 Cas s 8 of chestnut, Cor a 8 of hazelnut, and Jur r 3 of walnut. Owing to structural homology, LTPs
4307 from different allergen sources are generally IgE cross-reactive. A high degree of IgE cross-reactivity
4308 has been observed among allergenic LTPs within the *Rosaceae* family, and particularly with the peach
4309 allergen Pru p 3, which possesses more epitopes and/or epitopes with higher IgE-binding affinity
4310 compared with other LTPs. Peach represents a primary sensitiser to LTPs (Egger et al., 2010) and the
4311 level of specific IgE to peach LTP seems to be a main factor associated with cross-reactivity to other
4312 plants, including nuts (Asero, 2011).

4313 **17.5. Possible effects of food processing on allergenicity and derived products**

4314 Nuts are often subjected to a variety of processing conditions, which may affect their allergenic
4315 potential.

4316 **17.5.1. Thermal processing**

4317 Hazelnut, which is often used in pastries, partially loses its allergenicity after roasting. One study
4318 investigated the IgE-binding pattern of raw and roasted hazelnut (Pastorello et al., 2002a) and found
4319 that the major allergen Cor a 1 loses its IgE-binding capacity in roasted hazelnut, while the minor
4320 allergen LTP, recognised by a distinct subset of patients without birch pollinosis, was heat-resistant.
4321 However, 5 out of the 17 patients sensitised to Cor a 1.04 who underwent a DBPCFC with roasted
4322 hazelnut reacted with mild OAS to the challenge (Hansen et al., 2003), whereas in another clinical
4323 study, a decreased allergenicity of roasted hazelnut compared to raw hazelnut was observed in patients
4324 with birch pollen allergy during an oral challenge (Worm et al., 2009). This suggests that roasting can
4325 decrease, but not abolish, clinical reactions to hazelnut in birch pollen allergic patients sensitised to
4326 Cor a 1.04. This is supported by the observation that thermal processing results in partial or complete

4327 depletion of the stimulatory activity of basophils only in some subjects with systemic allergic reactions
 4328 to hazelnut (Cucu et al., 2012c). Similarly, in a DBPCFC heat-processed (roasted) hazelnut and native
 4329 hazelnut were given orally in increasing amounts. The dosage by which allergic reactions were elicited
 4330 varied from 0.01 to 2.0 g for native hazelnut, with a median of 0.1 g, and from 0.01 to 10.0 g for
 4331 roasted hazelnut, with a median of 0.23 g (Worm et al., 2009). *Ex vivo* basophil activation measured
 4332 by flow cytometry showed that significantly higher allergen extract concentrations were needed to
 4333 induce 50 % basophil activation in roasted vs. native hazelnut.

4334 Contrary to hazelnut, allergen Ber e 1 belonging to the prolamin superfamily present in Brazil nut is
 4335 inherently stable to thermal treatment (Venkatachalam et al., 2008).

4336 The IgE-binding capacity assessed by ELISA and Western blot assays was significantly lower for the
 4337 protein extract prepared from steam-roasted than from raw and dry-roasted pistachio nuts (Noorbakhsh
 4338 et al., 2010b).

4339 A meta-analysis based on the results of 32 individual studies found that thermal processing may
 4340 reduce the IgE-binding capacity of proteins of the PR-10 family present in hazelnut (Cor a 1) and
 4341 almond (Pru du 1), but has a little influence on the allergens belonging to LTPs and seed storage
 4342 proteins in hazelnut, almond, cashew, Brazil nut, walnut, pecan and pistachio (Masthoff et al., 2013).

4343 In some cases thermal treatment may enhance the allergenicity of nuts. This was observed in pecan
 4344 nut, which develops new allergens upon heating as a result of the Maillard reaction (Berrens, 1996).
 4345 These modifications have a clinical significance: an anaphylactic reaction to cooked pecan nuts was
 4346 reported by a patient who showed specific IgE antibodies exclusively against allergenic determinants
 4347 present in aged or heated pecan, but not in fresh pecans (Malanin et al., 1995). On the contrary,
 4348 Maillard reaction decreases the IgE and IgG binding properties of the hazelnut allergen Cor a 11 (Iwan
 4349 et al., 2011).

4350 17.5.2. Other treatments

4351 Antigenic stability of proteins in several nuts subjected to various processing methods, including γ -
 4352 irradiation alone or in combination with blanching, pressure cooking, oven roasting, frying, and
 4353 microwave heating, was demonstrated using Western blotting and ELISA (Su et al., 2004). While heat
 4354 processing may inactivate certain structural epitopes of hazelnuts, such treatments are unlikely to
 4355 affect the allergenicity of almonds, cashew nuts and walnuts. In aqueous solutions with pH values
 4356 between 5.0 and 7.0 and high pressure, a temperature exceeding 110 °C is needed to denature Ber e 1,
 4357 the major allergen of Brazil nut (van Boxtel et al., 2008).

4358 Enzymatic treatments can also influence the allergenicity. It has been shown that treatment with
 4359 trypsin or elastase decreases the IgE-binding capacity of hazelnuts (Wigotzki et al., 2000). High
 4360 stability to pepsin digestion has been shown in relation to Ber e 1, an allergen present in Brazil nut
 4361 (Moreno et al., 2005). Opposed to this, mice sensitised to cashew and then undergoing provocation
 4362 challenges with pepsin-digested cashew proteins showed less severe allergic reactions compared to
 4363 native cashew proteins (Kulis et al., 2012). Pepsin and trypsin destroy IgE-binding of Bet v 1-related
 4364 food allergens (including hazelnut allergen Cor a 1.04) but not their T-cell activating properties
 4365 (Schimek et al., 2005).

4366 There is only limited information available about influence of different methods used for preparation
 4367 of nut oils on their allergenicity. Teuber et al. (Teuber et al., 1997) examined a range of nut oils
 4368 (walnut, almond, hazelnut, pistachio, and macadamia) finding that oils that had undergone less
 4369 processing at lower temperatures tended to demonstrate higher protein concentration. Those oils with
 4370 most protein and least processing tended to demonstrate the strongest IgE-binding within each group
 4371 of nut oil extracts.

4372 Despite several effects of processing on the antigenicity of nut allergens, nuts are generally considered
 4373 as relatively resistant to processing.

4374 **17.6. Detection of allergens and allergenic ingredients in food**

4375 **17.6.1. Immunological methods**

4376 Different ELISA assays (sandwich, competitive) have been described for the detection of nut allergens
 4377 with high sensitivity and LOD as low as 0.1 mg/kg. Numerous kits are commercially available (Poms
 4378 et al., 2004a; Schubert-Ullrich et al., 2009; Fielder et al., 2010). All tests provide quantitative results,
 4379 based on in-house reference materials, and present variable cross-reactivity among nuts. Matrix effects
 4380 and food processing may also affect the detection of nut allergens by ELISA (Garber and Perry, 2010).
 4381 Lateral flow devices and dipsticks are also commercially available and mostly used for screening
 4382 purposes (Schubert-Ullrich et al., 2009; Fielder et al., 2010).

4383 **17.6.1.1. Hazelnut**

4384 Many ELISA methods have been developed for hazelnut by using monoclonal or polyclonal
 4385 antibodies against raw or processed foods (Holzhauser and Vieths, 1999; Koppelman et al., 1999;
 4386 Blais and Phillippe, 2001; Scheibe et al., 2001; Stephan et al., 2002). Commercial products containing
 4387 hazelnuts have been tested, including chocolate (Ben Rejeb et al., 2003). The performance of four
 4388 commercial ELISA kits was compared in the presence or absence of wheat proteins in processed foods
 4389 (Cucu et al., 2011). A significant loss of accuracy was observed for three of the four kits as result of
 4390 the Maillard reaction.

4391 A sandwich ELISA with a LOD of 0.7 ng/mL in the range of 1-2.5 µg of hazelnut protein/g of food
 4392 (Akkerdaas et al., 2004), and a hazelnut-specific indirect competitive ELISA based on polyclonal
 4393 chicken antibodies raised against processed hazelnut proteins (Cucu et al., 2012d), with a LOD of
 4394 1.36 µg/mL, have been proposed.

4395 Another sandwich ELISA operating in optical and electrochemical modes and targeting the allergen
 4396 Cor a 9 was devised (Trashin et al., 2011), with a LOD of 4 ng/mL or 0.1 µg of hazelnut protein/g of
 4397 food. A time-resolved fluoroimmunoassay (TRFIA) for the detection of hazelnut protein traces in food
 4398 matrices has been developed and validated (Faeste et al., 2006), with a LOD of 0.1 mg/kg and a LOQ
 4399 of 0.33 mg/kg. A sensitive biosensor based on a highly specific monoclonal antibody is able to detect
 4400 hazelnut proteins in olive oil (Bremer et al., 2009).

4401 **17.6.1.2. Walnut**

4402 ELISA kits are available for the detection of walnut soluble proteins in processed foods with a LOD of
 4403 0.39 ng/mL, corresponding to 0.156 µg of walnut soluble protein/g of food (Doi et al., 2008). Mild
 4404 cross-reactivity with pecan and hazelnut was observed. An ELISA test was built to detect raw and
 4405 roasted walnut allergens with a LOD of 1 µg/g in several matrices (Niemann et al., 2009). Substantial
 4406 cross-reactivity was observed with pecan. The performance of a walnut ELISA kit in processed foods
 4407 was evaluated in an inter-laboratory study by analysing incurred samples with 10 µg of walnut soluble
 4408 protein/g of food. The results obtained were reliable (Sakai et al., 2010).

4409 **17.6.1.3. Cashew nut**

4410 A sandwich ELISA for the detection of anacardein (i.e. the predominant cashew nut protein fraction)
 4411 with previous immune-adsorption showed good specificity when tested against several nuts and seed
 4412 proteins potentially cross-reactive (Wei et al., 2003). The LOD was 1µg/g of food in processed food
 4413 products. A more recent ELISA test with the same LOD but substantial cross-reactivity with pistachio
 4414 and, to a lesser extent, with hazelnut, has also been published (Gaskin and Taylor, 2011).

4415 **17.6.1.4. Brazil nut**

4416 An indirect competitive ELISA for the detection of Brazil nut in food based on polyclonal antibodies
 4417 rose against the 2S albumin, with a LOD of 1µg/g, showed negligible cross-reactivity with other nuts
 4418 and legumes (Clemente et al., 2004). A polyclonal competitive inhibition ELISA has been proposed
 4419 for detecting the other major Brazil nut allergen 11S globulin (Sharma et al., 2009). The LOD was 10-

4420 90 ng/mL. A remarkable food matrix effect, which affected protein recovery from spiked samples, was
 4421 observed.

4422 In order to avoid raising antibodies from animals, probes were produced *in vitro* by isolation of
 4423 recombinant antibodies specific for the Brazil nut protein and used in an indirect phage-ELISA (de la
 4424 Cruz et al., 2013). For Brazil nut protein extracts the LOD was 0.9 µg/mL and the LOQ 1.2 µg/mL.
 4425 The sensitivity of the assay slightly increased for the detection of roasted Brazil nuts (LOD 0.4 µg/mL,
 4426 LOQ 0.6 µg/mL). In a binary mixture model with wheat flour, the LOD and LOQ were established at
 4427 5 and 20 mg/g on account of strong matrix interferences.

4428 17.6.1.5. Pecan nut

4429 Only an ELISA method for detecting pecan proteins in the range of 32-800 ng/mL (Venkatachalam et
 4430 al., 2006) and a more sensitive ELISA with a LOD of 1 ng/mL in complex matrices (Polenta et al.,
 4431 2010), which showed extensive cross-reactivity with walnut, have been described.

4432 17.6.1.6. Almond

4433 ELISA, lateral flow devices, dipsticks and biosensors are commercially available immunological
 4434 methods for detection of almonds (Costa et al., 2012b). These tests are generally rapid and sensitive,
 4435 with a LOD of 0.1 mg/kg of almond protein in food samples for ELISAs and 1 mg/kg for LFDs
 4436 (Schubert-Ullrich et al., 2009).

4437 17.6.1.7. Multiplex immunoassays

4438 A multiresidue enzyme immunoassay (under a competitive indirect format) was developed for the
 4439 simultaneous detection of four tree nuts (hazelnut, almond, cashew and Brazil nuts) and peanuts in a
 4440 single run (Ben Rejeb et al., 2005). The LOD was < 1 µg/g of protein for all allergenic ingredients.
 4441 The method was applied to chocolate samples.

4442 The performance of three commercial sandwich ELISA kits for the detection of almonds was
 4443 compared (Garber and Perry, 2010). The LOD for almonds spiked into several cooked foods varied
 4444 from 3 to 39 mg/kg depending on the food matrix and the ELISA kit.

4445 17.6.2. Mass Spectrometry

4446 17.6.2.1. Hazelnut

4447 Determination of hazelnut has been performed by LC-MS/MS through selection and measurement of
 4448 specific marker peptides. After extraction and trypsin digestion of hazelnut proteins, six peptides were
 4449 identified by MS/MS as specific for hazelnut and synthesised to be used as standards for developing a
 4450 LC-MS/MS method in the selected reaction monitoring mode (SRM). Depending on the peptide, the
 4451 lowest concentrations determined were 3.1 or 4.2 ng/mL (Ansari et al., 2012).

4452 17.6.2.2. Pecan

4453 The effect of processing on detectability of pecan proteins by proteomic tools was evaluated (Polenta
 4454 et al., 2012). Despite the high homology between the majority of pecan and walnut proteins, three
 4455 proteins were unambiguously identified from pecan origin: 7S vicilin, 11S legumin and a putative
 4456 allergen 11. Peptides from the tryptic digestion of putative allergen 11 were highly specific for pecan,
 4457 allowing to detect the presence of femtomoles (or ng) of proteins with a LOQ of 2.2 ng/mL comparable
 4458 with that observed with the ELISA test.

4459 17.6.2.3. Multiplex MS methods

4460 An LC-LIT-MS/MS method for the simultaneous detection and quantification of the five allergens
 4461 Ana o 2 (cashew nut), Cor a 9 (hazelnut), Pru du 1 (almond), Jug r 4 (walnut) and Ara h 3/4 (peanut)
 4462 in a single short run has been developed (Bignardi et al., 2010). The method is based on the detection

of selected specific marker peptides for every target protein. The peptide mixtures obtained from the tryptic digestion of the protein extract were separated on a particle-packed column, identified and quantified by linear ion trap (LIT) MS detection, under the SRM mode, with LODs from 10 to 55 mg/kg and LOQs of 37 to 180 mg/kg. When performing a preliminary clean up step by size-exclusion chromatography, before enzymatic digestion of the proteins, the sensitivity was highly improved for every allergen. LODs ranging from 0.1 to 1.3 mg of nut/kg for biscuits and from 5 to 15 mg of nut/kg for chocolate and LOQ values in the 0.3-4.5 mg nut/kg range for biscuits and in the 18-50 mg of nut/kg range for chocolate were obtained (Bignardi et al., 2013).

A multi-method for the detection of seven allergens, including hazelnut, walnut and almond, based on LC-QPQ-MS/MS in MRM mode is available (Heick et al., 2011a). On the basis of selected marker peptides obtained from the tryptic digested extracted proteins, it was possible to detect the seven allergens also in incurred food samples with LOD values of 5 and 3 mg/kg for hazelnut and almond, respectively, and of 70 mg/kg for walnut.

17.6.3. DNA-based methods

PCR-based methods for nut allergen detection have been extensively reviewed (van Hengel, 2007; Monaci and Visconti, 2010).

17.6.3.1. Hazelnut

A real-time PCR method based on the Cor a 11 gene with an absolute LOD of 13 pg hazelnut DNA, corresponding to approximately 27 genome equivalents, has been proposed. When applied to model pastry samples with a defined hazelnut content, a practical detection limit of 0.01 % (w/w) hazelnut was obtained (Piknova et al., 2008). A species-specific real-time PCR protocol was devised with a LOD of 9.6 pg of hazelnut DNA, corresponding to 20 genome copies (D'Andrea et al., 2009). In flour samples spiked with known amounts of hazelnut, the LOD was 0.001 % hazelnut, corresponding to 10 mg/kg. A single-tube nested real-time PCR system allowed to decrease the LOD to 0.5 pg of hazelnut DNA, corresponding to one DNA copy (Costa et al., 2012a). A high resolution TaqMan real-time PCR for detecting hazelnut DNA with a LOD of 0.1 mg/kg of the target in food samples has also been published (López-Calleja et al., 2013). A comparative evaluation of the performance of ELISA and real-time PCR in detecting and quantifying hazelnut in food model system showed that, although ELISA appeared to be more sensitive, both techniques had matrix effects and lack of robustness when detecting hazelnut in processed foods (Platteau et al., 2011c). A duplex real-time PCR for the simultaneous detection of sesame and hazelnut had a LOD of 5 mg/kg for hazelnut has been reported (Schoringhumer et al., 2009).

17.6.3.2. Almond

Almond has been detected in foods by applying the single-tube nested real-time PCR system (Costa et al., 2013). The system allowed lowering the LOD of the conventional real-time PCR from 100 mg/kg to 50 mg/kg of spiked almond in food. The absolute LOD was 1.28 pg of almond DNA, corresponding to 3.9 DNA copies. The system showed cross-reactivity with peach and apricot, which belong to the same Rosaceae family and have a high homology regarding DNA encoding for the allergen Pru du 6.

17.6.3.3. Brazil nut, walnut, pistachio, pecan, macadamia and cashew nut

A specific real-time PCR method for the detection of Brazil nut in processed food was compared to a commercially available qualitative lateral flow device (Röder et al., 2010), showing a LOD \leq 5 mg/kg in spiked foods.

A series of real-time PCR methods for the detection of walnut, pistachio, pecan, macadamia and Brazil nut in foods were developed by the same research group (Brežná et al., 2006; Brežná et al., 2008; Brežná and Kuchta, 2008; Brežná et al., 2009; Brežná et al., 2010). The absolute LOD were 0.24 ng DNA for walnut, 0.012 pg DNA for pistachio, 1 pg DNA for pecan nut, 1.45 pg DNA for macadamia nut, and 10 pg DNA for Brazil nut. Using a series of model pastry samples with defined nut contents,

4510 practical LODs of 0.01 %, 0.0004 %, 0.01 %, 0.02 %, and 0.1 % for walnut, pistachio, pecan nut,
4511 macadamia nut, and Brazil nut, respectively, were estimated. A real-time PCR method for the
4512 detection of cashew nuts in confectionery was described by the same group (Piknová and Kuchta,
4513 2007). The absolute LOD was 1.25 pg DNA, corresponding to approximately 2.5 genome equivalents.
4514 Using a model pastry sample with defined cashew nut content, a practical LODs of 0.01 % was
4515 obtained.

4516 Another more sensitive real-time PCR system was devised with an absolute LOD of 0.5 pg genomic
4517 cashew DNA, corresponding to 10 copies DNA. The practical LOD for a pesto Genovese sauce was
4518 2 mg/kg (Ehlert et al., 2008).

4519 17.6.3.4. Multiplex PCR

4520 A LOD of 0.01 % was obtained in two tetraplex real-time PCR assays to detect simultaneously eight
4521 allergens, among which hazelnut and almond (Köppel et al., 2010). In two hexaplex real-time PCR
4522 system proposed by the same group (Köppel et al., 2012), DNA of 12 allergenic foods among which
4523 cashew, hazelnut, almond, pistachio and walnut, were detected with an LOD of 0.1 % for all analytes.
4524 Detection of cashew nut, pecan nut, pistachio, hazelnut, macadamia nut, almond, walnut and brazil nut
4525 by employing a multiplex ligation-dependent probe amplification (MLPA) method was obtained with
4526 a LOD of 5 mg/kg for each allergenic ingredient (Ehlert et al., 2009). By using the same method,
4527 hazelnut DNA was detected with a LOD of 1.4 ng, corresponding to 105 DNA copies (Mustorp et al.,
4528 2011). In a multiplex real-time PCR for detecting DNA of allergens in foods, the LOD was of 5 pg for
4529 almond, hazelnut and peanut and 0.5 pg for cashew, walnut and sesame (Pafundo et al., 2010).

4530 17.6.3.5. PCR coupled to other techniques

4531 An electrochemical low-density DNA array coupled to PCR has been devised, with a LOD of 0.3 and
4532 0.1 nM for Cor a 1.03 and Cor a 1.04, respectively. A PNA-array was used in combination with a
4533 duplex PCR for the simultaneous detection of hazelnut and peanut with a LOD of 50 pg DNA (Rossi
4534 et al., 2006).

4535 17.7. Minimal (observed) eliciting doses

4536 Nut-induced fatalities have been described after the ingestion of foods apparently free from nuts
4537 (Ortolani et al., 2000; Wensing et al., 2002a), suggesting that even little amounts may elicit severe
4538 allergic reactions.

4539 Few studies have been performed to determine minimum observed eliciting doses (MOED) for nuts
4540 and are limited to hazelnut and cashew nut.

4541 In a European multicentre study (Italy, Switzerland and Denmark), DBPCFCs were performed in 86
4542 subjects with clinical history of hazelnut allergy, positive SPT and specific IgE (Ortolani et al., 2000).
4543 Of these, 67 (77.9 %) reacted to the food challenge, which started with 1.4-1.5 g (about one hazelnut)
4544 in Italy and Denmark and with 2.7 g in Switzerland. Doses were doubled every 10-15 minutes. Mean
4545 eliciting doses ranged from 1.4 g in Denmark and 2.7 g in Switzerland (first dose tested) to 15.3 g in
4546 Italy. Minimal eliciting doses in Italy were not reported. In this study, eliciting doses were apparently
4547 unrelated to the severity of symptoms.

4548 In a DBPCFC study on 29 hazelnut allergic patients 3-17 years of age, doses eliciting subjective
4549 reactions varied from 1 mg to 100 mg of hazelnut protein (equivalent to 6.4-640 mg of hazelnut meal),
4550 while objective symptoms were observed in two patients after 1 and 1000 mg of protein, respectively
4551 (Wensing et al., 2002a). As for cashew nut, the MOED in a DBPCFG with 31 cashew allergic children
4552 was 2.3 mg of protein (Blom et al., 2013).

4553 Data from DBPCFCs shows that minimal doses of nuts eliciting allergic reactions in susceptible
4554 individuals may be below 1 mg of protein.

4555 17.8. Conclusion

4556 Nuts are common trigger of systemic allergic reactions, which can be life threatening. Clinical cross-
4557 reactivities among nuts and between nuts and peanuts are frequent, as well as between hazelnut and
4558 birch pollen. Prevalence of nut allergy among the general population varies depending on the nut.
4559 Prevalence rates of 2.2 % based on clinical history and food challenges have been reported for
4560 hazelnut. Nut allergens are generally resistant to processing, although thermal treatments may reduce
4561 the IgE-binding capacity of PR-10 in hazelnut and almond. Many sensitive ELISA, MS, and PCR
4562 methods are available for the detection of nut allergens. ELISA kits may present serious cross-
4563 reactivities among nuts. Data from DBPCFCs shows that minimal doses of nuts eliciting allergic
4564 reactions in susceptible individuals may be below 1 mg of protein.

4565 18. Allergy to peanuts

4566 18.1. Background

4567 Peanut (*Arachis hypogea*) is a member of the legume family, which also includes pea, bean, soybean,
4568 lupin, lentil and fenugreek. Peanut consumption has increased during the last decades because of its
4569 content of easily digested proteins and its versatility. It can be consumed raw as a vegetable, crushed
4570 or ground as “butter”, roasted or salted as snack, incorporated into candies, and used to produce oil,
4571 extracted by solvents or pressure. The wide uses of peanuts and derived products in processed foods
4572 make inadvertent exposure frequent. For example, peanut butter is often used in restaurants to harden
4573 soft foods or to “glue down” and close egg rolls; peanuts that have been pressed, deflavoured and
4574 reflavoured are sold as e.g. walnuts or almonds (Loza and Brostoff, 1995).

4575 18.2. Epidemiology

4576 18.2.1. Prevalence

4577 Peanut allergy is one of the most common forms of IgE-mediated reactions to food.

4578 18.2.1.1. Europe

4579 Studies on the prevalence of peanut allergy and sensitisation to peanut in unselected populations have
4580 been conducted in 10 European countries, including Denmark, France, Germany, Hungary, Iceland,
4581 Norway, Sweden, The Netherlands, Turkey and the UK. There is much variation in the type of data
4582 available regarding the age ranges considered and the methods used for diagnosis, which makes
4583 difficult comparisons among studies (University of Portsmouth, 2013).

4584 The highest prevalence rate of self-reported peanut allergy (15 %) was observed in a group of 15-17
4585 year old French children (Touraine et al., 2002), whereas the lowest, which was close to zero, was
4586 observed among 18 month old young children in Iceland (Kristjansson et al., 1999).

4587 Studies on the prevalence of sensitisation to peanut were based on positive SPT and/or serum specific
4588 IgE levels. In young children (0-3 years old), rates of positive SPT ranged from 0.4 % (Venter et al.,
4589 2008) to 2.8 % (Ro et al., 2012). In older children (> 3 years) prevalence of positive SPT ranged from
4590 0.7 % (Mustafayev et al., 2012) to 5.1 % (Nicolaou et al., 2010). Rates of positive SPT in adults were
4591 between 6.4 % (Bakos et al., 2006) and 6.8 % (Schafer et al., 2001). For specific IgE levels, the only
4592 study from Norway in young children reported a prevalence of sensitisation of 3.4 % (Ro et al., 2012),
4593 whereas in older children the prevalence of sensitisation ranged between 2.6 % (Krause et al., 2002)
4594 and 12.2 % (Nicolaou et al., 2010). The latter study used a low cut-off point for determining
4595 sensitisation (0.2 kU/L), which may explain the higher sensitisation rates observed. The rate of
4596 sensitisation determined by SPT in the same study was much lower (5.1 %). In adults, sensitisation
4597 rates to peanut were between zero (Bakos et al., 2006) and 3.1 % (Bjornsson et al., 1996) when serum
4598 specific IgE levels were used for diagnosis.

4599 The prevalence of peanut allergy based on OFC was zero (95 % CI: 0.0-4.2%) in the young children in
 4600 Denmark (Osterballe et al., 2005) and ranged from 0.1 % in Turkey (Mustafayev et al., 2012) to 1.4 %
 4601 in the older children in the UK (Grundy et al., 2002). No data based on OFC are available in adults.

4602 Data on the prevalence of peanut allergy in young children using DBPCFC are not available. In older
 4603 children, it ranged from zero in Turkey (Orhan et al., 2009) to 1.8 % in the UK (Hourihane et al.,
 4604 2007).

4605 18.2.1.2. Outside Europe

4606 More than 50 studies on the prevalence of sensitisation to peanut and peanut allergy have been
 4607 conducted in different countries outside Europe, mainly in the US. Again, the use of very diverse
 4608 methods of diagnosis of peanut allergy makes the comparison among studies difficult. The rates of
 4609 peanut allergy based on self-reports ranged from zero (Oh et al., 2004) to 8.4 % (Greenhawt et al.,
 4610 2009). Sensitisation rates based on positive SPT ranged from 0.3 % (Hu et al., 2010) to 8.6 % (Arbes
 4611 et al., 2005), and on specific IgE levels between 7.6 % (Liu et al., 2010a) and 13.5 % (Kumar et al.,
 4612 2011). Lower rates were reported when clinical history and positive SPT were used for diagnosis,
 4613 ranging from zero (Dalal et al., 2002) to 0.4 % (Woods et al., 2002). Only one study outside Europe
 4614 used OFC and reported a prevalence of peanut allergy of 2.9 % in a group of Australian children 12-15
 4615 months old (Osborne et al., 2011).

4616 18.2.2. Natural history

4617 It was considered for a long time that no oral tolerance to peanut developed in peanut allergic patients.
 4618 However, some individuals outgrow their peanut allergy (Hourihane et al., 1998). In one study, peanut
 4619 allergy had resolved in 18 % of individuals participating in oral peanut challenges. The chances of
 4620 negative results on a challenge despite clear reactions in the past are increased in subjects who do not
 4621 have allergies to other foods at the time of the challenge. In another study, 21.5 % of individuals aged
 4622 4 to 20 years with a serum peanut-specific IgE level < 21 kIU/L who underwent oral food challenges
 4623 did not develop a reaction, likely indicating resolution of their allergy (Skolnick et al., 2001).

4624 Based on data from several studies, it is estimated that 20 % of peanut allergic children will outgrow
 4625 their peanut allergy later in life. Peanut-specific IgE levels can be used to decide which patients with
 4626 peanut allergy should be considered for a formal OFC (Fleischer et al., 2003). However, peanut
 4627 allergy may also recur after resolution. A recurrence rate of approximately 8 % was determined in
 4628 patients who outgrew their peanut allergy (Fleischer et al., 2004).

4629 18.2.3. Time trends

4630 Three sequential cohorts of children (age 3-4 years) born in the same geographical area (Isle of Wight,
 4631 UK) were assessed for peanut sensitisation and peanut allergy. Cohort A included children born in
 4632 1989 (Tariq et al., 1996) and was assessed at four years of age (n = 981). Cohort B included children
 4633 born between 1994 and 1996 (Grundy et al., 2002) and was assessed between three and four years of
 4634 age (n = 1246). Cohort C included children born in 2001-2002 (Venter et al., 2008) and was assessed
 4635 at three years of age (n = 642). Peanut sensitisation was defined by a positive SPT to peanut, whereas
 4636 peanut allergy was defined by a positive OFC in patients with a positive SPT or with history of
 4637 immediate systemic reaction. Peanut sensitisation increased significantly from 1.1 % (95 % CI: 0.7-
 4638 2.3 %) in Cohort A to 3.3 % (95 % CI: 2.4-4.5 %) in Cohort B ($p = 0.001$) before falling back to 2.0 %
 4639 (95 % CI: 1.1-3.5 %) in Cohort C ($p = 0.145$ as compared to cohort B). Similarly, peanut allergy
 4640 increased from 0.5 % (95 % CI: 0.2-1.1 %) in Cohort A to 1.4 % in Cohort B ($p = 0.023$), with a
 4641 subsequent fall to 1.2 % (95 % CI: 0.6-2.3 %) in Cohort C ($p = 0.850$ as compared to cohort B).

4642 A prospective study conducted in Olmsted County, Minnesota, indicated an increase of more than 3-
 4643 fold in the annual incidence of peanut allergy, namely from 2.05 cases per 10,000 children in 1999 to
 4644 6.88 cases per 10,000 children in 2007 (Rinaldi et al., 2012). The prevalence of peanut allergy in
 4645 children in 2007 was 0.65 %. Incident peanut allergy was defined as a positive history of IgE-mediated
 4646 type 1 reaction to peanuts and at least one of the following criteria: a positive blood test (specific IgE

4647 > 0.35 kU/L), a positive SPT (≥ 3.0 mm), a positive food challenge.

4648 Even if the study conducted in the US indicates an increase in the prevalence of peanut allergy in the
 4649 past years, the Panel considers that the available data do not allow concluding on whether the
 4650 prevalence of peanut allergy has changed in the UK between 1993 and 2005 and no data are available
 4651 from other European countries.

4652 **18.2.4. Severe reactions/anaphylaxis**

4653 Peanut is the most common cause of severe or fatal food-induced anaphylaxis. The most severe
 4654 reactions have been observed in subjects with asthma. In an American registry of fatal food-induced
 4655 anaphylaxis, 37 of the 63 fatalities recorded in a 12-year period were caused by peanut (Bock et al.,
 4656 2001, 2007). In the UK, 10 out of 37 fatalities to food recorded from 1992 to 1998 were caused by
 4657 peanut (Pumphrey, 2000). A two-year prospective study in a paediatric population in the UK described
 4658 three deaths (none caused by peanut) and 55 severe or near fatal food allergic reactions, 10 of which
 4659 caused by peanut (Macdougall et al., 2002).

4660 **18.2.5. Factors affecting prevalence of peanut allergy**

4661 Allergy to peanuts manifests very early in life. According to a voluntary registry (Sicherer et al.,
 4662 2001), 89 % of peanut allergic subjects are younger than 18 years of age (median age 5 years). Most
 4663 children experience their first allergic reaction to peanuts at a median age of 14 months, which occurs
 4664 during the first known exposure in 74 % of them. The high incidence of peanut allergy in very young
 4665 children who do not frequently consume this food suggests the potential role of foetal and infant
 4666 (through breast milk) exposure to allergens ingested by the mother (Vadas et al., 2001) or even skin
 4667 application of peanut oil-containing ointment in children with eczema (Lack et al., 2003). The
 4668 relationship between maternal peanut intake during pregnancy or lactation and allergic disease
 4669 development in children is controversial.

4670 Two studies in the UK suggest that early oral exposure (< 12 months) to peanuts may decrease the
 4671 frequency of peanut allergy (Du Toit et al., 2008), while early non-oral exposure may have the
 4672 opposite effect (Fox et al., 2009). Prospective studies in infants at high risk for food allergy are
 4673 lacking.

4674 **18.3. Identified allergens**

4675 Peanut kernels contain over 50 different types of proteins (about 23-27 % protein by weight), 19 of
 4676 which bind IgE from sera of peanut allergic subjects (Clarke et al., 1998). Peanut allergens are shown
 4677 in Table 19.

4678 Ara h 1 and Ara h 2 were identified and characterised in the early 1990s (Burks et al., 1991b; Burks et
 4679 al., 1992b) and are the most extensively studied. Ara h 1, Ara h 2, and Ara h 3 are considered the
 4680 major peanut allergens (Burks et al., 1998), as well as Ara h 6 in some studies (Flinterman et al.,
 4681 2007).

4682 Ara h 1 is a 7S globulin (vicilin) which belongs to the cupin superfamily, whereas Ara h 2, 6 and 7 are
 4683 2S albumins (conglutin) which belong to the prolamin superfamily. Ara h 2 has high sequence
 4684 homology with Ara h 6. As a result of their stability to heat and gastrointestinal digestion, many
 4685 allergens of the prolamin superfamily may account for severe allergic reactions. Ara h 3 and Ara h 4
 4686 are nearly identical isoforms and are 11S globulins (legumins) of the cupin superfamily. Ara h 4 is
 4687 considered an isoform (Ara h 3.02) of Ara h 3. Ara h 6 and Ara h 7 show a low amino acid sequence
 4688 identity to each other and to the other peanut conglutin Ara h 2, even though the three proteins belong
 4689 to the same 2S albumin family. Ara h 6 seems to be responsible for severe allergic reactions (Becker et
 4690 al., 2001). Ara h 5 belongs to the profilin family. Profilins show high sequence homologies even if
 4691 from distantly related plants and are known panallergens involved in cross-reactions between pollen
 4692 and plant foods (Radauer and Breiteneder, 2007). Ara h 8 is a pathogenesis-related protein (PR)-10

4693 and it is of relevance to peanut allergic patients with birch pollen allergy because of the cross-
 4694 reactivity to the homologous Bet v 1 allergen (Mittag et al., 2004a). Ara h 9 is a ns-LTPs. Ara h 10
 4695 and 11 (oleosins) have more recently been recognised. Allergenic oleosins are found in legumes, nuts,
 4696 and seeds.

4697 Different immunological patterns of peanut allergy have been observed. For instance, peanut-allergic
 4698 patients are commonly sensitised to Ara h 1, Ara h 2, and/or Ara h 3 in the USA, to Ara h 9 in Spain
 4699 and to Ara h 8 in Sweden. This demonstrates heterogeneity in the immunological phenotype of peanut
 4700 allergy in different geographical areas (Vereda et al., 2011b).

4701 **Table 19:** Peanut (*Arachis hypogea*) allergens

Allergen	Biochemical name	Superfamily/family	Molecular weight ^a
Ara h 1	7S globulin (vicilin-type)	cupin	64
Ara h 2	conglutin (2S albumin)	prolamin	17
Ara h 3	11S globulin (legumin)	cupin	60.34 (fragment)
Ara h 5	profilin	profilin	15
Ara h 6	conglutin (2S albumin)	prolamin	15
Ara h 7	conglutin (2S albumin)	prolamin	15
Ara h 8	PR-10	Bet-v1	17
Ara h 9	ns-LTP	prolamin	9.8
Ara h 10	oleosin	oleosin	16 ^b
Ara h 11	oleosin	oleosin	14 ^b
Ara h 12	defensin	-	8 kDa (reducing), 12 kDa (non reducing), 5.184 kDa (mass)
Ara h 13	defensin	-	8 kDa (reducing), 11 kDa (non reducing), 5.472 kDa (mass)

4702 ^aMW (SDS-PAGE); ^bkDa

4703 18.4. Cross-reactivities

4704 18.4.1. Cross-reactivity between peanuts and other legumes

4705 Peanut has structurally homologous proteins and share common epitopes with other members of the
 4706 legume family such as peas, beans, clover, lupin and lentils (Vereda et al., 2011b). Peanut allergic
 4707 patients show extensive serologic cross-reactivity with members of the legume family (Jensen et al.,
 4708 2008). Studies demonstrated that 38 % to 79 % of subjects with clinical reactions to a single legume
 4709 showed IgE-binding (positive SPT/RAST) to a variety of legumes (Sicherer, 2002). This will not
 4710 necessarily lead to clinical reactions. In a study using oral challenges, only 5 % of patients with peanut
 4711 allergy had a positive clinical challenge to more than one legume (Sicherer, 2002).

4712 18.4.1.1. Lupin

4713 β-conglutin (Lup an 1) was the major lupin allergen cross-reacting with peanut proteins, as observed in
 4714 IgE binding and SPT studies in peanut allergic individuals (Ballabio et al., 2013).

4715 Significant sequence and molecular homology between Ara h 8 and the pathogenesis related protein
 4716 PR-10 of white lupin suggests that these proteins could in part be responsible for some of the reported
 4717 cross-reactivities in peanut allergic individuals (Guarneri et al., 2005).

4718 A large study performed in France and Belgium showed that 14.5 % of adults and 17 % of children
 4719 with peanut allergy had cross-sensitisation with lupin (Gayraud et al., 2009). A study performed in the
 4720 UK showed that sensitisation to lupin was observed significantly more often in peanut allergic
 4721 children and teenagers (34 %) than in non peanut-allergic patients (4 %) (Shaw et al., 2008).

4722 Cross-reactivity to lupin in peanut allergic patients is of clinical relevance. In a study of 24 peanut
 4723 allergic subjects, 11 (44 %) had positive SPTs to lupin flour and seven out of the eight subjects who
 4724 underwent a DBPCFC with lupin flour reacted, indicating clinical cross-reactivity between peanut and
 4725 lupin (Moneret-Vautrin et al., 1999). In a study of 23 peanut allergic patients who underwent a
 4726 DBPCFC with lupin flour, 15 (68 %) showed clinical reactions (Leduc et al., 2002). In another study,
 4727 sensitisation to lupin was found in 82 % of 39 patients allergic to peanut, of which 35 % showed
 4728 clinically relevant symptoms after challenge (Peeters et al., 2009).

4729 **18.4.1.2. Soybean**

4730 Ara h 1 and Ara h 2 cross-react *in vitro* with soybean allergens. In five children with a history of
 4731 anaphylactic reactions to peanuts, IgE binding to Ara h 1 and Ara h 2 decreased by 79 % and 76 %,
 4732 respectively, after pre-incubation of the sera with soy extract (Eigenmann et al., 1996; Burks et al.,
 4733 1998). The soybean glycinin G1 acidic chain shares IgE epitopes similarity with peanut Ara h 3
 4734 (Beardslee et al., 2000). However, the prevalence of clinical reactions to soy in peanut allergic patients
 4735 is low, < 10 % (Sicherer, 2002). The report from Sweden in 1999 raised concern over soy allergy in
 4736 peanut-allergic individuals. Four fatalities were reported due to ingestion of foods containing a low
 4737 concentration of soy in asthmatic patients severely allergic to peanut with no previously known allergy
 4738 to soy (Foucard and Malmheden Yman, 1999).

4739 **18.4.1.3. Peas**

4740 A study described three patients with a history of severe allergic reactions after ingestion of pea who
 4741 had peanut-related symptoms, in one case confirmed by DBPCFC (Wensing et al., 2003). These
 4742 patients reacted to the peanut major allergen Ara h 1 and to the pea allergen vicilin. The
 4743 immunoblotting inhibition experiments demonstrated that pea was probably the first sensitiser, as IgE
 4744 binding to peanut was inhibited by pea but IgE binding to pea was not, or only partially, inhibited by
 4745 peanut.

4746 **18.4.2. Cross-reactivity between peanuts and tree nuts**

4747 It is estimated that 20-50 % of peanut allergic patients are also allergic to tree nuts (Ewan, 1996;
 4748 Sicherer et al., 2003; Glaspoole et al., 2011).

4749 Correlation between serum levels of peanut-specific IgE and hazelnut, Brazil nut as well as almond-
 4750 specific IgE in peanut-allergic children suggests that cross-reactive immune responses underlie co-
 4751 allergy to peanut and tree nuts (Glaspoole et al., 2011). Peanut-specific IgE antibodies that cross-react
 4752 with tree nut allergens and may contribute to the manifestation of tree nut allergy in peanut allergic
 4753 subjects have been identified (de Leon et al., 2005). The structurally related cross-reactivity between
 4754 Ara h 3 and tree nut allergens such as Jug r 4 of walnut, Cor a 9 of hazelnut, or Ana o 2 of cashew nut
 4755 appears to be unrelated to the botanical origin of the allergens and suggests that individuals allergic to
 4756 peanut should avoid tree nuts, unless the allergy status in relation to all other nuts is clarified (Barre et
 4757 al., 2007; Ball et al., 2011). The major peanut allergen, Ara h 2, shares common IgE binding epitopes
 4758 with almond and Brazil nut allergens, which may also contribute to the high incidence of tree nut
 4759 sensitisation in peanut allergic individuals (de Leon et al., 2007).

4760 SPT/RAST cross-reactivity between peanut and tree nuts does not imply the occurrence of clinical
 4761 cross-reactivity. In a study performed in the UK over a 5-year period from 2006, 145 children
 4762 diagnosed as peanut or tree nut allergic were challenged (Ball et al., 2011). In those with peanut
 4763 allergy challenged with tree nuts, none of the 72 with negative SPTs to tree nuts reacted on challenge,
 4764 whilst only 7 of 22 (31.2 %) with positive SPTs did.

4765 **18.5. Effects of food processing on allergenicity**

4766 **18.5.1. Thermal processing**

4767 Heat treatments may enhance peanut allergenicity by 90-fold. It has been documented that roasting

4768 increases the allergenicity of peanuts more than frying or boiling because of dry heating at high
 4769 temperature (Maleki et al., 2000; Beyer et al., 2001). Ara h 1 and Ara h 2 increase their IgE binding
 4770 capacity after roasting due to structural modifications or functional alterations, although the exact
 4771 mechanisms are unknown (Maleki et al., 2000). After roasting, Ara h 1 forms highly stable trimers by
 4772 intermolecular cross-linking, while Ara h 2 forms intramolecular cross-links without forming higher
 4773 order structures. Ara h 2 functions as a weak trypsin inhibitor, the activity of which increases
 4774 approximately 3.5-fold after roasting (Maleki et al., 2003). In addition to being more resistant to
 4775 trypsin digestion itself, Ara h 2 was found to protect Ara h 1 from degradation by trypsin.

4776 The IgE binding capacity of whole peanut protein extracts prepared from boiled peanuts was 2-fold
 4777 lower than that of extracts prepared from raw or roasted peanuts, as shown using sera of 37 peanut-
 4778 allergic patients (Mondoulet et al., 2005). The IgE binding capacity of purified Ara h 1 and Ara h 2
 4779 prepared from roasted peanuts was also higher than that of their counterparts prepared from raw or
 4780 boiled peanuts, whereas the IgE binding capacity of purified Ara h 1 and Ara h 2 was particularly
 4781 increased by roasting. The decrease in allergenicity of boiled peanuts seems to result mainly from a
 4782 transfer of low MW allergens into the water during cooking. Boiling (100 °C for 15 min) resulted in
 4783 partial loss of Ara h 1 secondary structure and formation of rod-like branched aggregates with reduced
 4784 IgE-binding capacity and impaired ability to induce mediator release (Blanc et al., 2011), whereas
 4785 roasted Ara h 1 retained the IgE-binding capacity of the native protein.

4786 The fact that peanuts are most commonly eaten after roasting in the USA could explain the higher
 4787 prevalence of peanut allergy in this population as compared to China, where peanuts are eaten after
 4788 frying or boiling (Beyer et al., 2001).

4789 **18.5.2. Enzymatic hydrolysis**

4790 Hydrolysis of roasted peanut protein extract with proteases decreased the IgE-binding capacity of the
 4791 soluble protein fraction, with a higher effect of the endoprotease alcalase as compared to that of the
 4792 exoprotease flavourzyme (Cabanillas et al., 2012). Hydrolysis of peanut flour extracts with alcalase,
 4793 pepsin, or flavourzyme reduced its IgE-binding capacity. However, the IgE-binding capacity during
 4794 hydrolysis was retained, suggesting that such hydrolysates are not necessarily less allergenic (Shi et
 4795 al., 2013).

4796 **18.5.3. High pressure processing**

4797 The effect of pressure treatment was investigated on a mixture of Ara h 2 and Ara h 6 (Johnson et al.,
 4798 2010). The structure of these allergens remained practically unchanged after 700 MPa treatments at
 4799 20 °C and 80 °C. Another study showed that dynamic high-pressure microfluidisation treatment
 4800 changed the secondary structure of Ara h 2 and decreased its IgE-binding capacity (Hu et al., 2011).

4801 **18.5.4. Preservation treatments**

4802 **18.5.4.1. Effect of pH**

4803 One study aimed at determining the effects of various pH conditions on the IgE-binding capacity of
 4804 major peanut allergens (Kim et al., 2012). The IgE-binding capacity of Ara h 1, Ara h 2 and Ara h 3
 4805 was significantly reduced after treatment with acetic acid (pH 1.0) or commercial vinegar (pH 2.3),
 4806 whereas there was no substantial change at pH 3.0 and 5.0 when compared with raw peanuts.

4807 **18.5.4.2. Other preservation treatments**

4808 Pulsed UV radiation appeared to be effective in reducing the IgE-binding capacity of peanut extracts
 4809 and liquid peanut butter (Chung et al., 2008b). The γ -irradiation (1-10 kGy) induced significant
 4810 changes in the secondary and tertiary structures of purified Ara h 6, and the IgE-binding capacity of
 4811 purified Ara h 6 and of whole peanut protein extract were reduced upon increasing the irradiation
 4812 doses (Luo et al., 2013). However, in another study, γ -irradiation alone was not associated with any
 4813 change in peanut allergenicity, whereas boiling followed by γ -irradiation significantly reduced the

4814 IgE-binding capacity of peanut (Kasera et al., 2012).

4815 **18.5.5. Multiple treatments**

4816 The effects of ultrasounds, enzyme concentration and enzyme treatment time on the concentrations
4817 and IgE-binding capacity of two major allergenic proteins (Ara h 1 and Ara h 2) of roasted peanut
4818 kernels have been studied (Li et al., 2013). The ultrasound treatment followed by protease digestion of
4819 peanuts significantly decreased the concentrations of Ara h 1 and Ara h 2. The sequential treatment of
4820 peanuts by ultrasonication-trypsin- α -chymotrypsin resulted in maximum reductions of Ara h 1/Ara h 2
4821 concentrations, and lowest IgE-binding. Instant controlled pressure drop combining heat and steam
4822 pressure (temperature up to 170 °C with a pressure of 6 bar for 3 min) was associated with a decreased
4823 IgE-binding capacity of peanut extracts, with a higher effect on roasted peanut proteins than on raw
4824 peanuts (Cuadrado et al., 2011).

4825 **18.5.6. Peanut oil**

4826 Peanut oil is a common ingredient of some foods and cosmetics. While it is possible to minimise overt
4827 contact with peanuts, peanut oil is more difficult to detect and avoid.

4828 Case reports of allergic reactions in infants fed infant formulae containing peanut oil (Fries, 1982;
4829 Moneret-Vautrin et al., 1991), as well as a flare up of dermatitis induced by oral challenge with peanut
4830 oil in infants with atopic dermatitis (Moneret-Vautrin et al., 1994), aroused the suspicion that residual
4831 allergenic proteins could be contained in peanut oil.

4832 The protein content of crude peanut oil is in the range of 100-300 µg/mL, about 100 times higher than
4833 in fully refined peanut oils, which are subjected to physical and chemical methods of purification,
4834 including degumming, refining, bleaching, and deodorisation (Crevel et al., 2000). However, refined
4835 peanut oil may contain sufficient peanut allergenic proteins to elicit a reaction in highly sensitive
4836 individuals (Olszewski et al., 1998). One of these proteins was recognised by IgE antibodies from a
4837 population of 11 peanut allergic patients, four of whom reacted to commercially available refined
4838 peanut oils from the European market during a DBPCFC. The allergen had a molecular weight of
4839 18 kDa and an isoelectric point of 4.5 similar, to those of the major peanut allergen Ara h 2. In
4840 addition, the two oleosins Ara h 10 and Ara h 11 identified in 2010 may play a role in the allergenicity
4841 of peanut oil, although no data are available regarding their contribution to the occurrence of allergic
4842 reactions to crude or fully refined peanut oil.

4843 Peanut allergic individuals are usually cautioned to avoid crude peanut oil because of traces of peanut
4844 protein in the oil. However, the risk of severe adverse reactions to highly refined peanut oils seems to
4845 be low, although it cannot be ruled out in every highly sensitive peanut allergic individual.

4846 **18.6. Detection of allergens and allergenic ingredients in food**

4847 **18.6.1. Immunological methods**

4848 **18.6.1.1. ELISA**

4849 Many ELISA methods for the detection and quantification of peanut in foods are commercially
4850 available (Fielder et al., 2010; Zeleny and Schimmel, 2010). Some detect total soluble proteins or a
4851 mixture of proteins, whereas others are designed to target a specific peanut allergen (Ara h 1 or Ara h
4852 2) (Schmitt et al., 2004). Available ELISA methods differ in the extraction buffer (usually from
4853 neutral to slightly alkaline), the sample treatment, the format (sandwich or competitive ELISA), the
4854 antibodies used (monoclonal or polyclonal, different host animals), the detection system (enzyme,
4855 substrate, secondary antibody) and the calibrant (whole peanut extract or a specific peanut allergen).
4856 Such differences lead to high between-kit variations in the absence of certified reference materials
4857 (Westphal et al., 2004; Scaravelli et al., 2009; Khuda et al., 2012a). For example, five ELISA kits
4858 were used for the detection of peanuts in incurred sugar cookies, which detected concentrations of

4859 peanut proteins ranging from 11 to 101.8 % of the incurred levels, depending on the kit (Khuda et al.,
 4860 2012b). A commercial rapid sandwich ELISA based on polyclonal antibodies with a LOD of
 4861 1.5 mg/kg, covering a range of 2.5-20 mg/kg, received an AOAC certificate (Immer et al., 2004). The
 4862 reported LODs for ELISA kits available on the market range from 0.1 mg/kg for Ara h 1, to 0.5 mg/kg
 4863 for Ara h 2 and 2.5 mg/kg for peanut proteins. The LOQs vary in the range of 1-20 and 1-15 mg/kg for
 4864 Ara h 1 and Ara h 2, respectively, and of 3.3-90 mg/kg for peanut proteins (Fielder et al., 2010).

4865 The ELISA methods suffer from matrix effects, especially for the detection of peanut allergens in
 4866 chocolate, from which recovery is problematic (Koch et al., 2003; Poms et al., 2003), as confirmed in
 4867 a comparison study of four commercial ELISA systems (Hurst et al., 2002). Thermal processing also
 4868 negatively affects the detection of peanut allergens by commercial ELISA kits, owing to heat-induced
 4869 changes in the solubility and immunoreactivity of the target proteins (Koch et al., 2003; Park et al.,
 4870 2005; Poms et al., 2005; Whitaker et al., 2005). Raw peanuts exhibited 3-4 times higher responses
 4871 than oil-roasted peanuts (Koch et al., 2003). Five ELISA kits were evaluated for detecting and
 4872 quantifying peanut allergens in biscuits and dark chocolate in an inter-laboratory study (Poms et al.,
 4873 2005). Although all kits performed well in the 5-10 mg/kg range, they were dependent on the type of
 4874 processing and working conditions. Similar results were obtained in another inter-laboratory study
 4875 evaluating the performance of three ELISA kits on different spiked foods, with a LOD of 5 mg/kg and
 4876 good sensitivity and specificity (Park et al., 2005). Two commercial ELISA kits for the quantification
 4877 of proteins in peanut flours, which were subjected to either moist or dry-heat treatments,
 4878 underestimated the amount of proteins in samples heated at high temperature, in particular the ELISA
 4879 kit targeting the thermolabile Ara h 1 (Fu and Maks, 2013). Boiling of the incurred peanut flour
 4880 sample or autoclaving resulted in a decrease of 50 % in the amount of protein extracted, whereas dry-
 4881 heat treatments induced a decrease in protein solubility as well as binding affinity, but at much higher
 4882 temperature (> 176 °C).

4883 A new ELISA format based on antibody-dendrimer-conjugated magnetic microparticles for the
 4884 detection of Ara h 3/4 has been described (Speroni et al., 2010). Allergens captured by the magnetic
 4885 particles are harvested on a magnet, washed, and quantified with a LOD of 0.2 mg peanuts/kg food.

4886 Multi-allergen immunoassays have been developed for the detection of several allergenic proteins
 4887 including peanut. One assay was applied to chocolate samples with a LOD < 1 mg/kg (Ben Rejeb et
 4888 al., 2005).

4889 The peanut test material IRMM-481, which contains five peanut varieties from different geographic
 4890 origins exposed to five different heat treatments, is used as certified reference material for peanuts
 4891 (Trucksess et al., 2004; Westphal et al., 2004). All kits should report the calibration method and
 4892 specify which allergenic protein is the target. In case of protein detection, whether the method targets
 4893 the total protein or the soluble protein, the relative method of detection should be specified.
 4894 Conversion factors to calculate the amount of peanut from protein concentrations are only
 4895 approximately known.

4896 18.6.1.2. Dipsticks and lateral flow devices

4897 A number of fast qualitative methods (dipsticks and LFDs) to detect peanuts for screening purposes
 4898 are commercially available. Two dipstick-type sandwich ELISAs allow detection of about 10 ng/mL
 4899 of peanut and hazelnut, corresponding to 1 mg of protein/kg of food (Stephan et al., 2002).

4900 LFDs are based on immune-chromatographic principles and can be in the sandwich or the competitive
 4901 format. A competitive liposome-based lateral flow assay for detecting Ara h 1 had a calculated LOD
 4902 of 0.45 µg/mL and a visually determined detection range from 1 to 10 µg/mL (Wen et al., 2005). Two
 4903 commercial peanut LFDs were tested on cookies in an inter-laboratory trial (van Hengel et al., 2006),
 4904 with a performance comparable to the ELISA kit (LOD 5 mg/kg). Two commercial LFDs for peanuts
 4905 also showed comparable and satisfactory specificity and a sensitivity at a level of 3.5 mg/kg in
 4906 chocolate and cookies (Röder et al., 2009). The buffer used for extraction appears to play a major role

4907 on peanut protein detectability by LFDs, particularly when analysing highly processed foods (e.g. dark
4908 roasted peanuts). Some buffers are incompatible with LFD performance because of extraction
4909 inefficiency or signal inhibition (Rudolf et al., 2012).

4910 18.6.1.3. Biosensors

4911 An electrochemical impedance biosensor for the detection of peanut allergen Ara h 1 has been
4912 developed using a gold substrate on which an antibody film has been immobilised (Huang et al.,
4913 2008). The LOD was estimated to be < 0.3 nM. A nanobead enhanced optical fiber SPR biosensor was
4914 also prepared for the detection of Ara h 1 and compared to a label-free prism based SPR assay and to a
4915 commercial ELISA assay (Pollet et al., 2011). Antibody-linked nanobeads greatly amplify the fiber
4916 optic SPR signals from 9 µg/mL to 0.09 µg/mL. The nanobeads enhanced assay had a LOD of
4917 0.1 µg/mL, comparable to the ELISA kit.

4918 18.6.2. Mass Spectrometry

4919 MS for the detection of peanut allergens is most often used with the “bottom up” strategy, according to
4920 which the extracted protein is digested with enzymes (trypsin in most cases) and several peptides are
4921 selected as specific markers for the allergen, separated by HPLC and identified by MS/MS. MS was
4922 also used as a confirmatory method for the presence of a specific allergen, such as Ara h 1, in a model
4923 food matrix using HPLC/MS/MS (Shefcheck and Musser, 2004). The method was applied for the
4924 detection of Ara h 1 in ice cream samples, allowing detection levels as low as 10 mg/kg of the protein.
4925 The method was improved and applied to dark chocolate (Shefcheck et al., 2006). Two peptides were
4926 chosen as biomarkers of Ara h 1. The pre-extraction digestion led to better results than the post-
4927 extraction digestion. The LOD could be reduced to 2 mg/kg by using a QqQ and multiple reaction
4928 monitoring (MRM).

4929 Another confirmatory method is the nano-electrospray Q-TOF MS/MS combined with capillary LC,
4930 which allowed the detection of a high number of peptides derived from the three peanut allergens Ara
4931 h 1, Ara h 2 and Ara h 3 in raw and processed peanuts (Chassaigne et al., 2007). Five peptides, which
4932 were stable to roasting, were selected as markers for the three proteins. Roasting affected the LOD of
4933 the method for the peptide ions measured in the multiple ion monitoring (MIM) mode. The absolute
4934 LOD was set at 7 ng of the protein used for tryptic digestion for raw peanuts, and at 10 ng for mild-
4935 roasted peanut extract, whereas the absolute LOD was 40 ng of protein for strong-roasted peanut.

4936 A similar but quantitative method based on LC-ESI-QqQ-MS/MS for the detection of Ara h 2 and Ara
4937 h 3/4 was also developed (Careri et al., 2007a). Four peptides were chosen as specific biomarkers for
4938 the two proteins. When applied to spiked rice-crispy and chocolate snacks, the method showed a LOD
4939 for Ara h 2 of 5 mg/kg and for Ara h 3/4 of 1 mg/kg.

4940 One paper (Pedreschi et al., 2012) discusses the difficulties encountered when detecting Ara h 1, Ara h
4941 2 and Ara h 3 by MS in different food matrices and the ambiguities observed in some publications.
4942 The authors analysed a well characterised processed food matrix (incurred cookies with IRMM-481f)
4943 by MS. After enrichment using a commercial kit and digestion, two biomarker peptides from Ara h 3/4
4944 were selected by shotgun proteomics and analysed by nano-LC-ESI-Q-TOF-MS/MS via SRM. The
4945 LOD was about 10 mg peanut/kg matrix.

4946 18.6.2.1. Multiplex MS methods

4947 A LC-LIT-MS/MS method for the simultaneous detection and quantification of five allergens
4948 including Ara h 3/4 in a single short run is available (Bignardi et al., 2010). Sensitivity was
4949 significantly improved for all allergens by performing a preliminary clean up step using size-exclusion
4950 chromatography before the enzymatic digestion of the proteins. The method is based on the detection
4951 of selected specific marker peptides for every target protein. The LOD was 0.1 mg/kg for biscuits and
4952 7 mg/kg for chocolate, with corresponding LOQs of 0.3 mg/kg and 25 mg/kg, respectively (Bignardi
4953 et al., 2013).

4954 A multi-method for the detection of seven allergens, including peanut, based on LC and QqQ-MS/MS
 4955 in MRM mode is available (Heick et al., 2011a). On the basis of selected marker peptides for every
 4956 allergen it was possible to detect the seven allergens also in incurred food samples with a LOD of 10
 4957 mg/kg for peanut.

4958 **18.6.3. Immunological methods coupled to mass spectrometry**

4959 A non-competitive sandwich ELISA combined with inductively coupled plasma-MS (ICP-MS) was
 4960 constructed (Careri et al., 2007a) using polyclonal antibodies as capture reagents and monoclonal
 4961 antibodies anti-Ara h 1 and anti-Ara h 3/4 for identification (Ab I). Rabbit anti-mouse polyclonal
 4962 antibodies labelled with europium (Ab II) were used for detection. The LOD for peanut in food was 2
 4963 mg/kg.

4964 An antibody magnetic support was developed for enriched extraction of the Ara h 3/4 allergen from
 4965 food. After a microwave-assisted tryptic digestion of the protein, LC-ESI-IT-MS/MS was used to
 4966 identify the specific Ara h 3/4 peptide biomarkers. The LOD and LOQ obtained on breakfast cereals
 4967 were 3 and 10 mg peanuts/kg matrix, respectively (Careri et al., 2008).

4968 **18.6.4. DNA-based methods**

4969 Real-time PCR methods based on the DNA sequence of the peanut allergen gene Ara h 2 were
 4970 developed using the TaqMan technology (Hird et al., 2003; Stephan and Vieths, 2004). Seven methods
 4971 for DNA extraction were examined by Hird with an internal positive control (IPC) kit to have an
 4972 indication of the amount of PCR inhibitors co-extracted with the DNA. The Hird's assay was used to
 4973 detect peanut in spiked commercial foods and in biscuits baked with 2 mg/kg of roasted peanut
 4974 powder prepared for the Central Science Laboratory Food Analysis Proficiency Assessment Scheme
 4975 (FAPAS) food allergen program. The Stephan and Vieths' assay is specific and suitable to detect
 4976 peanut in processed foods with an LOD of < 10 mg/kg.

4977 Commercial PCR kits are also available in the format of real-time PCR, PCR-ELISA and an end-point
 4978 PCR followed by gel electrophoresis, with a LOD of 10 mg/kg.

4979 Three real-time PCR assays were developed targeting the Ara h 3 gene of peanut (Scaravelli et al.,
 4980 2008), which are capable of detecting 2.5 pg peanut DNA, corresponding to less than one copy of
 4981 genomic DNA. The method is quantitative and, when applied to model food samples with a precise
 4982 peanut content, was able to detect 10 mg/kg peanut. A new version of this method based on single-
 4983 tube nested PCR was proposed (Bergerová et al., 2011), which is more sensitive when applied to DNA
 4984 extracted from peanut leaves (LOD of 0.375 pg and LOQ of 0.76 pg DNA), but less sensitive when
 4985 applied to raw or roasted peanuts (LOD of 31.25 pg DNA).

4986 **18.6.4.1. DNA biosensors**

4987 An electrochemical DNA biosensor was developed for detecting Ara h 1 (Sun et al., 2012). A stem-
 4988 loop probe was linked to a gold electrode. Hybridisation to the complementary DNA gave rise to
 4989 electron-transfer efficiency changes between probe and electrode, as proved by electrochemical
 4990 impedance spectroscopy (EIS). The detection limit was 0.35 fM. When applied to a peanut milk
 4991 beverage, the LOD was 3.2×10^{-13} M.

4992 **18.6.4.2. Comparison between ELISA and PCR technologies for detecting peanuts in food products**

4993 The performance of ELISA and PCR technologies for detecting peanut in food products have been
 4994 compared in a number of studies (Stephan and Vieths, 2004; Watanabe et al., 2006). Results were
 4995 generally qualitative owing to the lack of a common reference material. One study (Scaravelli et al.,
 4996 2009) reported the comparison between two ELISA kits and three real-time PCR methods and all data
 4997 were normalised to the IRMM-481 peanut test material. Results were similar with both methods,
 4998 despite a high variability observed between the two ELISA kits and the lower variability among the
 4999 PCR methods. The ELISA and PCR methods were tested in the analysis of a model food matrix

5000 (cookies) to which known amounts of peanut were added before processing. The roasting processes
5001 greatly reduced the detectability of both methods according to the baking time.

5002 **18.7. Minimal (observed) eliciting doses**

5003 Case reports of allergic reactions in peanut allergic patients after accidental ingestion of foods
5004 containing peanuts show that even traces of peanut proteins can trigger severe allergic reactions in
5005 these subjects. Data from the US Peanut and Tree Nut Allergy Registry show that most reactions to
5006 peanut occurring in restaurants were triggered by foods which contained peanut as an ingredient that
5007 could not be identified by patients (e.g. in sauces, dressings, egg rolls). The most common source of
5008 exposure was desserts (43 %), followed by entrees (35 %) and appetisers (13 %) (Furlong et al., 2001).

5009 Different types of studies (i.e. diagnostic series, threshold-finding studies and immunotherapy trials)
5010 have reported on MED following challenge studies in adults and children combined (Oppenheimer et
5011 al., 1992; Leung et al., 2003; Lewis et al., 2005; Anagnostou et al., 2009), mostly in adults (Atkins et
5012 al., 1985; Hourihane et al., 1997; Nelson et al., 1997; Patriarca et al., 2006) (Wensing et al., 2002b),
5013 and mostly in children (Flinterman et al., 2006a; Clark et al., 2008; Clark et al., 2009; Blumchen et al.,
5014 2010; Nicolaou et al., 2010; Taylor et al., 2010; Wainstein et al., 2010; Blom et al., 2013). Studies are
5015 variable in size, challenge protocol used and type of food tested (Taylor et al., 2013). The total number
5016 of patients showing objective symptoms during the oral challenge in a given study ranged from one to
5017 283. The lowest MOEDs also varied widely among studies, ranging from 0.1 to 1,637 mg of total
5018 peanut protein (Remington, 2013).

5019 Four studies (Hourihane et al., 1997; Wensing et al., 2002b; Lewis et al., 2005; Flinterman et al.,
5020 2006a) were specifically designed to assess LOAEL doses and provide accurate information on the
5021 doses tested (Taylor et al., 2009b).

5022 Fourteen adult subjects proven by challenge to be allergic to peanut were randomised to receive
5023 varying doses of peanut protein administered as peanut flour in a DBPCFC (Hourihane et al., 1997).
5024 The challenge started with a dose of 10 µg of peanut protein (21.63 µg of flour), and increased
5025 stepwise thereafter to 20 µg, 50 µg, 100 µg, 250 µg, 500 µg, 1 mg, 2 mg, 5 mg, 10 mg, 20 mg, up to a
5026 maximum dose of 50 mg (108.15 mg of flour). One subject had a systemic reaction to 5 mg of peanut
5027 protein, and two subjects had mild objective reactions to 2 mg and 50 mg of peanut protein,
5028 respectively. Five subjects had mild subjective reactions (one to 5 mg and four to 50 mg). All subjects
5029 with convincing objective reactions had short-lived subjective reactions to preceding doses, as low as
5030 100 µg in two cases. Five subjects did not react to any dose up to 50 mg.

5031 In another DBPCFC, 26 adult patients with a convincing history of peanut-related symptoms, a
5032 specific IgE level ≥ 0.7 kU/L, or a positive \geq SPT of 2+ to peanut were challenged with varying doses
5033 of peanut protein provided as roasted peanut meal (Wensing et al., 2002b). Ten doses of peanut
5034 protein (30 µg, 100 µg, 300 µg, 1 mg, 3 mg, 10 mg, 30 mg, 100 mg, 300 mg, and 1 g) were tested in
5035 two separate challenges. The first challenge consisted of the 7 lowest doses (30 µg-30 mg). Patients
5036 who did not react during this challenge were asked to participate in a second challenge with 2
5037 overlapping doses (10 and 30 mg) and 3 higher doses (100 mg, 300 mg, and 1 g). All patients reported
5038 oral symptoms ($n = 26$), of which 14 reported prior subjective gastrointestinal symptoms ($n = 14$) and
5039 other symptoms were observed in five subjects. Reactions started within 30 minutes after ingestion of
5040 peanut, but in two patients additional symptoms were delayed by one to two hours. Doses eliciting
5041 allergic reactions ranged from a dose of 100 µg up to 1 g of peanut protein. Fifty percent of the study
5042 population had an allergic reaction after ingestion of 3 mg of peanut protein. Patients with severe
5043 symptoms had lower threshold doses compared with those patients with mild symptoms.

5044 Forty peanut allergic patients > 6 years of age were recruited who had a convincing clinical history of
5045 an allergic reaction to peanut in the last 3 years before challenge and a SPT for peanut of ≥ 6 mm, in
5046 the presence of a negative control (saline), and at least a 3 mm weal to histamine 1:10 w/v (Berg et al.,
5047 2008). In a DBPCFC, subjects received doses of peanut protein of 1, 2, 5, 10, 20, 50, 100, 250, 500

5048 mg, and 1, 2, 4 g as roasted and partially defatted peanut flour. Doses were given between 15 and 30
5049 minutes apart. Eliciting doses varied between 1mg and 2 g of peanut protein.

5050 A total of 27 children > 3.5 years sensitised to peanut and on a peanut elimination diet were evaluated
5051 by specific IgE measurements, SPT, and DBPCFC (Flinterman et al., 2006a). Nine doses of defatted
5052 light roasted peanut flour (10 µg, 100 µg, 500 µg, 1 mg, 10 mg, 100 mg, 300 mg, 1 g, and 3 g), which
5053 contain about 50 % of peanut protein, were tested at intervals between 15 and 30 minutes. All children
5054 tolerated a dose of 1 mg peanut flour, which corresponds to 2 mg peanut. The lowest eliciting dose
5055 was 10 mg (n = 2), causing OAS. The eliciting dose for subjective symptoms ranged from 10 mg to 3
5056 g of peanut flour, and was significantly lower than that for objective symptoms (from 100 mg to 3 g).

5057 Minimal doses reported to elicit objective symptoms in peanut allergic individuals are variable
5058 depending on the study population, challenge protocol and food matrix tested. The lowest reported
5059 MOED in peanut allergic patients undergoing DBPCFC was 100 µg of peanut protein, with a NOAEL
5060 of 30 µg. Doses of peanut protein inducing OAS in other studies were above that level. However, few
5061 data are available on the doses that may trigger allergic reactions in highly sensitive patients, who are
5062 often excluded from challenge tests but tend to react to lower doses than patients with mild symptoms.

5063 **18.8. Conclusion**

5064 Peanut is a common cause of allergic reactions, which can be severe or even fatal. Prevalence of well
5065 documented peanut allergy in Europe varies between 0.1 to 1.8 % depending on the age and country of
5066 origin. The available data do not allow concluding on whether the prevalence of peanut allergy has
5067 changed in the last years in Europe. The major peanut allergens are well characterised. Roasting may
5068 increase the IgE-binding capacity of peanut allergens, whereas boiling may decrease it or leave it
5069 unchanged. ELISA assays are sometimes unsuitable for the detection of peanut allergens in processed
5070 foods. MS and PCR technologies can be used as alternative or complementary methods. The lowest
5071 reported MOED in peanut allergic patients undergoing DBPCFC was 100 µg of peanut protein, with a
5072 NOAEL of 30 µg. However, few data are available on the doses which may trigger allergic reactions
5073 in highly sensitive patients, who are often excluded from challenge tests but tend to react to lower
5074 doses than patients with mild symptoms.

5075 **19. Allergy to soy**

5076 **19.1. Background**

5077 Soy (soybean) (*Glycine max*) is an edible legume belonging to the *Fabaceae* family. The seed contains
5078 around 20 % oil and 38 %-40 % protein. Consumption of soy, widespread in Asia and the USA, has
5079 increased in Europe during the past years particularly. In vegetarian cuisine soy is consumed as soy
5080 oil, soy flour, soymilk, soy drinks, soy flakes or as fermented soybean products such as Miso, Okara,
5081 soy sauce (Tamari, Shoyu), tempeh or tofu. Soy products are also used in the food industry for
5082 technological reasons as texturizers, emulsifiers and protein fillers. As soy is a good and cheap protein
5083 source, it may be part of a wide variety of processed foods such as meat products, sausages, bakery
5084 goods, chocolate or breakfast cereals (Ballmer-Weber and Vieths, 2008).

5085 Soy is widely consumed also by children. Soy-based formulas were introduced in infant nutrition more
5086 than 100 years ago (Katz et al., 2014) and are currently used for the treatment of cow's milk allergy
5087 (CMA), lactose and galactose intolerance, among other conditions. However, soy and soy protein-
5088 based formulas (SPFs) can induce IgE and non IgE-mediated food allergy.

5089 The clinical manifestations of soy allergy are similar to those of CMA, ranging from enterocolitis and
5090 food protein-induced enterocolitis syndrome (FPIES) (Sicherer, 2005), which are generally not
5091 associated with detectable specific IgE antibodies, to atopic dermatitis and IgE-mediated systemic
5092 reactions (anaphylaxis).

- 5093 **19.2. Epidemiology**
- 5094 **19.2.1. Prevalence**
- 5095 19.2.1.1. Europe
- 5096 There are 15 studies conducted in Denmark, Germany, Hungary, Iceland, Sweden, The Netherlands
 5097 and the United Kingdom between 1994 to 2008, which report on the prevalence of soy allergy in
 5098 unselected European populations (University of Portsmouth, 2013). Ten studies aimed to assess both
 5099 IgE and non-IgE mediated allergy whereas five focused on IgE-mediated allergy only. All ages were
 5100 included.
- 5101 The highest prevalence (0.8-1.2 %) of self-reported soy allergy has been recorded among 4 and 8 year
 5102 old children in Sweden (Ostblom et al., 2008a; Ostblom et al., 2008b). Self-reported prevalence in 1
 5103 and 2 year old children in Sweden (Ostblom et al., 2008a) and in other European countries at all ages
 5104 were ≤ 0.6 % (Young et al., 1994; Brugman et al., 1998; Emmett et al., 1999; Kristjansson et al., 1999;
 5105 Schafer et al., 2001).
- 5106 Sensitisation rates assessed by positive SPTs among adults were 7.3-8.3 % in Hungary (Bakos et al.,
 5107 2006) and 1.7 in Germany (Schafer et al., 2001), but only 0.3 and 0.2 % among UK children 4 (Arshad
 5108 et al., 2001) and 8 (Roberts et al., 2005) years of age. Rates of sensitisation based on IgE levels were
 5109 between 2.1 % and 3.7 % in adults and children in the three geographical areas (Sweden, Hungary and
 5110 Greenland) for which studies were available (Bjornsson et al., 1996; Krause et al., 2002; Bakos et al.,
 5111 2006; Ostblom et al., 2008a). When a convincing history was combined with sensitisation, prevalence
 5112 of soy allergy was zero in 18 month olds (Kristjansson et al., 1999) and 1.6 % in 4 year olds (Ostblom
 5113 et al., 2008b) in Sweden.
- 5114 The only study which assessed soy allergy using either OFC (in subjects < 3 years) or DBPCFCs
 5115 found a zero prevalence in a large sample (1 272) of Danish children and adults (Osterballe et al.,
 5116 2005; Osterballe et al., 2009).
- 5117 19.2.1.2. Outside Europe
- 5118 In the US, prevalence of self-reported soy allergy was 2.7 % in children up to three years of age
 5119 (Bock, 1987) and ranged between 0.1 % and 1.8 % in adults (Vierk et al., 2007; Greenhawt et al.,
 5120 2009). Soy allergy was reported by < 0.3 % of the children and adults in other parts of the World,
 5121 including Canada. No studies assessing prevalence of soy allergy using food challenges are available.
- 5122 **19.2.2. Natural history**
- 5123 Most soy allergic subjects outgrow their allergy. In a retrospective analysis of data in 133 children
 5124 with soy allergy (88 % of which with concomitant peanut allergy) recruited at a median age of one
 5125 year and followed up for a median of five years predicted a resolution of soy allergy in 25 % of
 5126 children at four years, in 45 % at six years, and in about 70 % at 10 years. Absolute soy specific IgE
 5127 levels were useful predictors of outgrowing soy allergy (Savage et al., 2010). By age 6 years, subjects
 5128 with a peak soy-specific IgE level < 10 kUA/L had > 50 % chance of outgrowing their allergy,
 5129 whereas peak levels > 50 kUA/L suggested < 20 % chance of tolerance development. Although soy
 5130 allergy usually manifests early in life, the study identified a subset of patients in which allergy
 5131 symptoms started after tolerating soy in their diet. It has been hypothesised that such late onset of soy
 5132 allergy may be related to either birch pollen cross-reactivity or persistent peanut allergy, as indicated
 5133 by high peanut-specific IgE levels at their last follow-up (Savage et al., 2010).
- 5134 The prevalence of soy sensitisation progressively increased from 2 % at 2 years to 7 % at 10 years of
 5135 age in the German Multi-Centre Allergy Study, where 1314 children were followed up from birth to
 5136 13 years (Matricardi et al., 2008). In patients with soy-induced FPIES, tolerance usually develops
 5137 within three years of life (Nowak-Wegrzyn and Muraro, 2009), although the rate of tolerance
 5138 development varies between studies and populations. Occasionally, FPIES may persist into the

5139 teenage years. Earlier reports suggested that, within two years of age, 20 % of soy-induced FPIES
 5140 resolves (Sicherer, 2005). However, a study in 23 Korean infants with FPIES found that 92 % of them
 5141 tolerated soy at age 10 months (Hwang et al., 2009).

5142 **19.2.3. Time trends**

5143 There are no studies available, which allow investigating time trends in soy allergy.

5144 **19.2.4. Severe reactions/anaphylaxis**

5145 Symptoms of soy allergy are generally mild. No severe allergic reactions to soy were reported by a
 5146 research group in 13 years of experience with DBPCFCs (Sicherer et al., 2000a). However, severe
 5147 gastrointestinal symptoms upon consumption of SPF in infants and children and anaphylaxis
 5148 following oral exposure to soy have also been reported. During a period of four years (1993-1996), a
 5149 Swedish group (Foucard and Malmheden Yman, 1999) reported 61 cases of severe anaphylactic
 5150 reactions from a national register. Peanut, tree nuts and soy were deemed to have caused 45/61
 5151 reactions. All four children who died from soy anaphylaxis were suffering from asthma and severe
 5152 peanut allergy. Severe reactions occurred after initially mild symptoms and an almost symptom free
 5153 interval of about one hour. The foods responsible for allergic reactions in soy allergic patients were ice
 5154 cream and hamburger (Host and Halken, 1990), and kebab and soy sauce (Schrander et al., 1993).
 5155 Peanut allergic subjects who reacted to kebab and hamburger were highly sensitised to peanut and had
 5156 soy-specific IgE. However the nature of the study does not allow firm conclusions regarding the true
 5157 trigger for these fatal reactions and hidden peanut exposure as trigger cannot be ruled out. Anaphylaxis
 5158 and exercise-induced anaphylaxis to soy have also been reported by others (Pumphrey and Stanworth,
 5159 1996; Sicherer et al., 2000b; Adachi et al., 2009).

5160 In a study on allergic reactions during in-patient OFCs, three (7 %) soy challenges required
 5161 administration of epinephrine (Jarvinen and Chatchatee, 2009). Higher frequency of severe reactions
 5162 (25 %), including throat or chest tightness, has been reported in patients with soy and birch pollen
 5163 allergy during DBPCFCs with soy (Mittag et al., 2004b).

5164 **19.2.5. Factors affecting prevalence of soy allergy**

5165 Prevalence of soy allergy appears to be higher among subjects with atopic dermatitis than in the
 5166 general population. In a study conducted in the US, 21 out of 165 children with atopic dermatitis had a
 5167 positive SPT to soy (13 %) and three (1.8 %) reacted to soy in a DBPCFC (Kattan et al., 2011). Two
 5168 Italian studies (Giampietro et al., 1992; Magnolfi et al., 1996) report a positive RAST in 22 % and a
 5169 positive SPT in 23 % of the 1075 food allergic and atopic children investigated, of which only 3 % and
 5170 6 % reacted in a DBPCFC or OFCs, respectively, representing 1.1 % of children referred for atopic
 5171 disease. A higher prevalence of soy allergy has also been reported in delayed onset enterocolitis and
 5172 enteropathy syndromes (Kattan et al., 2011), and in birch pollen and peanut allergic subjects due to
 5173 cross-reactivities with soy allergens.

5174 **19.3. Identified allergens**

5175 Soybean contains approximately 38 % protein. At least 16 IgE-binding protein fractions of soy have
 5176 been identified in the Allergome database. However, only eight soybean allergens appear in the IUIS
 5177 database (Table 20).

5178 The main storage proteins in soybean are glycinin (11S) and β -conglycinin (7S), which account for
 5179 about 70 % of the total seed protein. β -Conglycinin is a trimeric glycoprotein of MW 180 kDa, which
 5180 consists of three sub-units, α , α' and β , all N-glycosylated (Vu Huu and Shibasaki, 1978). Only the α -
 5181 subunit is allergenic, although the α' - and β -subunits have 90.14 % and 76.2 % homology with it,
 5182 respectively. Glycinin is a hexamer of MW 360 kDa. Each subunit is composed of an acidic and a
 5183 basic poly-peptide linked by a disulphide bond (Staswick et al., 1981). The five subunits form three
 5184 groups according to the combination of acid and basic peptides (Maruyama et al., 2004). Subunits Gy1
 5185 and Gy5 are considered main epitopes for this protein (Schiller et al., 2014).

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Table 20: Soy (*Glycine max*) allergens

Allergen	Protein	Molecular weight ^a	Superfamily/Family
Gly m 1	hydrophobic protein	7	hydrophobic seed protein
Gly m 2	defensin	8	defensin
Gly m 3	profilin	14	profilin
Gly m 4	PR-10 protein	17	Bet v 1 related protein
Gly m 5	β-conglycinin (7S globulin, vicilin)		cupin
	Subunit α	67	
	Subunit α'	71	
	Subunit β	50	
Gly m 6	Glycinin (11S globulin, legumin)		cupin
	Subunit Gy1	53.6	
	Subunit Gy2	52.4	
	Subunit Gy3	52.2	
	Subunit Gy4	61.2	
	Subunit Gy5	55.4	
Gly m 7	Seed biotinylated protein	76.2 ^b	
Gly m 8	2S albumin	28	prolamin

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^a MW (SDS-PAGE); ^b kDa5188
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Other soy proteins have been characterised and proposed as allergens, including the thiol-protease Gly m Bd 30k (Ogawa et al., 1991; Helm et al., 1998; Helm et al., 2000), and the Kunitz trypsin inhibitor (Moroz and Yang, 1980; Gu et al., 2001).

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Several authors report on *in vitro* IgE-binding studies in patients suffering from peanut or soy allergy. IgE-binding to Gly m 1 has been reported in > 90 % of patients (Djurtoft et al., 1991), to Gly m 4 in 86 % (Baur et al., 1996), and to Gly m 3 in 69 % (Rihs et al., 1999). Later studies reported IgE to Gly m 4 in 70 % to 100 % of soy allergic patients (Mittag et al., 2004b; Ballmer-Weber et al., 2007; Fukutomi et al., 2012). IgE to Gly m 5 and 6 was detected in 5-67 % and 5-58 % of patients, respectively (Holzhauser et al., 2009; Ito et al., 2011; Fukutomi et al., 2012). The frequency of IgE to Gly m 5 and Gly m 6 was lower in adults than children (5 % vs. 67 % for Gly m 5 and 5 % vs. 58 % for Gly m 6, respectively) (Ito et al., 2011; Fukutomi et al., 2012). Children with primary, more severe soybean allergy are usually sensitised to Gly m 5 and Gly m 6 (Fukutomi et al., 2012). However, high levels of IgE against Gly m 5 and 6 were related to mild symptoms in adults, and higher levels of Gly m 4 were related to soy milk allergy (Klemans et al., 2013).

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Soy components were also studied in relation to the severity of soy allergy. IgE to Gly m 5 and Gly m 6, which contains linear epitopes, was identified as a potential diagnostic marker for severe soy allergy (Holzhauser et al., 2009), whereas high levels of IgE to Gly m 4, which contains a conformational epitope, were detected in patients with anaphylactic reactions to soy drinks (van Zuuren et al., 2010; Kosma et al., 2011). The use of soy-specific components for the diagnosis of soy allergy was investigated in case-control studies where controls were not suspected of being soy allergic (Ito et al., 2011; Vissers et al., 2011; De Swert et al., 2012; Fukutomi et al., 2012) and in a soy-allergic patients only (Mittag et al., 2004b; Ballmer-Weber et al., 2007) (Holzhauser et al., 2009; van Zuuren et al., 2010; Kosma et al., 2011). IgE to Gly m 8 had the best accuracy in diagnosing adult soy allergy, IgE to Gly m 5 and 6 was related to mild symptoms, and Gly m 4 to soy milk allergy (Klemans et al., 2013).

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19.4. Cross-reactivities

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Serological cross-reactivities against other legumes in soy allergic individuals have been described in relation to peanut (70-90 %), green pea (~ 80 %), lima bean (~ 50 %), string bean (~ 40 %) (Bernhisel-Broadbent and Sampson, 1989; Bernhisel-Broadbent et al., 1989) and wheat flour in soybean sensitised bakers' (Baur et al., 1996), although these do not correlate with clinical cross-reactivities.

5218 Immediate type allergic reactions in patients with birch pollen allergy after consuming soy protein-
 5219 containing food can result from cross-reactivity between Bet v 1 specific IgE and the homologous PR-
 5220 10 protein SAM 22 Gly m 4 (Kleine-Tebbe et al., 2002; Holzhauser et al., 2009). In a study of 50 Bet
 5221 v 1 allergic individuals (Treudler et al., 2008), eight reactions to soy protein were reported in subjects
 5222 with high IgE levels against Gly m 4.

5223 Clinical symptoms in peanut allergic patients after soy intake are likely to result from cross-reactivity
 5224 between Ara h 3 and Gly m 6 (11S globulin) (Beardslee et al., 2000). Peanut allergic children (with or
 5225 without anaphylaxis) had significantly higher IgE binding to Ara h 1-3 (peanut allergens) and Gly m
 5226 5-6 (soy allergens) than asymptomatic children sensitised to peanut (Hong et al., 2012).

5227 Clinical cross-reactivity between peanut and soy is rare despite the high degree of cross-sensitisation
 5228 based on IgE-binding and SPTs (Sicherer et al., 2000b; Kattan et al., 2011). Clinical co-reactivity to
 5229 soy was reported in 1 %-6.5 % of peanut allergic individuals in placebo controlled studies (Burks et
 5230 al., 1998). Of 140 peanut allergic patients, 7 % were allergic to soy as determined from a combination
 5231 of clinical history, serum IgE levels, SPT, and OFCs in another study (Green et al., 2007). Soy intake
 5232 does not appear to be a risk factor for peanut allergy (Koplin et al., 2008).

5233 Co-sensitisation to soy is common in patients with CMA, but clinical co-allergy is rare (Zeiger et al.,
 5234 1999). Co-sensitisation without clinical reactivity to soy milk was noted in 17 % of patients with CMA
 5235 (Osterballe et al., 2009). Several studies suggest that the majority of subjects with IgE-mediated CMA
 5236 tolerate soy or soy formula, and that clinical reactions in subjects who do not tolerate soy are mainly
 5237 non-IgE mediated (EFSA, 2004). The soybean Gly m Gy4 and Gly m 5 subunit α cross-react with
 5238 casein (Rozenfeld et al., 2002; Curciarello et al., 2014). Out of 10 children with a positive milk
 5239 challenge, six also had a positive soy challenge. There was a challenge order effect, which needs to be
 5240 considered when designing and reporting food challenge studies (Niggemann and Beyer, 2007).

5241 **19.5. Possible effects of food processing on allergenicity and derived products**

5242 The effects of different processes on the allergenic potential of soy products, including lecithins and
 5243 soybean oil, have been reviewed (Besler et al., 2001). Storage, heat treatments, fermentation and high
 5244 pressure processing (HPP) affect the IgE-binding activity of sera obtained from peanut and soy
 5245 allergic patients.

5246 **19.5.1. Heat treatments**

5247 Most exposures to heat between 80-120 °C for 60 minutes lead to a reduction in IgE-binding (Burks et
 5248 al., 1991a; Burks et al., 1992a; L'Hocine and Boye, 2007). Combinations of heat and steam pressure,
 5249 such as instant controlled pressure drop (DIC), were shown to decrease the IgE-binding to legumes,
 5250 including soy proteins, proportionally to the increase in steam pressure and duration of treatment
 5251 (Cuadrado et al., 2011). Conversely, heat treatment and storage was reported to increase allergenicity
 5252 of soybean hull through the formation of two neoallergens (Codina et al., 1998), and thus the
 5253 conditions and duration of thermal treatments may affect the allergenicity of soy products in different
 5254 ways.

5255 **19.5.2. Fermentation**

5256 Natural or induced fermentation in soybean meals significantly reduced IgE-binding up to 89 %, in
 5257 particular if the resulting proteins were < 20 kDa (Song et al., 2008). Yoghurts showed the lowest
 5258 antigenic activity, followed by miso and tempeh. The lowest IgE-binding was observed with liquid
 5259 fermentation of soybean flour (Frias et al., 2008). The extent of hydrolysis of soybean formulae
 5260 (powder vs. liquid) may affect the outcome of challenge studies in children with enterocolitis. Out of
 5261 the 43 children challenged with soy formula in one study (Burks et al., 1994), 14 (33 %) reacted to a
 5262 powdered soy formula and 13 reacted to a liquid formula. Allergenicity was retained in a soy sauce, a
 5263 fermented product containing both wheat and soy (Hefle et al., 2005).

5264 **19.5.3. High Hydrostatic Pressure**

5265 The application of HHP treatments (100-30 MPa for 15 min) to soy “whey”, a by-product from the
5266 preparation of tofu, reduced the immunoreactivity of soy proteins towards antibodies against Gly m 1
5267 (Peñas et al., 2006b). HHP treatment of soy seeds led to lower immunoreactivity of the resulting soy
5268 sprouts, probably owing to the increased susceptibility to enzymatic hydrolysis during germination
5269 (Peñas et al., 2011). The influence of HHP treatments on IgE-binding capacity of soy allergens has
5270 also been studied in soybean protein isolates (SPI) for infant formula (Li et al., 2012). The processing
5271 conditions (300 MPa for 15 min) significantly reduced immunoreactivity by 48.6 % compared to the
5272 untreated SPI, which was linked to structural modifications of the proteins.

5273 **19.5.4. Soy derived products**

5274 **19.5.4.1. Soy lecithin**

5275 Soy lecithins are used as stabilisers and emulsifiers in a wide range of foods, drugs and other industrial
5276 products. Soy lecithins are mostly obtained by hexane extraction during the manufacturing of soy oil.
5277 Crude lecithins are separated from the oils by degumming and their composition is variable. Lecithins
5278 are complex mixtures composed mainly by phospholipids, glycolipids and fatty acids (phospholipid
5279 complex), but they also contain residual proteins in variable amounts depending on the manufacturing
5280 process. Proteins present in lecithins may trigger allergic reactions in sensitive individuals (Palm et al.,
5281 1999).

5282 Residual proteins have been determined in commercial lecithins in the range of 100-1 400 mg/kg (Gu
5283 et al., 2001; Martin-Hernandez et al., 2005). Soy lecithin was shown to contain a number of IgE-
5284 binding proteins (Gu et al., 2001), among which a methionine-rich protein (12 kDa) belonging to the
5285 2S albumin family, the Kunitz trypsin inhibitor (20 kDa) and a protein of 39 kDa, attributed to the
5286 acidic subunits of glycinin (Müller et al., 1998). The SDS-PAGE protein pattern of the standard soy
5287 lecithin was very similar to that of soy flour (Martin-Hernandez et al., 2005). The MWs of the main
5288 proteins in soy lecithins and soy flour determined by MALDI-MS ranged from 10.5 to 52.2 kDa and
5289 were identified by ESI-MS/MS to belong to the 11S globulin fraction, corresponding to glycinin A
5290 acid subunits (35 kDa), glycinin B basic subunits (18-20 kDa) and glycinin A5 subunit (10 kDa). The
5291 seed maturation protein P34 (32 kDa) from the 7S globulin fraction of soy proteins was also identified.
5292 An IgE-binding protein (16kDa) and weak bands (< 14 kDa) were also observed (Paschke et al.,
5293 2001).

5294 **19.5.4.2. Soybean oil**

5295 Soybean oil is used in cooking and food formulations. The presence of protein in soy oil depends on
5296 the degree of refining, as for other seed oils. Both cold-pressed and fully refined oils have been shown
5297 to contain proteins (0.35-0.78 mg/kg) (Hidalgo and Zamora, 2006). Although most publications
5298 suggest that refined oils do not induce allergic reactions in sensitive individuals (Bush et al., 1985;
5299 Crevel et al., 2000), an adverse reaction to soy oil in an infant has been reported (Moneret-Vautrin et
5300 al., 2002b). IgE-binding proteins with MWs of 53 and 58 kDa were identified in three unrefined
5301 soybean oils (Paschke et al., 2001). A 56 kDa allergenic protein was also found in cold-pressed and
5302 deodorised soybean oils (Errahali et al., 2002), which was later (Errahali et al., 2004) identified as
5303 soybean β -amilase (7S globulin), together with the 20 kDa allergen Kunitz-trypsin-inhibitor. The
5304 protein profile of the cold-pressed soy oil was similar to that of soy flour, with seven bands in a wide
5305 molecular range (94-14 kDa) (Martin-Hernandez et al., 2008). The soy lecithin seed maturation
5306 protein P34 from the 7S globulin fraction (35 kDa) and β -amylase (56 kDa) were identified.

5307 **19.6. Detection allergens in food**

5308 Many methods are available to detect allergens in soy products. A critical aspect is the extraction of
5309 proteins from soy lecithins and soy oils. Extraction with hexane-isopropanol-water was found most
5310 suitable to extract protein from lecithin (Martin-Hernandez et al., 2005) and acetone-hexane from oil
5311 (Martin-Hernandez et al., 2008).

5312 **19.6.1. Immunological methods**

5313 **19.6.1.1. ELISA**

5314 Several ELISA methods for detection of soybean allergens are commercially available (Gatti and
 5315 Ferrett, 2010). These are based on antibodies raised against native soybean proteins, against a single
 5316 protein such as Gly m Bd 30 K/P34, β -conglycinin, glycinin, the Kunitz trypsin inhibitor (KTI) or the
 5317 Bowman-Birk inhibitor (BBI), or against denatured/renatured soybean proteins.

5318 ELISA methods for the detection of soy proteins in processed foods were thoroughly reviewed
 5319 (Koppelman et al., 2004). In order to improve sensitivity, a competitive ELISA assay based on
 5320 polyclonal antibodies which used preliminary extraction with a buffer at pH 12 was applied to soy
 5321 ingredients and soy-containing foods processed in different ways. The LOD was 0.4 mg/kg and the
 5322 LOQ was 1 mg/kg.

5323 Polyclonal and monoclonal antibodies have been raised against β -conglycinin. Two competitive
 5324 ELISA quantification of β -conglycinin in processed foods and seeds are available. One is based on a
 5325 specific rabbit anti- β -conglycinin polyclonal antibody (Moriyama et al., 2005) and the second on a
 5326 monoclonal antibody obtained by using a conjugated chicken ovalbumin with a synthetic peptide that
 5327 corresponded to one epitope sequence of β -conglycinin as the immunogen (You et al., 2008). The
 5328 LOD of the latter was 2.0 ng/mL. A sandwich ELISA for the determination of β -conglycinin in food
 5329 has also been developed, with a LOD of 1.63 ng/mL (Hei et al., 2012).

5330 A competitive ELISA based on the monoclonal antibody 4B2 against glycinin exhibited high-
 5331 sensitivity, with a LOD of 0.3 ng/mL of glycinin (Ma et al., 2010).

5332 A sandwich ELISA for the detection and quantification of the soluble soybean protein in processed
 5333 foods was developed using polyclonal antibodies raised against the protein P34 (Gly m Bd 30K) as a
 5334 soybean marker protein (Morishita et al., 2008). The method was highly specific, with a LOD of 0.47
 5335 ng/mL (equivalent to 0.19 mg/kg in foods) and a LOQ of 0.94 ng/mL (equivalent to 0.38 mg/kg in
 5336 foods). Polyclonal antibodies against the recombinant P34 fusion protein were used for an indirect
 5337 ELISA able to determine the P34 content of soybean products (Liu et al., 2012a), which is deemed to
 5338 be very specific and accurate, but no LOD has been reported (the lowest concentration tested was
 5339 around 2.5 ng/mL, as deduced from the calibration curve).

5340 The detection of soy proteins by commercial ELISA kits is variable and strongly influenced by
 5341 processing. A competitive ELISA targeting renatured soy proteins and a sandwich ELISA determining
 5342 the trypsin inhibitor in the food sample showed high sensitivity (LOD 2 μ g/mL and < 1 μ g/mL,
 5343 respectively) when applied to soy proteins undergoing hydrolysis and glycation during food
 5344 processing (L'Hocine et al., 2007). However, both methods showed drawbacks related either to
 5345 interferences with the food matrix and specificity (cross-reactivity with chickpeas) or to accuracy,
 5346 which hampered the detection of soy proteins in processed foods. Indeed, ELISA kits do not perform
 5347 well in heat-treated foods, where glycated proteins are formed by the Maillard reaction (Platteau et al.,
 5348 2011b). Antibodies against modified protein extracts are more suitable to detect soy allergens in
 5349 processed foods than antibodies raised against the native protein (Cucu et al., 2012a). If antibodies are
 5350 raised against a single native allergen, this should be stable during processing conditions.

5351 The performance of seven different assays for the detection of soy was compared on several
 5352 commercial food products (Pedersen et al., 2008). The difficulties of detecting soy proteins in
 5353 processed foods was evidenced for all immunological methods, whereas the detection of DNA with a
 5354 soy-specific real time-PCR offered the advantages of a good sensitivity (LOD 10 mg/kg) and a high
 5355 specificity. In particular, a sandwich ELISA showed a very good sensitivity (LOD 0.05 mg/kg), but
 5356 only towards native proteins; a competitive ELISA recognised denatured/renatured proteins, although
 5357 with a lower sensitivity (LOD 20 mg/kg); enzyme-allergosorbent test (EAST) inhibition and histamine
 5358 release (HR) tests were also utilised with a good sensitivity (LOD = 0.8-12 mg/kg and 0.2 mg/kg,

5359 respectively), although they were very variable depending on patient sera and donor basophils, as well
5360 as potentially cross-reactive with other legumes.

5361 **19.6.1.2. Immunosensors**

5362 An optical biosensor (BIACORE) was used to develop a biosensor immunoassay (BIA) based on
5363 polyclonal antibodies for the simultaneous detection of soy, pea, and soluble wheat proteins in milk
5364 powder (Haasnoot et al., 2001). The LODs were < 0.1 % of plant protein relative to the total milk
5365 protein content. An automated fluorescent microsphere-based flow cytometric triplex inhibition
5366 immunoassay was developed for the same purpose (Haasnoot and du Pre, 2007). It is faster than the
5367 BIA and allows the simultaneous analysis of several samples with the same LOD.

5368 A direct homogeneous aggregation immunoassay involving the use of gold nanoparticles (AuNPs)
5369 adsorbed to polyclonal anti-soy protein antibodies and light scattering detection has been described for
5370 soy protein determination in food samples (Sánchez-Martínez et al., 2009). When the method was
5371 applied to fruit juice and “non-milk yoghourt” samples, the results were similar to those obtained with
5372 a commercial ELISA kit, but the time for analysis was shorter and the LOD was about 10 times lower
5373 (65 ng/mL). A heterogeneous competitive fluoroimmunoassay with antibody capture for the
5374 determination of soy protein involving nile blue-doped silica nanoparticles (NPs) bound to anti-soy
5375 protein antibodies was found to be very sensitive, with a LOD of 0.05 mg/L (Godoy-Navajas et al.,
5376 2011).

5377 **19.6.2. Mass spectrometry**

5378 Although soy proteins could be analysed by RP-HPLC (Mujoo et al., 2003), the advent of LC coupled
5379 to MS allowed to determine the presence of allergens with high specificity and good sensitivity, and to
5380 measure multiple proteins simultaneously.

5381 Two quantitative proteomic methods, spectral counting and LC-MS/MS were used to calculate the
5382 relative and absolute quantities, respectively, of eight soybean allergens in 20 soy varieties (Houston et
5383 al., 2011). The total proteins extracted were digested with trypsin and the peptides analysed by LC-
5384 MS/MS in the MRM mode. Absolute quantitation was carried out by spiking the peptide mixture with
5385 isotope labelled synthetic peptide standards, previously designed as markers for each allergen,
5386 according to the protein absolute quantification (AQUA) strategy. The concentration of the eight
5387 allergens in soy seeds ranged approximately from 0.5 to 5.7 µg/mg of soy protein. The impact of food
5388 processing on these specific peptides was investigated in another study (Cucu et al., 2012b), which
5389 aimed at identifying soybean-derived tryptic markers stable to processing (e.g. denaturation, Maillard
5390 reaction, oxidation) using MALDI-TOF/MS and MS/MS. Although several peptides were modified by
5391 the treatments, the most stable to processing were one from Gy1 glycinin (Gly m 6) and one from the
5392 α'-chain of β-conglycinin (Gly m 5). The study was not designed to provide LODs, but to provide the
5393 basis for a future quantitative method.

5394 Two methods for the detection of soybean proteins in skimmed milk powder (SMP) have been
5395 developed for control purposes. One, based on nano-LC-MS/MS (Luykx et al., 2007) could detect 1-
5396 5 % of plant proteins in SMP (mainly glycinin and β-conglycinin), but was restricted only to the
5397 insoluble plant proteins in the borate buffer used for enrichment. The second was untargeted and
5398 analysed peptide mixtures resulting from the trypsin digestion of the entire SMP samples by a
5399 comparative RP nano-LC/Q-TOF MS, in combination with data dependent LC-MS/MS (Cordewener
5400 et al., 2009). No detection limits were provided.

5401 A screening method for the simultaneous detection of seven allergens, including soy, based on LC-
5402 QpQ-MS, showed a LOD of 24 mg/kg for soy (Heick et al., 2011a).

5403 **19.6.3. DNA-based methods**

5404 End-point and real-time PCR for the detection of soybean in food products are available as
 5405 alternative/complementary to ELISA. Most PCR tests are based on the amplification of the gene of
 5406 soy lectin, and few on the gene of the soybean allergen Gly m Bd 30K DNA (Torp et al., 2006).

5407 One study (Gryson et al., 2008) was aimed at detecting soy in bread following the addition of various
 5408 soy ingredients (i.e. full-fat soybean flour, defatted soybean flour, toasted soy, soy protein isolate and
 5409 soy fibre). Although DNA was partially degraded during the baking process of bread, the detection of
 5410 soy by end-point PCR was still possible in the full-fat and defatted soybean flour (practical LOD
 5411 0.2 %) and in the soy protein isolate (practical LOD 1 %), whereas no amplification was possible for
 5412 the soybean fibre and toasted flour.

5413 An optimised end-point PCR protocol targeting the soybean lectin gene was able to detect soy DNA in
 5414 0.1 % and 0.5 % of hydrated textured protein, corresponding to 0.01 % and 0.06 % (w/w) of soybean
 5415 protein in unprocessed and heat-processed pork meats, respectively (Soares et al., 2010). The absolute
 5416 LOD reached a level of 10 pg of soybean DNA.

5417 Two methods based on end-point and real time-PCR techniques were compared for detecting soy
 5418 protein in commercial processed products by amplification of the lectin gene (Espineira et al., 2010).
 5419 Both assays were specific, but the real time-PCR was more sensitive. The absolute LOD of end-point
 5420 and real time-PCR was 100 pg and 10 pg of DNA for raw soy, 0.06 % and 0.05 % for soybean powder
 5421 added to canned fish, and 100 and 10 mg/kg for soy flour contained in corn flour, respectively. A
 5422 commercially available real time-PCR method for detection and quantification of soy in boiled
 5423 sausages was validated in a ring trial (Siegel et al., 2012). The method was reproducible, allowing
 5424 detecting a spike level of 10 mg/kg.

5425 For a quantitative real time-PCR assay targeting the genomic regions of the soy allergens Gly mBd
 5426 28K and GlymBd 30K, the LOD was determined as 3.2 pg of genomic soy DNA, corresponding to 2.8
 5427 copies; the LOQ was 6.4 pg of DNA, corresponding to 5.7 copies for both copies (Platteau et al.,
 5428 2011a)

5429 A duplex real time-PCR method allowing the simultaneous detection of lupin and soy in processed
 5430 (bakery and vegetarian) food products targeted DNA sequences coding for a mitochondrial gene
 5431 which, being present in multiple copies per cell, increases the probability of obtaining positive results
 5432 (Gomez Galan et al., 2011). The PCR platform is specific and sensitive, allowing the detection of
 5433 lupin and soy at a level of 2.5 mg/kg food matrix.

5434 A multiplex PCR by using Ligation-dependent probes targeting soy among other allergens was able to
 5435 detect 13.6 ng of soy DNA (Mustorp et al., 2011). Two quantitative multiplex real time-PCR systems
 5436 simultaneously determining DNA of eight allergenic foods, including soy, exhibited good specificity
 5437 and sensitivity in the range of 0.01 % (LOD 10 mg/kg) (Köppel et al., 2010).

5438 **19.7. Minimal (observed) eliciting doses**

5439 Five clinical studies have assessed MEDs in soy allergic patients using food challenges with
 5440 increasing doses of soy protein. In four studies, challenges were conducted for diagnostic purposes
 5441 (Magnolfi et al., 1996; Zeiger et al., 1999; Fiocchi et al., 2003b; Rolinck-Werninghaus et al., 2012)
 5442 and the fifth was a threshold-finding study (Ballmer-Weber et al., 2007).

5443 In an Italian study (Magnolfi et al., 1996), 131 children aged one month to 18 years with clinical
 5444 history of soy allergy and a positive SPT to soy were challenged with powdered soy formula in fruit
 5445 juice using a DBPCFC design and rice or corn flour as placebo. Up to 200 mL of soy formula (13 %,
 5446 1.8 soy protein/100 mL) were administered at increasing doses (one drop, 1 mL, 5 mL, 10 mL, 50 mL
 5447 and 134 mL) every 20 min. Alternatively, up to 40 capsules containing soy flour (88 mg soy
 5448 protein/capsule) were administered every 20 min at increasing doses (1, 2, 3, 5, 10 and 19 capsules).

5449 Children with no symptoms were given 200 mL of soy milk for the following days. Clinical reactions
 5450 to the challenge were observed in eight (6 %) of the children. Immediate reactions (3-15 min) were
 5451 observed in five children, of which two reacted to the first dose of 88 mg soy protein. Delayed
 5452 (gastrointestinal) reactions were noted in three children (at 4 hours, 3 and 7 days of ingestion).

5453 In another Italian study (Fiocchi et al., 2003b), 18 children with CMA who developed clinical
 5454 reactions to a soy-based formula after a 2-18 months treatment were recruited and challenged with a
 5455 soy formula in a diagnostic DBPCFC. None of the children had history of anaphylaxis to soy.
 5456 Doubling doses of 12, 24, 48 and 96 mL of soy milk were used, unless symptoms occurred. There
 5457 were seven cases of immediate symptoms and 11 delayed reactions occurring between 2 and 48 h
 5458 following the DBPCFC with soy milk. All delayed reactions were observed with the maximum
 5459 cumulative dose used (180 mL), whereas immediate symptoms were already observed at the lowest
 5460 dose tested in one subject, and at cumulative doses of 36 mL and 84 mL in three subjects each.

5461 In a study conducted in the US (Zeiger et al., 1999), eight children (age ≤ 3.5 years) with CMA and
 5462 history of soy allergy were challenged for diagnostic purposes (confirmation of soy allergy) by
 5463 DBPCFC (n = 8) or OFC (n = 2) with 6 to 7 doubling doses of soy milk (starting from one drop to
 5464 5 mL depending on the child sensitivity) given at 10-15 min intervals up to 100 mL. Children with
 5465 convincing history of anaphylaxis and a high level of soy IgE (> 10 U/mL) (n = 2) were excluded from
 5466 the challenge. The minimal cumulative dose eliciting an allergic reaction was 29 mL of soy milk.

5467 In a multicenter trial conducted in Germany, Italy and Denmark (Ballmer-Weber et al., 2007), 30
 5468 patients with a history of soy allergy (age range 1-69 years) underwent a titrated DBPCFC with nine
 5469 increasing doses containing 0.002, 0.008, 0.148, 0.296, 1.183, 2.367, 4.734, 9.47, and 31.8 g of soy
 5470 flour in a chocolate bar, respectively, administered at intervals of 15 min until objective allergic
 5471 symptoms or ingestion of the whole meal occurred. Five patients were included on the basis of a
 5472 convincing history of anaphylaxis to soy. Twelve patients experienced subjective symptoms (e.g.
 5473 OAS, nausea, gastrointestinal pain or thoracic tightness), whereas objective symptoms (e.g. blistering
 5474 of the oral mucosa, rhinoconjunctivitis, urticaria, flush, diarrhoea, decrease in blood pressure)
 5475 occurred in 11. Since none of the patients reacted to the first dose, the NOAEL was 2 mg of soy flour
 5476 (1.1 mg of soy protein). The LOAEL for subjective symptoms was 10 mg of soy flour (5.3 mg of soy
 5477 protein) and the LOAEL for objective symptoms 454 mg of soy flour (240.6 mg of soy protein).

5478 The aim of the last study (Rolinck-Werninghaus et al., 2012) was to evaluate the relationship between
 5479 eliciting allergen doses, IgE levels and predictive factors, and the outcome of food challenges in
 5480 children. Oral food challenges were performed in 317 children (median age 1.1 years; age range 0.3-
 5481 16.1) with a suspected history of soy allergy, i.e. objective clinical symptoms in conjunction with soy-
 5482 specific IgE. Seven increasing doses were administered at 30-min intervals using a semi-log scale. The
 5483 first and last doses of soy protein were 4 mg and 3.6 g (0.1 and 100 mL of soy milk), respectively. The
 5484 severity of objective clinical symptoms was graded following a five-level grading system, from grade
 5485 I (skin symptoms and/or gastrointestinal tract symptoms with no respiratory, cardiovascular,
 5486 neurological symptoms) to grade V (skin symptoms and/or gastrointestinal tract symptoms plus
 5487 respiratory symptoms plus cardiovascular symptoms). Objective symptoms occurred at all doses of
 5488 soy tested (Grade I for doses 4 mg; 11 mg; 36 mg; 110 mg and 360 mg of soy protein), but the
 5489 majority of patients had symptoms only after the higher doses were given (grades II and III at 1.1 g of
 5490 soy protein; grades I to IV at 3.6 g of soy protein). The MED in this study was 4 mg of soy protein, the
 5491 lowest dose tested.

5492 Minimal doses reported to elicit symptoms in soy allergic individuals are variable depending on the
 5493 study population, challenge protocol and food matrix tested. The lowest MED reported in soy allergic
 5494 patients undergoing DBPCFC was 4 mg of soy protein and the lowest MOED 88 mg of soy protein,
 5495 with a NOAEL of 2 mg. However, few data are available on the doses which may trigger allergic
 5496 reactions in patients with anaphylactic reactions to soy, which were often excluded from challenge
 5497 tests, or on the doses which may trigger non-IgE mediated, late and mostly gastrointestinal reactions,

5498 which are difficult to assess and has not been done prospectively. It is also unclear whether these
5499 patients may react to small amounts of allergen over a prolonged period of exposure.

5500 **19.8. Conclusion**

5501 Severe and/or fatal anaphylaxis reactions to soy and soy containing foods seem to be rare. Higher rates
5502 of anaphylactic reactions to soy protein have been reported among peanut allergic patients. The
5503 prevalence of clinically confirmed soy allergy in unselected populations in Europe appears to be low,
5504 although available studies are scarce. Serological and clinical cross-reactions have been described
5505 between soy and other legumes, with the pollen allergen Bet 1 v, and with bovine casein. Thermal
5506 processing, HHP treatments and fermentation have been shown to reduce the IgE-binding capacity of
5507 soy proteins, depending on the conditions and duration of the processes. The detection of soy proteins
5508 by ELISA is variable and strongly influenced by processing, while methods based on DNA are more
5509 robust and present good sensitivity and specificity. Quantitative determination of soy allergenic
5510 proteins by MS is possible, but not yet suitable for the analysis of large numbers of samples. The
5511 lowest MED reported in soy allergic patients undergoing DBPCFC was 4 mg of soy protein and the
5512 lowest MOED 88 mg of soy protein, with a NOAEL of 2 mg. However, few data are available on the
5513 doses which may trigger allergic reactions in patients with anaphylactic reactions to soy, which were
5514 often excluded from challenge tests, or on the doses which may trigger non-IgE mediated, late and
5515 mostly gastrointestinal reactions, which are difficult to assess and has not been done prospectively.

5516 **20. Allergy to fish**

5517 **20.1. Background**

5518 Fish are water-living non-mammalian vertebrates, breathing with permanent gills, with finger-less
5519 fins. In the regulatory literature, the terms finned fish or finfish are often used to distinguish fish from
5520 shellfish (crustaceans and molluscs).

5521 Fish is a common food in all European countries. However, fish intake varies considerably between
5522 different regions, depending on local traditions and supplies. Traditionally, consumption has been
5523 highest in coastal areas, but this pattern may have become less pronounced. Fish consumption also
5524 appears to vary greatly between families and individuals (Welch et al., 2002; Wennberg et al., 2012).

5525 Fish allergy was demonstrated in a classical study early in the history of allergology (Prausnitz and
5526 Küstner, 1921) and fish is considered one of the eight most common allergenic foods, which are
5527 collectively considered to be responsible for about 90 % of food allergic reactions (Hebling et al.,
5528 2012). The route of exposure appears to determine whether food allergy or respiratory allergy to fish
5529 develops. Food allergy to fish is thought to be induced and triggered mainly via the peroral-
5530 gastrointestinal route (Untersmayr et al., 2007). In some fish-processing workplaces, respiratory
5531 allergy to fish has been a considerable problem because of inhaled allergen (Douglas et al., 1995;
5532 Rodríguez et al., 1997; Jeebhay et al., 2000).

5533 This section addresses IgE-mediated food allergy to fish only. However, two important differential
5534 diagnoses should be mentioned, namely scombroid poisoning (histamine poisoning) and allergic
5535 reactions to the fish parasite *Anisakis simplex* (Sharp and Lopata, 2013). Scombroid poisoning can be
5536 caused by some fish species if stored under suboptimal conditions, owing to the conversion of
5537 histidine to histamine by bacterial enzymes (Prester, 2011; Demoncheaux et al., 2012). Allergic
5538 reactions caused by *Anisakis simplex* in infested fish (EFSA Panel on Biological Hazards (BIOHAZ),
5539 2010; Pravettoni et al., 2012) can be very severe and clinically similar to fish allergy. Up to 35 % of
5540 allergic reactions experienced after ingestion of fish in Spain have been reported to be caused by
5541 *Anisakis* (Anibarro et al., 2007). Allergy owing to *Anisakis* and scombroid poisoning will not be
5542 further discussed in this Opinion.

5543 **20.2. Epidemiology**

5544 **20.2.1. Prevalence**

5545 Data on the prevalence of well-documented fish allergy in the general population are scarce. The
 5546 majority of prevalence estimates have been gathered using questionnaire-based methods (self-
 5547 reported, clinical history, diagnosis by a clinician), although sensitisation rates (SPT, specific IgE)
 5548 have also been assessed in some studies. Comparisons between studies are difficult owing to
 5549 differences in the methodologies used and age ranges assessed.

5550 20.2.1.1. Europe

5551 Prevalence of self-reported fish allergy ranged from 3.5-7 % in Finnish children ≤ 4 years of age
 5552 (Kajosaari, 1982; Pyrhonen et al., 2009), although only 1 % reported a clinician-diagnosed fish allergy
 5553 (Pyrhonen et al., 2009). Prevalence of self-reported allergy to fish in children was lower in other
 5554 Northern European countries with high fish consumption, like Iceland (1.5-2.2 %) (Kristjansson et al.,
 5555 1999), Norway (1.5 %) (Eggesbo et al., 1999) or Sweden (1.2-3.2 %) (Eggesbo et al., 1999;
 5556 Kristjansson et al., 1999). Self-reported allergy to cod in children ranged from 0.3 % in the UK
 5557 (Venter et al., 2006a) to 1.6 % in Sweden (Ostblom et al., 2008b). In children > 4 years old and
 5558 adolescents, prevalence of self-reported allergy ranged from 0.3 % and 2.3 % in Turkey (Orhan et al.,
 5559 2009; Mustafayev et al., 2012), Greece (Zannikos et al., 2008), the UK (Pereira et al., 2005), Sweden
 5560 (Ostblom et al., 2008a) and the Netherlands (Brugman et al., 1998) and was highest in France (4 %)
 5561 (Touraine et al., 2002) and Spain (6.9 %) (Martínez-Gimeno et al., 2000). The scarce data available for
 5562 adults come from Southern countries, where a prevalence between 0.4 % (Turkey) (Gelincik et al.,
 5563 2008) and 1.9 % (Greece) (Sakellariou et al., 2008) has been reported.

5564 In the European Community Respiratory Health Survey (Burney et al., 2010), prevalence and country
 5565 distribution of sensitisation to 24 allergenic foods were determined in sera collected around the year
 5566 2000 in 4522 young adult individuals of the general population in 13 countries. The average
 5567 prevalence of sensitisation to any food was 16.2 %. The prevalence of sensitisation to fish (0.2 %) was
 5568 the lowest among the 24 foods tested, and was considerably lower than the prevalence of sensitisation
 5569 to crustaceans (shrimp, 5.4 %). The highest prevalence was reported in Germany (0.9 %), followed by
 5570 Spain (0.5 %), Norway and Sweden (both with 0.3 %). Sensitisation rates (positive SPT) to cod in
 5571 children 1-6 years of age in the UK were up to 1 % (Arshad et al., 2001; Roberts et al., 2005; Venter et
 5572 al., 2006a), and up to 1.4 % to any fish in adolescents (Pereira et al., 2005).

5573 The few studies available using DBPCFC to confirm a clinical history of fish allergy consistently
 5574 show a zero prevalence of fish allergy (Denmark (Osterballe et al., 2005); Turkey (Gelincik et al.,
 5575 2008) except in 6-year old Finnish children, where it was up to 1 % (Kajosaari, 1982).

5576 20.2.1.2. Outside Europe

5577 Prevalence of fish allergy using questionnaire-based methods in countries with Western lifestyle
 5578 outside Europe is generally below 1 % and no studies are available using DBPCFC to confirm a
 5579 clinical history of fish allergy (University of Portsmouth, 2013).

5580 **20.2.2. Natural history**

5581 In contrast to clinical allergies to milk, egg, wheat and soy, which are typically outgrown despite
 5582 frequently persistent positive SPT, clinical allergy to fish is often “life-long” (Bock, 1982; Eigenmann
 5583 and Sampson, 1998; Priftis et al., 2008). However, fish allergy may sometimes resolve (Solensky,
 5584 2003; Pite et al., 2012), as reported for 3.5 % of fish allergic patients in one American study (Sicherer
 5585 et al., 2004). Thus, fish-allergic patients should be re-evaluated from time to time.

5586 **20.2.3. Time trends**

5587 Few studies are available to examine time-trends for fish allergy. The prevalence of self-reported
 5588 allergy to fish in Finnish children 1-3 years of age was assessed in 1980 (Kajosaari, 1982) and in 2001

5589 (Pyrhonen et al., 2009). Prevalence of self-reported fish allergy slightly decreased from 7.5-% to 3.5 %
 5590 in one-year olds, from 6 % to 5 % in two-year olds and from 5 % to 3.6 % in three-year olds within
 5591 that time frame. In 1980, 4.5 % of children four years old reported allergy to fish, but only 1 % of
 5592 children 6 years old in 2001. In the UK, 0.7 % of a 4-year old cohort and 0.5 % of a 3-year old cohort
 5593 had a positive SPT in 1993 (Arshad et al., 2001) and 2001 (Venter et al., 2008), respectively.

5594 There are no more recent data available to identify any time trends in fish allergy.

5595 20.2.4. Severe reactions/anaphylaxis

5596 Food allergic reactions to fish can be severe and sometimes fatal. Fish meat is one of the foods most
 5597 commonly provoking severe anaphylaxis (Sampson, 2000; Lopata and Lehrer, 2009). There are also a
 5598 number of reports on anaphylactic reactions to caviar and roe from various fishes (Untersmayr et al.,
 5599 2002; Makinen-Kiljunen et al., 2003; Flais et al., 2004; Kondo et al., 2005; Escudero et al., 2007;
 5600 Perez-Gordo et al., 2008; Chen et al., 2009; Gonzalez-De-Olano et al., 2011).

5601 20.2.5. Factors affecting the prevalence of fish allergy

5602 Fish allergy often manifests in young children, but it can also manifest at any age. In one study of 79
 5603 patients with clinical fish allergy (Pascual et al., 1992), the age of onset was 0-6 months in 24 %, 7-12
 5604 months in 51 %, 13-18 months in 8 %, 19-24 months in 6 %, and > 24 months in 11 % of subjects.
 5605 Age distribution in a patient study can be biased for several reasons, but these data are in conformity
 5606 with the perception that fish allergy tends to develop in the first year of life, although somewhat later
 5607 than allergy to cows' milk and hens' egg.

5608 20.3. Identified allergens

5609 Fish allergens included in the IUIS database are shown in Table 21.

5610 **Table 21:** Fish (*Animalia chordata*) allergens

Biochemical name	Allergen	Common name	Scientific name	Source	Molecular weight ^a
β-Parvalbumin	Clu h 1 Cyp c 1 Gad c 1 Gad m 1 Lat c 1 Lep w 1 Onc m 1 Sal s 1 Sar s 1 Seb m 1 Thu a 1 Xip g 1	Atlantic herring Carp Codfish Atlantic cod Barramundi Whiff Rainbow trout Atlantic salmon Pacific pilchard Ocean perch Yellowfin tuna Swordfish	<i>Clupea harengus</i> <i>Cyprinus carpio</i> <i>Gadus callarias</i> <i>Gadus morhua</i> <i>Lates calcarifer</i> <i>Lepidorhombus whiffagonis</i> <i>Oncorhynchus mykiss</i> <i>Salmo salar</i> <i>Sardinops sagax</i> <i>Sebastes marinus</i> <i>Thunnus albacares</i> <i>Xiphias gladius</i>	Fish meat	12 ¹
Tropomyosin	Ore m 4	Mozambique tilapia	<i>Oreochromis mossambicus</i>	Fish meat	33 ^b
β-Enolase	Gad m 2 Sal s 2 Thu a 2	Atlantic cod Atlantic salmon Yellowfin tuna	<i>Gadus morhua</i> <i>Salmo salar</i> <i>Thunnus albacares</i>	Fish meat	47.3 ^b 47.3 ^b 50
Aldolase A	Gad m 3 Sal s 3 Thu a 3	Atlantic cod Atlantic salmon Yellowfin tuna	<i>Gadus morhua</i> <i>Salmo salar</i> <i>Thunnus albacares</i>	Fish meat	40 40 40
Vitellogenin (β' component)	Onc k 5	Chum salmon	<i>Oncorhynchus keta</i>	Fish roe	18 ^b

5611 ^aApproximate – slight variation exists between species; ^b MW (SDS-PAGE); ^b kDa

5612 Parvalbumin is a major fish allergen, which is found in all fish species (panallergen). However, fish
 5613 also contains a number of other allergens, some of which have been recently characterised and
 5614 identified. For example, Baltic codfish contains other allergens than the parvalbumin Gad c 1 (Aukrust
 5615 et al., 1978a; Aukrust et al., 1978b; Dory et al., 1998). A total of 18 IgE-binding bands in freshly
 5616 prepared codfish extract were identified by immunoblotting (Hansen et al., 1996). In one study, only
 5617 one of eight tuna fish allergic patients had IgE-binding to parvalbumin (Yamada et al., 1999).

5618 Cod parvalbumin is widely used as a general test allergen for sensitisation to fish, and IgE to cod is
 5619 widely used as a marker of sensitisation to fish (de Martino et al., 1990). However, for patients with
 5620 allergy to a single or a limited number of fish species, test allergens should be chosen according to the
 5621 patient's history to avoid 'false negative' results (Kuehn et al., 2013).

5622 While fish muscle and fish skin appear to share allergens, fish roe contains allergens not found in
 5623 muscle or skin. Fish roe allergic individuals will often tolerate fish (Makinen-Kiljunen et al., 2003;
 5624 Escudero et al., 2007; Chen et al., 2009).

5625 20.3.1. Fish muscle and skin

5626 20.3.1.1. Parvalbumins

5627 Parvalbumin was first described in codfish (Aas and Jebsen, 1967; Elsayed and Apold, 1983) as one of
 5628 the first allergens characterised at the molecular level. Parvalbumin is a vertebrate-specific, mainly
 5629 cytosolic, globular acidic (pI 3.9-5.5), Ca^{++} -binding small protein (106–113 residues, MW ~ 12 kDa)
 5630 of the EF-hand superfamily, and is expressed in fast-twitch muscles and, to some extent, in certain
 5631 other cells and organs (Arif, 2009). Two phylogenetic lineages of parvalbumin, α and β , differ in
 5632 isoelectric point ($pI > 5$ and $pI < 5$ for α and β parvalbumin, respectively) and features of amino acid
 5633 sequence, but share a similar tertiary structure. Both have 30-residue long sub-domains, each
 5634 containing a central loop flanked by short amphipathic α -helices (Nakayama et al., 1992).
 5635 Allergenicity of fish is related to the presence of β -parvalbumins. The high structural stability of the
 5636 Ca^{++} -loaded form confers relative resistance to cooking and digestion in the gastrointestinal tract.
 5637 Allergenicity of parvalbumins is greatly reduced by calcium depletion (Bugajska-Schretter et al.,
 5638 2000) owing to conformational changes in the Ca^{++} -binding region, which is an IgE-binding epitope
 5639 (Declercq et al., 1991). Some allergenic determinants in parvalbumin appear to be sequential, which
 5640 may explain the low tendency for remission of fish allergy. Biologically active parvalbumin has been
 5641 demonstrated in serum samples as early as 10 min after ingestion, peaking after 1-2 hours, suggesting
 5642 some pre-gastric absorption (Untersmayr et al., 2007).

5643 Parvalbumins have been reported to be the major and only fish allergen for 95 % of patients suffering
 5644 from IgE-mediated fish allergy (Swoboda et al., 2002). Parvalbumins differ among fish species and
 5645 belong to the second (after tropomyosin) largest animal food allergen family, with at least 18
 5646 parvalbumins described as allergens and a large number of isoallergens. Isoallergens per definition
 5647 show at least 67 % sequence identity, whereas isoforms with pairwise sequence identity above 90 %
 5648 are referred to as 'microheterogenous isoforms' (Chapman et al., 2007). Since even
 5649 microheterogenous isoforms of some allergens may induce very different responses by human T and B
 5650 cells (Wagner et al., 2008) and microheterogenous isoforms are common in parvalbumin (Lapteva et
 5651 al., 2013), allergic immune responses may be elicited by a wide repertoire of molecular forms of the
 5652 same allergen (Lapteva et al., 2013). Certain species of fish (e.g. African catfish) display up to eleven
 5653 parvalbumin isoforms (Huriaux et al., 2002). Further, parvalbumins have a predisposition for intrinsic
 5654 disorder (Permyakov et al., 2008) which may contribute to their allergenicity (Xue et al., 2011).

5655 There is evidence that the allergenicity of different fish species may differ to some extent, with e.g.
 5656 hake and cod reportedly being among the more allergenic, and albacore tuna being among the less
 5657 allergenic (Bernhisel-Broadbent et al., 1992; Pascual et al., 1992; Pascual et al., 2008). This is in part
 5658 due to the different levels of expression of parvalbumin in different fish species (Kuehn et al., 2009).
 5659 Further, parvalbumin content differs in various locations within the whole fish, decreasing in the

5660 anterior to posterior direction (Lee et al., 2012), and white fish muscle expresses higher parvalbumin
5661 levels than dark fish muscle (Lim et al., 2005; Kobayashi et al., 2006).

5662 **20.3.1.2. Collagen**

5663 Collagen is ubiquitously found as an extracellular matrix protein in animals. Native collagen is
5664 composed of three homo or hetero α -chains twisted together to form a triple helix, and is insoluble in
5665 water at low temperature. If collagen is denatured, each α -chain is released from the triple helix, and
5666 the denatured form of collagen, i.e. gelatine, is water-soluble. Fish skin from several fish species such
5667 as cod, pollock, tuna and salmon is used for the preparation of fish gelatine (Taylor et al., 2004). Some
5668 muscle tissue is likely to adhere to the skin used for collagen preparation (Koppelman et al., 2012).
5669 Isinglass is derived from the swim bladder of certain fish and consists predominantly of collagen.

5670 Although fish collagen has been proposed to be an allergen based on IgE-binding studies and two
5671 clinical case reports (Sakaguchi et al., 1999; Sakaguchi et al., 2000; Hamada et al., 2001; Kuehn et al.,
5672 2009; Liu et al., 2012b), data from two DBPCFCs studies in fish-allergic patients (Andre et al., 2003;
5673 Hansen et al., 2004) suggest that its clinical importance is very limited. Thus, whereas fish collagen is
5674 a sensitiser, its ability to trigger allergic reactions is uncertain, in contrast to mammalian collagen,
5675 which can cause severe allergic reactions. Mammalian and fish collagens do not crossreact (Hamada et
5676 al., 2001).

5677 **20.3.1.3. Tropomyosin**

5678 Tropomyosin is a major allergen in crustaceans and molluscs, but has only been described as an
5679 allergen in one fish i.e. tilapia (*Oreochromis mossambicus*) (Liu et al., 2013).

5680 **20.3.1.4. Enolase and aldolase**

5681 β -enolase and aldolase are enzymes which have been described as allergens of clinical relevance in
5682 e.g. codfish, salmon and tuna (Hajeb and Selamat, 2012; Liu et al., 2012b; Kuehn et al., 2013; Sharp
5683 and Lopata, 2013; Tomm et al., 2013).

5684 **20.3.2. Fish roe allergens**

5685 Some clinical reports on anaphylactic reactions and local symptoms to caviar and roe are well
5686 documented, but no published DBPCFC studies on fish roe or caviar are available. The reports
5687 consistently suggest that roe allergens are different from fish meat allergens because fish roe allergic
5688 patients were often not allergic to fish meat. Several authors have identified vitellogenin (fragments)
5689 as allergens in fish roe (Untersmayr et al., 2002; Perez-Gordo et al., 2008; Shimizu et al., 2009). In
5690 one case, the presumed allergen (based on immunoblotting) showed homology with α -S1-casein from
5691 cow's milk (Chen et al., 2009).

5692 **20.4. Cross-reactivities**

5693 **20.4.1. Cross-reactivity among fish species**

5694 **20.4.1.1. Fish meat and skin allergens**

5695 Parvalbumin is responsible for most of the extensive cross-reactivity among fish species. However,
5696 owing to the broad repertoire of molecular forms of parvalbumin and the small role of other allergens,
5697 patterns of serological and clinical cross-reactivity are difficult to predict (Hansen et al., 1997;
5698 Helbling et al., 1999; Van Do et al., 2005). Extensive serological and clinical cross-reactivity has been
5699 observed among closely related as well as among more distant fish species (Bernhisel-Broadbent et al.,
5700 1992; Pascual et al., 1992; Hamada et al., 2003). It has been reported that about 50 % of individuals
5701 allergic to one type of fish will react to a second fish species, and that up to 40 % of patients sensitised
5702 to one or more species of fish do not present symptoms when consuming some other species (Torres
5703 Borrego et al., 2003). Fishes in the *Scombroideae* family, which includes tuna, appear to be the best
5704 tolerated.

5705 Sera from eight patients clinically allergic to codfish showed cross-reactivity with cod, mackerel,
 5706 herring and plaice. Clinical cross-reactivity was verified by DBPCFC (Hansen et al., 1997). Extensive
 5707 cross-reactivity among 17 fish species as determined by SPT, RAST, and clinical history was also
 5708 observed in fish allergic patients (de Martino et al., 1990). SPT, serological studies and DBPCFC in
 5709 nine subjects with clinical symptoms attributed to fish allergy confirmed the broad serological and
 5710 clinical cross-reactivity among fishes, but also showed that individuals with high specific IgE values
 5711 to some fish species can have low or undetectable levels of specific IgE to other fishes (Helbling et al.,
 5712 1999).

5713 Bernhisel-Broadbent Bernhisel-Broadbent et al. (1992) studied clinical cross-reactivity to 10 fish
 5714 species in 11 patients, each of whom was challenged with four to six fish species. Oral challenges
 5715 were positive to one fish only in seven patients, to two fishes in one patient, and to three fishes in two
 5716 patients, suggesting that most fish allergic patients are able to eat one or more fish species without
 5717 symptoms. However, cross-reactivity among fishes is so broad and unpredictable that fish allergic
 5718 subjects should be advised to avoid all fish species until a fish species has been proven safe by food
 5719 challenge (Helbling et al., 1999).

5720 The variation in cross-reactivity among individuals can be explained by differences between IgE-
 5721 binding parvalbumin epitopes in different fish species, combined with different allergen and epitope
 5722 preferences by different individuals. There are only two case reports describing cross-reactivity
 5723 between fish species (bluefin tuna and marlin; pangasius and tilapia) without parvalbumin being
 5724 involved (Kondo et al., 2006; Ebo et al., 2010a). Collagen is assumed to play a role in cross-
 5725 sensitisation between fishes (Kuehn et al., 2013), but there are no studies specifically addressing this
 5726 question. Cases with apparent monosensitisation to one fish species or group of fish have been
 5727 described, e.g. to swordfish, to tuna, to pollock, to codfish, to tropical sole, and to salmonid fishes
 5728 (Mata et al., 1994; Kelso et al., 1996; Galland et al., 1998; Asero et al., 1999; Yamada et al., 1999;
 5729 Kuehn et al., 2011), suggesting that some allergens may be species specific (e.g. specific for yellowfin
 5730 tuna as compared to albacore tuna) (Yamada et al., 1999).

5731 20.4.1.2. Fish roe

5732 Different patterns of serological cross-reactivity against roe from different fish species (e.g. salmon,
 5733 cod, trout, hake, herring, pollock) have been observed in various studies, consistent with the fact that
 5734 different individuals show different allergen and epitope preferences (Makinen-Kiljunen et al., 2003;
 5735 Escudero et al., 2007; Shimizu et al., 2009). However, broad cross-reactivity between roe from
 5736 different species appears to be common (Shimizu et al., 2009).

5737 20.4.2. Cross-reactivity between fish and other species

5738 20.4.2.1. Fish meat and skin allergens

5739 Allergenicity in fish is found with β -parvalbumins, while in frog and chicken it is associated with α -
 5740 parvalbumins. However, both forms of parvalbumin appear to be present in all species mentioned.
 5741 Some antibodies react with both α - and β -parvalbumins, and rare cases of cross-allergy between fish
 5742 and chicken (Gonzalez-de-Olano et al., 2012) and between fish and frog (Hamada et al., 2004; Hilger
 5743 et al., 2004) have been reported, claimed to be caused by cross-reactivity between fish β -parvalbumin
 5744 and chicken and frog α -parvalbumins, respectively.

5745 There is no IgE cross-reactivity between fish and mammalian collagens (Hamada et al., 2001), and no
 5746 cross-reactivity between fish and bovine or porcine gelatines has been demonstrated (Sakaguchi
 5747 (Sakaguchi et al., 1999; Andre et al., 2003).

5748 There is no information on whether tilapia fish tropomyosin cross-reacts with tropomyosins of other
 5749 species.

5750 20.4.2.2. Fish roe allergens

5751 IgE cross-reactivity between salmon roe and the phylogenetically distant sea urchin roe has been
5752 reported (Kondo et al., 2011). Vitellogenin, identified as an allergen in fish roe, is also an allergen in
5753 chicken's egg (Gal d 6), but there is no IgE cross-reactivity between fish roe and chicken's egg
5754 (Koyama et al., 2006; Kondo et al., 2011).

5755 **20.5. Possible effects of food processing on allergenicity**

5756 **20.5.1. Heat and other food treatments**

5757 Parvalbumin is resistant to boiling and other high temperature processing. It has “sequential” epitopes
5758 which keep their IgE-binding capacity and allergenicity after heating at 100 °C for 10 min, and after
5759 digestion with proteolytic enzymes or denaturation with chemicals (Elsayed and Aas, 1971; Elsayed
5760 and Apold, 1983). The Ca⁺⁺-binding region is an IgE-epitope (Declercq et al., 1991) and is highly
5761 stabilised by calcium binding (Bugajska-Schretter et al., 2000).

5762 Using sera from fish allergic patients, IgE-binding to fresh and processed (smoked, salted/sugar-cured,
5763 canned, lye-treated and fermented) cod, haddock, salmon, trout, tuna, mackerel and herring, and to
5764 hydrolysates based on salmon and whiting, was investigated using immunoblot and inhibition ELISA.
5765 The various treatments often, but not consistently, reduced IgE-binding, whereas some treatments and
5766 some sera also showed increased IgE-binding (Sletten et al., 2010).

5767 Bigeye tuna collagen was found to be very thermostable as to its IgE-binding capacity (Hamada et al.,
5768 2001). Even when denatured to gelatine by heating in a boiling water bath for 120 minutes, the bigeye
5769 tuna collagen retained 90 % of its original binding capacity for specific IgE.

5770 A number of other fish allergens are temperature-sensitive, e.g. some enzymes. Although IgE-binding
5771 proteins were observed for cooked or canned tuna, the biologic function was absent when tested in the
5772 histamine release assay. This may explain why cooked or canned fish (e.g. salmon, tuna) may be
5773 tolerated by individuals who react to undercooked or raw fish (Bernhisel-Broadbent et al., 1992). It
5774 should be noted that some patients appear to react to cooked fish but not to raw fish (Prausnitz and
5775 Küstner, 1921).

5776 Glycosylation of parvalbumin appears to increase IgE binding without affecting digestibility, and thus
5777 food processing under certain conditions may increase the allergenicity of parvalbumin (de Jongh et
5778 al., 2013).

5779 In conclusion, heat treatment during food preparation and some other types of traditional food
5780 processing may reduce IgE-binding capacity in some cases, but do not represent a reliable method to
5781 render fish less allergenic.

5782 **20.5.2. Highly processed and novel fish-based products**

5783 **20.5.2.1. Surimi**

5784 Surimi is a product made of minced and thoroughly washed fish meat which is cooked only briefly at
5785 low temperature (Musmand et al., 1996). It has numerous applications in food industry. It can be made
5786 from one (e.g. cod, Alaskan pollock, mackerel) or more fish species and retains much of its
5787 allergenicity after processing (Helbling et al., 1992; Mata et al., 1994; Musmand et al., 1996), 1992).
5788 In one study (Mata et al., 1994) a 63 kDa protein in codfish was the single allergenic protein detected
5789 in surimi, whereas the 13 kDa parvalbumin was presumably washed out during surimi preparation.
5790 Allergy to surimi has been verified by DBPCFC in a patient who reacted to 1 g of surimi (Musmand et
5791 al., 1996).

5792 20.5.2.2. Ice-structuring proteins

5793 Ice-structuring proteins (ISP) are naturally occurring proteins that bind to ice and structure ice crystal
 5794 formation. Their function is to help protecting organisms in cold habitats from ice crystal damage. ISP
 5795 can be isolated from fish living in or near Arctic waters, they have been produced using recombinant
 5796 baker's yeast, and are a novel food ingredient with a number of commercial applications in the food
 5797 industry. ISP preparations did not bind specific IgE to fish, and other evidence for allergenicity has not
 5798 been found (Baderschneider et al., 2002; Bindslev-Jensen et al., 2003; Crevel et al., 2007).

5799 20.5.2.3. Fish oils

5800 Fish oils might contain fish allergens. However, no analytical studies are available and only one
 5801 clinical study has been published. Six subjects (23 to 64 years, three female) who reported fish allergy
 5802 (throat constriction, urticaria and angioedema) and had a positive SPT to at least three of six common
 5803 fish species showed negative SPT to undiluted, unfiltered liquid extracted from softgel fish oil
 5804 supplement capsules of two different brands (Mark et al., 2008). An oral challenge with one 1 000 mg
 5805 capsule of each supplement (one hour apart) did not induce subjective or objective symptoms.

5806 20.5.2.4. Fish gelatine and isinglass

5807 Fish gelatine is derived from the skin of several fish species. After thorough washings to remove
 5808 remaining muscle tissue, bones, salts and off-flavours, the skin is subjected to heating, acidic
 5809 extraction and acidic and/or enzymatic hydrolysis. Fish gelatine is mostly used by dairy,
 5810 confectionery, and pharmaceutical industries. Both fish gelatine and isinglass are widely used as fining
 5811 agents in the production of beverages, such as wine and beer. Icinglass is derived from the swim
 5812 bladders of fish by washing and cleaning, conditioning in hot water, removing muscle layers and
 5813 blood vessels, and treating with hydrogen peroxide.

5814 Fish gelatine and isinglass generally contain 80-95 % of collagen and related peptides. Depending on
 5815 the degree of hydrolysis, they may contain molecules ranging from native collagens (MWs > 117 kDa)
 5816 to short peptides (MWs < 40 kDa). Icinglass particles can be removed from the wine or beer by
 5817 sedimentation and/or filtration. Low levels of parvalbumin have been reported in isinglass but not in
 5818 gelatine (Weber et al., 2009b).

5819 Fish gelatine-specific IgE antibodies have been identified in fish allergic patients (Sakaguchi et al.,
 5820 2000). No adverse reactions were observed in two independent DBPCFC studies (Andre et al., 2003;
 5821 Hansen et al., 2004) with gelatine derived from tuna and codfish. Neither research group observed
 5822 allergic reactions of clinical relevance in a total of 33 fish allergic patients tested with a cumulative
 5823 dose of 5 g fish gelatine (three patients) or with 14.6 g of fish gelatine (30 patients), respectively,
 5824 although very few of the patients tested had specific IgE to collagen.

5825 **20.6. Detection of allergens and allergenic ingredients in food**

5826 Fish parvalbumins are abundant and stable proteins in fish meat. However, the measurement of fish
 5827 parvalbumin concentrations in foods is complicated by the fact that parvalbumins show differences in
 5828 different fish species and consequently IgG antibodies raised against them will show different binding
 5829 patterns (Lee et al., 2011).

5830 Immunological methods (mainly ELISA), mass spectrometry and PCR techniques have been used to
 5831 detect parvalbumin in foods.

5832 **20.6.1. ELISA**

5833 A specific sandwich ELISA for the quantitative determination of fish using a polyclonal rabbit anti-
 5834 cod parvalbumin antibody for capture and detection (Faeste and Plassen, 2008) was reported, with a
 5835 LOD of 0.01 mg parvalbumin/kg food (or 5 mg fish/kg food). The recovery was very variable, ranging
 5836 from > 50 % for nine fish species down to < 1 % for some others.

5837 Purified parvalbumins from several fish species were used to develop a competitive indirect ELISA
5838 based on commercial PARV-19 anti-parvalbumin antibodies raised against frog muscle parvalbumin.
5839 The method allowed to detect all the parvalbumins investigated within a range of 0.1-0.5 mg/L (Weber
5840 et al., 2009b). ELISA methods to detect the presence of parvalbumin in fish gelatine and isinglass
5841 have been described (Lifrani et al., 2009; Weber et al., 2010; Koppelman et al., 2012) and used to
5842 detect fining agent residues in wine.

5843 A sandwich ELISA for the determination of fish protein in processed foods used a polyclonal antibody
5844 raised against Pacific mackerel parvalbumin (Shibahara et al., 2013). The LOD was estimated to be
5845 0.23 mg fish protein/kg food and the LOQ was 0.70 mg fish protein/kg food. The method was
5846 validated in inter-laboratory tests with a good recovery (69.4-84.8 %) and sufficient sensitivity and
5847 specificity. It could measure fish protein in 18 of the 21 processed foods tested, but not in fermented
5848 foods, where parvalbumin can be highly degraded to peptides.

5849 Different types of antibodies have been used to develop ELISAs which show varying specificities for
5850 different fish species. Among three anti-parvalbumin IgG antibodies, a polyclonal anti-cod
5851 parvalbumin antibody, and the commercially available monoclonal anti-frog and monoclonal anti-carp
5852 antibodies, the polyclonal anti-cod parvalbumin antibody showed reactivity to the widest range of fish
5853 species (Lee et al., 2011). In general, polyclonal antibodies are more suitable than monoclonal
5854 antibodies to detect different fish species in processed foods.

5855 ELISA methods seem to better recognise conformational epitopes than linear epitopes. Parvalbumin
5856 being a Ca^{+2} binding protein, Ca^{+2} depletion in the assay buffer may considerably change the
5857 conformation of the protein, thus decreasing the sensitivity of ELISA assays.

5858 Polyclonal antibodies have also been raised against fish collagen and a sensitive indirect ELISA for
5859 the detection of fish gelatine and isinglass has been described (Weber et al., 2010). The LOD was
5860 $\leq 0.11 \text{ mg/mL}$.

5861 **20.6.2. Mass spectrometry**

5862 A method based on mass spectrometry for the rapid and direct detection of fish parvalbumin in food
5863 products has been described (Carrera et al., 2012). Parvalbumin is extracted, purified and digested by
5864 trypsin in High Intensity Focused Ultrasound (HIFU) equipment. Nineteen selected peptide
5865 biomarkers are separated by LC and monitored by Selected MS/MS Ion Monitoring (SMIM) in a
5866 linear ion trap mass spectrometer. The method was applied to several processed foods and allowed the
5867 identification of parvalbumin unequivocally, although no quantification has been provided.

5868 **20.6.3. PCR**

5869 PCR-based assays have been developed to detect fish DNA from different species. DNA is present in
5870 all tissues, stable at high temperature, and allows differentiation of closely related fish species.

5871 A comparative study of methods for the extraction of DNA from fish muscle which utilise different
5872 principles of separation reported high variability in the extraction efficiency (Cawthorn et al., 2011).
5873 One method consistently extracted DNA with the highest yield from all fish species tested, but DNA
5874 purity was satisfactory for only 50 % of the extracts. Conversely, the method with the lowest yield
5875 provided the highest DNA purity.

5876 Parvalbumin DNA of Pacific mackerel (*Scomber japonicus*) has been detected in food by conventional
5877 PCR (Choi and Hong, 2007). Parvalbumin DNA has been detected in different tissues of sturgeon
5878 species (*Acipenser*) by reverse-transcription (RT)-PCR (Rehbein and Lopata, 2011). Conventional
5879 PCR methods have also been set for the specific detection of salmonoid fish (Ishizaki et al., 2012) and
5880 Atlantic herring (Rencova et al., 2013) in processed foods, with LODs of 0.02 fg DNA/ μL
5881 (corresponding to 10 DNA copies) and of 10 pg DNA/ μL , respectively.

5882 A real-time PCR assay (Sun et al., 2009) detects parvalbumin DNA from several fish species with a
5883 LOD of 5 pg DNA, but it is not species specific. An assay which identifies eight fish species in food
5884 was developed by using PCR for amplification of fish parvalbumin introns and the multianalyte
5885 profiling (xMAPTM) technology with probes targeting species-specific sequences (Hildebrandt, 2010).
5886 The LODs for the eight fish species ranged from 0.01 % to 0.04 %. The assay showed no cross-
5887 reactivity with other species.

5888 **20.7. Minimal (observed) eliciting doses**

5889 In a DBPCFC (Hansen and Bindslev-Jensen, 1992), the minimum amount of codfish needed to elicit a
5890 reaction (starting at 5 mg and going up to 5 g) was 6 mg. The lowest eliciting doses of fish in
5891 DBPCFCs from various investigators ranged between 5 mg (cod, herring) and 500 mg (mackerel) or
5892 600 mg (plaice) (Taylor et al., 2002). In a DBPCFC (Untersmayr et al., 2007), adverse reactions to
5893 codfish protein with cumulative doses ranging between 1.11 mg and 3.11 mg of fish protein for fish
5894 preparations digested at pH 3.0 were reported.

5895 The Panel notes that MEDs reported in DBPCFCs range from 5mg to 600mg of fish depending on the
5896 study and the fish species tested, and from 1.11 mg to 3.11 mg of fish protein. Few data are available
5897 on the doses which may trigger allergic reactions in highly sensitive patients, who are often excluded
5898 from challenge tests but tend to react to lower doses than patients with mild symptoms.

5899 **20.8. Conclusion**

5900 Fish is widely consumed in all European countries and is among the foods most commonly triggering
5901 IgE-mediated allergic reactions, which can be severe and occasionally fatal. Fish meat is one of the
5902 foods most commonly triggering severe anaphylaxis. Prevalence of well documented fish allergy in
5903 the general population is well below 1 %. The major fish allergens are well characterised and heat-
5904 resistant. Parvalbumin is responsible for most of the extensive and unpredictable cross-reactivity
5905 among fish species. ELISA and MS, as well as PCR techniques, have been used successfully to detect
5906 parvalbumins and DNA belonging to different fish species in foods. The minimal doses observed to
5907 elicit a clinical reaction were 5 mg of fish and 1.1 mg of fish protein. Few data are available on the
5908 doses which may trigger allergic reactions in highly sensitive patients.

5909 **21. Allergy to crustaceans**

5910 **21.1. Background**

5911 Crustaceans are common food in all European countries. Few accurate consumption data are available,
5912 but seafood intake in general is considered to vary considerably between different regions, depending
5913 on local traditions and supplies. Similar to fish, the route of exposure for crustaceans appears to
5914 determine whether food allergy, skin allergy or respiratory allergy develops. In some food processing
5915 workplaces, respiratory allergy to crustaceans has been a considerable problem owing to inhaled
5916 allergen (Lopata and Jeebhay, 2013).

5917 Decapod crustaceans (e.g. shrimp, prawn, crab and lobster) are of main interest as allergenic foods.
5918 However, some non-decapod crustaceans like krill, mantis shrimp and barnacles have recently been
5919 reported to be allergenic and may become more important because of food trends. Krill is mainly
5920 consumed as unrefined oil in Europe, whereas in e.g. Russia, Japan and Korea, krill is used also in
5921 various processed foodstuffs.

- 5922 **21.2. Epidemiology**
- 5923 **21.2.1. Prevalence**
- 5924 21.2.1.1. Europe
- 5925 Studies reporting on the prevalence of allergy to crustaceans in the general (unselected) European
5926 population are scarce.
- 5927 In the few studies available, the prevalence of self-reported crustacean-related adverse reactions to
5928 food in children ranged from 0.1 % and 0.3 % in Greece (Zannikos et al., 2008) and the UK (Pereira et
5929 al., 2005) to 5.5 % in France (Touraine et al., 2002). Figures reported from the Netherlands (Brugman
5930 et al., 1998), Sweden and Iceland (Kristjansson et al., 1999) were within that range (0.7-1.5 %).
5931 Prevalence of self-reported allergy to shrimps was 0.5 % in 2-14 year old Finch children (Rancé et al.,
5932 2005).
- 5933 In adults, estimated sensitisation rates to crab in Germany (Schafer et al., 2001) based on positive
5934 SPTs were similar to those reported in Hungary (Bakos et al., 2006) based on specific IgE testing
5935 (1.9 % and 1.8 %, respectively).
- 5936 Prevalence rates of allergy to crustaceans based on clinical history and positive SPT in the German
5937 general population were much lower (0.2 %) (Zuberbier et al., 2004). Only one study conducted in
5938 Denmark reported challenge proven prevalence data for shrimp allergy, which ranged from zero in
5939 subjects < 22 years to 0.3 % in subjects > 22 years (Osterballe et al., 2005).
- 5940 21.2.1.2. Outside Europe
- 5941 Most prevalence data available from Western countries outside Europe have been collected using
5942 questionnaire-based methods (self-reported, physician's diagnosis) and do not relate to crustaceans
5943 specifically but rather to "seafood" or "shellfish". In Canada, rates of total self-reported allergic
5944 reactions to shellfish among children were about 0.5 %, and 0.1 % when diagnosis was made by a
5945 clinician (Ben-Shoshan et al., 2010). Self-reported allergic reactions to shellfish in adults varied in the
5946 US, ranging from 1.7 % (Vierk et al., 2007) to 9 % (Greenhawt et al., 2009). In Australia, self-reported
5947 allergic reactions to shrimp were 3.3 % (Woods et al., 2002).
- 5948 Higher rates of self-reported allergic reactions were observed in some Asian countries, both for adults
5949 (24.5 %; China) (Sai et al., 2011) and children (8.7 % and 11.6 %, respectively; Philippines) (Connell
5950 et al., 2012). However, the only study which used OFC to confirm diagnosis reported a prevalence of
5951 clinical allergy to crab and shrimp of 0.2 % and 0.9 %, respectively, among 3-7 year olds in Thailand
5952 (Lao-araya and Trakultivakorn, 2011).
- 5953 **21.2.2. Natural history**
- 5954 There are no data available regarding the natural history of crustacean allergy, apart from a report
5955 suggesting that shrimp sensitisation decreases with age (Ayuso et al., 2010).
- 5956 **21.2.3. Time trends**
- 5957 The only information available on time trends comes from China and relates to the prevalence of
5958 sensitisation to shrimp in infants and young children, which was zero and 0.3 % in 1999 and 2009,
5959 respectively (Hu et al., 2010).
- 5960 The data available are insufficient to conclude on time trends regarding the prevalence of allergy to
5961 crustaceans in Europe.

5962 **21.2.4. Severe reactions/anaphylaxis**

5963 Crustacean allergy was early recognised to cause severe allergic reactions and occasionally fatal
5964 anaphylaxis (Yunginger et al., 1988; Bock et al., 2001). In a multicentre study of anaphylactic
5965 reactions to food (Moneret-Vautrin and Kanny, 1995), crustaceans accounted for 11 % (9/81) of cases.
5966 Crustaceans were incriminated on the basis of clinical history, SPT and serum specific IgE in 17 % of
5967 60 cases of severe, near-fatal reactions in another study (Andre et al., 1994).

5968 **21.2.5. Factors affecting prevalence of crustacean allergy**

5969 Allergy to crustaceans mostly affects the adult population (Crespo and Rodriguez, 2003; Skypala,
5970 2011). Nevertheless, shellfish (crustaceans and molluscs) appears to be responsible for a majority of
5971 emergency department visits for food allergy also in children ≥ 6 years of age in the US, and
5972 represents a significant cause of allergic reactions in children one to six years of age (Ayuso et al.,
5973 2009).

5974 Crustacean allergic children show higher levels of specific IgE to shrimp allergens and a broader
5975 epitope repertoire than adults, indicating a higher IgE reactivity (Ayuso et al., 2010). It has been
5976 suggested that children tend to react more to raw crustaceans and adults to boiled crustaceans (Ayuso
5977 et al., 2010), and that children more frequently react to allergens like sarcoplasmic calcium-binding
5978 protein (SCBP) and myosin light chain (MLC) than adults (Ayuso et al., 2008; Ayuso et al., 2009).

5979 Sensitisation to crustaceans appears to be influenced by sensitisation to molluscs and non-molluscan
5980 invertebrates, like mites, cockroaches and the fish parasite *Anisakis*, and allergy to crustaceans may
5981 sometimes be secondary to allergy to cross-reactive organisms (Ayuso et al., 2008).

5982 **21.3. Identified allergens**

5983 **21.3.1. Decapod crustaceans**

5984 Decapod crustaceans are of main interest as allergenic foods. The Allergome database lists 375
5985 crustacean allergens (isoallergens included), whereas only 30 allergens (plus 33 isoallergens) are listed
5986 in the IUIS database (Table 22).

5987 Crustaceans contain many IgE-binding proteins. Raw black tiger prawn and king prawn showed 14
5988 and 11 IgE-binding proteins, respectively (Sahabudin et al., 2011), while giant freshwater prawn also
5989 had 11 (Yadzir et al., 2012). Some of these proteins, e.g. sarcoplasmic calcium-binding protein
5990 (SCBP), were found able to activate basophils even more than tropomyosin (Ayuso et al., 2009).
5991 Although the clinical relevance of most IgE-binding proteins is uncertain, some crustacean allergic
5992 individuals only show IgE-binding to non-tropomyosin allergens (Abramovitch et al., 2013).

5993 Several major allergens have been described in crustaceans, including tropomyosin, arginine kinase,
5994 sarcoplasmic calcium-binding protein and myosin light chain 1 and light chain 2 (Ayuso et al., 2008;
5995 Ayuso et al., 2009; Bauermeister et al., 2011; Sahabudin et al., 2011).

5996

5997

Table 22: Crustacean (*Animalia arthropoda*) allergens

Biochemical name	Allergen	Common name	Scientific name	Molecular weight ^{a,1}
Tropomyosin	Cha f 1	Crab	<i>Charybdis feriatus</i>	34
	Cra c 1	Common Shrimp	<i>Crangon crangon</i>	38 ^b
	Hom a 1	American Lobster	<i>Homarus americanus</i>	-
	Lit v 1	European White Shrimp	<i>Litopenaeus vannamei</i>	36
	Mac r 1	Giant Freshwater Prawn	<i>Macrobrachium Rosenbergii</i>	37 ^b
	Met e 1	Greasyback Shrimp	<i>Metapenaeus ensis</i>	-
	Pan b 1	Northern Red Shrimp	<i>Pandalus borealis</i>	37 ^b
	Pan s 1	Spiny Lobster	<i>Panulirus stimpsoni</i>	34
	Pen a 1	Brown Shrimp	<i>Panulirus stimpsoni</i>	36
	Pen i 1	Indian Shrimp	<i>Penaeus indicus</i>	34
Arginine kinase	Pen m 1	Black Tiger Prawn	<i>Penaeus monodon</i>	38
	Por p 1	Blue Swimmer Crab	<i>Portunus pelagicus</i>	39 ^b
Myosin light chain 2	Cra c 2	Common Shrimp	<i>Crangon crangon</i>	45 ^b
	Lit v 2	European White Shrimp	<i>Litopenaeus vannamei</i>	-
	Pen m 2	Black Tiger Prawn	<i>Penaeus monodon</i>	40
Sarcoplasmic calcium-binding protein, SCBP	Hom a 3	American Lobster	<i>Homarus americanus</i>	23
	Lit v 3	European White Shrimp	<i>Litopenaeus vannamei</i>	20
	Pen m 3	Black Tiger Prawn	<i>Penaeus monodon</i>	-
Troponin C	Cra c 4	Common Shrimp	<i>Crangon crangon</i>	25
	Lit v 4	European White Shrimp	<i>Litopenaeus vannamei</i>	20
	Pen m 4	Black Tiger Prawn	<i>Penaeus monodon</i>	-
	Pon 1 4	Narrow Clawed Crayfish	<i>Pontastacus leptodactylus</i>	24 ^b
Troponin I	Art fr 5	Brine Shrimp	<i>Artemia franciscana</i>	17.5
	Cra c 5	Common Shrimp	<i>Crangon crangon</i>	17.5
Triosephosphate isomerase	Cra c 6	Common Shrimp	<i>Crangon crangon</i>	21
	Hom a 6	American Lobster	<i>Homarus americanus</i>	20
	Pen m 6	Black Tiger Prawn	<i>Penaeus monodon</i>	-
5998	Pon 1 7	Narrow Clawed Crayfish	<i>Pontastacus leptodactylus</i>	30 ^b
	Arc s 8	Shrimp	<i>Archaeopotamobius siberiensis</i>	28 ^b
	Cra c 8	Common Shrimp	<i>Crangon crangon</i>	28

¹Approximate – some variation exist between species; ^aMW (SDS-PAGE); ^bkDa

5999

21.3.1.1. Tropomyosin

Tropomyosin is a well-characterised, major crustacean allergen, which shows high sequence homology (up to 98 %) among crustaceans (Leung and Chu, 1998). Tropomyosin was the first allergen (Pen a 1) identified in shrimp (Hoffman et al., 1981), its IgE-binding epitopes have been identified, and at least 80 % of shrimp allergic subjects react to tropomyosin (EFSA, 2004; Zheng et al., 2011). Monosensitisation to tropomyosin appears to be frequent. In one study, 12 of 31 subjects exhibited detectable specific IgE exclusively to tropomyosin (Bauermeister et al., 2011).

6006

21.3.1.2. Arginine kinase

Arginine kinase (France et al., 1997) is an enzyme that plays a key role in energy metabolism in invertebrates (García-Orozco et al., 2007; Yu et al., 2011). It is a panallergen (Binder et al., 2001), a major allergen in at least some crustaceans, and has also been identified in other invertebrates, such as moth and cockroach (Ayuso et al., 2008).

6011

21.3.1.3. Sarcoplasmic calcium-binding protein

The water-soluble SCBP has been identified as a shrimp allergen (Shiomi et al., 2008; Ayuso et al., 2009; Chen et al., 2013). SCBP functions as an EF-hand calcium-binding protein in invertebrates, analogous to parvalbumin in fish. Like parvalbumin, SCBP shows high polymorphism. All isoforms and subunits of SCBP show IgE-binding capacity (Chen et al., 2013). In some studies SCBP is a major

6016 allergen (Ayuso et al., 2009), and some shrimp allergic individuals are exclusively sensitised to SCBP
 6017 (Bauermeister et al., 2011).

6018 **21.3.1.4. Myosin light chains**

6019 Myosin light chains (MLC) are components of the macromolecular complexes, which constitute
 6020 myosins. The 177-amino acid muscle protein myosin light chain (MLC) is a major allergen in shrimp
 6021 (Ayuso et al., 2008) and has also been identified in other invertebrates. There seems to be independent
 6022 groups of allergenic MLC (Bauermeister et al., 2011), as the sequence identity between two MLC
 6023 proteins studied (light chain 1 and light chain 2) is only 13 % (Ayuso et al., 2008; Bauermeister et al.,
 6024 2011). MLC is an EF-hand calcium-binding protein. In one study, the recombinant protein was
 6025 recognised by serum IgE from 17 out of the 19 shrimp-allergic individuals tested, and was almost the
 6026 only allergen recognised by some shrimp-allergic patients (Ayuso et al., 2008).

6027 **21.3.1.5. Other crustacean allergens**

6028 Troponin C is a novel IgE-binding protein in crustaceans (Bauermeister et al., 2011), which was also
 6029 identified in the cockroach (Bla g 6). Troponin 1 is an allergen also in crayfish, a major allergen of the
 6030 fish parasite *Anisakis* (Ani s 1), and an allergen in the cockroach. Triosephosphate isomerase is a novel
 6031 crustacean allergen (Bauermeister et al., 2011), but members of this protein family had already been
 6032 reported as IgE-binding proteins in fish, midges and various plants.

6033 **21.3.2. Non-decapod crustaceans**

6034 The small shrimp-like krill species Pacific krill (*Euphausia pacifica*) and Antarctic Krill (*Euphausia*
 6035 *superba*) have the allergens Eup p 1 and Eup s 1 listed in the Allergome database, both with one
 6036 variant. These tropomyosins show extensive IgE-binding cross-reactivity with shrimp, crab and lobster
 6037 tropomyosins (Nakano et al., 2008). Krill tropomyosins and mantis shrimp tropomyosin have 82.3-
 6038 89.8 % and > 90 % sequence identity with decapod tropomyosins, respectively (Motoyama et al.,
 6039 2008). Tropomyosin is also the main allergen in acorn barnacle (*Balanus rostratus*) and goose
 6040 barnacle (*Capitulum (Pollicipes) mitella*). These non-decapod crustaceans belong to the class
 6041 *Thecostraca*, which is taxonomically remote from the decapods, but cross-react with decapod
 6042 tropomyosin (Suma et al., 2007).

6043 **21.4. Cross-reactivities**

6044 At least four crustacean allergens are, like the main fish allergen parvalbumin, EF-hand calcium-
 6045 binding proteins, namely SCBP, myosin light chain (MLC), troponin C, and troponin 1. Some
 6046 homologues of these crustacean proteins are allergens in e.g. cockroaches and the fish parasite
 6047 *Anisakis* (Bla g 6, Bla g 8, Ani s 1), and in plants. Cross-reactivity between EF-hand proteins is limited
 6048 to phylogenetically closely related species, suggesting that different families of calcium-binding
 6049 allergens possess specific epitopes (Ayuso et al., 2009).

6050 **21.4.1. Cross-reactivity among crustaceans**

6051 In a crustacean allergic individual, the probability of reacting to another crustacean species has been
 6052 estimated to be 75 % (Torres Borrego et al., 2003). Although tropomyosin is the allergen most
 6053 frequently involved in cross-reactions among crustaceans (Kamath et al., 2013), SCBP shows high
 6054 sequence identity among crustaceans and also contributes to serological cross-reactivity (Ayuso et al.,
 6055 2009; Mita et al., 2013). Cross-reactivities among crustaceans are, however, not always observed,
 6056 possibly because of selective IgE-binding to species-specific epitopes of major allergens, or to minor
 6057 allergens (e.g. haemocyanin) which may be species specific (Piboonpocanun et al., 2011; Abramovitch
 6058 et al., 2013).

6059 **21.4.2. Cross-reactivity between crustaceans and molluscs**

6060 Tropomyosin is important for cross-reactions between crustaceans and molluscs, but tropomyosin
 6061 sequence identity between the two is markedly lower than within crustaceans or within classes of

6062 molluscs, which may explain why cross-reactivity between crustaceans and molluscs is more limited
 6063 than within either crustaceans or classes of molluscs (EFSA, 2005a; Lu et al., 2007; Tsabouri et al.,
 6064 2012; Kamath et al., 2013). The IgE-binding regions of Pen a 1 partly or completely overlap with
 6065 those of Pen i 1 from the shrimp *P. indicus*, Tur c 1 from the snail *T. cornutus* and Cra g 1 from the
 6066 oyster *C. gigas*, supporting the notion that tropomyosin is a major cause of serological and clinical
 6067 cross-reactivity between crustaceans and molluscs (Lehrer and McCants, 1987; Reese et al., 1999;
 6068 Ayuso et al., 2002a). SBCP shows only 14 % identity between shrimp and scallops and does not
 6069 appear to be involved in cross-reactivity between crustaceans and molluscs (Ayuso et al., 2009),
 6070 whereas some other non-tropomyosin allergens may play a role (Boquete et al., 2011).

6071 Cross-reactivity between crustaceans and molluscs is often restricted to a few species. For example,
 6072 there are several reports of individuals clinically reacting to squid and shrimp (Carrillo et al., 1992)
 6073 while tolerating other crustaceans and molluscs. A similar situation is observed with regard to
 6074 serological cross-reactivity. Serological and clinical cross-reactivities between crustaceans (e.g.
 6075 shrimp, lobster and crab) and the mollusc squid (e.g. *Todarodes pacificus*, *Loligo vulgaris*), and
 6076 between crustaceans and oyster, e.g. *Crassostrea gigas* appear to be frequent (EFSA, 2005a).

6077 The extent to which mollusc allergy may be secondary to crustacean allergy, or vice-versa, is
 6078 uncertain. Out of 38 patients with shellfish allergy, 25 were sensitised to crustaceans and molluscs, 12
 6079 to crustaceans only, and one to molluscs only (Laffond Yges, 1996). Further, out of 24 shellfish
 6080 allergic children, 23 were allergic to crustaceans, while 10 were allergic to molluscs (Crespo et al.,
 6081 1995a). Using sera from nine crustacean allergic individuals, IgE-binding to tropomyosin in the
 6082 muscle extract of all 10 molluscs tested was observed (Leung and Chu, 1998). These findings suggest
 6083 that some patients reacting to molluscs could be primarily sensitised to crustaceans, and vice-versa,
 6084 although some cross-inhibition studies suggest dual sensitisation (van Ree et al., 1996b; Goetz and
 6085 Whisman, 2000).

6086 All the mentioned clinical cross-reactivities between crustaceans and molluscs, however, are based on
 6087 case histories, sometimes including elimination diets, and not on DBPCFC.

6088 **21.4.3. Cross-reactivity between crustaceans and non-molluscan invertebrates**

6089 Sensitisation to shrimp tropomyosin may induce allergy to mite and cockroach (Reese et al., 1999),
 6090 whereas IgE reactivity to shrimp has been observed in unexposed populations allergic to mite and/or
 6091 cockroaches (Fernandes et al., 2003). Serological cross-reactivity between crustaceans, mollusc, mite
 6092 and cockroach tropomyosins may cause difficulties in the diagnosis of e.g. shrimp allergy (Shafique et
 6093 al., 2012).

6094 Clinically relevant cross-reactivity between crustacean and house dust mite allergens has been
 6095 described as the “mite-crustacean-mollusc-syndrome” (Witteman et al., 1994; Kütting and Brehler,
 6096 2001). Primary sensitisation is most often against mite. However, allergy to mites or cockroaches
 6097 subsequent to sensitisation to crustaceans has also been described (van Ree et al., 1996b; Ayuso et al.,
 6098 2002a). Shrimp tropomyosin Pen a 1 IgE-binding regions show high sequence homology with
 6099 corresponding regions of Per a 7 (cockroach) and Der p 10 (mite) (60-100 %) (Ayuso et al., 2002a).
 6100 Immunotherapy with mite allergen has been reported to increase the risk for anaphylactic reactions to
 6101 crustaceans (van Ree et al., 1996b; Pajno et al., 2002).

6102 Cases of clinical cross-reactivity between crustaceans (shrimp), molluscs (oysters in the case cited)
 6103 and the fish parasite *Anisakis* (Pascual et al., 1997; Gonzalez Galan et al., 2002) are supported by IgE-
 6104 binding studies (Martínez et al., 1997; Pascual et al., 1997; Asturias et al., 2000) and by tropomyosin
 6105 sequence homology data (Ayuso et al., 2002a; Ivanciu et al., 2002). *Anisakis* also shares other
 6106 allergenic molecules with crustaceans e.g. troponin 1, which may explain cases of apparent cross-
 6107 reactivity between crustaceans and molluscs, and between them and *Anisakis*-infested fish (Torres
 6108 Borrego et al., 2003). Shrimp tropomyosin also cross-reacts with the phylogenetically distant sea
 6109 urchin roe used in e.g. sushi (Pascal et al., 2012).

6110 The involvement of non-tropomyosin allergens in cross-allergy between shellfish and mites is
6111 suggested by a number of case reports. Serum from a man with primary sensitisation to house dust
6112 mites and selective allergy to lobster showed no IgE-binding to tropomyosin, but recognised four
6113 proteins in lobster and two and three allergens in two different mite species (Iparraguirre et al., 2009).
6114 The panallergen arginine kinase (Der p 20 and Pen m 2 in mite and shrimp, respectively) show 78 %
6115 amino acid sequence homology and appear to be involved in cross-reactivity between crustaceans,
6116 molluscs, and mites (Boquete et al., 2011). Myosin light chain 1 (MLC 1) may also be involved in
6117 cross-reactivity between crustaceans and cockroach and possibly mites (Ayuso et al., 2008).

6118 **21.4.4. Cross-reactivity between crustaceans and vertebrates**

6119 In vertebrates, tropomyosin is considered to be non-allergenic (Leung et al., 1996; Restani et al.,
6120 1997), with the exception of the recent description of tropomyosin from the fish tilapia (*Oreochromis*
6121 *mossambicus*) as an allergen (Liu et al., 2013). Extracts of salmon, tuna, trout, pollock and mackerel
6122 failed to significantly inhibit a shrimp RAST (O'Neil et al., 1993), indicating that unique IgE epitopes
6123 are present among crustacean tropomyosins, which may explain the general absence of cross-reactivity
6124 between fish and crustaceans. Similar considerations can be made for the other crustacean allergens,
6125 e.g. EF-hand calcium-binding muscle proteins (Ayuso et al., 2008).

6126 **21.5. Effect of food processing on allergenicity**

6127 **21.5.1. Heat treatment**

6128 The allergenicity of crustacean allergens may be unchanged, increased or decreased after heat
6129 treatment depending on the allergen. One study in giant freshwater prawn showed that, out of the 11
6130 IgE-binding proteins observed in the raw shrimp extract, only 5 could be identified after cooking
6131 (Yadzir et al., 2012).

6132 Crustacean tropomyosin re-folds after heating (Usui et al., 2013) and is heat-resistant (Shanti et al.,
6133 1993; Leung et al., 1994; Crespo et al., 1995b; Samson et al., 2004). Whereas tropomyosin increases
6134 its IgE-binding capacity after boiling (Liu et al., 2010a; Shriver et al., 2011; Kamath et al., 2013),
6135 extracts from boiled shrimp showed reduced IgE-binding, which points to a role of other heat-sensitive
6136 allergens in IgE-binding to the extract. Heating markedly increased IgE-binding of extracts from blue
6137 swimmer crab and black tiger prawn (Abramovitch et al., 2013). The Maillard reaction has been
6138 reported to increase the allergenicity of scallop tropomyosin (Nakamura et al., 2005). How these
6139 findings reflect on clinical allergenicity is unknown.

6140 SCBP has been found stable during thermal and acid/alkali treatment (Chen et al., 2013). In addition,
6141 MLC binds IgE in both raw and cooked shrimp extracts (Ayuso et al., 2008). One potentially
6142 important heat-sensitive allergen is arginine kinase (Yu et al., 2003; García-Orozco et al., 2007).

6143 **21.5.2. Other treatments**

6144 Proteinase digestion reduced the IgE-binding capacity of prawn, shrimp and crab tropomyosins. The
6145 effect of boiling, of combined ultrasounds and boiling, and of high pressure steaming on the
6146 digestibility of crab tropomyosin under simulated gastric and intestinal conditions has also been
6147 investigated (Liu et al., 2010c; Liu et al., 2010b; Yu et al., 2011). High pressure steaming was most
6148 efficient in accelerating digestion and correspondingly reducing IgG/IgE antibody binding.

6149 Pulsed ultraviolet light (PUV), a novel technology most commonly used for microbial inactivation,
6150 has been reported to reduce the allergenicity of some foods. PUV-treated shrimp extracts showed
6151 reduced IgE-binding to tropomyosin (Shriner et al., 2011), and this effect was maintained in extracts
6152 undergoing gastric and intestinal digestion in models intended to mimic real-life conditions (Yang et
6153 al., 2012).

6154 Krill is mainly consumed as unrefined oil, which may contain allergenic proteins. Tropomyosin was
6155 found in samples of krill oil, but not in oil from the small crustacean-like zooplankton *Calanus*

6156 *finmarchius* (found in North Atlantic Ocean, which is similar to krill found in the South Atlantic)
6157 (Vang et al., 2013).

6158 **21.6. Detection of allergens and allergenic ingredients in food**

6159 **21.6.1. Immunological methods**

6160 All ELISA methods described for the detection of crustacean allergens targeted tropomyosin, the most
6161 important allergen present in crustaceans and molluscs. While some immunological methods have
6162 been developed to detect crustaceans and molluscs and are not able to differentiate between these two
6163 types of shellfish, others are specific for crustaceans or even for single crustacean species (mostly
6164 biosensors). Monoclonal antibodies are preferred for targeting a single species, whereas polyclonal
6165 antibodies are more useful for detecting the entire group of crustaceans and/or molluscs.

6166 **21.6.1.1. ELISA**

6167 *Methods of detection specific for crustaceans*

6168 The first method developed was a mAb sandwich ELISA for the quantification of the major shrimp
6169 allergen tropomyosin Pen a 1 (brown shrimp) (*Penaeus aztecus*) (Jeoung et al., 1997). The method is
6170 sensitive (LOD 1ng/mL), reproducible and non cross-reactive with oyster, cockroach or house dust
6171 mites. It is suitable to detect Pen a 1-like molecules in extracts from other crustacean species, such as
6172 crab and lobster, but it was not tested for the detection of other shellfish in cooked foods.

6173 A number of sandwich ELISA assays using polyclonal antibodies have been developed. One against
6174 Western King prawn (*Penaeus latisulcatus*) tropomyosin is able to detect both cooked and raw
6175 crustaceans in foods (Fuller et al., 2006). The method did not show cross-reactivity with molluscs, fish
6176 or mammalian meat. The LOD was about 1 mg/kg (prawn, lobster).

6177 Another sandwich ELISA assay based on a polyclonal anti-tropomyosin capture antibody raised
6178 against shrimp (*Pandalus borealis*) and the biotinylated conjugate of the same antibody for detection
6179 has been validated using spiked samples and commercially available food products (Werner et al.,
6180 2007). It is specific for crustaceans, though cross-reacting to some extent with cockroach. The LOD is
6181 1mg/kg.

6182 One sandwich ELISA which used monoclonal and polyclonal antibodies against black tiger prawn
6183 tropomyosin (Seiki et al., 2007) was specific for the Decapoda group, apart from minor cross-
6184 reactivities with other crustacean and molluscs. This method has a good accuracy and precision, with a
6185 LOD of 0.71 ng/mL, corresponding to 0.29 mg of crustacean protein/kg food sample. A similar
6186 sandwich ELISA using a monoclonal antibody against the same tropomyosin showed no cross-
6187 reactivity with molluscs. The LOD was 0.16 mg/kg (Shibahara et al., 2007).

6188 Few commercial test kits are available for the detection of soluble crustacean tropomyosins in foods.
6189 A rapid and sensitive ELISA test kit for the detection of crustacean residues in food samples has been
6190 commercialised as screening method, with a LOQ for tropomyosin of 0.05 mg/kg by using a
6191 microwell reader (Schubert-Ullrich et al., 2009).

6192 *Methods of detection for crustaceans and molluscs*

6193 While the above mentioned ELISA methods are specific for crustaceans, others detect tropomyosin in
6194 crustaceans and molluscs. A commercial ELISA assay based on a tropomyosin specific monoclonal
6195 antibody was tested to detect crustaceans and molluscs, as well as to investigate the impact of heating
6196 on the detection (Abdel Rahman et al., 2013). Tropomyosin was detected in all crustacean species, but
6197 only partially in molluscs. Heating of shellfish increased recognition of multiple tropomyosin variants
6198 in both crustaceans and molluscs. The effect was attributed to the modification of the protein by the

6199 Maillard reaction. A potentially specific antibody targeting the N-terminal region of tropomyosin was
6200 identified to enable the differentiation between crustaceans and molluscs.

6201 A sandwich ELISA based on the monoclonal antibody CE7B2 obtained against an IgE epitope, which
6202 is shared by several shellfish tropomyosins, was developed in order to detect tropomyosin in both
6203 crustaceans and molluscs (Zhang et al., 2014). The mAb CE7B2 reacts to intact tropomyosin, but also
6204 recognises fragmented peptides with a specific IgE epitope sequence, which is shared by a number of
6205 shellfish tropomyosins. The LOD is 0.09 ng/mL for kuruma prawn and 0.64 ng/mL for molluscs and
6206 house dust mite samples.

6207 A lateral flow test for crustaceans and molluscs (including crab, lobster, brown shrimp, tiger prawn,
6208 langoustine, crayfish, scallop, oyster, mussel, cockle, and squid) has been marketed with a LOD
6209 around 5 mg/kg (Schubert-Ullrich et al., 2009).

6210 **21.6.1.2. Protein chip**

6211 A sandwich protein chip assay, which used rabbit antisera as the capture reagent and a biotin-labelled
6212 mAb as detector reagent, was developed to quantify shrimp allergens in food matrices (Zhenxing et
6213 al., 2010). The resulting antigen–antibody complexes were visualised in the presence of streptavidin
6214 labelled with Cy3, which produced a fluorescence signal suitable for quantification. The LOD was
6215 0.054 mg tropomyosin/kg and the LOQ 0.096 mg tropomyosin/kg. The protein chip was cross-reactive
6216 with allergens from other crustaceans.

6217 **21.6.1.3. Biosensors**

6218 A rapid method based on a quartz crystal microbalance immunosensor, which uses a polyclonal
6219 antibody specific to shrimp allergens, was able to detect shrimp allergens with a LOD of 0.333 µg/mL
6220 (Xiulan et al., 2010). The electrode was modified based on self-assembly with 1,6-hexanedithiol
6221 (HDT) and nanogold.

6222 In a cell-based electrochemical biosensor for the quantification of shrimp tropomyosin (Pen a 1) (Jiang
6223 et al., 2013), rat basophilic leukemia (RBL-2H3) mast cells encapsulated in type I collagen were
6224 immobilised on a self-assembled L-cysteine/gold nanoparticle(AuNPsCys)-modified gold electrode
6225 and pre-sensitised by specific anti-shrimp tropomyosin IgE. In the presence of the antigen, mast cells
6226 exhibit morphological changes which indicate degranulation, thus inducing dose-dependent impedance
6227 signals which can be detected by EIS. The impedance value increased with the concentration of
6228 purified tropomyosin, with a LOD of 0.15 µg/mL.

6229 **21.6.2. Mass spectrometry**

6230 Two methods for the characterisation and quantification of tropomyosin and arginine kinase from
6231 snow crab using isotopic labelled mass spectrometry (LC-MS/MS) are available (Abdel Rahman et al.,
6232 2010; Abdel Rahman et al., 2011). A comprehensive proteomic strategy (allergenomics) for
6233 characterising and quantifying allergenic proteins in crustaceans (northern shrimp) has also been
6234 described by the same authors (Abdel Rahman et al., 2013). Tropomyosin, arginine kinase and
6235 sarcoplasmic calcium-binding protein were targeted for quantification. The marker peptides for each
6236 protein were selected and synthesised in the light and heavy form and used in the development of the
6237 AQUA LC-MS/MS (MRM mode) approach. The peptide mixture could be analysed with a
6238 LOD < 0.25 nM. These methods are very selective, reproducible and accurate, and were applied to
6239 measure the level of these allergens in air samples, but not in foods.

6240 **21.6.3. DNA-based methods**

6241 DNA-based methods can discriminate among crustaceans by targeting specific nucleotidic sequences,
6242 while commercially available ELISA kits targeting tropomyosin are generally not able to differentiate
6243 between shrimp and crab species owing to the high homology of the tropomyosins.

6244 Detection and species identification of crustacean DNA was achieved with a PCR restriction fragment
6245 length polymorphism (PCR-RFLP) method (Brzezinski, 2005). A fragment of the 16S rRNA gene in
6246 crustacean species was amplified by PCR and digested with differential restriction endonucleases to
6247 determine the species to which the DNA belongs. The specificity of the method was demonstrated by
6248 analysing shrimp, crab, lobster and crawfish. The LOD was < 0.1 % for shrimp in a raw meat mixture.

6249 A shrimp end point-PCR method with post-amplification digestion and a crab end point-PCR method
6250 that specifically amplifies a fragment of the 16S rRNA gene allowed differentiating between shrimp
6251 and crab (Taguchi et al., 2011). The sensitivity and specificity of these PCR methods were verified by
6252 using incurred foods and commercial food products. Both methods could detect 5 pg of DNA
6253 extracted from the target species and 50 ng of genomic DNA extracted from incurred foods containing
6254 10 mg/kg total protein of shrimp or crab.

6255 A fast (40 min) real-time PCR for crustacean detection in differently processed foods has been
6256 developed using a locked nucleic acid probe (LNA) which, on account of its high affinity, allows
6257 specific recognition of even short DNA sequences (Herrero et al., 2012). No cross-reactivity was
6258 observed with molluscs or fishes, but it did not distinguish among crustacean species. The method was
6259 able to recognise 1.25 pg of crustaceans in highly processed foods.

6260 Two real-time PCR assays aimed to detect penaeid shrimp and blue crab targeting mitochondrial
6261 genes, which could provide more sensitive assays owing to the high copy number (Eischeid et al.,
6262 2013). The assays were tested using shrimp and crab meat spiked into several types of foods at levels
6263 ranging from 0.1 to 106 mg/kg and analysed either raw or cooked. Cooking methods used to simulate
6264 thermal processing of foods had little effect on the assay performance. LODs were between 0.1 and 1
6265 mg/kg.

6266 **21.7. Minimal (observed) eliciting doses**

6267 There is little information on the lowest doses of any crustacean causing a clinical allergic reaction
6268 upon ingestion. In two DBPCFCs, one patient reacted to 14 g of shrimp (Bernstein et al., 1982) and
6269 four reacted to 32 mg of shrimp extract, corresponding to 16 g of shrimps (four shrimps of medium
6270 size) (Daul et al., 1988).

6271 **21.8. Conclusion**

6272 Crustaceans are a common trigger of food-allergic reactions, which are sometimes severe. The most
6273 important major allergen, tropomyosin, is well characterised. Tropomyosin and other crustacean
6274 allergens are heat-resistant. Prevalence of crustacean allergy in unselected populations in Europe has
6275 been estimated to be 0.2-0.3 %, although available studies of clinically confirmed crustacean allergy
6276 are scarce. Immunological methods such as ELISA, protein chips and biosensors, as well as mass
6277 spectrometry and DNA-based methods have been developed for the detection of crustacean allergens
6278 in processed foods. The lowest doses of crustaceans reported to elicit an allergic reaction in the only
6279 two DBPCFC studies available are 14 g of shrimp and 32 mg of shrimp extract, respectively.

6280 **22. Allergy to molluscs**

6281 **22.1. Background**

6282 The *Phylum Mollusca* is second only to the *Phylum Arthropoda* (which includes the class *Crustacea*)
6283 in the number and diversity of species (> 100 000) (Levinton, 2001; Hickman et al., 2004). Molluscs
6284 live in salt water, fresh water and on land, range in size from less than one millimetre to nearly 20
6285 meters (giant octopus), and may weigh up to 900 kg (giant squid, *Architeuthis*). Molluscs are
6286 commonly classified into eight classes, of which three are of particular importance as food, namely
6287 gastropods, bivalves and cephalopods. The largest class, *Gastropoda*, counts more than 70 000 species
6288 (Hickman et al., 2004). A non-exhaustive list of mollusc species relevant in relation to food allergy is
6289 given in Table 23.

6290 **Table 23:** Taxonomic classification of relevant molluscs (*Phylum Molusca*) in the context of food
 6291 allergy¹

Class	Major organisms	Common name	Scientific name
<i>Gastropoda</i>	Abalones	Small abalone Red abalone	<i>Haliotis diversicolor</i> <i>Haliotis rufescens</i> <i>Haliotis rubra</i> <i>Haliotis midae</i> <i>Haliotis discus hannah</i> <i>Patella piperata</i> <i>Patella vulgata</i>
	Limpets	Abalone Northern disk abalone Limpet	<i>Fisurella maxima Sowerby</i> <i>Eupariphia pisana</i> <i>Limax agrestis</i> <i>Eobania vermiculata</i> <i>Cernualla virgata</i> <i>Helix aperta</i> <i>Helix terrestre</i> <i>Helix pomatia</i> <i>Helix aspersa aspersa</i> <i>Helix aspersa maxima</i> <i>Helix lucorum</i>
	Land snails	(terrestrial) Grand keyhole limpet Land snail	<i>Turbo cornutus</i> <i>Hemifusus ternatana</i> <i>Buccinum undatum</i> <i>Neptunea arthritica</i>
<i>Bivalvia</i>	Clams	Clam	<i>Lutraria philipinarum</i> <i>Tapes decussates</i> <i>Tapes japonica</i> <i>Tagelus plebius</i>
	Oysters	Razor clam Pacific oyster Eastern oyster	<i>Crassostrea gigas</i> <i>Crassostrea virginica</i>
	Mussels	Green mussel Blue mussel	<i>Perna viridis</i> <i>Mytilus edulis</i> <i>Mytilus galloprovincialis</i>
	Scallops	Mussel Scallop	<i>Chlamys opercularis</i> <i>Chlamys nipponensis</i> <i>Patinopecten yessoensis</i> <i>Cardium edule</i>
	Cockles	Cockle	
<i>Cephalopoda</i>	Squids	Squid	<i>Torpedodes pacificus</i> <i>Loligo edulis</i> <i>Loligo vulgaris,</i> <i>Loligo japonica,</i> <i>Loligo forbesi</i> <i>Loligo opalescens</i> <i>Loligo pealei</i> <i>Octopus luteus</i> <i>Octopus vulgaris</i> <i>Sepia madokai</i> <i>Sepia latimanus</i> <i>Sepia officinalis</i>

6292 ¹ Adapted from Hickman et al. (2004) and Taylor (2008)

6293 Molluscs are often grouped together with crustaceans under the term “shellfish” in the literature
 6294 dealing with food allergy and food consumption data. However, data on allergy to “shellfish” do not
 6295 provide information about the allergenicity of molluscs and thus have not been considered in this
 6296 section.

6297 Molluscs are a common food in most European countries, although consumption data are sparse.
 6298 Whereas their use as added ingredients appears to be limited, they can be found in some processed
 6299 foods, like soups and sauces, and in products like surimi.

6300 **22.2. Epidemiology**

6301 **22.2.1. Prevalence**

6302 Data on the prevalence of mollusc allergy should be interpreted with particular caution for a number of
 6303 reasons. First, adverse reactions to molluscs caused by infectious agents or toxins (e.g. algal toxins in
 6304 blue mussels), in particular gastroenteritis-like symptoms (Myrmel et al., 2004; Hungerford, 2005),
 6305 may lead to overestimation of the prevalence of mollusc allergy in studies using questionnaire-based
 6306 methods. Second, the serological cross-reactivity between molluscs and crustaceans, mites, insects and
 6307 helminths, may lead to overestimation of sensitisation to molluscs. Third, mollusc species often do not
 6308 cross-react with each other, and thus the absence of a reaction to the one or few molluscs species used
 6309 for testing in clinical studies does not exclude sensitisation or clinical allergy to other mollusc species,
 6310 leading to an underestimation of the prevalence of mollusc allergy in these studies.

6311 No prevalence studies using food challenges to confirm allergy to molluscs are available.

6312 22.2.1.1. Europe

6313 Data on the prevalence of mollusc allergy in unselected European populations are scarce.

6314 In a questionnaire-based survey among children (2716 responders) in France (Rancé et al., 2005), two
 6315 children (0.8 % of cases) reported allergy to mussels, one to snails and one to oysters (0.4 % of cases
 6316 each), giving a prevalence of self-reported mollusc allergy of about 0.15 % (4/2716). In another
 6317 French study (Touraine et al., 2002), 1.5 % of 5-17 year olds reported an allergy to oysters. In
 6318 Denmark, 0.4 % of 22 year olds (n=843) reported allergy to octopus, with 0.1 % confirmed by food
 6319 challenge (Osterballe et al., 2009). One study (Zuberbier et al., 2004) was based on positive SPT and a
 6320 convincing clinical history and reported zero prevalence of mussel allergy in adults (n = 4093) in
 6321 Germany.

6322 Prevalence data of mollusc allergy in food allergic patients are more abundant, but are only indicative
 6323 of the relative importance of molluscs as a trigger of allergic reactions to food. In Gran Canaria, Spain,
 6324 33 (27.5 %) out of 120 food allergic subjects reported adverse reactions to squid (second most
 6325 common allergenic food after shrimp), 12 (10 %) to oyster, 10 (8 %) to clam, and 10 (8 %) to mussel
 6326 (Castillo et al., 1996). Also in Spain, molluscs caused 1.6 % of 608 allergic reactions in 355 children
 6327 based on clinical history, SPT and specific IgE (Crespo et al., 1995a).

6328 Among 163 severe food allergic reactions reported by allergologists in France in 2001, six (3.8 %)
 6329 were caused by molluscs (Moneret-Vautrin et al., 2002a). In a questionnaire-based study among 1139
 6330 subjects with food hypersensitivity in Baltic countries, 6.2 % indicated allergic reactions to clam,
 6331 3.2 % to oyster and 1.4 % to snail, but < 50 % of the subjects had ever eaten clam, oyster or snail
 6332 (Eriksson et al., 2004).

6333 22.2.1.2. Outside Europe

6334 In a random cross-sectional telephone survey on the prevalence of seafood allergy in the United States
 6335 (Sicherer et al., 2004), 14 948 individuals completed the survey. Sixty-seven persons reported
 6336 reactions to scallops, clams, oysters, or mussels, representing about 0.4 % of the study population
 6337 (67/14 948) and 20 % of all doctor-diagnosed or “convincing” seafood allergy.

6338 In Thailand, self-reported mollusc allergy was 0.2 % in 3-7 year olds (Lao-araya and Trakultivakorn,
 6339 2011). In Taiwan, mollusc allergy defined by a clinician’s diagnosis varied from 0.1 % in under 3 year
 6340 olds to 1.5 % in adults (Wu et al., 2012).

6341 **22.2.2. Natural history**

6342 There are no data available regarding the natural history of mollusc allergy.

6343 **22.2.3. Time trends**

6344 There are no studies available, which allow investigating time trends in mollusc allergy.

6345 **22.2.4. Severe reactions/anaphylaxis**

6346 Anaphylactic reactions and death have been reported in mollusc-allergic patients, including cases of
 6347 food-dependent exercise-induced anaphylaxis (EFSA, 2005a). Of the 107 fatal or near-fatal reactions
 6348 to food reported by the Allergy Vigilance Network for 2002 mainly in France, five were to snails
 6349 (4.7 % of all reactions) (Moneret-Vautrin et al., 2004). In a report on 67 consecutive cases of
 6350 anaphylaxis from an immunology/allergy centre in Singapore (Thong et al., 2005), 30 cases were
 6351 triggered by food and 11 of these (36.7 %) by molluscs (limpet and abalone).

6352 **22.2.5. Factors affecting the prevalence of mollusc allergy**

6353 A number of case reports and patient series suggest that allergic reactions to molluscs most commonly
 6354 occur in school age children and young adults (EFSA, 2005a), and thus may tend to develop later than
 6355 the common childhood allergies. This may be explained in part by the later introduction of molluscs
 6356 into the diet, and by the fact that cross-reactive respiratory allergies e.g. to mites tend to develop
 6357 relatively late.

6358 **22.3. Identified mollusc allergens**

6359 Whereas the Allergome database records 155 entries under molluscs allergens, only three allergens are
 6360 listed in the IUIS database (Table 24), which illustrates the relative lack of systematic studies relative
 6361 to mollusc allergens.

6362 **Table 24:** Mollusc (*Animalia Mollusca*) allergens¹

Biochemical name	Allergen	Common name	Scientific name	Molecular weight (kDa) ^{a,3}
Tropomyosin	Buc u 1	Common whelk	<i>Buccinum undatum</i>	36-38
	Chl n 1	Japanese scallop	<i>Chlamys nipponensis</i>	
	Cra g 1	Oyster	<i>Crassostrea gigas</i>	
	Ens m 1	Razor clam	<i>Ensis macha</i>	
	Hal d 1	Abalone	<i>Haliotis discus</i>	
	Hal m 2	Abalone	<i>Haliotis midae</i>	
	Hel as 1 ²	Garden snail	<i>Helix aspersa</i>	
	Hal r 1	Abalone	<i>Haliotis rufescens</i>	
	Mim n 1	Scallop	<i>Mimachlamys</i> (<i>Chlamys nobilis</i>)	
	Oct v 1	Octopus	<i>Octopus vulgaris</i>	
	Per v 1	Mussel	<i>Perna viridis</i>	
	Pin a 1	Fan shell	<i>Pinna atropurpurea</i>	
	Tod p 1 ²	Japanese flying squid	<i>Todarodes pacificus</i>	
	Tur c 1	Turban shell	<i>Turbo cornutus</i>	
N.A.	Hal m 1 ²	Abalone	<i>Haliotis midae</i>	49

6363 N.A. = Not assigned; ¹ The list is not comprehensive; ² Allergens listed in the IUIS database; ^a MW (SDS-PAGE); ³ Slight
 6364 variation between species

6365 Tropomyosin is considered to be the most important mollusc allergen (Chu et al., 2000; Motoyama et
 6366 al., 2006; Emoto et al., 2009). The muscle protein tropomyosin is a highly conserved panallergen
 6367 among invertebrate animal species including molluscs, crustaceans, arachnids (e.g. mites), and insects
 6368 (e.g. cockroaches) (Reese et al., 1999). Tropomyosin has also been described as an allergen in one
 6369 fish, i.e. tilapia (*Oreochromis mossambicus*) (Liu et al., 2013). The carboxyl-terminal region of

6370 tropomyosins is highly conserved across molluscan species, whereas other parts of the molecule are
 6371 more variable. This is also reflected at the epitope level, with some epitopes being shared and cross-
 6372 reactive among mollusc species whereas others are more or less species specific (Chu et al., 2000).

6373 Tropomyosins are allergens in many mollusc species (Table 23). However, in some species,
 6374 tropomyosin appears to be a minor allergen, e.g. in land snail (Asturias et al., 2002) and non-
 6375 tropomyosin allergens are present in most, if not all, food-relevant molluscs (Taylor, 2008). Non-
 6376 tropomyosin mollusc allergens include hemocyanin (Koshte et al., 1989; Mistrello et al., 1992),
 6377 myosin heavy chain (Martins et al., 2005), arginine kinase (Shen et al., 2012) and amylase (Azofra and
 6378 Lombardero, 2003). Myosins have been reported to be a major allergen in snail (Martins et al., 2005).
 6379 However, little information is yet available on the clinical importance of mollusc non-tropomyosin
 6380 allergens.

6381 **22.4. Cross-reactivities**

6382 Vertebrate tropomyosins, that generally are not allergenic, show between 50 %-60 % amino acid
 6383 sequence identity with all invertebrate tropomyosins. All molluscan tropomyosins show identity
 6384 ranging from 68 % to 88 %, and even higher within the individual mollusc classes: 91-100 % among
 6385 cephalopods, 70-100 % among bivalves, and 85-97 % among gastropods. The amino acid sequence
 6386 identities between crustacean and mollusc tropomyosins range from 56-68 %. Mite and cockroach
 6387 show 56-66 % sequence identity with mollusc tropomyosins, similar to crustaceans (Motoyama et al.,
 6388 2006; Taylor, 2008; Emoto et al., 2009). This, and the yet ill-defined role of non-tropomyosin
 6389 allergens in cross-reactivity, makes the serological and clinical picture of cross-reactivity in relation to
 6390 molluscs' complex.

6391 **22.4.1. Cross-reactivity among molluscs**

6392 Some individuals appear to react to all common molluscs, whereas others react to few or one species
 6393 only. Although clinical cross-reactivity among molluscs is limited and tends to fall into a number of
 6394 loosely defined clusters that, to a limited extent, reflect the taxonomic classification, it is often
 6395 unpredictable (EFSA, 2005a). Among 67 individuals reacting to scallops, clams, oysters, or mussels,
 6396 34 (51 %) reacted to one, 13 (19 %) to two, 5 (8 %) to three, and 15 (22 %) to all four species
 6397 (Sicherer et al., 2004). Case reports have been published on isolated allergy to octopus (Caiado et al.,
 6398 2009), snail (San Miguel-Moncin M et al., 2007), razor shell clam (Martín-García et al., 2007) and
 6399 clam (Rodríguez-Del Rio et al., 2009). In the three first cases, there was evidence that the triggering
 6400 allergen was not tropomyosin.

6401 **22.4.2. Cross-reactivity between molluscs and crustaceans**

6402 See section 21.4.2 on crustaceans.

6403 **22.4.3. Cross-reactivity between molluscs and non-crustacean invertebrates**

6404 A number of examples of simultaneous clinical and serological reactivity to molluscs, insects,
 6405 arachnids and nematodes with IgE-binding to tropomyosin suggest that tropomyosin is an important
 6406 allergen triggering clinical cross-reactivity among invertebrates. The house dust mite allergens Der p
 6407 10, Lep d 10 and Der f 10, and the cockroach (*Periplaneta americana* and *Blattella germanica*)
 6408 allergens Per a 7 and Bla g 1, are tropomyosins (EFSA, 2004, 2005a).

6409 Clinically relevant cross-reactivity between snail, limpet, mussel and house dust mite has been
 6410 described as the “mite-crustacean-mollusc-syndrome” (Küting and Brehler, 2001; EFSA, 2005a).
 6411 Primary sensitisation is most often against mite. However, allergies to mites or cockroaches
 6412 subsequent to sensitisation to molluscs (e.g. snail) have been reported (van Ree et al., 1996b; Ayuso et
 6413 al., 2002a; Martins et al., 2005). Tropomyosin (Der p 10) may play a role in snail-mite cross-reactivity
 6414 (Ayuso et al., 2002b; Ayuso et al., 2002a), but non-tropomyosin allergens are also involved, e.g. Der p
 6415 4 (amylase), Der p 5, Der p 7, and hemocyanin (Mistrello et al., 1992; Bessot et al., 2010), and these
 6416 allergens appear to be of importance in cross-reactivity between molluscs, cockroach and other insects

6417 (van Ree et al., 1996b; Guilloux et al., 1998). Immunotherapy with mite allergen has been reported to
6418 increase the risk of anaphylactic reactions to snails (van Ree et al., 1996b; Pajno et al., 2002).

6419 Similar to crustaceans, clinical cross-reactivity between molluscs and the fish parasite *Anisakis* has
6420 been observed (Gonzalez Galan et al., 2002). Individuals reacting clinically to fish because of *Anisakis*
6421 infestation may also react to molluscs, which would appear as fish-mollusc cross-reactivity.

6422 **22.4.4. Cross-reactivity between mollusc and vertebrates**

6423 Vertebrate tropomyosins appear to be non-allergenic (Leung et al., 1996; EFSA, 2004, 2005a), with
6424 the exception of tropomyosin from the fish tilapia (*Oreochromis mossambicus*) (Liu et al., 2013).
6425 Cross-reactivities for tilapia tropomyosin have not yet been reported. Invertebrate myosins, a major
6426 snail allergen, did not cross-react with chicken, pig, rabbit, cow or horse myosins (Martins et al.,
6427 2005).

6428 **22.5. Effects of food processing on allergenicity**

6429 Tropomyosin is heat-resistant, whereas some non-tropomyosin mollusc allergens may be destroyed by
6430 heating (Yadzir et al., 2010; Shen et al., 2012). Mollusc overall allergenicity is not reliably reduced by
6431 heat treatment. In some cases, heating may increase the allergenicity of molluscs (EFSA, 2004,
6432 2005a). One study on scallop tropomyosin (Nakamura et al., 2005) identified the Maillard reaction as
6433 one mechanism by which the IgE-binding capacity may be increased after heating.

6434 **22.6. Detection of allergens and allergenic ingredients in food**

6435 Only few (mainly immunological) methods for the detection of mollusc allergens, primarily
6436 tropomyosin, have been reported. However, tropomyosin is a major cross-reactive allergen among
6437 crustaceans and molluscs, and thus immunological methods of detection may not be able to
6438 discriminate between the two groups of shellfish. Only methods specific for the detection of molluscs
6439 allergens are described here. No MS methods for the detection of mollusc allergens have been
6440 reported. PCR methods have been used mostly for the identification and authentication of different
6441 mollusc species. No limits of detection have been reported.

6442 **22.6.1. Immunological methods**

6443 **22.6.1.1. ELISA**

6444 A sandwich ELISA based on a monoclonal antibody obtained using the Japanese flying squid
6445 (*Todarodes pacificus*) tropomyosin as immunogen has been described (Shibahara et al., 2010). The
6446 method is specific for cephalopods and does not recognise other molluscs and crustaceans. The LOD
6447 was 0.24 mg/kg.

6448 **22.6.1.2. Rapid methods**

6449 A specific immunostick assay based on monoclonal antibodies against abalone (*Haliotis midae*)
6450 enabled differentiation between several abalone species (Lopata et al., 2002), although it showed less
6451 reactivity to heat treated abalone. No binding was observed for other mollusc or crustacean species
6452 analysed. No limit of detection has been reported.

6453 **22.6.2. PCR methods**

6454 Various PCR methods have been used for the identification of molluscs in food products, mostly for
6455 traceability and authentication. In particular, forensically informative nucleotide sequencing (FINS),
6456 multiplex PCR and single-strand conformation polymorphism (PCR-SSCP) have been used to identify
6457 abalone (Aranceta-Garza et al., 2011; Chan et al., 2012). FINS and restriction fragment length
6458 polymorphism (PCR-RFLP) have been used for the identification of cephalopod species (Chapela et
6459 al., 2003). However, no data are reported on limits of detection and quantification.

6460 **22.7. Minimal (observed) eliciting doses**

6461 Specific data on doses of mollusc triggering allergic reactions are rare. Exercise-induced anaphylaxis
6462 has been reported after ingestion of 100 g of canned oysters (Maulitz et al., 1979) and fatal
6463 anaphylaxis after consumption of three snails (Wu and Williams, 2004). In a DBPCFC, the cumulative
6464 dose of dried snail causing 20 % decrease in forced expiratory volume (FEV1), a measure of systemic
6465 allergic reaction, was 120 mg and 400 mg in two children, respectively (Pajno et al., 2002).

6466 **22.8. Conclusions**

6467 Molluscs can cause severe and occasionally life-threatening food allergic reactions. Prevalence data in
6468 unselected populations are scarce and mostly limited to self-reported prevalence. The most important
6469 allergen of molluscs is tropomyosin, which has been well characterised in several mollusc species.
6470 Molluscs also contain a number of other allergens. Tropomyosin is heat-resistant and mollusc
6471 allergenicity is not reliably reduced by food processing. Only few immunological methods specific for
6472 mollusc allergens have been described. ELISA methods to detect both crustaceans and molluscs are
6473 available. There is limited information on the lowest dose of mollusc that can elicit a clinical reaction.
6474 In the only DBPCFC available, reactions were observed to cumulative doses of dried snail in the low
6475 hundred mg range.

6476 **23. Allergy to celery**

6477 **23.1. Background**

6478 Celery (*Apium graveolens*) belongs to the *Apiaceae* family (or *Umbelliferae*). The celery plant is
6479 composed of a root or tuber, also called celeriac, and of an aerial part, the sticks or stalks. Celery
6480 (tubers and sticks) is consumed raw (e.g. in salads), cooked (e.g. on its own, in sauces and soups), and
6481 dried as a spice, and it is a common ingredient in processed foods.

6482 Celery can induce allergic reactions of immediate type, from oral contact urticaria to anaphylactic
6483 shock. About 30 % of patients with OAS are allergic to celery. The first evidence for IgE celery
6484 specific antibodies in sensitised subjects was reported using SPTs (Dechamp et al., 1984; Pauli et al.,
6485 1985). Diagnostic tests like SPT with raw celery and allergen extracts have high positive predictive
6486 values, while the negative predictive values are low.

6487 **23.2. Epidemiology**

6488 **23.2.1. Prevalence**

6489 **23.2.1.1. Europe**

6490 Four studies published between 2001 and 2006 reported prevalence data on celery allergy in Europe in
6491 unselected populations (Schafer et al., 2001; Touraine et al., 2002; Zuberbier et al., 2004; Bakos et al.,
6492 2006).

6493 Reported rates of positive SPTs to celery were 9.1 % in adults in Germany (Schafer et al., 2001), and
6494 11.1 % and 3.7 % in adults and elderly people in Hungary, respectively (Bakos et al., 2006).
6495 Sensitisation rates measured by serum specific IgE levels to celery in Hungary were 2.8 % for adults
6496 and 9.2 % for the elderly (Bakos et al., 2006). The prevalence of self-reported allergy to celery was
6497 5.5 % among 5-17 year olds in France (Touraine et al., 2002). The prevalence of celery allergy in
6498 Germany (all ages) based on positive SPT and clinical history of allergy to celery was 2.7 %
6499 (Zuberbier et al., 2004).

6500 Allergy to celery was not confirmed with food challenges in any of the studies available reporting on
6501 prevalence in unselected populations.

6502 23.2.1.2. Outside Europe

6503 One study conducted in Taiwan reported that 1.8 % of 6-8 year olds suffer from celery allergy based
6504 on positive serum specific IgE levels and clinical history (Wan and Chiu, 2012).

6505 23.2.2. Natural history

6506 There are no data available regarding the natural history of celery allergy.

6507 23.2.3. Time trends

6508 There are no studies available, which allow investigating time trends in celery allergy.

6509 23.2.4. Severe reactions/anaphylaxis

6510 Celery root may trigger severe anaphylactic reactions in some of patients with celery allergy
6511 (Wuthrich et al., 1990). In one study of French patients attending a specialist allergy clinic, about
6512 30 % of 580 food allergic patients showed specific IgE to celery and 30 % of the 60 severe, near-fatal
6513 allergic reactions to food occurred between 1984-1992 appeared to be due to celery (Andre et al.,
6514 1994). Systemic and severe reactions to celery in subjects allergic to pollen (pollen-food allergy
6515 syndrome) have been reported by several authors (Schöll and Jensen-Jarolim, 2004).

6516 23.2.5. Factors affecting prevalence of celery allergy

6517 Patients with birch pollen allergy may develop allergic reactions to celery. In Germany, 70 % of
6518 patients with a pollen-related food allergy were reported to have a positive SPT or RAST to celery
6519 (Jankiewicz et al., 1996). In Italy, about 10 % of 262 patients with OAS to fresh fruit and vegetables
6520 had a clinical history of allergic reactions to celery, and about 3 % experienced severe symptoms to
6521 celery, such as laryngeal oedema (Ortolani et al., 1988).

6522 23.3. Identified allergens

6523 The major celery antigen is Api g 1. Other identified allergens in celery are Api g 2, Api g 4, and Api
6524 g 6 (Table 25). The cross-reactive carbohydrate determinants also seem to be allergenic.

6525 **Table 25:** Celery (*Apium graveolens*) allergens.

Source	Allergen	Biochemical name	Superfamily/family	Molecular weight ^a
Tuber/root	Api g 1	PR-10	Bet v 1	15
Sticks/stalk	Api g 2	ns-LTP 1	prolamin	9 ^b
Sticks/stalk	Api g 3	chlorophyll a-b binding protein		-
Tuber/root	Api g 4	profilin	profilin	14
Tuber/root	Api g 5	FAD-containing oxidase		58
Tuber/root	Api g 6	ns-LTP 2	prolamin	7 ^b

6526 ^a MW (SDS-PAGE): ^b kDa

6527 The panallergen profilin Api g 4, a cross-reacting protein present in several plant foods, has been
6528 shown to be involved in celery allergy (Vallier et al., 1992). The cross-reactive carbohydrate
6529 determinants, structures containing α -1,3-fucose and β -1,2-xylose bound to proteins via N-glycoside
6530 linkages, are highly immunogenic in mammals; some celery allergic patients exclusively display IgE-
6531 binding to these determinants of molecular weight > 45 kDa. However, any clinical significance of
6532 cross-reactive carbohydrate determinants-specific IgE is still a matter of debate (Aalberse, 1998;
6533 Fotisch et al., 1999).

6534 Ganglberger et al. (Ganglberger et al., 2000) described two high molecular weight allergens of celery
 6535 recognised by the sera of five patients with positive case histories, SPTs and RASTs to celery and
 6536 birch. These 55 and 58 kDa proteins represent members of a protein family not described so far, as no
 6537 homologous sequences were found in the databases. The 58 kDa allergen, included in the IUIS
 6538 nomenclature as Api g 5, may correspond to a 60 kDa allergen identified by Heiss et al. (Heiss et al.,
 6539 1996), the function of which was not determined. Bublin et al. (Bublin et al., 2003) found the complete
 6540 abolition of binding of serum IgE from all 14 patients tested by chemical deglycosylation of the Api g
 6541 5 glycoprotein allergen and observed that native Api g 5 other than the deglycosylated protein
 6542 completely inhibited the IgE-binding to high molecular weight allergens in protein extracts from birch
 6543 pollen, mugwort pollen and celery. These results suggest that IgE directed to cross-reactive
 6544 carbohydrates may be capable of eliciting allergic reactions.

6545 23.4. Cross-reactivities

6546 Three different structures are responsible for cross-reactions between pollen and plant foods, namely
 6547 Bet v 1 and related plant proteins, profilin, and carbohydrate determinants. Cross-reactivities of celery
 6548 allergens with pollen and other plant foods, which have been described in the literature, are depicted in
 6549 Table 26.

6550 Api g 1 and Bet v 1 belong to a class of intracellular PR proteins and their structure shows 40 %
 6551 identity and 60 % similarity (Breiteneder et al., 1995; Schirmer et al., 2005). Api g 1 is the major
 6552 allergen for patients with birch pollen/celery sensitisation (Vieths et al., 1995). Whereas two isoforms
 6553 of Api g 1, Api g 1.0201 and Api g 1.0101 cross-react with Bet v1 from birch pollen, Api g 1.0201
 6554 shows a weaker IgE-binding capacity than Api g 1.0101 (Hoffmann-Sommergruber and Mills, 2009).
 6555 Api g 1 also shows high identity (61.4 %) and homology (79.1 %) with the two parsley PR proteins
 6556 PcPR1-1 and PcPR1-3 (Somssich et al., 1988). Both celery and parsley belong to the *Apiaceae* family.

6557 Celery profilin, Api g 4, has high sequence identity (71-82 %) to known allergenic plant profilins,
 6558 which may be responsible for allergenic cross-reactivity between celery and other plant foods or
 6559 pollens (Table 26). Its IgE cross-reactivity with the minor birch pollen allergen Bet v 2, identified as a
 6560 profilin (Valenta et al., 1992), explains the birch-mugwort-celery syndrome (Wuthrich et al., 1990;
 6561 Bauer et al., 1996; Scheurer et al., 2000).

6562 **Table 26:** Cross-reactivities of celery allergens with pollen and other plant foods

Celery allergen	Cross-reactivity	Allergen	Detected by	Reference
Api g 1	Birch	Bet v1	Sequence homology IgE	(Hoffmann-Sommergruber et al., 2000; Lüttkopf et al., 2000; Schirmer et al., 2005; Wangorsch et al., 2007)
Api g 1	Parsley	PcPR1-1 PcPR1-3	Sequence homology	(Somssich et al., 1988)
Api g 2	Peach Mugwort	Unknown	IgE	(Gadermaier et al., 2011a)
Api g 4	Birch Olive	Bet v 2 Ole e 2	Sequence homology IgE	(Valenta et al., 1992; Bauer et al., 1996; Asturias et al., 1997; Asturias et al., 1998; Scheurer et al., 2000)
Api g 4	Timothee grass Bermuda grass Sunflower Soy Peanut Pear Cherry	Phl p 11 Cyn d 12 Hel a 2 Gly m 3 Ara h 5 Pyr c 4 Pru av 4	IgE	(Scheurer et al., 2000; Scheurer et al., 2001)

6563

6564 Sensitisation to celery is frequently associated with birch and/or mugwort pollinosis, hence the term
 6565 “birch-mugwort-celery-syndrome”. Wüthrich et al. (Wuthrich et al., 1990) hypothesised that the
 6566 association between celery and birch is due to a common thermolabile allergen while the common
 6567 allergen between celery and mugwort is thermostable. A confirmation of this assumption is the fact
 6568 that RAST with cooked celery extract were negative in patients with birch allergic rhinitis, while it
 6569 remains positive in those with mugwort allergic rhinitis.

6570 There is evidence that birch pollen and celery allergy are highly related in Central Europe (Hoffmann-
 6571 Sommergruber et al., 1999), while celery allergy is most frequently related to mugwort pollen allergy
 6572 in Southern Europe. Ballmer-Weber et al. (Ballmer-Weber et al., 2000) reported that all patients with
 6573 positive DBPCFC for celery were sensitised to either birch (91 %) or mugwort (64 %) pollen, and that
 6574 only two out of 22 patients did not show any sensitisation to birch pollen. Also in a previous study
 6575 performed in Swiss patients, 8 % of celery allergic subjects were not sensitised to rBet v 1 or rBet v 2
 6576 (Wüthrich and Straumann, 1997). Api g 2 was shown to cross-react with peach and mugwort pollen
 6577 and was recognised by IgE in 25 % of 786 LTP sensitive Italian subjects (Gadermaier et al., 2011b).

6578 In one study (Lüttkopf et al., 2000), the allergens recognised by IgE from 22 patients with positive
 6579 DBPCFCs to celery were identified. Cross-reactivities with pollen allergens were also assessed. Api
 6580 g 1, cross-reactive carbohydrate determinants, and celery profilin were recognised by IgE of 59 %,
 6581 55 %, and 23 % of patients, respectively. IgE-binding to all three structures in a celiac extract was
 6582 inhibited by birch pollen extract, whereas mugwort pollen extract could only inhibit IgE reactivity to
 6583 Api g 4 and cross-reactive carbohydrate determinants. Cross-inhibitions with extracts of birch pollen,
 6584 mugwort pollen, timothy grass pollen, and lychee, demonstrated the ubiquitous presence of cross-
 6585 reactive carbohydrate determinants and profilin, while Api g 1 was only cross-reactive with birch
 6586 pollen. Homologues of Api g 4 and cross-reactive carbohydrate determinants were also present in tree
 6587 pollen and pollens from weeds, *Graminaceae* and other plant families.

6588 Allergies to carrot and spices, predominantly of the *Umbelliferae* family, are strongly associated to
 6589 celery allergy, known as “celery-carrot-mugwort-spice syndrome”. This syndrome is frequently
 6590 described in the German literature (Wuthrich and Dietschi, 1985). The only studies carried out in
 6591 patients with celery allergy confirmed by positive DBPCFC pointed out a sensitisation to carrot in
 6592 77 % of patients with CAP > 0.7 kU/L (Ballmer-Weber et al., 2000).

6593 **23.5. Possible effects of food processing on allergenicity**

6594 Jankiewicz et al. (Jankiewicz et al., 1997) investigated the immunochemical stability of allergens in
 6595 celery roots after various treatments such as microwaving, drying, γ -irradiation, ultra high pressure
 6596 and high voltage impulse, and demonstrated that the cross-reactive carbohydrate determinants are the
 6597 most heat-stable allergens, followed by profilin and Api g 1. The risk of clinical reactivity to cooked
 6598 celery could not be deduced from the sensitisation pattern to individual celery allergens. The majority
 6599 of patients reacting to cooked celery recognise the heat-stable allergens Api g 4 and cross-reactive
 6600 carbohydrate determinants, but a minority of them are exclusively sensitised to Api g 1, which is more
 6601 heat-labile. Patients exclusively sensitised to Api g 1 react to higher doses of cooked celery compared
 6602 to the other patients. In addition, Api g 2, a member of the lipid-transfer protein family primarily
 6603 expressed in celery stalks, is thermally stable and resistant to gastrointestinal digestion (Gadermaier et
 6604 al., 2011a).

6605 EAST inhibition data also shows that Api g 1 is the most heat-labile allergen in celery, that celery
 6606 profilin Api g 4 is more stable under thermal processing, and that the IgE reactivity to cross-reactive
 6607 carbohydrate determinants is not affected by heating (Ballmer-Weber et al., 2002). In this study, a
 6608 total of 12 patients with history of allergic reactions to raw or to raw and cooked celery were recruited.
 6609 Of these, 11 (three with reported allergic reactions to raw, but not cooked, celery) underwent a
 6610 DBPCFC with cooked celery root and six reacted. An increasing intensity of the heat treatment did not
 6611 lead to unequivocal reduction of allergenicity. Only 10 patients agreed to undergo a DBPCFC with
 6612 raw celery root and all reacted. There were no patients reacting to cooked celery root without

6613 symptoms to the raw root. This may indicate that no neoallergens are created by the heating process,
6614 and that residual activity of the native celery allergens is responsible for the allergenic activity of the
6615 cooked vegetable. Also, a DBPCFC with celery spice, dried and pulverised celery was performed in
6616 five of the patients recruited. All patients reacted with symptoms comparable to those observed during
6617 the DBPCFC with raw extract. However, symptoms occurred at a lower provocation dose compared to
6618 raw celery, probably because of higher protein content in celery spice (about 4.5 times higher). This
6619 study suggests that, in some subjects, allergic reactions to cooked celery will take place even when
6620 high temperatures are used, and that celery spice is allergenic for individuals allergic to raw celery.

6621 **23.6. Detection of allergens and allergenic ingredients in food**

6622 Several methods for the detection of celery have been described (Stephan et al., 2004; Hupfer et al.,
6623 2007), including ELISA and PCR.

6624 **23.6.1. ELISA**

6625 ELISA methods are generally non-specific as cross-reactivity has been shown with other vegetal
6626 species. However, a sandwich-ELISA for the detection and quantification of celery proteins with
6627 monoclonal antibodies obtained against the recombinant fusion allergenic protein rApi g 1.01 has been
6628 published (Wang et al., 2011a). The method has a high specificity, except a low cross-reactivity with
6629 carrot, and a good sensitivity (LOD = 1.9 µg of celery soluble protein/g food sample; LOQ = 5.6 µg
6630 whole celery protein/g food sample). The method gave reliable results on both raw and heat processed
6631 foods.

6632 **23.6.2. PCR**

6633 PCR methods are generally more specific than ELISA. Both conventional PCR and real time PCR
6634 (Hupfer et al., 2007; Mustorp et al., 2008) methods target the gene encoding for mannitol
6635 dehydrogenase. A novel real time PCR method (Fuchs et al., 2013) allows the detection of traces of
6636 celery in complex food matrices targeting the gene coding for the *Apium graveolens* NADPH
6637 (Nicotinamide Adenine DiNucleotide Phosphate)-dependent mannose-6-phosphate reductase. It allows
6638 the detection of three varieties of celery commonly used. It is specific and sensitive (LOD = 10 pg
6639 celery DNA). The best performance concerning DNA extraction was obtained with the Wizard
6640 method, which produced no false negative results. The method is applicable to commercially available
6641 foodstuffs (e.g. noodles, sauces, sausages, soups and spice mixes). In another report (Pafundo et al.,
6642 2011), the amount of DNA recovered from celery with the GK-resin method was less variable than
6643 with other methods, although the yield was lower. By using Fast SYBR® PCR, DNA analysis was
6644 performed on raw celery and food products containing celery (LOD = 10 mg/kg). The results obtained
6645 are repeatable, reproducible and suitable for routine analysis. A duplex PCR was also carried out by
6646 the same authors by combining the primer pair 18SR as an internal control, and the primer pairs
6647 specific for celery. This method, although only qualitative, can be used to detect celery in highly
6648 processed foods.

6649 A multiplexed real-time PCR method for the detection of DNA from 12 allergenic ingredients,
6650 including celery, is also available (Köppel et al., 2012). Two hexaplex real-time PCR systems were
6651 developed to amplify DNA simultaneously from six allergenic ingredients each. The method is
6652 specific and allows detecting 0.1 % of each analyte, but the corresponding amounts of protein on a
6653 weight basis have not been reported.

6654 An innovative method based on optical thin film biochips for multiplex detection of eight allergenic
6655 ingredients, including celery, has also been developed (Wang et al., 2011b). Eight target fragments
6656 were amplified by two tetraplex PCR and spotted on the microarray. This method has a high
6657 throughput and allows visualising the results with the naked eye (no need of expensive instruments),
6658 but needs further development for routine use.

6659 23.7. Minimal (observed) eliciting doses

6660 Data from DBPCFCs conducted in 32 patients with history of an allergic reaction to celery have been
6661 published (Ballmer-Weber et al., 2000). Patients underwent SPT and specific IgE was measured in
6662 serum. In addition, DBPCFC with raw celery root was performed. Two different drinks were prepared
6663 for the test meal, i.e. an active drink with celery and a placebo. The foods were identical in colour,
6664 consistency and taste, and all ingredients except for celery were known to be tolerated by each patient.
6665 Patients were first challenged in a single-blind way with a placebo drink (5 mL), which they had to
6666 retain in their mouth for 5 min. Individuals reacting were excluded. Patients who did not react
6667 underwent a two-step DBPCFC, during which (i) they had to retain increasing amounts of the celery
6668 extract from raw celery root and placebo drinks in the mouth for one minute at 15 min intervals and
6669 (ii) if the patients did not complain about symptoms during this “spit” phase, they were asked to
6670 swallow the drinks in increasing amounts. Twenty-two patients showed symptoms to the active
6671 preparation: 11 complained about symptoms strictly localised to the oral cavity (OAS) and 11 showed
6672 systemic reactions. The minimum provocation dose to elicit symptoms was 0.7 g of celery root,
6673 corresponding to the first dose tested, whereas the minimal provocation dose to elicit a systemic
6674 reaction was 1.9 g (nausea and dyspnoea) and 5.6 g (urticaria). The eight non-responders underwent an
6675 open challenge with celery, and four of them had OAS with 5 g.

6676 The same authors confirmed allergy to cooked celery in six out of eight patients with a positive case
6677 history (Ballmer-Weber et al., 2002) using DBPCFC with raw celery, cooked celery, and celery spice.
6678 Seven of these complained about OAS during the local mucosal challenge (“spit” phase) of raw celery
6679 at a minimum dose of 0.7 g (first dose tested), and five at a minimum dose of 0.9 g of cooked celery.
6680 Symptoms were systemic in three patients at provocation doses of 28.5 g of raw celery, and in one
6681 patient at the provocation dose of 34.5 g of cooked celery. DBPCFC with celery spice were performed
6682 in five of the patients recruited: all of them were responders. Two had OAS at the provocation dose of
6683 0.16 g of celery spice and three had systemic symptoms during the local mucosal challenge at 0.16 g,
6684 0.32 g and 5.85 g of celery spice.

6685 23.8. Conclusion

6686 Celery tuber/root is an important source of food allergens in Central Europe. Celery stalks are often
6687 consumed in Mediterranean countries. Celery tuber/root could be found as food ingredients in several
6688 pre-packed food and spice mixes, as it is widely used in the food industry owing to its aromatic
6689 flavour. The prevalence of celery allergy based on positive SPT and clinical history has been estimated
6690 to be 2.7 % in Germany. Celery root may trigger severe anaphylactic reactions in some of patients
6691 with celery allergy. Patients with birch pollen allergy may develop allergic reactions to celery and
6692 react with severe symptoms, such as laryngeal oedema. Allergenicity to cooked celery seems to be
6693 reduced, though not abolished. There are currently no specific immunochemical methods
6694 commercially available for celery detection, on account of cross-reactivity with other species. At the
6695 moment, the method of choice, suitable for quantification of both raw and cooked celery, is the
6696 detection of DNA by conventional (semi-quantitative) and real-time PCR. Only two studies reported
6697 minimum doses eliciting local symptoms (at 0.7 g) or systemic symptoms (at 1.9 g), which were
6698 similar for raw and cooked celery but corresponded to the first dose tested in the study. It cannot be
6699 excluded that some subjects would react to lower doses. Celery spice can trigger systemic symptoms
6700 also at low doses (0.16 g).

6701 24. Allergy to lupin

6702 24.1. Background

6703 Lupin (genus *Lupinus*, family *Leguminosae*) is a legume, which includes over 450 species. It is widely
6704 grown as a flowering plant for animal feed and farmland management. The usual garden species are
6705 poisonous. Some species, like *Lupinus luteus* (yellow lupin, Central Europe), *Lupinus albus* (white
6706 lupin, Mediterranean countries), and *Lupinus angustifolius* (blue lupin, Australia) are used as whole
6707 seed flour, or as lupin derived drinks (lupin milks), for human and animal consumption. Lupin seeds

6708 are a common snack in several European countries. The yellow lupin variety, because of its colour, is
 6709 used as egg substitute. The above varieties are known as sweet lupins.

6710 The nutritional value of lupin and its potential as a human food has been under consideration for about
 6711 30 years owing to its low cost, high protein quality, and the associated increased protein-efficiency
 6712 ratio compared to other members of the legume family. Lupin protein contains the essential amino
 6713 acids (lysine, leucine and threonine) but it is low in methionine, the addition of which improves the
 6714 protein efficiency ratio. Lupin flour is an excellent source of protein (39 %-45 %, depending on the
 6715 lupin species). Lupin does not contain gluten and can be used in gluten-free foods (Marss, 1996;
 6716 Kanny et al., 2000). Since its introduction as an ingredient in wheat flour in the late 90's, lupin flour
 6717 became a more widely consumed food ingredient. Lupin flour is used in biscuits, pasta, sauces,
 6718 dietetic products sold as milk and soy substitutes. Due to its emulsifying properties, lupin concentrates
 6719 are also used in meat and cold-cut industry (EFSA, 2005b).

6720 **24.2. Epidemiology**

6721 **24.2.1. Prevalence**

6722 No population-based studies investigating the prevalence of primary allergy to lupin are available, and
 6723 thus the prevalence of primary allergy to lupin in the general population is unknown.

6724 Allergic reactions to lupin in Europe have emerged following its introduction in processed foods in the
 6725 late 1990s. The possibility of under-reporting of allergic reactions to lupin cannot be excluded
 6726 because, until recently¹¹, it was a hidden (undeclared) ingredient in bakery and other food products.

6727 In 1040 consecutive patients attending an allergy clinic in the Netherlands with a suspected food
 6728 allergy, 372 were skin prick tested with peanut, soy and lupin flour. A total of 135, 58 and 22 patients
 6729 were SPT positive for peanut, soy and lupin flour, respectively. Nine patients sensitised to lupin flour
 6730 underwent a DBPCFC, which was negative in eight cases. The estimated prevalence of lupin allergy
 6731 among patients with a suspected food allergy was 0.27-0.81 %. In most cases, sensitisation was not
 6732 clinically relevant and was most likely caused by cross-sensitisation to peanut (de Jong et al., 2010).

6733 Since allergic reactions to lupin have mostly been documented in peanut allergic individuals (Moneret-
 6734 Vautrin et al., 1999; Leduc et al., 2002), the clinical relevance of primary allergy to lupin has been
 6735 questioned (Lindvik et al., 2008; de Jong et al., 2010). However, cases of allergic reactions following
 6736 lupin consumption as a bread ingredient or as snacks were reported in subjects with no prior allergy to
 6737 peanut and negative SPT for this food (Smith et al., 2004; Peeters et al., 2007; Quaresma et al., 2007).
 6738 This is corroborated by specific binding of IgE from sensitised individuals to isolated lupin globulins
 6739 (Klos et al., 2010). Sensitisation to lupin via inhalation has also been reported in individuals with no
 6740 immunologic reactivity to other legumes (Novembre et al., 1999; Prieto et al., 2010), and for
 6741 occupationally exposed adults with no allergy to peanut (Crespo et al., 2001; Parisot et al., 2001;
 6742 Campbell et al., 2007). Individuals sensitised via inhalation to lupin flour may react to lupin flour after
 6743 ingestion (Crespo et al., 2001; Crespo et al., 2002).

6744 **24.2.2. Natural history**

6745 There are no data available regarding the natural history of lupin allergy.

6746 **24.2.3. Time trends**

6747 The prevalence of allergy to lupin is likely to depend on local eating habits and the level of exposure
 6748 through other routes. Lupin consumption appears to be increasing in several European countries, but
 6749 no data are available on time trends for lupin allergy.

¹¹ Labelling Directive 2000/13/EC, as amended by Directive 2003/89/EC that entered into force on 25 November 2005, which applies only to pre-packaged foodstuffs.

6750 **24.2.4. Severe reactions/anaphylaxis**

6751 Severe anaphylactic reactions have been described upon oral exposure to lupin both in subjects
 6752 primarily sensitised to lupin (Brennecke et al., 2007) and in legume allergic individuals, including
 6753 peanut allergic subjects (Matheu et al., 1999). It is currently estimated that, with respect to the cases of
 6754 anaphylaxis reported after oral exposure to lupin, the ratio between pre-existing legume allergy
 6755 (mostly peanut) and *de novo* sensitisation to lupin seeds is around 1:1 (Jappe and Vieths, 2010).

6756 **24.2.5. Factors affecting prevalence of lupin allergy**

6757 The main population at risk of developing allergic reactions to lupin is peanut allergic individuals.
 6758 Most reports of allergic reactions to lupin refer to patients with known allergy to peanuts attending
 6759 specialist medical services (Hefle et al., 1994; Moneret-Vautrin et al., 1999; Faeste et al., 2004). A
 6760 study conducted in 47 peanut-allergic children and adolescents and 46 atopic controls, 4 % of peanut
 6761 allergic patients showed clinical reactions to lupin when challenged orally, whereas none of the atopic
 6762 controls reacted (Shaw et al., 2008).

6763 **24.3. Identified allergens**

6764 *L. angustifolius* contains seed storage proteins which include two major protein types, β -conglutin
 6765 (vicilin-like protein, or acid 7S globulin) and α -conglutin (legumin-like protein or 11S globulin), and
 6766 two minor components, δ -conglutin (2S albumin) and γ -conglutin (basic 7S globulin). The four
 6767 fractions (named on the base of their electrophoretic mobility) are glycosylated (Foss and Frökiær,
 6768 2005) (Table 27).

6769 **Table 27:** Lupin (*L. angustifolius*) allergens

Superfamily/ family	Biochemical name	% of total protein	Molecular weight (kDa)	Allergen	Molecular weight ^a (kDa)
α -Conglutin ¹ (legumin-like)	11S globulin	33	69 to 89	Lup-2 ²	20
β -Conglutin (vicilin-like)	7S globulin	45	19 to 60	Lup an 1	55-61
				Lup-1 ²	34.5
γ -Conglutin ¹	7S globulin	6	46		46
δ -Conglutin ¹	2S albumin	12	14		14

6770 ¹ www.allergome.org; ² present also in *Lupinus albus*; ^a MW (SDS-PAGE)

6771

6772 β -Conglutin is a non-covalently associated heterogeneous trimer consisting of a number of
 6773 polypeptides ranging from 16 to 70 kDa (Duranti et al., 2005). β -Conglutin from *L. angustifolius* seed
 6774 was recognised by the IgE of most (8/12) lupin-allergic subjects (Goggin et al., 2008) and is
 6775 considered the major lupin allergen and is the only lupin allergen (Lup an 1) reported in the IUIS
 6776 allergen nomenclature. A polypeptide of the β -conglutin fraction from *L. albus* has been also identified
 6777 and designated as Lup-1 (Alvarez-Alvarez et al., 2005; Guillamon et al., 2010). Lup-1 has been shown
 6778 to be a major allergen in patients with allergy following lupin ingestion (Sanz et al., 2010) and is
 6779 highly homologous to soy β -conglycinin and peanut Ara h 1.

6780 In *L. angustifolius*, α -conglutin consists of four subunits, which are non-covalently linked. The
 6781 subunits are in a 55-80 kDa range and contain a 20 kDa disulphide-bound moiety. A polypeptide of 20
 6782 kDa, called Lup-2, has been isolated from the α -conglutin fraction, characterised and identified as a

6783 major allergen in *in vitro* IgE-studies (Guillamon et al., 2010). In *L. albus*, α -conglutin is a
 6784 heterogeneous mixture composed of a hexamer of basic and acid trimers linked by two disulphide
 6785 bridges with molecular weight in the range 47-54 kDa linked to a basic polypeptide of ca. 20 kDa
 6786 (Magni et al., 2005). α -Conglutin is highly homologous to the peanut allergen Ara h 3 (Foley et al.,
 6787 2011).

6788 γ -Conglutin consists of two disulphide linked polypeptides of 30 and 17 kDa, respectively. Specific
 6789 IgE-binding from lupin-allergic individuals to γ -conglutin has been described (Klos et al., 2010). α -, β -
 6790 and γ -Conglutin include two cupin domains. δ -Conglutin is a monomeric protein with two disulphide
 6791 linked polypeptides of 9 and 4 kDa, respectively, which is highly homologous to the peanut allergen
 6792 Ara h 2.

6793 IgE-binding proteins of lupin with MW of 43-45 kDa were shown by immunoblotting in studies
 6794 involving lupin allergic patients (Moneret-Vautrin et al., 1999; Novembre et al., 1999; Parisot et al.,
 6795 2001; Holden et al., 2008).

6796 Other potential allergens with MWs of 13, 29, 34, 38, and 66 kDa were isolated from lupin seeds by
 6797 chromatographic methods (Dooper et al., 2007) and were studied for IgE-binding affinities (Magni et
 6798 al., 2005; Holden et al., 2008).

6799 24.4. Cross-reactivities

6800 Several legumes (e.g. peanut, soybean, lentils, beans, chickpeas and peas) may cross-react with lupin
 6801 *in vitro* (IgE-binding) (Bernhisel-Broadbent and Sampson, 1989; Bernhisel-Broadbent et al., 1989;
 6802 Ballabio et al., 2013).

6803 *In vitro* cross-reactivity between lupin and other legumes has been tested mostly using serum from
 6804 peanut-allergic individuals. β -Conglutin (Lup an 1) was a major allergen in patients with allergy
 6805 following lupin ingestion (Sanz et al., 2010) and the major lupin allergen cross-reacted with peanut
 6806 proteins, as observed in IgE-binding and SPT studies in peanut allergic individuals (Ballabio et al.,
 6807 2013). The basic subunits of the 11S globulin α -conglutin may also be responsible for cross-reactivity
 6808 between lupin and other leguminous plants (Ballabio et al., 2010; Sirtori et al., 2011). The high level
 6809 of amino acid sequence homology between Lup-1 and Lup-2 and major allergens of some legumes
 6810 explains the cross-reactivity between lupin and other legumes.

6811 Although the amino acid sequence of the lupin γ -conglutin does not align with any known peanut
 6812 protein, γ -conglutin also bound specific IgE from peanut allergic individuals (Sirtori et al., 2011),
 6813 suggesting that the IgE-binding epitopes for this protein and for peanut allergens are similar.
 6814 Conversely, the lupin proteins γ -conglutin (2S albumin) and 11S globulin were shown to cross-react
 6815 with homologous polypeptides of other legumes (Magni et al., 2005).

6816 Cross-reactivity between Ara h 2 and δ -conglutin and between Ara h 1 and β -conglutin were also
 6817 described using sera from lupin-allergic patients (Dooper et al., 2009). Significant sequence and
 6818 molecular homology between Ara h 8 of peanut and the pathogenesis related protein PR-10 of white
 6819 lupin suggest that these proteins could in part be responsible for some of the reported cross-reactivities
 6820 in peanut allergic individuals (Guarneri et al., 2005).

6821 *In vitro* cross-reactivities between members of the legume family are of clinical relevance in about
 6822 5 % of legume allergic individuals, notably cross-reactivity to peanut (EFSA, 2005b). The risk of
 6823 clinically relevant cross-reactions with peanuts in lupin allergic patients is higher than with other
 6824 legumes, whereas cross-reactivity to lupin in peanut allergic patients is also of clinical relevance
 6825 (Hefle et al., 1994; Moneret-Vautrin et al., 1999; Kanny et al., 2000; Faeste et al., 2004).

6826 In a study of 44 peanut allergic subjects, 11 had positive SPTs to lupin flour and seven out of the eight
 6827 subjects who underwent a DBPCFC with lupin flour reacted, indicating clinical cross-reactivity

6828 between peanut and lupin (Moneret-Vautrin et al., 1999). In a DBPCFC, 68 % (15/23) of patients
6829 allergic to peanuts showed clinical reactions to lupin flour (Leduc et al., 2002).

6830 Although a major lupin allergen belonging to the PR-10 is homologous to the birch pollen allergen
6831 Bet v 1 superfamily (17-22 kDa), with common secondary structures, there is no information as to the
6832 likelihood of clinical reactions to lupin in these individuals. The scarcity of clinical reports from
6833 countries with a high birch pollen sensitisation rate (e.g. Sweden) may suggest that this structural
6834 similarity is not of clinical relevance in these populations. The Panel is not aware of systematic
6835 studies, which address the relationship between lupin pollen allergies and reactions to lupin flour after
6836 ingestion.

6837 **24.5. Effects of food processing on allergenicity**

6838 A common feature of most legume allergens is their relative resistance to thermal, chemical, and
6839 proteolytic degradation (Lalles and Peltre, 1996; Mills et al., 2009). The IgE-binding capacity of lupin
6840 seeds after boiling (up to 60 minutes), autoclaving (121 °C, 1.18 atmospheres, up to 20 minutes and
6841 138 °C, 2.56 atmospheres, up to 30 minutes), microwave heating (30 minutes), and extrusion cooking
6842 has been assessed (Alvarez-Alvarez et al., 2005). An important reduction in IgE-binding capacity was
6843 reported after autoclaving at 138 °C for 20 minutes and absence of IgE-binding after autoclaving for
6844 30 minutes.

6845 After harsh industrial processing involving mechanical, high-pressure homogenisation and
6846 lyophilisation and thermal (200 °C for 30 min) treatments, α -, β -, and δ -conglutin were still able to
6847 release stable IgE-binding peptides (Sirtori et al., 2010). However, the *in vitro* IgE-binding of lupin
6848 was reduced when an instantaneous controlled pressure drop was applied to lupin cotyledons
6849 (Guillamon et al., 2008). Removal of oligosaccharides from intact lupin seeds by ethanol extraction
6850 reduced the content of γ -conglutin in the lupin protein fraction (Martínez-Villaluenga et al., 2006). *In*
6851 *vitro* hydrolysis of the major globulins found in sweet lupin by the enzymes pepsin and trypsin appears
6852 to reduce their IgE-binding capacity (Sormus de Castro Pinto et al., 2009).

6853 Lupin allergens are generally resistant to thermal, chemical, and proteolytic degradation. The effects
6854 of different processing methods on the allergenicity of lupin have not been systematically investigated.

6855 **24.6. Detection of allergens and allergenic ingredients in food**

6856 **24.6.1. ELISA**

6857 A quantitative sandwich ELISA that uses polyclonal rabbit anti-lupin antibodies was used as a routine
6858 method to detect lupin in food products with a LOD of 1 mg lupin protein per 1 kg of food (Holden
6859 and Egaas, 2005). A kit for this ELISA test is commercially available. Another test based on
6860 monoclonal/polyclonal sandwich ELISA has also been described with a LOD of 1 mg/kg, suitable for
6861 both raw and processed proteins (Holden et al., 2007). The Kaw's assay (Kaw et al., 2008) is based on
6862 the rabbit antisera as the capture reagent and the sheep antisera as detector reagent, with a LOQ of
6863 1mg/kg. It has been applied to model and reference food standard with reliable results. Minor cross-
6864 reactivities have been observed with soy (*Glycine max*) and black bean (*Castanospermum australe*).
6865 The extensive immunological cross-reactivities between legumes represent a problem in the
6866 development of lupin-specific immunological assays (Bernhisel-Broadbent and Sampson, 1989;
6867 Bernhisel-Broadbent et al., 1989).

6868 **24.6.2. Mass spectrometry**

6869 Protein detection by mass spectrometry has emerged as an alternative method to ELISA. A semi-
6870 quantitative study was performed on lupin trypsin digested proteins using HPLC/ESI-MS/MS (Locati
6871 et al., 2006). β -Conglutin was detected in a linear 0.025-1.5 mg/mL concentration range. The same
6872 group (Brambilla et al., 2009) developed a nanoHPLC-chip-MS/MS stable isotope label-free (SIF)
6873 method for the simultaneous characterisation and quantitation of *L. albus* seed storage proteins in four

6874 lupin cultivars and a HPLC-chip-ion trap MS/MS for the quantitation of γ -conglutin in a lupin seed
 6875 protein extract (Resta et al., 2012).

6876 A rapid shotgun proteomic LC-ESI-MS/MS method was proposed for the simultaneous and
 6877 unequivocal confirmation and quantitation of α -, β -, γ - and δ -conglutins in pasta and biscuits
 6878 (Mattarozzi et al., 2012). The allergenic proteins were identified by monitoring target tryptic peptides
 6879 specific and unique for the four proteins. The LOD was in the same range as shown for ELISA and
 6880 PCR (around 1 mg/kg). The LOQ varied for the different proteins (4-42 mg/kg) and depending on the
 6881 matrix.

6882 **24.6.3. PCR**

6883 Real-time PCR assays for the detection of lupin genomic DNA in food products have been developed
 6884 with different LODs (0.1-10 mg/kg) (Demmel et al., 2008; Scarafoni et al., 2009; Gomez Galan et al.,
 6885 2010; Demmel et al., 2011) on the base of sequence tags publicly available. Also, a qualitative duplex
 6886 real-time PCR method for the simultaneous detection of lupin and soy mitochondrial DNA is
 6887 available, with a LOD of 2.5 mg/kg in processed foods (Gomez Galan et al., 2011).

6888 The performance of ELISA and real-time PCR methods for the detection of lupin in different food
 6889 matrices (biscuits, bread, noodles and rice patties) was assessed by developing in-house one sandwich
 6890 ELISA, two competitive ELISA and one real-time PCR method (Ecker and Cichna-Markl, 2012). The
 6891 most sensitive method was the sandwich ELISA, with a LOD of 10 mg/kg for all matrices, both before
 6892 and after heat treatment. Both ELISA and PCR methods gave different results according to the lupin
 6893 cultivars (Röder et al., 2013).

6894 **24.7. Minimal (observed) eliciting doses**

6895 Available data on the lowest doses of lupin triggering clinical allergic reactions have been obtained
 6896 almost exclusively in peanut allergic individuals.

6897 In a DBPCFC, six children allergic to peanut were challenged orally with lupin flour (Moneret-
 6898 Vautrin et al., 1999). Five children showed allergic reactions at doses of lupin flour ranging from 265
 6899 to 1000 mg (two children with clinical response to the labial challenge did not undergo the oral
 6900 challenge). The youngest child (1.5 years) did not have a positive SPT but responded with
 6901 deterioration of her atopic dermatitis. In this study, the minimal doses of allergenic food eliciting a
 6902 clinical response were similar for peanut and lupin (5-965 mg and 265-1000 mg, respectively).

6903 Twelve children with history of clinical allergic reactions to peanut were evaluated by SPT, the
 6904 ImmunoCAP test, immunoblotting, and DBPCFC. In the DBPCFC, patients received lupin-enriched
 6905 pasta every 30 minutes at increasing doses of 0.2, 0.4, 0.8, 1.6, 3.2, 6.4, 12.8, and 25.6 g of macaroni
 6906 (the last dose at 3.5 h), totalling 12.7 g of lupin protein for children under 13, with a ninth dose of
 6907 51.2 g of pasta at 4 h for children over 13 years of age for a total of 25.6 g of lupin protein. Carrot-
 6908 containing pasta was used as control. Positive clinical reactions were observed in two children at doses
 6909 of 0.2 and 6.4 g of pasta, corresponding to 50 mg and 1.6 g of lupin proteins, respectively. β -Conglutin
 6910 was the protein most involved in SPT positivity (Fiocchi et al., 2009).

6911 Peeters et al. (Peeters et al., 2009) studied 39 peanut-sensitised patients by skin prick tests (SPT) and
 6912 ImmunoCAP to lupin, pea, and soy. Eighty-two percent of the study population was sensitised to
 6913 lupin, 55 % to pea, and 87 % to soy. Clinical reactivity was measured by DBPCFC for lupin, and by
 6914 history for pea and soy. Clinical reactions to lupin, pea, or soy occurred in 35 %, 29 %, and 33 % of
 6915 the study population, respectively. The MED for lupin inducing mild subjective symptoms was 0.5 mg
 6916 of lupin flour, and the NOAEL was 0.1 mg of flour. The amount of protein corresponding to this
 6917 amount of flour was not reported.

6918 A case of anaphylaxis and deteriorating lung function in a peanut allergic, 13 year old girl after oral
 6919 challenge with a cumulative dose of 965 mg of a crude lupin flour extract has been reported (Kanny et

6920 al., 2000). This quantity could be present in 100 g of bread if wheat flour contains 10 % lupin, as it
6921 was allowed by some national legislation.

6922 **24.8. Conclusion**

6923 The frequency of allergic reactions to lupin in the general population is unknown. Allergic reactions to
6924 lupin have been documented in peanut allergic as well as in individuals with primary sensitisation to
6925 lupin. The possibility of under-reporting of allergy cases cannot be excluded, as until recently lupin
6926 was an unlabelled ingredient in various bakery and meat products. Lupin allergens have been
6927 identified. Lupin allergens are generally resistant to thermal, chemical, and proteolytic degradation.
6928 The effects of different processing methods on the allergenicity of lupin have not been systematically
6929 investigated. ELISA, MS and PCR methods are available to detect and quantify lupin in foods.
6930 Clinical reactions to lupin range from mild symptoms to anaphylaxis. Doses of lupin protein triggering
6931 clinical reactions in peanut allergic individuals vary widely (from 50 mg to 1.6 g). Subjective
6932 symptoms have been reported to 0.5 mg of lupin flour.

6933 **25. Allergy to sesame**

6934 **25.1. Background**

6935 Sesame (*Sesamum indicum* L) is a plant originally from tropical Africa, which is now universally
6936 cultivated for its seeds. It is the most important species in the *Sesamum* genus of the *Pedialaceae*
6937 family. The seeds are used in several food products, especially in bakery products, fast-foods,
6938 processed meat, vegetarian and ethnic dishes. The oil obtained from the seeds is used for cooking and
6939 salad dressing in Oriental, Chinese and South American cuisines, and is also employed by the
6940 pharmaceutical industry as a vehicle of medications for intramuscular injection.

6941 In some countries, sesame is one of the major causes of food allergy. In Israel, where sesame seed-
6942 based foods (halva and tehina) are included in the diet of infants and young children as a source of
6943 proteins and iron, sesame is the third common cause of IgE-mediated food allergy and the second most
6944 common cause of anaphylaxis (Dalal et al., 2002; Dalal et al., 2012).

6945 **25.2. Epidemiology**

6946 **25.2.1. Prevalence**

6947 **25.2.1.1. Europe**

6948 Eight studies from Europe (in France, Germany, Hungary and the United Kingdom) are available
6949 between 1999 and 2008 and all ages were studied.

6950 Self-reported diagnosis of sesame allergy was investigated in three studies. The highest prevalence
6951 was observed in France, where 1.5 % of 5-17 year olds self-reported an adverse reaction (Touraine et
6952 al., 2002), whereas a zero prevalence was reported in the UK across all ages (Emmett et al., 1999).

6953 Sensitisation to sesame measured by SPT was reported in four studies. In the UK the lowest
6954 sensitisation rates were reported in 7-year-old children (0.1 %) (Roberts et al., 2005), and the highest
6955 in 3 year olds (1.4 %) (Venter et al., 2008). Only one study determined specific IgE levels to sesame
6956 and found zero prevalence of sensitisation among 60-97 year olds in Hungary (Bakos et al., 2006). In
6957 Germany, a population based study reported prevalence rates based on a positive SPT plus a
6958 convincing clinical history of 1.7 % (Zuberbier et al., 2004).

6959 In the UK, two studies challenged subjects suspected of sesame allergy and showed prevalence
6960 between 0.1 % in 6-year olds (Venter et al., 2006a) and 0.6 % in 3-year olds (Venter et al., 2008).

6961 **25.2.1.2. Outside Europe**
 6962 In the rest of the world, self-reported sesame allergy ranged between 0.07- 0.23 % in Canada (Ben-
 6963 Shoshan et al., 2010) and was 0.1 % in the US general population (Sicherer et al., 2010).

6964 In Israel, where exposure to sesame occurs earlier in life than in European countries, 0.18 % of young
 6965 children up to two years of age had positive SPT with clinical history of sesame allergy (Dalal et al.,
 6966 2002).

6967 One Australian study based on OFC reported a prevalence of food allergy to sesame of 0.7 % in
 6968 children 12-15 months old (Osborne et al., 2011).

6969 **25.2.2. Natural history**

6970 Sesame allergy appears to be persistent similar to allergy to fish or peanuts (Agne et al., 2004).
 6971 However, Cohen et al. (Cohen et al., 2007) reported that 20 % of 74 sesame allergic paediatric patients
 6972 in Israel developed tolerance during the follow-up period of 1.8-14 years (median 6.4 years). Clinical
 6973 scoring and severity of symptoms were not found to be predictive in the development of the tolerance.
 6974 Similarly, in a cohort of 234 children, sesame was the third most common allergenic food after milk
 6975 and egg, but unlike milk and egg allergy, sesame allergy resolved in only 30 % of patients (Aaronov et
 6976 al., 2008).

6977 **25.2.3. Time trends**

6978 There were limited studies on sesame allergy, with only two studies worldwide using food challenges
 6979 (in the UK and Australia), so no time trends can be reported.

6980 **25.2.4. Severe reactions/anaphylaxis**

6981 Information about documented severe reactions to sesame is scarce. In the UK, a questionnaire-based
 6982 survey suggested that sesame was responsible for severe reactions. Among 280 replies received, 54 %
 6983 reported reactions to sesame; 89 % of reactive subjects reported other atopic diseases and notably
 6984 84 % were also nut/peanut allergic. A total of 17 % had suffered potentially life-threatening
 6985 symptoms, with 65 % of severe reactions happening on first known exposure (Derby et al., 2005).
 6986 Many publications on sesame allergy are case reports describing anaphylaxis or case series on
 6987 anaphylaxis presenting to emergency departments (Dalal et al., 2012). Although systemic reactions
 6988 occur, a single case of death owing to an allergic reaction to sesame has been reported (Pumphrey and
 6989 Gowland, 2007). Anaphylactic shocks with 1 and 2 mL of sesame oil have been reported in two out of
 6990 five patients with a positive DBPCFC (Morisset et al., 2003a).

6991 **25.2.5. Factors affecting prevalence of sesame allergy**

6992 Sesame allergy appears to be present more frequently during childhood, although onset maybe at any
 6993 age (Dalal et al., 2012).

6994 In one study using SPT and specific IgE testing for diagnosis in children with sesame-seed allergy, a
 6995 significant association was found with allergy to other seeds, especially poppy seed, in 17 % of
 6996 subjects (Foong et al., 2013). Sesame-seed allergy was also significantly associated with tree-nut
 6997 allergies but not with peanut allergy. Patients with sesame allergy have a high likelihood of having
 6998 multiple allergies and it is recommended to test sesame allergic patients at least for tree nut and peanut
 6999 allergens (Dalal et al., 2012).

7000 **25.3. Identified allergens**

7001 Sesame seeds contain 50-60 % oil and 19-25 % proteins. Most protein present in sesame seeds are
 7002 storage proteins composed of globulins (67.3 %), albumins (8.9 %), prolamins (1.4 %) and glutelins
 7003 (6.9 %) (Rivas R et al., 1981). The water-insoluble 11S globulins and the soluble 2S albumins are the
 7004 two major storage proteins, constituting 80-90 % of the total seed proteins in sesame.

7005 Seven proteins have been identified as allergens in sesame seeds and are reported in the IUIS database
 7006 (Table 28).

7007 **Table 28:** Sesame (*Sesamum indicum*) allergens

Allergen	Biochemical name	Superfamily/family	Molecular weight ^a
Ses i 1	2S albumin (sulphur-poor)	prolamin	9
Ses i 2	2S albumin (sulphur-rich)	prolamin	7
Ses i 3	7S vicilin-like globulin	cupin	45
Ses i 4	oleosin	oleosin	17
Ses i 5	oleosin	oleosin	15
Ses i 6	11S globulin	cupin	52
Ses i 7	11S globulin	cupin	57

7008 ^aMW (SDS-PAGE)

7009 The first allergen identified and sequenced in sesame seeds was a 2S albumin named Ses i 1, a seed
 7010 storage protein, which was recognised by the 10 patients studied (Pastorello et al., 2001b). All patients
 7011 showed high levels of sesame specific IgE and highly positive SPT with fresh seeds and commercial
 7012 extracts. In contrast, only a minority of the 20 patients with systemic reactions tested in a second study
 7013 recognised proteins with a molecular weight in the range of Ses i 1 (Beyer et al., 2002a). In a third
 7014 study conducted in Israel, which evaluated 24 subjects with symptoms and specific IgE to sesame, 22
 7015 recognised the 14 kDa 2S albumin precursor, confirming Ses i 1 as a major sesame allergen (Wolff et
 7016 al., 2003). 2S albumins are typically heterodimeric proteins with small and large subunits linked by
 7017 disulphide bonds. Several reacting epitopes were found on the peptide corresponding to the residues
 7018 24-94 (Wolff et al., 2004). Ses i 1 has 47 % homology with the Brazil nut Ber e 1, 41 % with ricin
 7019 nut Ric c 1 and 40 % with sunflower seeds (Pastorello et al., 2001b). Other authors (Fremont et al.,
 7020 2002) reported homology of Ses i 1 with Sin a 1 of yellow mustard, and with Bra j 1 of oriental
 7021 mustard.

7022 The two sesame allergens Ses i 2 and Ses i 3 (Beyer et al., 2002a) belong to the family of seed storage
 7023 proteins. Ses i 2 is a 2S albumin and a sulphur-rich protein (Tai et al., 1999), 47 % homologous and
 7024 35 % identical to Ses i 1, which is a sulphur-poor protein (Tai et al., 2001). Ses i 2 was recognised
 7025 only by 30 % of the patients. It has a sequence homology of 38 % with the walnut allergen Jug r 1,
 7026 40 % with the Brazil nut Ber e 1 and 34 % with the peanut allergen Ara h 1 (Beyer et al., 2002a).

7027 Ses i 3, which constitutes approximately 5 % of the total sesame protein, is a 7S vicilin-like globulin,
 7028 formed by polypeptides non covalently linked (Orruño and Morgan, 2007). It was recognised by 75 %
 7029 of patients and is a major allergen of sesame (Beyer et al., 2002a). Ses i 3 showed a 41 % sequence
 7030 homology to the walnut allergen Jug r 2 and 36 % homology to the peanut allergen Ara h 1.

7031 Two oil body-associated proteins (oleosins), which were recognised by IgE from most sesame allergic
 7032 patients (29 out of 32 patient sera), were sequenced and named Ses i 4 and Ses i 5 (Leduc et al., 2006).
 7033 They represent 80-90 % of total oil body proteins and correspond to only 1-2 % of total seed proteins.
 7034 Ses i 4 and Ses i 5 are highly hydrophobic and may remain residually present in oil and bind specific
 7035 IgE. Anaphylactic shocks have been reported after ingestion of a few millilitres (1 and 5 mL) of
 7036 sesame oil (Morisset et al., 2003a). Homology between oleosin of different species has been found for
 7037 a Chinese spice shiso (*Perilla frutescens*, 75 % identity) and for carrot oleosin (64 % identity). Lower
 7038 levels of identity have been observed with peanut and soybean oleosins (56 and 51 %, respectively).

7039 Two additional sesame seed allergens Ses i 6 and Ses i 7, which are 11S globulins of high-molecular
 7040 weight sharing only 36 % identity, have been obtained by cloning (Beyer et al., 2007). The

7041 recombinant proteins were screened with sera of 24 patients with sesame allergy. Thirteen patients
 7042 showed a strong IgE binding to Ses i 6 and ten patients to Ses i 7. Clinical reactions were observed in
 7043 these patients involving the skin (n = 19), the gastrointestinal tract (n = 11), the respiratory system
 7044 (n = 6) and several organ systems (n = 12).

7045 The stability of the allergenic proteins to gastrointestinal digestion varied widely and consistently with
 7046 the reported IgE-binding data (Orruño and Morgan, 2011). The 2S albumins were highly stable to
 7047 digestion by all the enzymes tested. The 7S and 11S globulins were relatively labile to pepsin, but
 7048 generated stable polypeptides after digestion with trypsin and chymotrypsin.

7049 **25.4. Cross-reactivities**

7050 Few data are available on the clinical and immunological cross-reactivity of sesame seeds.

7051 Children sensitised to sesame had a high prevalence of sensitisation to peanuts (84.8 %), hazelnut
 7052 (82.9 %), egg (81.5 %), walnut (80.6 %) and almond (76.3 %) (Stutius et al., 2010). Both cross-
 7053 sensitivity and clinical cross-reactivity were observed between sesame, peanut and tree nuts. Children
 7054 sensitised or allergic to both peanuts and tree nuts may be more likely to be sensitised or allergic to
 7055 sesame (Stutius et al., 2010).

7056 By using a modified basophil activation test (mBAT), the sesame 11S globulin Ses i 6 showed partial
 7057 immunological cross-reactivity with walnut (Wallowitz et al., 2006b; Wallowitz et al., 2007).

7058 **25.5. Effects of food processing on allergenicity**

7059 The major sesame allergen Ses i 1 was thermo-stable up to 90 °C at neutral and acid pH, showing
 7060 minimal conformational alterations which were reversible on cooling, as shown by circular dichroism
 7061 (CD) and Fourier transform-infrared spectroscopy (FTIS) (Moreno et al., 2005). It was also highly
 7062 resistant to digestion in an *in vitro* gastrointestinal model system. However, no tests on the
 7063 antigenicity/allergenicity of heated samples have been reported.

7064 Protein extracts of sesame seeds prepared using different conditions (NaCl concentration, pH) showed
 7065 different immunological responses (Achouri and Boye, 2013). The immunoreactivity was higher for
 7066 isolates extracted with water and lower salt concentration (0.2 M NaCl) as compared with those
 7067 extracted at higher salt concentration (0.6 M and 1 M), on account of the different solubility of the
 7068 proteins extracted (Achouri et al., 2012). At higher salt concentration, salting out and aggregation
 7069 could have also prevented the interaction of the epitopes with the IgG antibodies.

7070 High pressure treatments (from 100 MPa to 500 MPa) markedly decreased the antigenicity of sesame
 7071 allergens at all pH values (in particular at pH 7 and 10), probably owing to the unfolding of the
 7072 proteins with loss of conformational epitopes, as monitored by FTIR (Achouri and Boye, 2013).
 7073 Thermal processes, such as boiling and dry roasting, increased the antigenic response, whereas
 7074 microwaving decreased it. IgE-binding capacity of sesame storage proteins was not significantly
 7075 altered by the application of γ -irradiation with doses up to 10 kGy (Zoumpoulakis et al., 2012).

7076 Subjects reacting to 100 mg up to 7 g of sesame seeds also reacted to a few milligrams of proteins in
 7077 sesame oil (Morisset et al., 2003a). This may indicate the presence of lipophilic proteins (oleosins) in
 7078 the lipid matrix, which may increase allergenicity.

7079 **25.6. Detection of allergens and allergenic ingredients in food**

7080 **25.6.1. ELISA**

7081 Immunochemical assays for the detection of sesame allergens with a LOD < 1 mg/kg of food have
 7082 been reported (Brett et al., 1998) and detection kits are commercially available (Poms et al., 2004a).
 7083 An indirect competitive ELISA assay, based on polyclonal antibodies, has been developed (Husain et
 7084 al., 2010). In crisp bread, crackers, cereals and snacks, the LOD was found to be 5 mg of sesame

7085 protein/kg of food, corresponding to 28 mg sesame/kg of food, with a LOQ of 30 mg sesame
7086 protein/kg of food, corresponding to 165 mg sesame/kg of food. In fresh bread and rolls, the LOD was
7087 11 mg of sesame protein/kg food, corresponding to 61 mg sesame/kg of food, with a LOQ of 49 mg/kg
7088 sesame protein/kg of food, corresponding to 270 mg sesame/kg of food. No cross-reactivity with other
7089 allergens was observed. It was not possible to detect sesame in sesame oil or in sesame roasted at
7090 250 °C with this method.

7091 A sandwich ELISA was also developed which did not show any cross-reactivity with 19 food
7092 ingredients commonly found in sesame containing foodstuffs (Redl et al., 2010). In wholegrain bread,
7093 crisp toasts and snacks the LOD was 0.5, 0.5 and 0.3 mg sesame protein/kg and the LOQ was 0.6, 0.8
7094 and 1.4 mg sesame protein/kg, respectively. The sandwich ELISA showed significantly lower LOD
7095 and LOQ than the competitive ELISA previously described. Sesame roasted at 250°C for 10 min could
7096 not be detected. This method was not tested for the detection of allergens in sesame oil.

7097 **25.6.2. PCR**

7098 PCR test kits for detecting sesame are commercially available. Selective real-time PCR methods for
7099 the detection of sesame in food are able to detect 5 pg of purified sesame seed DNA (Brzezinski,
7100 2007). Another method targeting the gene coding for Ses i 1 (Schoringhumer and Cichna-Markl, 2007)
7101 was able to recognise white, brown and roasted sesame, but not sesame oil, probably owing to the low
7102 concentration of DNA in oil. A good linearity was obtained down to 10 pg/µL, corresponding to an
7103 absolute amount of 50 pg or, assuming a haploid sesame genome size of 0.97 pg, 52 genomic copies.
7104 The assay did not show cross-reactivity with 17 common food ingredients.

7105 Another quantitative and sensitive real-time PCR method for the detection of sesame in food was
7106 developed (Mustorp et al., 2008) targeting the genes encoding for the two 2S albumins, Ses i 1 and Ses
7107 i 2, which show only a limited sequence homology. The assay gave a good performance with solid
7108 foods (wheat flour, barbecue spice) at a spiking level of 0.005 % (w/w), detecting template DNA
7109 below approximately 1 molecule. It was not tested for the detection of sesame in sesame oil.

7110 **25.6.3. Multiplex DNA**

7111 Multiplex DNA-based methods based on different approaches have been developed for the
7112 simultaneous determination of several allergens, including sesame.

7113 A duplex real-time PCR method to simultaneously detect sesame and hazelnut in food did not show
7114 cross-reactivity with 25 common food ingredients and allowed detection in spiked foods down to
7115 0.005 % of both sesame and hazelnut (Schoringhumer et al., 2009). A method for the simultaneous
7116 detection of celery and sesame in foods by means of an end-point PCR protocol in connection with a
7117 microchip CE platform (Coïsson et al., 2010) had a LOD of 1 mg/kg DNA for sesame.

7118 Two tetraplex qPCR for the detection of eight allergenic ingredients had a specificity and sensitivity
7119 for sesame in the range of 0.01 % (Köppel et al., 2010), whereas two quantitative hexaplex real-time
7120 PCR systems for the detection and quantification of 12 allergens in food (Köppel et al., 2012) had a
7121 LOD of 0.1 % for all analytes. Another six-plex qPCR able to detect six allergenic ingredients
7122 (Pafundo et al., 2010) had a LOD of the template sesame DNA of 0.5 pg.

7123 A multiplex ligation-dependent probe amplification (MLPA) method for the detection of 10 different
7124 nuts and sesame (Ehlert et al., 2009) had a reported LOD in the lower mg/kg range for all allergenic
7125 ingredients. A quantitative 10-plex competitive MLPA method for the detection of 10 allergenic
7126 ingredients, including sesame, with an internal positive control (IPC) had a high sensitivity (Mustorp
7127 et al., 2011) for sesame, with a LOD of 3.8 ng DNA, corresponding to 173 gene copy number (\pm 93)
7128 and similar or higher sensitivity for spiked foods.

7129 Optical thin-film biochips for multiplex visible detection of eight allergenic ingredients in food have
7130 been developed based on two tetraplex PCR systems (Wang et al., 2011b). The absolute LOD was 0.5

7131 pg sesame DNA, and the practical LOD for sesame concentration in a blended mixture was 0.001 %,
7132 the lowest value observed up to now.

7133 **25.7. Minimal (observed) eliciting doses**

7134 In one study (Morisset et al., 2003a), haemodynamic modifications and respiratory symptoms were
7135 observed in 8 % and in 42 %, respectively, of the 12 positive oral challenges (SBPCFC or DBPCFC)
7136 to sesame seeds analysed. A cumulative reactive dose \leq 65 mg of solid food (equivalent to 12.4 mg of
7137 sesame proteins) was found in 8 % of sesame allergic patients. The lowest eliciting dose was observed
7138 at \leq 30 mg of sesame seeds. Five out of six DBPCFCs with sesame oil were positive, and two patients
7139 had an anaphylactic shock with 1 and 5 mL, respectively.

7140 **25.8. Conclusion**

7141 Allergy to sesame seeds is well documented, especially in countries like Israel where exposure occurs
7142 early in life. Sesame seeds contain major allergens, which can cause severe anaphylactic reactions.
7143 Both sensitivity and clinical cross-reactivity were observed between sesame and peanut and tree nut.
7144 Thermal processes, such as boiling and dry roasting, increased the IgE-binding capacity, whereas
7145 microwaving decreased it. High pressure treatments markedly decreased the IgE-binding capacity of
7146 sesame allergens at all pH values. ELISA and real-time PCR methods are available to detect sesame in
7147 foods. DBPCFC studies show that both sesame seeds and sesame oil can elicit allergic reactions in
7148 sensitised patients, with MEDs ranging from 30 mg to 10 g of sesame seed, and few millilitres (1-5
7149 mL) of sesame oil. Few milligrams of sesame protein are enough to trigger severe symptoms.

7150 **26. Allergy to mustard**

7151 **26.1. Background**

7152 The mustard plant belongs to the *Brassicaceae (Cruciferae)* family. White/yellow (*Sinapis alba* L.),
7153 black (*Brassica nigra* L.) and brown/oriental mustard (*Brassica juncea* L.) are the main types of
7154 mustard seeds used in cuisine and food processing. Mustard powder commercially available is usually
7155 a mixture of ground white and black mustard seeds. White and brown seeds are blended to make the
7156 English style mustard. White mustard seeds are the main ingredient in Nord-American mustard, while
7157 the brown seeds are mainly used in Europe and China. Black mustard is mostly used in Indian cuisine.
7158 Mustard oil is also widely used as an edible oil and as a flavouring agent in India.

7159 Mustard is used on some meat dishes, like hot-dogs and hamburgers, and is very often an added
7160 ingredient in spices, sauces, salads and other foods. For example, mayonnaise as well as ketchup and
7161 curry mixtures may contain mustard. Mustard is also used in traditional remedies (Rancé et al., 2000).
7162 Mustard consumption in different countries varies according to local food habits.

7163 **26.2. Epidemiology**

7164 **26.2.1. Prevalence**

7165 Prevalence data from DBPCFC for allergy to mustard are scarce owing to the difficulty of masking the
7166 strong taste of mustard. In addition, oral challenges to confirm mustard allergy have been considered
7167 an unethical health risk owing to the severity of systemic reactions reported following ingestion of
7168 mustard in allergic individuals.

7169 Only one population-based study based on self-reported diagnosis of mustard allergy could be found
7170 in the literature (Touraine et al., 2002), where 3 % of teenagers (5-17 year olds) in France reported
7171 adverse reactions to mustard.

7172 Data on the prevalence of mustard sensitisation, clinical mustard allergy and the incidence of severe
7173 allergic reactions to mustard in the general population are lacking.

7174 Data obtained in patient populations are particularly abundant in France, where sensitisation rates form
 7175 1 % to 28 % have been reported in food allergic adults and children between 1983 and 2001 (Moneret-
 7176 Vautrin and André, 1983; André et al., 1994; Rancé et al., 1999).

7177 The prevalence of mustard allergy in clinical studies using DBPCFC or OFC varies between 23.3 %
 7178 among children with a previous history of a clinical reaction to mustard (Morisset et al., 2003b), 42 %
 7179 among previously sensitised children (Rancé et al., 2000; Rancé et al., 2001), and 58 % among atopic
 7180 adults (Figueroa et al., 2005).

7181 Studies testing sensitisation to mustard in patient populations based on SPT, mustard specific IgE and
 7182 RAST are also available. In Spain, 18.2 % of a patient population (n = 269), who visited their allergy
 7183 clinic for other reasons, had a positive SPT to mustard (Leanizbarrutia et al., 1988). Among a
 7184 subpopulation of pollen SPT positive patients from the same study, 54.2 % (n = 49) were SPT positive
 7185 to mustard, but only 4 (8 %) complained of symptoms associated with mustard ingestion. In another
 7186 Spanish study, mustard allergy (unequivocal history with positive SPT or serum specific IgE (CAP-
 7187 System) and absence of symptoms after mustard elimination from the diet) accounted for 7 % of food
 7188 allergy consultations (8 of 120 subjects) in the Canary Islands (Castillo et al., 1996). In Finland, a
 7189 series of 1 120 atopic and 380 non-atopic patients were SPT tested for allergy to spices including curry
 7190 (Niinimäki and Hannuksela, 1981). When 71 of the curry-positive subjects were SPT tested with curry
 7191 components, 23 (32.4 %; 2.2 % of all atopic subjects tested) had positive reactions to mustard.
 7192 However, as in Spain, only five patients reported clinical symptoms when eating seasoned food. In
 7193 another Finnish study, 58 % of the 50 subjects with a history of reaction to spices and pollen tested
 7194 with SPT and RAST showed positive reaction to mustard in at least one test (Niinimaki et al., 1989).

7195 It is to be noted that prevalence to mustard in atopic children varies: 22 of 49 subjects (44 %) in
 7196 Finland (Niinimaki et al., 1995), 23 out of 83 (28 %) in southwest France (Rancé et al., 2002) and
 7197 lower in other parts of France (1 %) (Moneret-Vautrin, 2001).

7198 **26.2.2. Natural history**

7199 There are no data available regarding the natural history of mustard allergy.

7200 **26.2.3. Time trends**

7201 Since only one population-based study on the prevalence of self-reported allergy to mustard is
 7202 available, no time trends for mustard allergy can be derived.

7203 **26.2.4. Severe reactions/anaphylaxis**

7204 The potential severity of mustard allergy has been described by several authors. The risk of severe
 7205 reactions and anaphylaxis appears to be higher in adults than in children based on results of DBPCFC
 7206 (Morisset et al., 2003b; Figueroa et al., 2005). Anaphylactic reactions have been reported in 2 % of
 7207 children (Rancé et al., 1998; Rancé et al., 1999) and in up to 48 % of adults with confirmed mustard
 7208 allergy (Caballero et al., 2002).

7209 Based on specific IgE, mustard was incriminated in 11 % of food allergy reactions and 3 % of the
 7210 anaphylactic reactions in 580 patients (480 adults, 100 children) with a “pathological reaction” to
 7211 food, 60 of which had severe, near-fatal reactions (André et al., 1994). In a multicentre survey of food-
 7212 induced anaphylactic shocks in France, two of the 81 reported cases were identified as being caused
 7213 by mustard (Moneret-Vautrin and Kanny, 1995). In a report published by Health Canada in 2009¹², 22
 7214 individual cases of allergic reactions to mustard were described in 13 international case reports, 15 of
 7215 which reported anaphylactic-type reactions that required emergency medical intervention. Other
 7216 severe reactions described in case reports included laryngeal oedema, generalised urticaria and
 7217 bronchial asthma.

¹² http://www.hc-sc.gc.ca/fn-an/alt_formats/pdf/pubs/label-etiquet/mustard-moutarde/index-eng.pdf

7218 **26.2.5. Factors affecting prevalence of mustard allergy**

7219 The occurrence of mustard allergy symptoms was observed in children under the age of 3 years
 7220 (Amlot et al., 1987; Rancé et al., 2000; Rancé et al., 2001) in France, which is one of the largest
 7221 consumers in Europe and where mustard was previously present in certain commercial foods for
 7222 toddlers. However, despite a low consumption of mustard in Finland and no information related to the
 7223 introduction of mustard in baby foods or a significant consumption by young children in this country,
 7224 100 % positive SPT and specific IgE to mustard was reported in 14 Finnish children with atopic
 7225 dermatitis and positive challenge to turnip rape, which belongs to the *Brassicaceae* family (Poikonen
 7226 et al., 2009). Five of these children (36 %) had a positive oral challenge to mustard. The 2S albumin
 7227 allergens present in the seeds of certain plants from the *Brassicaceae* family (mustard, oilseed rape,
 7228 turnip rape) were considered to be highly cross-reactive and to play a role as sensitisers (via oral or
 7229 respiratory route), particularly in children with atopic dermatitis.

7230 Positive SPTs were reported in three children aged 12-18 months, breastfed until the age of 11 months,
 7231 who had never consumed mustard (Niinimaki et al., 1989). It has been suggested that sensitisation *in*
 7232 *utero*, during lactation and early consumption in baby foods may occur, as with peanut and sesame
 7233 seed. It has been reported that the prevalence of mustard allergy increases with age in children (Guillet
 7234 and Guillet, 2000).

7235 The presence of mustard allergy in small children may be taken as an indication of primary
 7236 sensitisation to mustard in at least some food allergies. The reported cross-reactivities with pollens and
 7237 with other members of the *Brassicaceae* family may influence the prevalence of specific IgE and SPT
 7238 positivity, lead to an overestimation of the prevalence of sensitisation to mustard, and may possibly
 7239 also influence the occurrence of oral allergy syndrome-like symptoms elicited by mustard. Another
 7240 factor contributing to a possible overestimation of mustard sensitisation and allergy, as determined by
 7241 SPT or labial provocation challenge, is the presence of irritating substances in mustard that may cause
 7242 false positive allergy-like reactions.

7243 **26.3. Identified allergens**

7244 Several mustard allergens have been identified and characterised (Table 29).

7245 **Table 29:** Mustard allergens

Scientific name (common name)	Allergen	Biochemical name	Superfamily/family	Molecular Weight ^a
<i>Sinapis alba</i> (yellow mustard)	Sin a 1	2S albumin	prolamin	14
	Sin a 2	11S globulin	cupin	51 ^b
	Sin a 3	nsLTP	prolamin	12.3 ^b
	Sin a 4	profilin	profilin	13-14 ^b
<i>Brassica juncea</i> (oriental mustard)	Bra j 1	2S albumin	prolamin	14 ^b

7246 ^aMW (SDS-PAGE); ^bkDa

7247 Sin a 1 and Sin a 2 are the main protein components of yellow mustard seeds. Sin a 1 is a 2S seed
 7248 storage albumin constituted by two disulphide-bonded subunits of 10 and 4 kDa (Menendez-Arias et
 7249 al., 1988). Immunologic mapping of Sin a 1 with 10 monoclonal antibodies showed two
 7250 immunodominant regions, one located in the large chain (a continuous epitope) and the other in the
 7251 hypervariable region of the molecule (Menendez-Arias et al., 1990). Sin a 1 is thermostable and
 7252 resistant to digestion by trypsin and other proteolytic enzymes (EFSA, 2004; Palomares et al., 2005).
 7253 Sin a 1 interacts with cell membranes, facilitating its uptake in the intestine. Sin a 1 was the first food

7254 allergen to be cloned and expressed by molecular biology techniques (Gonzalez de la Peña et al.,
 7255 1993; Gonzalez De La Peña et al., 1996).

7256 Sin a 2, an 11S globulin of the cupin superfamily, is a multimeric protein (Palomares et al., 2005;
 7257 Palomares et al., 2007). The single-chain nsLTP Sin a 3 and the profilin Sin a 4 are contained in
 7258 yellow mustard seeds in very low amounts (Sirvent et al., 2009).

7259 Bra j 1 is a 2S seed storage albumin similar to Sin a 1 in structure and amino acid composition
 7260 (Gonzalez de la Peña et al., 1991).

7261 A detailed clinical characterisation of 34 patients with mustard allergy combined with component-
 7262 resolved diagnosis was performed by using yellow mustard extract and the four purified mustard
 7263 allergens Sin a 1, Sin a 2, rSin a 3, and rSin a 4 (Vereda et al., 2011a). A SPT was performed and the
 7264 specific IgE level in serum was measured by ELISA. All patients reported a clear immediate allergic
 7265 reaction with mustard within the first 30 minutes of consumption and had positive SPT to mustard
 7266 extracts. Twenty-seven patients developed immediate systemic reactions after the ingestion of
 7267 mustard, 28 had symptoms with other plant foods and 24 were allergic to pollen. Twenty-five patients
 7268 had a positive SPT to Sin a 1, and 19 to Sin a 2. Twenty-five of the 34 tested sera had positive IgE to
 7269 Sin a 1, 16 to Sin a 2, 14 to rSin a 3 and eight to rSin a 4. A significant positive correlation was found
 7270 between SPT and ELISA for Sin a 1 and Sin a 2, but not for rSin a 3 and rSin a 4.

7271 Specific IgE against Sin a 1 was the most suitable diagnostic marker to determine genuine
 7272 sensitisation to yellow mustard and specific IgE against Sin a 2 was an useful marker to predict the
 7273 severity of symptoms, whereas specific IgE against Sin a 3 and Sin a 4 were associated with
 7274 sensitisation to other plants of the *Rosaceae* family and to *Artemisia vulgaris* pollen (Vereda et al.,
 7275 2011a).

7276 Mustard also contains a number of irritating substances, such as isothiocyanates in *B. nigra*, sinalbin in
 7277 *S. alba*, and capsaicin, which may trigger non-immune mediated reactions mimicking allergic
 7278 reactions. These may lead to false positive SPT and difficult interpretation of labial provocation tests.
 7279 For example, capsaicin induces release of substance P, which may trigger non-IgE-mediated mast cell
 7280 degranulation (Rancé et al., 2000).

7281 **26.4. Cross-reactivities**

7282 Specific IgE for both Sin a 1 and the 2S fraction of *Brassica juncea* were detected in 10 sera from
 7283 mustard sensitive individuals. Also six monoclonal antibodies and a rabbit polyclonal serum specific
 7284 for Sin a 1 recognised the 2S fraction of *Brassica juncea*, suggesting that Bra j 1 and Sin a 1 may share
 7285 a homologous epitope and that subjects allergic to one type of mustard may also react to other types
 7286 (Gonzalez de la Peña et al., 1991; Monsalve et al., 1993; Palomares et al., 2007).

7287 The *Brassicaceae* (*Cruciferae*) family includes a number of common vegetables, like cabbage,
 7288 cauliflower, Chinese cabbage, Brussels sprouts, broccoli, turnip, rutabaga and radishes, and rape
 7289 (Monreal et al., 1992). High *in vitro* cross-reactivity between Sin a 1 and the major allergen of
 7290 rapeseed (*Brassica napus*) Bra n 1 has been reported (Bartolome et al., 1997; Asero et al., 2002). Bra n
 7291 1 is a close homologue of Sin a 1, with 94 % of sequence homology. The antigenic properties of Bra n
 7292 1 and Sin a 1 were studied using sera from mustard and rapeseed-sensitive patients. The recombinant
 7293 rapeseed 2S pronapin precursor protein binded IgE in sera from mustard allergic patients. Also, a Sin a
 7294 1-specific polyclonal rabbit antiserum was able to bind IgE in serum from a rapeseed allergic patient
 7295 (Palomares et al., 2002), which indicates serological cross-reactivity between mustard and rapeseed.

7296 Sensitisation and allergy to turnip rape (*Brassica rapa* spp. *oleifera*), oilseed rape (*B. napus* spp
 7297 *oleifera*) and mustard seeds (*S. alba*) was observed in Finnish and French children with atopic
 7298 dermatitis (AD) (Poikonen et al., 2009). Turnip rape challenge was positive in 5 (36 %) French and all
 7299 the 14 Finnish children, although the frequency of positive mustard challenge was the same (36 %).
 7300 Specific IgE antibodies from the challenged children, measured by ImmunoCAP and ELISA, were

7301 cross-reactive with the purified 2S albumin allergens present in the seeds of these plants (Bra r 1, Bra
 7302 n 1 and Sin a 1, respectively), which are highly homologous.

7303 Few reports of clinical cross-reactivity between mustard and foods other than *Brassicaceae* species are
 7304 available (Asero et al., 2002; Caballero et al., 2002). A significant association between allergies to
 7305 nuts and spices has been found (Castillo et al., 1996). At the molecular level, the 11S globulin Sin a 2,
 7306 which is associated to severe adverse reactions in mustard allergic patients, shares IgG epitopes with
 7307 11S globulins of almond, walnut, pistachio and hazelnuts, but not from peanuts, and is involved in IgE
 7308 cross-reactivity with tree nuts and peanuts (Sirvent et al., 2012).

7309 Sin a 3 and Sin a 4 showed IgE cross-reactivity with fruits of the *Rosaceae* family, such as peach and
 7310 melon (Sirvent et al., 2009). An association between specific IgE to rSin a 3 and allergy to *Rosaceae*
 7311 fruits (mainly peach) or *Artemisia vulgaris* pollen was observed in patients with mustard allergy
 7312 (Vereda et al., 2011a).

7313 An association between mustard allergy and pollen allergy has been observed as part of the “celery-
 7314 mugwort-birch-spice syndrome” (Bauer et al., 1996). Food homologues of the major mugwort allergen
 7315 Art v 1 may be responsible. An analogous “mugwort-mustard allergy syndrome” has been proposed
 7316 (Figueroa et al., 2005) owing to the high association found between sensitisation to *Artemisia vulgaris*
 7317 pollen and mustard allergy (37 out of 38 patients). Partial cross-reactivity between mustard and
 7318 mugwort pollen was confirmed by CAP-inhibition assays. The same patients (92.1 %) were sensitised
 7319 to other pollens belonging to weeds (*Chenopodium* and *Chrysanthemum*), grasses (*Poa*, *Lolium* and
 7320 *Anthoxanthum*) and trees (*Ulmus* and *Platanus*), although at lower rates.

7321 **26.5. Effects of food processing on allergenicity**

7322 No clinical studies addressing the allergenicity of mustard after food processing are available.
 7323 However, the stability of mustard allergens to thermal treatments is well documented. Sin a 1 showed
 7324 the characteristic resistance of the 2S albumin family to denaturation upon heat treatments, keeping
 7325 the integrity of IgE and IgG epitopes because the global folding is maintained. Sin a 3, a nsLTP,
 7326 despite its conformational change after strong heating (95 °C for 30 min), also maintained the IgE and
 7327 IgG reactivity. Both Sin a 1 and Sin a 3 belong to the prolamin superfamily and share a stable
 7328 structural folding, with four α-helices stabilised by disulphide bridges.

7329 Sin a 1 extracted from yellow mustard seeds and recombinant Sin a 3 were resistant to gastric
 7330 digestion and partially resistant to intestinal digestion in an *in vitro* model, retaining significant IgE-
 7331 binding (Gonzalez De La Peña et al., 1996; Sirvent et al., 2012). The resistance of LTP proteins to *in*
 7332 *vivo* proteolytic degradation within the gastrointestinal tract may explain the severe allergic reactions
 7333 of LTP-sensitised patients (Fu et al., 2002). Sin a 1 and Sin a 3 could reach unaltered the gut immune
 7334 system and trigger systemic reactions (Moreno, 2007). In contrast, the profilin Sin a 4 was completely
 7335 digested by gastric enzymes and its secondary structure was irreversibly unfolded by heat treatment.

7336 Edible oils may be produced from mustard seeds by different processes. Depending on the degree of
 7337 refinement, oils may contain various amounts of proteins. In one study (Koppelman et al., 2007), no
 7338 allergenic proteins were detected in a mustard seed oil using an ELISA method. However, the solvent
 7339 used for the extraction of the proteins (aqueous buffer at pH 8) was not appropriate for the purpose.

7340 **26.6. Detection of allergens and allergenic ingredients in food**

7341 A number of methods of detection, based on either ELISA assays or PCR analysis, have been
 7342 developed for mustard.

7343 **26.6.1. ELISA**

7344 Koppelman et al. (Koppelman et al., 2007) described an inhibition ELISA assay for detecting the
 7345 presence of allergens from *B. juncea* in a mustard oil with a LOD of 1.5 mg/kg. Proteins were

7346 extracted at pH 8 with a TRIS buffer. Weak cross-reactivity with soy (0.016 %) and milk (0.28 %) was
 7347 reported.

7348 Two quantitative sandwich ELISA assays have been developed for detecting the three varieties of
 7349 mustard seeds with a LOQ of 1-3 µg/mL (Lee et al., 2008). Their performance was tested in retail
 7350 foods (Lee et al., 2009). The detectability of mustard allergens was much lower in acidic salad
 7351 dressings, probably owing to the decreased solubility of the proteins, highlighting the importance of
 7352 evaluating the applicability of the ELISA assays to the different food matrices.

7353 A less sensitive sandwich ELISA targeting the Sin a 1 allergen from *S. alba*, with a LOD of 0.3 µg/mL
 7354 for the purified protein, has been described (Shim and Wanasekara, 2008). The Sin a 1 content of six
 7355 mustard seed genetic lines was in the range of 0.82-2.94 mg/g when extracted with phosphate buffer
 7356 saline (PBS) at pH 7.4. The sample extraction conditions for full recovery of Sin a 1 need to be
 7357 considered when assessing its allergenicity.

7358 Limitations of these ELISA methods are the partial recovery of the proteins and cross-reactivity (up to
 7359 50 %) with rapeseed (*Brassica napus*) (Monsalve et al., 1997; Lee et al., 2008).

7360 ELISA kits for mustard detection are commercially available. One has been recently validated in an
 7361 inter-laboratory study (Cuhra et al., 2011) with a LOQ of 1.8 mg/kg and a LOD of 0.5 mg/kg. The
 7362 method did not show cross-reactions with other allergenic foods.

7363 26.6.2. PCR

7364 A real-time PCR method (Fuchs et al., 2010) specifically targeted the gene coding for white mustard
 7365 (Sin a 1). The method is specific and does not show cross-reactivity with other biological species,
 7366 including members of the *Brassicaceae* family. The LOD was 1 pg of white mustard DNA/µl,
 7367 corresponding to 5 pg of white mustard DNA. In model sausages, the LOD was 10 mg/kg. The method
 7368 was successfully applied to a series of commercial products. Another real-time PCR method targeted
 7369 the simultaneous detection of black mustard (*B. nigra*) and brown mustard (*B. juncea*) in food (Palle-
 7370 Reisch et al., 2013). The DNA of both mustard species could be detected down to 0.1 pg. The method
 7371 applied to brewed sausages allowed the detection of 5mg/kg of black and brown mustard. No cross-
 7372 reactivity was observed with other *Brassicaceae* species, with the exception of white mustard. A real-
 7373 time PCR method (Mustorp et al., 2008), which targeted the mustard SinA gene showed some cross-
 7374 reactivity with other *Brassica* species.

7375 A quantitative multiplex ligation-dependent probe amplification (MLPA) method (Mustorp et al.,
 7376 2011) for the simultaneous detection of eight allergenic ingredients including mustard also detected
 7377 other members of the *Brassicaceae* family such as cabbage, radish and broccoli. The LOD was 5-400
 7378 gene copies.

7379 Mustard DNA has also been detected by two hexaplex real-time PCR systems targeting twelve
 7380 allergenic ingredients in foods (Köppel et al., 2012). The two tests exhibited a good specificity and a
 7381 LOD of at least 0.1 % of total DNA for all analytes, but no quantitative results relative to the
 7382 allergenic ingredient by weight could be given.

7383 Optical thin-film biochips for multiplex visible detection of eight allergenic ingredients, including
 7384 mustard, have been developed based on two tetraplex PCR systems (Wang et al., 2011b). The method
 7385 is fast, high throughput, and the results are visible at the naked eye. The LOD for mustard was not
 7386 reported.

7387 26.7. Minimal (observed) eliciting doses

7388 Two DBPCFC studies (Morisset et al., 2003b; Figueroa et al., 2005) and one SBPCFC (Rancé et al.,
 7389 2002) documenting mustard allergy and anaphylactic reactions to mustard have been published.

7390 A study was performed on 38 mustard hypersensitive patients (mean age 21.9 ± 8.6 years; age range
 7391 3-39 years old), 10.5 % of which had reported systemic anaphylaxis after mustard ingestion (Figueroa
 7392 et al., 2005). Fourteen patients were not tested with DBPCFC because of severe symptoms or denial of
 7393 consent. The remaining 24 patients underwent DBPCFCs with a commercial mustard sauce mixed in
 7394 vanilla-lemon flavoured yoghurt to mask its strong taste. The mustard sauce was composed of water,
 7395 *S. alba* seeds (14 % w/v), vinegar, salt, turmeric, paprika and cloves. Increasing doses of the yoghurt
 7396 mixture (80, 240, 800, 2400 and 6480 mg) were administered at 15-min intervals until symptoms
 7397 appeared or a cumulative dose of 10g of mustard sauce was reached. The test resulted positive in 14
 7398 cases (58 %) and the most frequent symptom observed was OAS (10 subjects; 71 %). The MOED was
 7399 156.8 mg of mustard sauce in most severe cases (one case of angioedema and bronchial asthma and
 7400 one case of systemic anaphylaxis), while the MOED for milder symptoms (OAS) was 44.8 mg of
 7401 mustard sauce. A significant relationship between the SPT and the DBPCFC results was reported.

7402 A non randomised DBPCFC was conducted in mustard seasoning on 24 patients (age 3-20 years)
 7403 recruited on the basis of being SPT positive to mustard, and not on clinical symptoms (Morisset et al.,
 7404 2003b). Six additional patients were tested with a SBPCFC. Increasing doses of seasoning (10, 30,
 7405 100, 300 and 900 mg) were given every 20 minutes, to a total cumulative dose of 1340 mg. Seven
 7406 patients tested positive, indicating that 23 % of the SPT positive patients had clinical allergy to
 7407 mustard. SPTs were performed with four different mustard seasoning preparations, and specific serum
 7408 IgE to mustard was determined (RAST). The MOED was 440 mg of mustard seasoning, while a dose
 7409 of 40 mg of mustard seasoning triggered a reaction in a subject experiencing rhinitis and urticaria. The
 7410 40 mg dose corresponds to 13.5 mg of mustard seeds (*Brassica juncea*), which is roughly equivalent to
 7411 0.8 mg of mustard proteins (*B. juncea* is considered to contain an average of 6 % proteins).

7412 In a SBPCFC with mustard seed powder (including *S. alba* and *B. juncea*), 36 skin prick test positive
 7413 children (average age 5.5 years: range from 10 months to 15 years) were tested and compared with 22
 7414 control subjects with no history of food allergies (Rancé et al., 2000; Rancé et al., 2001). A mustard
 7415 seed powder containing both *S. alba* and *B. juncea* was used for the SPT. Progressive doses of mustard
 7416 (1, 5, 10, 20, 50, 100, 250, and 500 mg) were given. Of the 36 challenged subjects, 15 had positive
 7417 reactions (42 %) and 21 were not allergic to mustard. The mean cumulative reactive dose was 153 mg
 7418 of mustard powder. Eight of the subjects with a positive reaction (53 %) had exhibited symptoms of
 7419 allergy to mustard under the age of 3 years.

7420 **26.8. Conclusion**

7421 Mustard is commonly used all over the world. Mustard allergy and anaphylactic reactions to mustard
 7422 have been documented by DBPCFC studies, and the major allergens in mustard have been
 7423 characterised. The prevalence of mustard allergy in the general unselected population is unknown
 7424 because frequency estimates are mainly based on patient series. The major mustard allergens are heat-
 7425 resistant and food processing is unlikely to alter their immunogenic properties. A number of methods
 7426 of detection, based on either ELISA assays or PCR analysis, have been developed for mustard. Protein
 7427 doses triggering allergic reactions in mustard allergic patients are around 1 mg.

7428 **27. Adverse reactions to sulphites**

7429 **27.1. Background**

7430 Sulphites, or sulphiting agents, are defined as sulphur dioxide and several inorganic sulphite salts that
 7431 may liberate SO₂ under appropriate conditions. These include sodium and potassium metabisulphites
 7432 (Na₂S₂O₅, K₂S₂O₅), sodium and potassium bisulphites (NaHSO₃, KHSO₃) and sodium and potassium
 7433 sulphites (Na₂SO₃, Na₂SO₃) (Simon, 1998).

7434 Sulphites can occur naturally in foods as a consequence of fermentation (e.g. of wine) (Taylor et al.,
 7435 1986), may be added to foods as preservatives or colours (Bush et al., 1986a), and may be found in
 7436 medications, including those used for the treatment of allergic reactions (Nicklas, 1989). Sulphites
 7437 have been used for centuries in the preservation of alcoholic drinks (e.g. cider, wine and beer), but

7438 their use has expanded to several other products (Bush et al., 1986b). Sulphiting agents used as food
 7439 additives are given in Table 30.

7440 When added to foods, sulphites inhibit enzymatic browning (e.g. in fresh fruits and vegetables,
 7441 shrimps and raw potatoes) as well as non-enzymatic browning (e.g. in dried foods and dehydrated
 7442 vegetables) (Taylor et al., 1997; Simon, 1998). They also have antimicrobial activity (as in wine and
 7443 beer), dough-conditioning properties (as in frozen pies and pizza crusts) and bleaching effects (as in
 7444 maraschino cherries) and they are used as processing aids in beet sugar (Bush et al., 1986b; Simon,
 7445 1998).

7446 The levels of sulphites contained in foods range from < 10 mg/kg (e.g. frozen dough, corn syrup,
 7447 jellies) to 60 mg/kg (e.g. fresh shrimp, pickles, fresh mushrooms) and 100 mg/kg (e.g. dried potatoes,
 7448 wine vinegar). The highest levels of sulphites (up to 1 000 mg/kg) can be found in dried fruit, wine,
 7449 fruit juices (e.g. lemon, lime, grape) and certain freshly prepared sauces available from retailers
 7450 (Simon, 1998).

7451 **Table 30:** Sulphiting agents used as food additives

Type	E number	Sulphiting agent
Preservatives	E 220	sulphur dioxide
	E 221	sodium sulphite
	E 222	sodium hydrogen sulphite
	E 223	sodium metabisulphite
	E 224	potassium metabisulphite
	E 226	calcium sulphite
	E 227	calcium hydrogen sulphite
	E 228	potassium hydrogen sulphite
Colours	E150b	caustic sulphite caramel
	E150d	sulphite ammonia caramel

7452

7453 Directive 95/2/EC¹³ on food additives other than colours and sweeteners states maximum levels of
 7454 sulphites for several foods and beverages expressed as SO₂ equivalents in mg/kg or mg/L, which refer
 7455 to the total quantity available from all sources. The capacity to release SO₂ varies between the salts. In
 7456 Directive 95/2/EC, in case of "an SO₂ content of no more than 10 mg/kg or 10 mg/L, SO₂ is
 7457 considered not to be present". Regulation (EC) No 606/2009 states maximum levels of SO₂ in wines¹⁴.
 7458 Sulphur dioxide and sulphites in foods and beverages at concentrations of more than 10 mg/kg or
 7459 10 mg/L expressed as SO₂ equivalents are subject to mandatory labelling in Europe¹⁵. The basis of this
 7460 threshold was the LOD of sulphites in foods and beverages with the methods of detection available at
 7461 that time.

7462 Indeed early assessments of consumer's exposure to sulphites in foods were difficult owing to
 7463 shortcomings of the methods of measurement and to the fact that storage and preparation of food
 7464 affects the final content of sulphites. Average daily intakes in the US were estimated to be 19 mg of
 7465 sulphur dioxide equivalents (SDE; 297 µmol of sulphite), with the 99th percentile of the population
 7466 consuming daily 163 mg of SDE (~ 2.5 mmol of sulphite) (FDA (Food and Drug Administration),

¹³ European Parliament and Council Directive 95/2/EEC on food additives other than colours and sweeteners. OJ L 061, 18.03.1995, p. 1-40.

¹⁴ Commission Regulation (EC) No 606/2009 of 10 July 2009 laying down certain detailed rules for implementing Council Regulation (EC) No 479/2008 as regards the categories of grapevine products, oenological practices and the applicable restrictions. OJ L 193, 24.07.2009, p. 1-59.

¹⁵ Directive 2003/89/EC of the European Parliament and of the Council of 10 November 2003 amending Directive 2000/13/EC as regards indication of the ingredients present in foodstuffs. OJ L 308, 25.11.2003, p. 15-18.

7467 1985). In France, average daily intakes were estimated as 20 mg SO₂, reaching 31.5 mg/day among
 7468 consumers of cider, beer and wine (Mareschi et al., 1992), and as 23 mg SO₂ (0.78 mg/kg/day) in
 7469 children and 50 mg/day (0.84 mg/kg/day) in adults in Italy, where dried fruits and wine (in adults)
 7470 were the major contributors (Leclercq et al., 2000). Average intakes estimated in European countries
 7471 using more sensitive methods for the detection and quantification of sulphites in foods and beverages
 7472 are 0.16-0.17 mg/kg/day in adults (95th percentile 0.59-0.60 mg/kg/day) and 0.031-0.04 mg/kg/day in
 7473 children (95th percentile 0.12-0.14 mg/kg/day) in France (Bemrah et al., 2012); 0.59-0.62 mg/kg/day
 7474 in adults and 0.24 mg/kg/day in children in Austria (Mischek and Krapfenbauer-Cermak, 2012); and
 7475 0.34 mg/kg/day (97.5th percentile 1.1 mg/kg/day) in adults in Belgium (Vandevivere et al., 2010).

7476 Adverse reactions to ingested sulphites were first reported in 1976 (Prenner and Stevens, 1976). Data
 7477 on adverse reactions to sulphites have accumulated in the 80's and 90s, but very few reports have
 7478 become available since the year 2000, and particularly after their labelling became mandatory in the
 7479 US and the EU.

7480 **27.2. Frequency**

7481 The prevalence of sensitivity to sulphiting agents in the general population is unknown (Bush et al.,
 7482 1986a).

7483 Prevalence estimates of sulphite sensitivity among asthmatics reported in the literature (1-4 % among
 7484 all asthmatics; 5-10 % among steroid-dependent asthmatics) are generally based on series of patients
 7485 referred to allergy clinics and may overestimate prevalence in the general population (SCF (Scientific
 7486 Committee for Foods), 1994), as do estimates of the percentage of asthmatics sensitive to oral
 7487 challenges with sulphites, which range from less than 4 % up to 66 % depending on the selection
 7488 criteria and challenge protocol used (EFSA, 2004; Vally et al., 2009). The FDA estimates that 1 %
 7489 people in the general population are sulphite sensitive, and that 5 % of those who have asthma are also
 7490 at risk of suffering an adverse reaction to sulphites (FDA (Food and Drug Administration), 1996).

7491 The average age individuals experiencing asthma after exposure to sulphites is 40 years, and women
 7492 appear to be more sensitive (Gunnison and Jacobsen, 1987; Simon, 1989). Adverse reactions to
 7493 sulphites are less commonly reported in pre-school children, possibly owing to their lower
 7494 consumption of foods with high sulphite content, including alcoholic beverages (Lester, 1995).

7495 **27.3. Clinical features**

7496 **27.3.1. Symptoms**

7497 Most reactions to sulphites are characterised by bronchospasm, occasionally severe, which can occur
 7498 within minutes after ingestion of sulphite-containing foods. In restaurants, the sudden choking
 7499 sensation may incorrectly be attributed to aspiration of food (Nicklas, 1989). Bradycardia, flushing
 7500 and prominent gastrointestinal symptoms (Sheppard et al., 1980; Schwartz, 1983), 1983), as well as
 7501 urticaria, angioedema, hypotension (Prenner and Stevens, 1976; Habenicht et al., 1983; Schwartz,
 7502 1983) and shock (Lester, 1995) have also been reported (Vally et al., 2009).

7503 **27.3.2. Diagnosis**

7504 A careful clinical history, though important in detecting sulphite sensitivity, is not sufficient for
 7505 diagnosis, whereas skin testing (prick puncture or intradermal technique) allows identifying only a
 7506 small fraction of patients. Challenge protocols for the diagnosis of sensitivity to ingested sulphites
 7507 have been developed. The standard practice has been to use oral challenges with < 100 mg/mL of
 7508 sulphites for asthmatics and a maximum dose of 200 mg for subjects with a history of sulphite-induced
 7509 urticaria or anaphylaxis (Simon, 2003). Unlike non-asthmatic individuals, most subjects with asthma
 7510 are sensitive to inhaled SO₂, so that oral challenges with acid solutions may induce false positive
 7511 results in terms of oral sensitivity if the dosage is high (Simon, 1998). Substances used for the
 7512 challenges are usually contained in opaque capsules, which are consumed orally, and thus negative
 7513 results do not exclude sensitivity to inhaled sulphates.

7514 **27.4. Pathogenesis**

7515 The pathogenesis of adverse reactions to sulphites has not been clearly documented. Three possible
 7516 mechanisms have been invoked: an IgE-mediated reaction, a sulphite-induced cholinergic response
 7517 and low levels of the enzyme sulphite oxidase (Bush et al., 1986b; Nicklas, 1989; Lester, 1995).

7518 Several studies have not been able to demonstrate an IgE-mediated mechanism (Gunnison and
 7519 Jacobsen, 1987; Nicklas, 1989) or the presence of a specific antibody (Lester, 1995). In addition, an
 7520 IgE-mediated reaction to sulphites is unlikely, given the nature of the molecule.

7521 The bronchoconstrictive effect of inhaled SO₂, mediated by parasympathetic nerve endings in the
 7522 bronchi, has been studied with respect to environmental pollutants. Whether gastroesophageal reflux
 7523 of SO₂ causes bronchospasm in sulphite sensitive patients is not clear. It has been hypothesised that
 7524 sulphites can induce a cholinergic response and stimulate release of gastrin and other active mediators
 7525 in sulphite sensitive patients (Nicklas, 1989).

7526 Low levels of the mitochondrial enzyme sulphite oxidase have been demonstrated in some sulphite
 7527 sensitive patients (Stevenson and Simon, 1984). Absorbed sulphites are added to those produced
 7528 endogenously and increase the demand placed on the enzyme sulphite oxidase. It is possible that when
 7529 this demand is not met, sulphite sensitive patients exhibit symptoms. It has also been hypothesised that
 7530 a number of food additives, including sulphites, induce intolerance because of their aspirin-like
 7531 properties (Williams et al., 1989), and an association between respiratory reactions to aspirin and those
 7532 to sulphites has been reported (Sabbah et al., 1987; Hassoun et al., 1994).

7533 Two DBPCFCs performed to address the role of sulphites in wine-induced asthma suggest that the
 7534 changes in bronchial hyperresponsiveness observed in some of the patients tested could not be
 7535 attributed only to the presence of sulphites in wine (Vally et al., 1999; Vally et al., 2007).

7536 **27.5. Possible effects of food processing on adverse reactions to sulphites**

7537 The amounts of sulphites initially used to treat foods do not reflect residue levels after processing.
 7538 Storage and preparation of food also affects the final amount of sulphites consumed. Mechanisms of
 7539 loss include volatilisation to SO₂ in acidic conditions, leaching, auto-oxidation, as well as the
 7540 irreversible reactions with food constituents (Gunnison and Jacobsen, 1987).

7541 Sulphites can react with food constituents, including sugars, proteins and lipids, to form adducts or
 7542 derivatives. Some of these reactions are reversible, while others are not. The former lead to
 7543 compounds that may serve as reservoirs for free sulphite, while the latter remove sulphites
 7544 permanently from the pool of available free SO₂. Since free SO₂ is the most likely cause of adverse
 7545 reactions to sulphiting agents, these chemical reactions have significant implications regarding foods
 7546 that may cause difficulty in sensitive patients (Bush et al., 1986b; Simon, 1998). The likelihood of a
 7547 particular food provoking a reaction depends upon the ratio of free to bound sulphite. For example,
 7548 lettuce has few components to which sulphites can react, therefore most of the sulphite in lettuce
 7549 remains in the free inorganic state and this explains why lettuce (salad bars) seems to provoke sulphite
 7550 sensitive reactions frequently (Martin et al., 1986; Simon, 1998). In contrast, sulphites added to shrimp
 7551 and potatoes tend to be bound and are not as likely to produce reactions in sulphite sensitive subjects.

7552 **27.6. Methods of detection of sulphites in foods**

7553 Many methods are available for the determination of sulphites in foods and beverages, the most
 7554 commonly used being titration, flow injection analysis (FIA) and spectrophotometry, but also CE,
 7555 HPLC, ion-exclusion chromatography (IC), gas chromatography (GC), fluorometry,
 7556 chemiluminescence, anodic stripping voltammetry, sensors and biosensors. The available methods for
 7557 the detection of sulphites in foods have been extensively reviewed (Isaac et al., 2006; Ruiz-Capillas
 7558 and Jiménez-Colmenero, 2009; Pundir and Rawal, 2013). There are several Official AOAC methods
 7559 for the detection of sulphites in meats (qualitative), fruits and wines (<http://www.eoma.aoac.org/>).

7560 Sulphites can be present in foods and beverages as free, reversely bound adducts or irreversibly bound
7561 compounds according to the matrix and processing conditions, since they can react with other food
7562 constituents (e.g. aldehydes, ketones, sugars). Thus, it is necessary to recover the sulphites either as
7563 free or total sulphites (i.e. free plus reversibly bound sulphites). Most methods require preliminary
7564 extraction of sulphites from the solid or liquid food through, e.g. distillation or acid or alkaline
7565 extractants, with the risk of substantial losses of SO₂. In few cases, liquid foods (e.g. water, wines, and
7566 fruit juice) can be analysed without preliminary treatments, although the risk of interfering agents
7567 must be considered.

7568 **27.6.1. Titration**

7569 The most commonly used techniques, mostly applied to the analysis of sulphites in wine, are based on
7570 titration according to the classical optimised Monier-Williams method (OMW) (AOAC, 1995), and to
7571 the Ripper procedure (Vahl and Converse, 1980). The OMW method requires distillation of SO₂, after
7572 acidification and oxidation to sulphuric acid with hydrogen peroxide. The sulphuric acid is then
7573 titrated with sodium hydroxide. The method is robust, with a LOD ≥ 10 mg/kg, but is time consuming
7574 and subject to SO₂ losses and/or co-distillation of other oxidisable volatile compounds present in food.

7575 The Ripper procedure is based on iodometric titration. The bound sulphite is degraded by alkali, then
7576 the solution is acidified and the resulting total sulphurous acid is oxidised with iodine to sulphuric
7577 acid, while iodine is reduced to iodide. The remaining iodine is titrated with a solution of sodium
7578 thiosulphate in the presence of starch as an indicator. The LOD is 5 mg/kg. The method lacks accuracy
7579 and precision owing to the fact that iodine can react with other oxidisable compounds present in foods
7580 and cannot be used for coloured matrices.

7581 **27.6.2. Flow injection analysis**

7582 Flow injection procedures provide fast analytical responses in real time and are suitable for the routine
7583 analysis of a large number of samples (Ruiz-Capillas and Jiménez-Colmenero, 2009). To assess total
7584 sulphites, the sample undergoes an alkaline treatment to release most of the bound sulphite, which is
7585 then extracted by e.g. a tetrachloromercurate solution to form a stable sulphite-mercury complex, with a
7586 good recovery. Stabilisers e.g. EDTA are added to the solution to avoid oxidation of sulphites by
7587 atmospheric oxygen. Once injected into a continuous flow of a strong acidic donor solution, the
7588 released SO₂ diffuses through a membrane, commonly via gas diffusion (GD), and dissolves into an
7589 acceptor solution, chosen according to the detector to be used, e.g. spectrophotometric, fluorometric,
7590 amperometric, potentiometric. For spectrophotometric detectors, which are robust and available in
7591 most control laboratories, reagents developing a colour or changing colour are used as acceptors of
7592 SO₂ and the difference in absorbance, measured at a defined wavelength, is taken as linearly
7593 proportional to the amount of sulphite. Malachite Green is the acceptor reagent most commonly used.
7594 A FIA-spectrophotometric method for the analysis of sulphites is accepted by the AOAC for the
7595 analysis of sulphites in food, with a LOD ≥ 5 mg/kg (AOAC, 2005).

7596 **27.6.3. Chromatographic methods**

7597 **27.6.3.1. Ion chromatography**

7598 A method adopted by the AOAC (AOAC, 2000), which uses ion-exclusion chromatography with
7599 direct current amperometric detection, has an LOD ≥ 10 mg/kg and can be applied to liquid samples
7600 after filtration. However, fouling of the platinum electrode occurs rather quickly, leading to a
7601 significant decrease of the response.

7602 A method using an anion-exchange column eluted with sodium carbonate and sodium hydroxide with
7603 conductivity detection, when applied to the determination of sulphites in fresh meats and shrimps,
7604 provided good results with respect to linearity, LOD (2.7 mg/kg) and LOQ (8.2 mg/kg), expressed as
7605 SO₂ (Iammarino et al., 2010). Higher sensitivity was achieved when release of SO₂ from bound
7606 sulphites by addition of sodium carbonate, acidification and distillation with vapour and CO₂ stream
7607 preceded IC (Zhong et al., 2012). The distilled SO₂ was captured in a sodium hydroxide solution and

7608 measured as sulphite by IC, with a LOD of 0.013 mg/L. This method was also used to detect sulphites
 7609 in preserved foods, dried vegetables and wines.

7610 **27.6.3.2. Gas chromatography**

7611 GC was applied to the separation of free and combined sulphites in foods. Tartaric acid allows the
 7612 selective extraction of free sulphites, whereas an alkaline extractant containing potassium sodium
 7613 tartrate allows detecting total sulphites. The LOD is 0.5 mg/kg (Pundir and Rawal, 2013).

7614 **27.6.3.3. High Performance Liquid Chromatography**

7615 Free and total sulphites can be separated by HPLC and quantified by a UV/vis detector with a LOD of
 7616 0.5 mg/L (McFeeeters and Barish, 2003), and with a fluorometric detector (via derivatisation with *o*-
 7617 phthalodialdehyde) with a LOD of 5 mg/kg (Chung et al., 2008a). Coupling HPLC with an immobilised
 7618 enzyme reactor (HPLC–IMER), the method became more sensitive (LOD 0.01 mg/L SO₃²⁻) and
 7619 specific (Theisen et al., 2010).

7620 **27.6.4. Spectrophotometry**

7621 Spectrophotometric methods for the analysis of sulphites are simple, sensitive and convenient,
 7622 although some require preliminary distillation and relatively large amount of samples (Hassan et al.,
 7623 2006). They measure the absorbance of a coloured compound resulting from reaction of sulphites with
 7624 a reagent such as *o*-phthalodialdehyde in the presence of ammonia (LOD 0.04 mg/L), *p*-rosaniline-
 7625 formaldehyde (LOD 0.03 mg/L), or 5,5-dithiobis(2-nitrobenzoic acid) (LOD 0.10 mg/L).

7626 The performance of batch and flow injection spectrophotometric modes for sulphite detection in
 7627 beverages has been compared (Hassan et al., 2006). The method is based on the reaction of SO₂ with a
 7628 diaquacobester reagent, resulting in a sulphite aquacobester (SO₃Cbs) complex. Changes of the
 7629 absorbances at 313, 349, 425 and 525 nm are linearly related to sulphite concentrations, with an
 7630 average LOD of 10 µg/L. The flow injection system allowed analysis of 50 samples per hour.

7631 A method based on UV/vis fiber optic-linear array detection spectrophotometry was developed with a
 7632 preliminary dispersive liquid–liquid microextraction (DLLME) in order to pre-concentrate sulphite
 7633 ions from aqueous samples. The procedure is based on the colour reaction of sulphite with *o*-
 7634 phthalodialdehyde (OPA) in the presence of ammonia to form isoindole, which is extracted by the
 7635 DLLME technique. The LOD was 0.2 µg/L (Filik and Cetintas, 2012).

7636 **27.6.5. Sensors and Biosensors**

7637 The (bio)sensing methods have experienced a great development in the last few years, since they allow
 7638 selective, rapid and highly sensitive detection and a wide working range.

7639 Electrochemical sensors are based on non-enzymatic metal complexes, which catalyse production or
 7640 consumption of ions or electrons, thus changing the electrical properties of the solution, which are
 7641 used as measuring parameters.

7642 Biosensors are based on immobilised enzymes, such as sulphite oxidase (SO) from chicken liver or
 7643 plant leaves or bacterial sulphite dehydrogenase (SDH), which catalyse oxidation of sulphite to
 7644 sulphates with high selectivity. The aerobic oxidation of sulphites by sulphite oxidase produces H₂O₂,
 7645 which undergoes electrochemical breakdown under high voltage generating electrons, i.e. current,
 7646 which is directly proportional to the sulphite concentration. Coating the electrode with a polymeric
 7647 film, in which the enzyme is embedded, has been shown to retain selectivity, since the polymer acts as
 7648 a selective barrier allowing only H₂O₂ to reach the electrode. Direct electrocatalytic voltammetry of
 7649 SDH immobilised on a pyrolytic graphite electrode or mediated by cytochrome c as an electroactive
 7650 relay between the enzyme and a gold electrode have been reported (Kalimuthu et al., 2010).
 7651 (Bio)sensors may be classified into several “classes” according to the type of transducers used (e.g.
 7652 dissolved oxygen meter, electrochemical, amperometric, conducting polymer, nanoparticle-based, fill

7653 and flow channel, chemiluminescence, optical, screen printed electrode, sol-gel based, gas diffusion-
 7654 sequential injection, FIA-based). The principles, characteristics, advantages and disadvantages of the
 7655 different biosensors have been reviewed (Pundir and Rawal, 2013).

7656 27.6.5.1. Chemiluminescence biosensors

7657 Chemiluminescence biosensors combine the specificity of the biological reaction with the sensitivity
 7658 of light emitting reactions. They are mostly based on sulphite oxidase immobilised on different
 7659 supports, using chemiluminescent oxidation of luminol for detection. The LODs vary from 0.0003 µM
 7660 (Sasaki et al., 1997) to 1 µM (Yaqoob et al., 2004) and 4.7 µM of sulphite (Navarro et al., 2010).

7661 27.6.5.2. Electrochemical sensors

7662 Sulphites have been oxidised at simple electrodes (platinum, gold, carbon and metal oxides), or at
 7663 electrodes modified with enzymes (sulphite oxidase, sulphite dehydrogenase) or metal complexes
 7664 (iron and copper-cobalt hexacyanoferrate, cobalt porphyrins, ferrocenedicarboxilic acid) in order to
 7665 overcome the lack of selectivity at the bare electrode. The catalysts are immobilised on the electrode
 7666 as mono/multilayer films or incorporated within the body of composite electrode materials, such as
 7667 sol-gel. The LODs are in the range 0.3-1 µM. The relative methods have been reviewed (Isaac et al.,
 7668 2006; Pundir and Rawal, 2013).

7669 Ion-selective electrodes are not suitable for sulphite detection owing to the lack of sufficiently
 7670 selective ionophores. Redox indicators have been used to avoid interference with other anions, but the
 7671 selectivity depends also on the food matrix. The problem of non-specific oxidation of interfering
 7672 species can be avoided by integrating highly specific oxidising enzymes into the transducing surface.
 7673 A combination of sulphite dehydrogenase, horse heart cytochrome c (cyt c), and a self-assembled
 7674 monolayer of 11-mercaptopundecanol cast on a gold electrode led to a LOD of 44 pM using an
 7675 amperometric detector (Kalimuthu et al., 2010).

7676 27.6.5.3. Screen-printed electrode-based biosensors

7677 Screen printed carbon electrodes have been devised in order to construct portable or disposable
 7678 electrodes. The active substrate (enzyme, metal complex) is deposited onto inert backing supports,
 7679 such as PVC or ceramic materials. One of these, based on sulphite oxidase and an osmium redox
 7680 polymer as mediator, is able to work at a desirable low potential, thus avoiding co-oxidation of
 7681 interferents, with a LOD of 0.08 µM (Spricigo et al., 2010).

7682 27.6.5.4. Biosensors based on conducting polymer matrices

7683 Different types of conducting polymers have been used as support for construction of sulphite oxidase
 7684 electrodes. Enzyme molecules can be entrapped during electropolymerisation, resulting in a uniformly
 7685 coverage of the electrode working surface. These biosensors are sensitive, specific and rapid. A
 7686 biosensor using sulphite oxidase entrapped in ultrathin polypyrrole film and amperometric detection
 7687 showed a LOD of 0.9 µM (Ameer and Adelaju, 2008).

7688 27.6.5.5. Nanoparticle-based biosensors

7689 Nanoparticles increase the electroactive surface of electrodes, enhance the electron transport between
 7690 the electrolyte medium and the electrode, and increase the loading capacity for the enzyme.
 7691 Electrochemical biosensors modified with sulphite oxidase/magnetic nanoparticles
 7692 ($\text{Fe}_3\text{O}_4@\text{GNPs}$)/Prussian blue nanoparticles on gold or/indium tin oxide electrodes, have been
 7693 produced, with LODs of 0.15-0.1 µM (Rawal and Pundir, 2013).

7694 27.6.6. Methods for the detection of sulphites in wine

7695 Free and bound sulphites are present in wine. Free sulphites include SO_2 , bisulphite (HSO_3^-) and
 7696 sulphite (SO_3^{2-}) in a chemical equilibrium according to the pH. At the pH of wine (3.2-4.0) bisulphite

7697 is the predominant species of free sulphite, which can bind reversibly to carbonyl compounds, such as
7698 aldehydes, and in particular acetaldehyde, ketones, ketoacids, and sugars.

7699 Titration, spectrophotometry, FIA, sensors and biosensors can be used to measure sulphites in wine.
7700 The most commonly used is OMW (AOAC, 1995). The procedure is time consuming, cannot be used
7701 for high-throughput analysis, can overestimate sulphite levels due to the presence of volatile acidic
7702 compounds in wine, or may underestimate them due to loss of SO₂ during distillation. The Ripper
7703 procedure lacks accuracy and precision, particularly for coloured matrices such as red wine.

7704 In order to overcome such limitations, two methods based on FIA with spectrophotometric detection
7705 have been adopted by the AOAC: the AOAC-990.29 method for total sulphites and the AOAC-990.30
7706 method for free sulphites. The LOD is ≥ 5 mg/kg.

7707 A GD multicommutted FIA for the determination of free and total sulphites in white and red wine has
7708 been reported (Oliveira et al., 2009). The methodology is based on two spectrophotometric reactions
7709 with malachite green (MG) and *para*-rosaniline (PRA), with no previous treatment of the sample. The
7710 procedure with MG is more sensitive but less accurate than with PRA, because of the negative
7711 interference with acetaldehyde. LODs of 0.3 and 0.6 mg/L and LOQs of 1.1 and 1.8 mg/L for free
7712 SO₂, LODs of 0.7 and 0.8 mg/L and a LOQ of 2.5 mg/L for total SO₂ were obtained with GM and
7713 PRA, respectively. These methods use low cost instrumentation, have high sample throughput and are
7714 easy to manipulate.

7715 A sensitive sensor based on electrodes supported on ion-exchange membranes acting as a solid
7716 polymer electrolyte (SPE), which allows gaseous electroactive analytes to be detected, was used as an
7717 amperometric detector for a flow injection system (Tonoli et al., 2010). The LODs were 0.04 and
7718 0.02 mg/L for free and total SO₂.

7719 Another method based on head-space gas chromatography (HS-GC) with electron-capture detection
7720 (ECD) has been developed for the determination of free and total sulphites in wine (Aberl and
7721 Coelhan, 2013). Formation of gaseous SO₂ is achieved by acidification and heating in the presence of
7722 2,4-dinitrophenylhydrazine. The LOD is 1 mg/L, the LOQ 5 mg/L. The method is quick, accurate, and
7723 requires minimal sample preparation.

7724 **27.7. Minimal doses eliciting adverse reactions**

7725 Toxicity studies in non-asthmatic individuals have been conducted primarily through oral challenges
7726 and inhalation studies (Bush et al., 1986b). Small numbers of individuals have ingested doses of up to
7727 400 mg of SO₂ equivalents per day without adverse effects (Taylor et al., 1986). However, doses of 4
7728 to 6 g per day predictably caused nausea, vomiting, gastric irritation and occasional gastrointestinal
7729 bleeding (Schwartz, 1984; Bush et al., 1986b). Sulphite sensitivity was confirmed in a patient after the
7730 ingestion of a total dose of 10 mg of NaHSO₃ solution (Prenner and Stevens, 1976). Case reports of
7731 positive oral challenges with encapsulated sulphites at doses of 10 mg (Schwartz, 1983) and 25 mg
7732 (Habenicht et al., 1983) are available. Challenge studies in larger numbers of non-asthmatic subjects
7733 suggest that few react to the ingestion of sulphites in foods or beverages (Meggs et al., 1985; Sonin
7734 and Patterson, 1985; Bush et al., 1986b).

7735 Among asthmatics, the amount of sulphite required to produce a response also varies and quantities as
7736 low as 1 to 5 mg of ingested potassium metabisulphite (equivalent to 3.7 mg of free SO₂) have been
7737 reported to trigger a reaction in sulphite sensitive asthmatics (Stevenson and Simon, 1981). Ingestion
7738 of sulphited solutions is more likely to precipitate asthma than ingestion of encapsulated sulphites,
7739 perhaps owing to inhalation of volatilised SO₂ (Bush et al., 1986b).

7740 Most sulphite sensitive individuals will react to ingested metabisulphite in quantities ranging from 20
7741 to 50 mg (Simon, 1989; Lester, 1995). However, minimal eliciting doses have not been systematically
7742 assessed and the lowest concentration of sulphites able to trigger a reaction in a sensitive person is
7743 unknown.

7744 The Panel notes that the studies available do not allow concluding on the lowest concentrations of
7745 sulphites that are able to trigger a reaction in a sensitive person.

7746 **27.8. Conclusion**

7747 The prevalence of sulphite sensitivity in the general population is unknown, but it appears to be rare
7748 among non-asthmatics. Most reactions to sulphites are characterised by severe bronchospasm, which
7749 can occur within minutes after ingestion of sulphite-containing foods. Average daily sulphite
7750 consumption in adults has been estimated to be approximately between 20 mg and 50 mg of sulphur
7751 dioxide equivalents, but interindividual variability is high. Most sulphite sensitive individuals will
7752 react to ingested quantities of metabisulphite within this range. Labelling of foods containing
7753 sulphiting agents in concentrations > 10 mg/kg or 10 mg/L is mandatory in the EU, which was based
7754 on the LOD of the detection method available at the time. Many very sensitive and reliable methods
7755 are now available for analysis of sulphites in foods, with LODs well below 10 mg/kg. However, MED
7756 have not been systematically assessed and the smallest concentration of sulphites able to trigger a
7757 reaction in a sensitive person is unknown.

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APPENDICES

 A. POPULATION THRESHOLDS CALCULATED FOR SOME ALLERGENIC FOODS/INGREDIENTS¹.

Food	No. of patients (population)	Objective symptoms			Any symptom			Reference
		ED ₀₁ (95 % CI)	ED ₀₅ (95 % CI)	ED ₁₀ (95 % CI)	ED ₀₁ (95 % CI)	ED ₀₅ (95 % CI)	ED ₁₀ (95 % CI)	
	53 (children)	0.07 (0.01-0.79)	1.51 (0.3-7.7)	5.82 (1.6-21.4)	0.04 (0.005-0.35)	0.75 (0.2-3.3)	2.75 (0.8-9.2)	(Blom et al., 2013)
	> 200 (mostly children)	0.03 (NR)	- (NA)	- (NA)	-	-	-	(Allen et al., 2013)
		0.0043-0.056 ² (NA)	0.21-0.44 ² (NA)	1.2-1.6 ² (NA)	-	-	-	(Remington, 2013)
Hen's egg	206 (mostly children)	0.12-0.13 ³ (NR)	0.66-0.69 ^{3,4} (NR)	-	-	-	-	
		0.2-0.21 ⁵ (NR)	0.62 ^{4,5} (NR)	-	-	-	-	(Taylor et al., 2013)
		0.03-0.045 ⁶ (NR)	0.31-0.38 ^{4,6} (NR)	-	-	-	-	
	155 (mostly children)	-	2.08 (1.1-4.0)	5.36 (3.0-9.6)	-	-	-	(Eller et al., 2012)
	93 (children)	0.05 (0.01-0.30)	1.07 (0.3-3.8)	4.24 (1.6-11.6)	0.007 (0.001-0.06)	0.27 (0.1-1.1)	1.31 (0.4-4.2)	(Blom et al., 2013)
	> 200 (mostly children)	0.1 (NR)	1.3-3.6 ⁷ (NR)	2.6-13.0 ⁷ (NR)	-	-	-	(Allen et al., 2013)
		0.016-0.14 ² (NA)	0.57-1.9 ² (NA)	2.8-5.1 ² (NA)	-	-	-	(Remington, 2013)
Cow's milk	351 (mostly children)	0.081-0.14 ³ (NR)	-	-	-	-	-	
		0.21-0.34 ⁵ (NR)	-	-	-	-	-	(Taylor et al., 2013)
	42 (mostly children)	-	59.3 (29.1-109.9)	100.2 (52.7-190.5)	-	-	-	(Eller et al., 2012)

Food	No. of patients (population)	Objective symptoms			Any symptom			Reference
		ED ₀₁ (95 % CI)	ED ₀₅ (95 % CI)	ED ₁₀ (95 % CI)	ED ₀₁ (95 % CI)	ED ₀₅ (95 % CI)	ED ₁₀ (95 % CI)	
Peanut	135 (children)	0.15 (0.04-0.51)	1.56 (0.7 - 3.6)	4.42 (2.3-8.5)	0.007 (0.002-0.03)	0.14 (0.1-0.4)	0.52 (0.2-1.2)	(Blom et al., 2013)
	> 200 (adults/children)	0.2 (NR)	0.4-2 ² (NR)	-	-	-	-	(Allen et al., 2013)
		0.015-0.13 ² (NA)	0.5-1.5 ² (NA)	2.3-4.1 ² (NA)				(Remington, 2013)
	750 (adults/children)	0.1-0.13 ³ (NR)						(Taylor et al., 2013)
		0.22-0.28 ⁵ (NR)						
	149 (mostly children)		18.9 (13.0-27.6)	32.9 (23.6-45.9)				(Eller et al., 2012)
	28 (children)	0.01 (0.00-0.56)	0.29 (0.0-4.6)	1.38 (0.2-12.0)	0.001 (0.0-0.05)	0.05 (0.0-0.6)	0.22 (0.0-1.8)	(Blom et al., 2013)
Hazelnut	28 (children)	0.1 (NR)	-	-	-	-	-	(Allen et al., 2013)
		0.038-0.42 ² (NA)	1.2-2.6 ² (NA)	5.2-7.9 ² (NA)	-	-	-	(Remington, 2013)
	202 (adults/children)	0.11-0.21 ³ (NR)	0.69-1.3 ^{3,4} (NR)	-	-	-	-	
		0.25-0.42 ⁵ (NR)	0.84-1.4 ^{4,5} (NR)	-	-	-	-	(Taylor et al., 2013)
		0.017-0.038 ⁶ (NR)	0.23-0.46 ^{5,6} (NR)	-	-	-	-	
	59 (mostly children)	-	8.7 (4.5-16.8)	15.9 (8.9-28.4)	-	-	-	(Eller et al., 2012)

Food	No. of patients (population)	Objective symptoms			Any symptom		Reference	
		ED ₀₁ (95 % CI)	ED ₀₅ (95 % CI)	ED ₁₀ (95 % CI)	ED ₀₁ (95 % CI)	ED ₀₅ (95 % CI)		
Cashew	31 (children)	1.30 (0.18 - 9.57)	7.41 (1.9 - 28.7)	16.0 (5.4 - 47.4)	0.02 (0.002-0.25)	0.32 (0.1-1.8)	1.07 (0.3-4.5)	(Blom et al., 2013)
		1.4-2.8 ² (NA)	8.9-11.5 ² (NA)	16.8-22.7 ² (NA)	-	-	-	
		-	3.0-3.3 ^{3,4} (NR)	-	-	-	-	(Remington, 2013)
		-	2.6-3.0 ^{4,5} (NR)	-	-	-	-	
		-	1.9-2.1 ^{5,6} (NR)	-	-	-	-	(Taylor et al., 2013)
		0.078-3.1 ² (NA)	4.7-22.2 ² (NA)	28.2-63.4 ² (NA)	-	-	-	
Soy	80 (adults/children)	-	1.0-2.9 ^{3,4} (NR)	-	-	-	-	(Remington, 2013)
		-	2.3-5.5 ^{4,5} (NR)	-	-	-	-	
		-	1.15-0.5 ^{5,6} (NR)	-	-	-	-	(Taylor et al., 2013)
		23 (adults/children)	37.2 (NR)	-	0.37 (NR)	-	-	
		40 (adults/children)	0.14-1.1 ² (NA)	2.0-4.3 ² (NA)	6.6-10.2 ² (NA)	-	-	(Remington, 2013)
		-	1.3-1.5 ^{3,4} (NR)	-	-	-	-	
Wheat	- - - - -	-	1.4-1.6 ^{4,5} (NR)	-	-	-	-	(Taylor et al., 2013)
		-	0.41-0.44 ^{5,6} (NR)	-	-	-	-	

Food	No. of patients (population)	Objective symptoms			Any symptom		Reference
		ED ₀₁ (95 % CI)	ED ₀₅ (95 % CI)	ED ₁₀ (95 % CI)	ED ₀₁ (95 % CI)	ED ₀₅ (95 % CI)	
Mustard	33 (adults/children)	0.022-0.097 ² (NA)	0.32-0.46 ² (NA)	1.0-1.2 ² (NA)	-	-	(Remington, 2013)
		-	0.09-0.1 ^{3,4} (NR)	-	-	-	
		-	0.11-0.12 ^{4,5} (NR)	-	-	-	
		-	0.046-0.052 ^{5,6} (NR)	-	-	-	(Taylor et al., 2013)
		0.83-3.7 ² (NA)	7.8-19.1 ² (NA)	20.8-33 ² (NA)	-	-	
		-	4.5-4.8 ^{3,4} (NR)	-	-	-	
Lupin	24 (adults/children)	-	0.61-0.65 ^{4,5} (NR)	-	-	-	(Taylor et al., 2013)
		0.10-0.67 ² (NA)	2.1-3.8 ² (NA)	7.6-10.6 ² (NA)	-	-	
		-	0.45-0.56 ^{3,4} (NR)	-	-	-	
		-	0.49-0.61 ^{4,5} (NR)	-	-	-	(Taylor et al., 2013)
		-	0.13-0.18 ^{5,6} (NR)	-	-	-	
		3.7-6.1 ² (NA)	73.6-127 ² (NA)	284-500 ² (NA)	-	-	
Sesame	21 (adults/children)	-	17.6-19.1 ^{3,4} (NR)	-	-	-	(Remington, 2013)
		-	0.49-0.61 ^{4,5} (NR)	-	-	-	
		-	0.13-0.18 ^{5,6} (NR)	-	-	-	
		3.7-6.1 ² (NA)	73.6-127 ² (NA)	284-500 ² (NA)	-	-	(Taylor et al., 2013)
		-	10.4-12.1 ^{4,5} (NR)	-	-	-	
		-	13.1-13.9 ^{5,6} (NR)	-	-	-	
Shrimp	48 (adults)	3.7-6.1 ² (NA)	73.6-127 ² (NA)	284-500 ² (NA)	-	-	(Remington, 2013)
		-	17.6-19.1 ^{3,4} (NR)	-	-	-	
		-	10.4-12.1 ^{4,5} (NR)	-	-	-	
		-	13.1-13.9 ^{5,6} (NR)	-	-	-	(Taylor et al., 2013)

¹ Expressed as mg of total protein from the allergenic food, unless otherwise specified;

² Depending on the distribution model used (e.g. log-logistic, log-normal or Weibull);

³ Depending on whether discrete or cumulative trigger doses were used for analysis, Log-logistic;

⁴ 95 % lower confidence interval of ED₀₅;

⁵ Depending on whether discrete or cumulative trigger doses were used for analysis, Log-normal;

⁶ Depending on whether discrete or cumulative trigger doses were used for analysis, Weibull;

⁷ Depending on the studies used to derive the minimum observed eliciting doses (e.g. food challenges for immunotherapy, threshold-finding or diagnostic purposes);

NR = not reported; NA = not available numerically, reported only graphically in the original publication

GLOSSARY AND ABBREVIATIONS

2D	Two dimensional
3D	Three dimensional
1DE	1-dimensional electrophoresis
2DE	2-dimensional electrophoresis
2D-SDS-PAGE	Two dimensional Sodium Dodecyl Sulphate-PolyAcrylamide Gel-Electrophoresis
Absolute LOD	For DNA-based methods, LOD expressed as absolute amounts of DNA detected (e.g. in pg, ng, or number of DNA copies)
ALA	α -lactalbumin
Allergen	Proteins or peptides responsible for the allergenicity of allergenic foods/ingredients
Allergenic food	Substances listed under Annex IIIa of Directive when considered as such
Allergenic ingredient	Substances listed under Annex IIIa of Directive when considered as part of complex foods
Allergenicity	Ability to induce immune-mediated clinical reactions
Antigenicity	Ability to induce the synthesis of specific IgE antibodies
AOAC	Association of Analytical Communities
AQUA	Absolute quantification of proteins using stable isotope labelled peptides by MS
Atopy	Familial tendency to produce IgE antibodies in response to low doses of allergens
BIA	Biosensor ImmunoAssay
BLAST	Basic Local Alignment Search Algorithm
BLG	β -lactoglobulin
BMD	Bench Mark Dose
BSA	Bovine Serum Albumin
CAS	Casein
CAP (or ImmunoCAP)	A brand name of a test for the diagnosis of atopy in patients with allergy-like symptoms. It measures specific IgE antibodies in human serum.

CAP-FEIA	CAP-FluorEnzymeImmunoAssay
CAP-RAST	CAP-RadioAllergoSorbent Test
CCDs	Cross-reactive Carbohydrate Determinants
CD	Circular Dichroism
CE	Capillary Electrophoresis
CI	Confidence Interval
CITP-CZE	Capillary Isotachophoresis-Capillary Zone Electrophoresis
CMA	Cow's milk allergy
CMP	Cow's milk proteins
Coeliac disease	Autoimmune adverse reaction to food triggered by the ingestion of gluten and related to prolamins found in wheat, barley and rye
CRIE	Cross RadioImmunoElectrophoresis
CRM	Certified Reference Material
DAS-ELISA	Double Antibody Sandwich-Enzyme Linked Immunosorbent Assay
DBPCFC	Double Blind Placebo Controlled Food Challenge
DIGE	Difference Gel Electrophoresis
DLLME	Dispersive Liquid–Liquid MicroExtraction
DNA	Deoxyribonucleic Acid
Dot-blot	Dot-immunoblotting
EAST	Enzyme-AllergoSorbent test
ED	Population-based Eliciting Dose
EIS	Electrochemical Impedance Spectroscopy
ELISA	Enzyme Linked Immunosorbent Assay
ESI	ElectroSpray Ionisation
ESI-MS	ElectroSpray Ionisation-Mass Spectrometry
EU	European Union
FAPAS	Food Analysis Proficiency Assessment Scheme
FARRP	Food Allergen Research and Resource Program

FDEIA	Food-Dependent Exercise-Induced Anaphylaxis
FFF	Field-Flow Fractionation
FIA	Flow Injection Analysis
Food allergy	Adverse health effect arising from a specific immune-mediated response that occurs reproducibly on oral exposure to a given food, which can be mediated by food-specific immunoglobulin class E (IgE) antibodies or not
Food intolerance	Non immune-mediated adverse reactions to food
FPIES	Food protein-induced enterocolitis syndrome
FT-ICR	Fourier Transform Ion-Cyclotron Resonance
FTIR	Fourier Transform Infrared Spectroscopy
GC	Gas Chromatography
GD	Gas Diffusion
GLP	Germin-Like Proteins
GNPs	Gold NanoParticles
HHP	High Hydrostatic Pressure
HMW	High Molecular Weight
HPLC	High Performance Liquid Chromatography
HPLC/ESI-MS/MS	High Performance Liquid Chromatography-Electro Spray Ionisation-Tandem Mass Spectrometry
HR-MS	High-Resolution Mass Spectrometry
HS-GC	Head-Space Gas Chromatography
Ig	Immunoglobulin
IgG	Immunoglobulin class G antibodies
IgE	Immunoglobulin class E antibodies
IC	Ion-exclusion Chromatography
ICD	International Classification of Diseases
ICP-MS	Inductively Coupled Plasma-Mass Spectrometry
ICSA	Interval-Censoring Survival Analysis. Method used to determine individual thresholds when the exact dose that provokes a reaction in an individual is not known but it is known to fall into a particular

interval. Individuals reacting to the first dose in a challenge trial are left-censored: the NOAEL is set to zero with the LOAEL is set as that first dose. Individuals not experiencing a reaction after the largest challenge dose are right-censored: the NOAEL is set to that largest challenge dose and the LOAEL is set to infinity. In all other cases, interval-censoring occurs bounded by the NOAEL and LOAEL.

IEF	IsoElectric Focusing
Incurred sample	A sample in which a known amount of the authentic specimen is added before treatment (cooking or any industrial process)
IPC	Internal Positive Control
IRMM	Institute for Reference Materials and Measurements
ISAAC	International Study of Asthma and Allergies in Childhood
IT	Ion Trap
IUIS	International Union of Immunological Societies
KDS	Potassium Dodecyl Sulphate
LAB	Lactic Acid Bacteria
LC	Liquid Chromatography
LC-ESI-MS	Liquid Chromatography-ElectroSpray Ionisation-Mass Spectrometry
LC-ESI-MS/MS	Liquid Chromatography-ElectroSpray Ionisation-Tandem Mass Spectrometry
LC-ESI-IT-MS/MS	Liquid Chromatography-ElectroSpray Ionisation-Ion traps-Tandem Mass Spectrometry
LC-ESI-QqQ-MS/MS	Liquid Chromatography-ElectroSpray Ionisation-Triple Quadrupoles-Tandem Mass Spectrometry
LC-ESI-Q-TOF-MS/MS	Liquid Chromatography-ElectroSpray Ionisation-Quadrupole-Time Of Flight-Tandem Mass Spectrometry
LC/HR-MS	Liquid Chromatography-High-Resolution Mass Spectrometry
LC-LIT-MS	Liquid Chromatography-Linear Ion Trap-Mass Spectrometry
LC-LIT-MS/MS	Liquid Chromatography-Linear Ion Trap-Tandem Mass Spectrometry
LC-MS/MS	Liquid Chromatography-Tandem Mass Spectrometry
LC-QpQ-MS/MS	Liquid Chromatography-Triple Quadrupoles-Tandem Mass Spectrometry

LF	LactoFerrin
LFD	Lateral Flow Device
LMW	Low Molecular Weight
LIT	Linear Ion Trap
LOAEL	Lowest Observed Adverse Effect Level
LOD	Limit Of Detection
LOQ	Limit Of Quantification
LSPR	Localised Surface Plasmon Resonance
LTP	Lipid Transfer Proteins
mAb	Monoclonal Antibody
MALDI	Matrix Assisted Laser Desorption Ionisation
MALDI-TOF	Matrix Assisted Laser Desorption Ionisation-Time Of Flight
MED	Minimal Eliciting Dose
MIM	Multiple Ion Monitoring
MLC	Myosin Light Chain
MLPA	Multiplex Ligation-dependent Probe Amplification
MnSOD	Manganese SuperOxide Dismutase
MoE	Margin of Exposure
MOED	Minimal Observed Eliciting Dose
MRM	Multiple Reaction Monitoring
MRM-MS	Multiple Reaction Monitoring-Mass Spectrometry
MS	Mass Spectrometry
MS/MS	Tandem Mass Spectrometry
MW	Molecular Weight
NCBI	National Center for Biotechnology Information
NIST	National Institute of Standards and Technology
NMR	Nuclear Magnetic Resonance
NOAEL	No-Observed Adverse-Effect Level

NOE	Nuclear Overhauser Effect
NSAID	Non-Steroid Anti-Inflammatory Drug
nsLTP	non specific Lipid Transfer Proteins
OAS	Oral Allergy Syndrome is an IgE-mediated immediate type allergic reaction characterised by symptoms within several minutes after contact with food, involving the mouth and the pharynx
OFC	Open label Food Challenge
OMW	Optimised Monier-Williams method
qPCR	quantitative Polymerase Chain Reaction
QpQ	Triple Quadrupole
Q-TOF	Quadrupole-Time Of Flight
PAD	Pulsed Amperometric Detection
Panallergens	Usually classified as minor allergens, are homologous molecules that originate from a multitude of organisms and cause IgE cross-reactivity between evolutionary unrelated species
PBS	Phosphate Buffer Saline
PCR	Polymerase Chain Reaction
PCR-RFLP	Polymerase Chain Reaction-Restriction Fragment Length Polymorphism
PFF	Peptide Fragment Fragmentation
PFU	PCR Forming Units
PMF	Peptide Mass Fingerprinting
PNA	Peptide Nucleic Acid
PR proteins	Pathogenesis-Related proteins
PUV	Pulsed UltraViolet light
PVDF	PolyVinylidene DiFluoride
PWG	Prolamin Working Group
RAST	RadioAllergoSorbent Test
REA	Resonance Enhanced Absorption
Real Time-PCR	Real-Time Polymerase Chain Reaction

RIE	Rocket Immuno Electrophoresis
RP-HPLC	Reversed-Phase High Performance Liquid Chromatography
RT-PCR	Reverse Transcriptase- Polymerase Chain Reaction
SBPCFC	Single Blind Placebo Controlled Food Challenge
SCBP	Sarcoplasmic Calcium-Binding Protein
SCORAD	SCORing Atopic Dermatitis
SDAP	Structural Database of Allergenic Proteins
SDS-PAGE	Sodium Dodecyl Sulphate-PolyAcrylamide Gel-Electrophoresis
SEC	Size-Exclusion Chromatography
SELDI	Surface-Enhanced Laser Desorption Ionization
SELDI-TOF/MS	Surface-Enhanced Laser Desorption Ionization-Time Of Flight-Mass Spectrometry
Sensitisation	Positive SPTs or high levels of specific IgE to the offending food
SGF	Simulated Gastric Fluid
SLIT	SubLingual ImmunoTherapy
SOTI	Systemic Oral Tolerance Induction
SPI	Soybean protein isolates
Spiked sample	A sample in which a known amount of the authentic specimen is added before the analysis.
SPF	Soy Protein-based Formula
SPR	Surface Plasmon Resonance
SPRI	Surface Plasmon Resonance Imaging
SPT	Skin Prick Test
SRM	Selective Reaction Monitoring
TLP	Thaumatin-Like Proteins
TOF	Time Of Flight
TOF ²	Tandem Time Of Flight
TRFIA	Time-Resolved FluoroImmunoAssay

UK	United Kingdom
US	United States
WDEIA	Wheat-Dependent Exercise-Induced Anaphylaxis
WHO	World Health Organisation